
PROJECT MANUAL

OLV HUMAN SERVICES

BAKER ACADEMY BUILDING IMPROVEMENTS

650 RIDGE ROAD
LACKAWANNA, NY

CLARK PATTERSON LEE PROJECT NUMBER: 15213.03

Document Date: 6/10/21

ARCHITECT/ENGINEER
CPL
26 Mississippi St.
Buffalo, NY 14203
(716) 852-2100

OWNER
OLV Human Services
790 Ridge Road
Lackawanna, NY 14218



SECTION 00 0110 - TABLE OF CONTENTS

DIVISION 00-INSTRUCTIONS TO BIDDERS

000100	INVITATION TO BID
000110	TABLE OF CONTENTS
002000	A701-1997 INSTRUCTIONS TO BIDDERS
003126	FINAL REPORT
004010	FORM OF PROPOSAL
004510	QUALIFICATION STATEMENT
005100	AGREEMENT
005500	a201
006000	PROJECT FORMS

DIVISION 01 – MODIFICATIONS AND PROCEDURES

011000	SUMMARY
012100	ALLOWANCES
012600	CONTRACT MODIFICATIONS PROCEDURE
012900	PAYMENT PROCEDURES
013100	PROJECT MANAGEMENT AND COORDINATION
013200	CONSTRUCTION PROGRESS DOCUMENTATION
013300	SUBMITTAL PROCEDURES ELECTRONIC AND FTP SITE
014000	QUALITY REQUIREMENTS
014200	REFERENCES
015000	TEMPORARY FACILITIES AND CONTROLS
016000	PRODUCT REQUIREMENTS
017300	EXECUTION
017329	CUTTING AND PATCHING
017700	CLOSEOUT PROCEDURES
017823	OPERATION AND MAINTENANCE DATA
017839	PROJECT RECORD DOCUMENTS
017900	DEMONSTRATION AND TRAINING

DIVISION 02 – EXISTING CONDITIONS

024119	SELECTIVE DEMOLITION

DIVISION 03 – CONCRETE

035416	HYDRAULIC CEMENT UNDERLAYMENT

DIVISION 05 – METALS

055000	MISCELLANEOUS ROUGH CARPENTRY

DIVISION 06 – WOOD, PLASTICS AND COMPOSITES

061053	METAL FABRICATION

DIVISION 07 – THERMAL AND MOISTURE PROTECTION

078413	THROUGH PENETRATION FIRESTOP SYSTEMS
078446	FIRE RESISTIVE JOINT SYSTEMS
079200	JOINT SEALANTS

DIVISION 08 – OPENINGS

081113	HOLLOW METAL DOORS AND FRAMES
083113	ACCESS DOORS AND FRAMES
088000	GLAZING

DIVISION 09 – FINISHES

092216	NON-STRUCTURAL METAL FRAMING
092900	GYMSUM BOARD
093000	TILING
095113	ACOUSTICAL PANEL CEILING
096513	RESILIENT BASE AND ACCESSORIES
096519	RESILIENT FLOOR TILE
099123	INTERIOR PAINTING
099600	HIGH-PERFORMANCE COATINGS

DIVISION 23 – HEATING, VENTILATING AND AIR CONDITIONING

230001	GENERAL REQUIREMENTS FOR MECHANICAL WORK
230517	SLEEVES AND SLEEVE SEALS
230518	ESCUTCHEONS
230529	HANGERS AND SUPPORTS
230553	HVAC IDENTIFICATION
230593	TESTING, ADJUSTING AND BALANCING
230713	DUCT INSULATION
233113	METAL DUCTS
233300	AIR DUCT ACCESSORIES
233713	DIFFUSERS, REGISTERS AND GRILLES

END OF SECTION 00 0110

SECTION 000115 – LIST OF DRAWINGS

GENERAL

G000 COVER

CIVIL

C100 SITE PLAN

C101 CIVIL DETAILS

ARCHITECTURAL

A001 CODE SHEET

A100 DEMOLITION PLAN

A201 NEW WORK PLAN

A600 OVERALL REFLECTED CEILING PLANS

A800 DETAILS

INTERIORS

I200 GROUND FLOOR FINISH PLAN

PLUMBING

P100 BASEMENT AND FIRST LEVEL PLANS

P500 BASEMENT AND FIRST LEVEL FIRE PROTECTION PLANS

MECHANICAL

H000 HVAC SYMBOLS LEGEND AND CONTRACTOR NOTES

H201 BASEMENT FLOOR HVAC NEW WORK AND DEMOLITION PLAN

ELECTRICAL

E200 BASEMENT ELECTRICAL PLANS

E201 FIRST FLOOR ELECTRICAL PLANS

END OF SECTION 000115

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SECTION 002000 INSTRUCTIONS TO BIDDERS

PART 1 - GENERAL

1.1 SUMMARY

- A. Attached is AIA Document A701-1997, Instructions to Bidders.
 - 1. AIA Document A701-1997 defines the conditions affecting award of contract and procedures with which Bidders must comply.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 002000

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DRAFT AIA® Document A701™ - 2018

Instructions to Bidders

for the following Project:

(Name, location, and detailed description)

«Baker Academy Building Improvements »
«650 Ridge Road »
«Lackawanna NY 14218 »

THE OWNER:

(Name, legal status, address, and other information)

«OLV Human Services »« »
«790 Ridge Road »
«Lackawanna NY 14218 »
« »

THE ARCHITECT:

(Name, legal status, address, and other information)

«CPL Architects, Engineers, Landscape Architect and Surveyor, D.P.C. c/b/a CPL »
«26 Mississippi Street »
«Buffalo NY 14203 »
« »

TABLE OF ARTICLES

1	DEFINITIONS
2	BIDDER'S REPRESENTATIONS
3	BIDDING DOCUMENTS
4	BIDDING PROCEDURES
5	CONSIDERATION OF BIDS
6	POST-BID INFORMATION
7	PERFORMANCE BOND AND PAYMENT BOND
8	ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

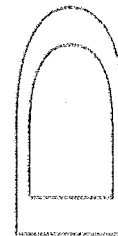
ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612™-2017, Owner's Instructions to the Architect, Parts A and B will be completed prior to using this document.



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ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the Proposed Contract Documents. The Bidding Requirements consist of the advertisement or invitation to bid, Instructions to Bidders, supplementary instructions to bidders, the bid form, and any other bidding forms. The Proposed Contract Documents consist of the unexecuted form of Agreement between the Owner and Contractor and that Agreement's Exhibits, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, all Addenda, and all other documents enumerated in Article 8 of these Instructions.

§ 1.2 Definitions set forth in the General and Supplementary (if required) Conditions of the Contract for Construction, or in other Proposed Contract Documents apply to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect, prior to the execution of the Contract, which, by additions, deletions, clarifications, or corrections, modify or interpret the Bidding Documents.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents, to which Work may be added or deleted by sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from, or that does not change, the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment, or labor for a portion of the Work.

ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 By submitting a Bid, the Bidder represents that:

- .1 the Bidder has read and understands the Bidding Documents;
- .2 the Bidder understands how the Bidding Documents relate to other portions of the Project, if any, being bid concurrently or presently under construction;
- .3 the Bid complies with the Bidding Documents;
- .4 the Bidder has visited the site, become familiar with local conditions under which the Work is to be performed, and has correlated the Bidder's observations with the requirements of the Proposed Contract Documents;
- .5 the Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception; and
- .6 the Bidder has read and understands the provisions for liquidated damages, if any, set forth in the form of Agreement between the Owner and Contractor.

ARTICLE 3 BIDDING DOCUMENTS

§ 3.1 Distribution

§ 3.1.1 Bidders may obtain Bidding Documents as designated in the Advertisement or Invitation to Bid, for the deposit sum and method stated therein.

§ 3.1.2 Any required deposit shall be refunded to Bidders who submit a bona fide Bid and return the paper Bidding Documents in good condition within thirty (30) days following the award of the Contract or rejection of the Bids. The cost to replace missing or damaged paper documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the paper Bidding Documents, and the Bidder's deposit will be refunded. Good condition as used in this section means that the Bidding Documents must be returned bound as issued, legible, and containing only the markings necessary for bidding purposes.

§ 3.1.3 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the advertisement or invitation to bid, or in supplementary instructions to bidders.

§ 3.1.4 Bidders shall use complete Bidding Documents in preparing Bids. Neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete Bidding Documents.

§ 3.1.5 The Bidding Documents will be available for the sole purpose of obtaining Bids on the Work. No license or grant of use is conferred by distribution of the Bidding Documents.

§ 3.2 Modification or Interpretation of Bidding Documents

§ 3.2.1 The Bidder shall carefully study the Bidding Documents, shall examine the site and local conditions, shall consider federal, state and local Laws and Regulations and shall notify the Architect of errors, inconsistencies, or ambiguities discovered and request clarification or interpretation pursuant to Section 3.2.2.

§ 3.2.2 Requests for clarification or interpretation of the Bidding Documents shall be submitted by the Bidder in writing via email and shall be received by the Architect at least seven working days prior to the date for receipt of Bids, or as follows:

(Indicate how, such as by email, website, host site/platform, paper copy, or other method Bidders shall submit requests for clarification and interpretation.)

« »

§ 3.2.3 Modifications and interpretations of the Bidding Documents shall be made by Addendum. Modifications and interpretations of the Bidding Documents made in any other manner, including phone calls, shall not be binding, and Bidders shall not rely upon them.

§ 3.2.4 In the absence of an interpretation, correction or change, should the Drawings disagree in themselves or with the Specifications, the better quality, the costlier or the greater quantity of work or materials shall be estimated upon, and unless otherwise ordered, shall be furnished.

§ 3.2.5 Communications regarding the Bidding Documents shall be directed to Austin Tylec, ATylec@CPLteam.com, Telephone 716-218-4730.....

§ 3.3 Substitutions

§ 3.3.1 The materials, products, and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance, and quality to be met by any proposed substitution.

§ 3.3.2 Substitution Process

§ 3.3.2.1 Written requests for substitutions shall be received by the Architect at least ten days prior to the date for receipt of Bids. Requests shall be submitted in the same manner as that established for submitting clarifications and interpretations in Section 3.2.2.

§ 3.3.2.2 Bidders shall submit substitution requests on a Substitution Request Form if one is provided in the Bidding Documents.

§ 3.3.2.3 If a Substitution Request Form is not provided, requests shall include (1) the name of the material or equipment specified in the Bidding Documents; (2) the reason for the requested substitution; (3) a complete description of the proposed substitution including the name of the material or equipment proposed as the substitute, performance and test data, and relevant drawings; and (4) any other information necessary for an evaluation. The request shall include a statement setting forth changes in other materials, equipment, or other portions of the Work, including changes in the work of other contracts or the impact on any Project Certifications (such as LEED), that will result from incorporation of the proposed substitution.

§ 3.3.3 The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

§ 3.3.4 If the Architect approves a proposed substitution prior to receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding, and Bidders shall not rely upon them.

§ 3.3.5 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents. The procedure for review and approval of Substitutions is set forth in the § 3.4.2 of the General and

Supplementary (if required) Conditions of the Contract and in the General Requirements (Division 1 of the Specifications).

§ 3.4 Addenda

§ 3.4.1 Addenda will be transmitted to Bidders known by the issuing office to have received complete Bidding Documents through the print method stated in the Advertisement or Invitation to Bid, or as follows:

(Indicate how, such as by email, website, host site/platform, paper copy, or other method Addenda will be transmitted.)

§ 3.4.2 Addenda will be available where Bidding Documents are on file.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids, except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Prior to submitting a Bid, each Bidder shall ascertain that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

ARTICLE 4 BIDDING PROCEDURES

§ 4.1 Preparation of Bids

§ 4.1.1 Bids shall be submitted on the forms included with or identified in the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed. Paper bid forms shall be executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and numbers, unless noted otherwise on the bid form. In case of discrepancy, the amount entered in words shall govern.

§ 4.1.4 Edits to entries made on paper bid forms must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change" or as required by the bid form.

§ 4.1.6 Each copy of the Bid shall state the legal name and legal status of the Bidder. As part of the documentation submitted with the Bid, the Bidder shall provide evidence of its legal authority to perform the Work in the jurisdiction where the Project is located. Each copy of the Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further name the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached, certifying the agent's authority to bind the Bidder.

§ 4.1.7 A Bidder shall incur all costs associated with the preparation of its Bid.

§ 4.2 Bid Security

§ 4.2.1 Each Bid shall be accompanied by the following bid security: Bid Security of not less than five percent (5%) of the amount of the Bid, in the form of a Bid Bond or a Certified Check made payable to the Owner, or as follows.

(Insert the form and amount of bid security.)

«N/A»

§ 4.2.2 Except as stated under **§ 4.4.3**, the Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid, with the understanding that the Bid Security shall guarantee that the Bidder will not withdraw its Bid for a period of forty-five (45) days after the scheduled closing time for the receipt of Bids, and that if its Bid is accepted, the Bidder will enter into a formal contract with the Owner in accordance with the terms stated in the Bid and will furnish any required performance and payment bonds at the time required. In the event of the withdrawal of said Bid within the forty-five (45) day period or the failure of the successful Bidder to enter into the Contract with the Owner or the failure of the successful Bidder to furnish required performance and payment bonds at the time required, the bid security shall

be forfeited to the Owner as liquidated damages, not as a penalty, which represents the damage the Owner incurred as a result of the Bidder's default.

In the event the Owner fails to comply with Section 6.2, the amount of the bid security shall not be forfeited to the Owner.

§ 4.2.3 If a surety bond is required as bid security, it shall be written on AIA Document A310™, Bid Bond, unless otherwise provided in the Bidding Documents. The attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of an acceptable power of attorney. The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 4.2.4 The Bid Securities shall be returned to all Bidders except the three (3) lowest Bidders within three (3) days after the formal opening of bids. The remaining Bid Securities will be returned within forty-eight (48) hours after the Owner and the successful Bidder have executed the Contract and executed performance and payment bonds have been approved by the Owner. If a Contract has not been executed or performance and payment bonds have not been approved by the Owner within forty-five (45) days after the scheduled closing time for the receipt of bids, then Bid Securities will be returned within three (3) days after the expiration of this forty-five (45) day period unless the Bid Security has been forfeited under § 4.2.2.

§ 4.3 Submission of Bids

§ 4.3.1 A Bidder shall submit its Bid as a paper Bid, or as indicated below:

(Indicate how, such as by website, host site/platform, paper copy, or other method Bidders shall submit their Bid.)

«N/A»

§ 4.3.2 Paper copies of the Bid, the bid security, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address, and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

§ 4.3.3 Bids shall be submitted by the date and time and at the place indicated in the invitation to bid. Bids submitted after the date and time for receipt of Bids, or at an incorrect place, will not be accepted.

§ 4.3.4 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

§ 4.3.5 The Bidder must provide all of the following items with the Bid, together with any other items required in the Bidding Documents, in order to be considered:

- .1 Bid Bond
- .2 Acknowledgement of Receipt of Addenda
- .3 Unit Prices
- .4 Certificate as to Corporate Bidder (if applicable)
- .5 Alternate Bids
- .6 Non-Collusive Affidavit

§ 4.4 Modification or Withdrawal of Bid

§ 4.4.1 Prior to the date and time designated for receipt of Bids, a Bidder may submit a new Bid to replace a Bid previously submitted, or withdraw its Bid entirely, by notice to the party designated to receive the Bids. Such notice shall be received and duly recorded by the receiving party on or before the date and time set for receipt of Bids. The receiving party shall verify that replaced or withdrawn Bids are removed from the other submitted Bids and not considered. Notice of submission of a replacement Bid or withdrawal of a Bid shall be worded so as not to reveal the amount of the original Bid.

§ 4.4.2 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids in the same format as that established in Section 4.3, provided they fully conform with these Instructions to Bidders. Bid security shall be in an amount sufficient for the Bid as resubmitted.

§ 4.4.3 After the date and time designated for receipt of Bids, a Bidder who discovers that it made a clerical error in its Bid shall notify the Architect of such error within three days, or pursuant to a timeframe specified by the law of the jurisdiction where the Project is located, requesting withdrawal of its Bid. Upon providing evidence of such error to the

reasonable satisfaction of the Architect, the Bid shall be withdrawn and not resubmitted. If a Bid is withdrawn pursuant to this Section 4.4.3, the bid security will be returned.

§ 4.4.4 Unless a Bid error complies with § 4.4.3, a Bid may not be modified, withdrawn or canceled by the Bidder for a period of forty-five (45) days following the time and date designated for the receipt of Bids, and each Bidder agrees to this requirement in submitting a Bid.

ARTICLE 5 CONSIDERATION OF BIDS

§ 5.1 Opening of Bids

If stipulated in an advertisement or invitation to bid, or when otherwise required by law, Bids properly identified and received within the specified time limits will be publicly opened and read aloud. A summary of the Bids may be made available to Bidders.

§ 5.2 Rejection of Bids

Unless otherwise prohibited by law, the Owner shall have the right to reject any or all Bids.

§ 5.3 Acceptance of Bid (Award)

§ 5.3.1 It is the intent of the Owner, to award a Contract to the lowest responsive and responsible Bidder, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. Unless otherwise prohibited by law, the Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's best interests.

§ 5.3.2 Unless otherwise prohibited by law, the Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the lowest responsive and responsible Bidder on the basis of the sum of the Base Bid and Alternates accepted.

ARTICLE 6 POST-BID INFORMATION

§ 6.1 Contractor's Qualification Statement

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request and within the timeframe specified by the Architect, a properly executed AIA Document A305™, Contractor's Qualification Statement, or other document included in the Project Manual, unless such a Statement has been previously required and submitted for this Bid.

§ 6.2 Owner's Financial Capability

A Bidder to whom award of a Contract is under consideration may request in writing, fourteen days prior to the expiration of the time for withdrawal of Bids, that the Owner furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. The Owner shall then furnish such reasonable evidence to the Bidder no later than seven days prior to the expiration of the time for withdrawal of Bids. Unless such reasonable evidence is furnished within the allotted time, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

§ 6.3 Submittals

§ 6.3.1 After notification of selection for the award of the Contract, the Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, submit in writing to the Owner through the Architect:

- 1 a designation of the Work to be performed with the Bidder's own forces;
- 2 names of the principal products and systems proposed for the Work and the manufacturers and suppliers of each; and
- 3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, withdraw the Bid or submit an acceptable substitute person or entity. The Bidder may also submit any required adjustment in the Base Bid or

Alternate Bid to account for the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

§ 7.1 Bond Requirements

§ 7.1.1 The Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder.

§ 7.1.2 The cost of bonds shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 7.1.4 Unless otherwise indicated below, the Penal Sum of the Payment and Performance Bonds shall each be equal to one hundred (100) percent of the Contract Sum.

(If Payment or Performance Bonds are to be in an amount other than 100% of the Contract Sum, indicate the dollar amount or percentage of the Contract Sum.)

§ 7.2 Time of Delivery and Form of Bonds

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than ten (10) days after the Bidder has received notice of the acceptance of its Bid but in no event shall bonds be delivered later than the date of execution of the Contract. If the Work is to commence sooner in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix to the bond a certified and current copy of the power of attorney.

ARTICLE 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

§ 8.1 Copies of the proposed Contract Documents have been made available to the Bidder and consist of the following documents:

1. AIA Document A101™-2017, Standard Form of Agreement Between Owner and Contractor, unless otherwise stated below.
(Insert the complete AIA Document number, including year, and Document title.)



2. AIA Document A101™-2017, Exhibit A, Insurance and Bonds, unless otherwise stated below.
(Insert the complete AIA Document number, including year, and Document title.)



3. AIA Document A201™-2017, General Conditions of the Contract for Construction, unless otherwise stated below.
(Insert the complete AIA Document number, including year, and Document title.)



- .4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

(Insert the date of the E203-2013.)



- .5 Drawings

Number	Title	Date

- .6 Specifications

Section	Title	Date	Pages

- .7 Addenda:

Number	Date	Pages

- .8 Other Exhibits:

(Check all boxes that apply and include appropriate information identifying the exhibit where required.)

- ☐ AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:
(Insert the date of the E204-2017.)



- ☐ The Sustainability Plan:

Title	Date	Pages

- ☐ Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

- .9 Other documents listed below:

(List here any additional documents that are intended to form part of the Proposed Contract Documents.)

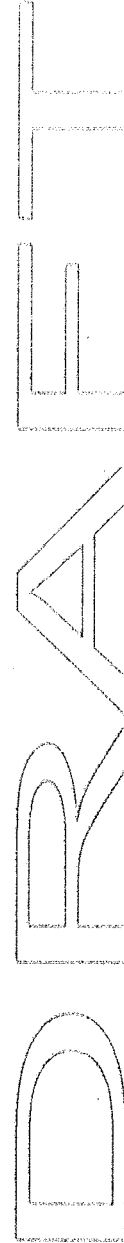


ARTICLE 9: NEWFORMA REQUIREMENTS

9.1 After notification of selection for the award of the Contract, the Bidder shall be required to use the Newforma Info Exchange for the transfer of Submittals, Shop Drawings and RFI's. There will be no exceptions to this requirement. The contractor will be given a Login and Password free of charge.

ARTICLE 10: TAXES

10.1 The Owner is an organization, which is exempt from New York State and Local Sales and Use Taxes. Materials purchased for use in fulfilling this Contract will be exempt from New York Sales Tax. The Owner will provide the Contractor with a completed Form ST-119.1, Exempt Organization Certification. The Contractor shall present a copy of this Form and a completed Form ST-120.1, Contractor Exempt Purchase Certificate, to each supplier. Should sales tax be assessed, the Owner agrees that the Contract Sum shall be increased by the full amount of such assessment.



SECTION 003126 - EXISTING HAZARDOUS MATERIAL INFORMATION

1.1 EXISTING HAZARDOUS MATERIAL INFORMATION

- A. This Section with its referenced attachments is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of Bidders' own investigations. They are made available for Bidders' convenience and information but are not a warranty of existing conditions. This Document and its attachments are not part of the Contract Documents.
- B. A limited asbestos report for the Project, prepared by AECC Environmental Consulting, dated December 5 2015, is appended to this specification
- C. Related Requirements:
 - 1. Section 002000 "Instructions to Bidders" for the Bidder's responsibilities for examination of Project site and existing conditions.
 - 2. Section 024119 "Selective Structure Demolition" for notification requirements if materials suspected of containing hazardous materials are encountered.

END OF SECTION 003126

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LIMITED HAZARDOUS MATERIAL PRE-RENOVATION SURVEY REPORT

Baker Victory Services
Day Treatment Building
650 Ridge Road
Lackawanna, New York 14218

Prepared for:

Baker Victory Services
790 Ridge Road
Lackawanna, New York 14218

Prepared by:

Asbestos & Environmental Consulting Corporation (AECC)
1325 Millersport Highway, Suite 210
Amherst, New York 14221



December 22, 2015

Mr. Lee Kellaway
Facilities Maintenance
Baker Victory Services
790 Ridge Road
Lackawanna, New York 14218

**RE: Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building
650 Ridge Road, Lackawanna, New York 14218
AECC Project Number: 15-307**

Dear Mr. Kellaway:

The Asbestos & Environmental Consulting Corporation (AECC) performed a limited hazardous material pre-renovation survey at the Baker Victory Services (BVS) Day Treatment Building, located at 650 Ridge Road, in Lackawanna, New York. The survey was performed in anticipation of an upcoming capital improvement project. The following tables and summary explain the results:

ASBESTOS PRE-RENOVATION SURVEY

The asbestos bulk samples were collected by Mr. Jeffrey Macklem, a New York State Department of Labor (NYSDOL)-certified Asbestos Building Inspector. The following building materials were collected, labeled, and shipped to AmeriSci New York for laboratory analysis:

Table 1 – Asbestos Bulk Sampling Summary

SAMPLE NUMBER	MATERIAL DESCRIPTION	SAMPLE LOCATION(S)	ASBESTOS CONTENT
FT-001A,B	12"x12" Floor Tile (Light Gray, Mottled Pattern)	Room #205 / Cafeteria	NAD
FTMAS-002A,B	Floor Tile Mastic (Black)	Room #205 / Cafeteria	NAD
CB-003A,B	Cove Base (Dark Brown)	Room #205 / Cafeteria	NAD
CBM-004A,B	Cove Base Mastic (Tan)	Room #205 / Cafeteria	NAD
SHR-005A,B	Sheetrock (White)	Room #205 / Room #202	NAD
JC-006A,B	Joint Compound (White)	Room #205 / Room #202	NAD

Mr. Lee Kellaway
 Baker Victory Services
Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building

Table 1 – Asbestos Bulk Sampling Summary

SAMPLE NUMBER	MATERIAL DESCRIPTION	SAMPLE LOCATION(S)	ASBESTOS CONTENT
DWG-007A,B	Door Window Glazing Compound (Gray)	Room #202 / Room #201	NAD
ACT-008A,B	2'x4' Acoustical Ceiling Tile (Omni Pattern)	2 nd Floor - Hallway	NAD
FT-009A,B	12"x12" Floor Tile (Yellow)	Room #208A	NAD
FTMAS-010A,B	Floor Tile Mastic (Brown)	Room #208A	TRACE
CBM-011A,B	Cove Base Mastic (Cream)	Room #208A	NAD
CBC-012A,B	Cove Base Caulk (Off-White)	Room #208A	NAD
WPM-013A,B	Wall Panel Mastic (Light Tan)	Room #208A	NAD
FT-014A,B	12"x12" Floor Tile (Orange)	Room #208B	NAD
FT-015A,B	12"x12" Floor Tile (Blue)	Room #209	NAD
DMAS-016A,B	Duct Mastic (Tan)	2 nd Floor Utility Room	NAD
CPTMAS-017A,B	Carpet Mastic (Yellow)	Room #210 / Room #103	NAD
CB-018A,B	6" Cove Base (Black)	Room #210	NAD
CBM-019A,B	Cove Base Mastic (Tan)	Room #210	NAD
CTG-020A,B	Ceramic Wall Tile Grout (White)	2 nd Floor – Men's & Women's Bathrooms	NAD
CTG-021A,B	Ceramic Floor Tile Grout (Gray)	2 nd Floor – Men's & Women's Bathrooms	NAD
CTM-022A,B	Ceramic Wall Tile Mastic	2 nd Floor – Women's Bathroom	NAD
SC-023A,B	Sink Caulk (White)	2 nd Floor – Men's Bathrooms	NAD
ACT-024A,B	2'x4' Acoustical Ceiling Tile (Omni Pattern)	1 st Floor – Cafeteria / Storage	NAD
SHR-025A,B	Sheetrock	1 st Floor – Cafeteria / Storage	NAD
JC-026A,B	Joint Compound	1 st Floor – Cafeteria / Storage	NAD
FT-027A,B	12"x12" Floor Tile (Pink, Mottled Pattern)	1 st Floor – DTX Help Center	NAD
FTMAS-028A,B	Floor Tile Mastic (Yellow)	1 st Floor – DTX Help Center	NAD
CTG-029A,B	Ceramic Floor Tile Grout (Gray)	1 st Floor – Entrance / Lobby	NAD

Mr. Lee Kellaway
 Baker Victory Services
Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building

Table 1 – Asbestos Bulk Sampling Summary

SAMPLE NUMBER	MATERIAL DESCRIPTION	SAMPLE LOCATION(S)	ASBESTOS CONTENT
CB-030A,B	Cove Base (Black)	Room #103	NAD
CBM-031A,B	Cove Base Mastic (Tan)	Room #103	NAD
PLAST-032A,B,C	Wall Plaster (Gray)	Room #103	NAD
STT-033A,B	Stair Tread Tile (Gray, Mottled Pattern)	North Stairwell	NAD
FT-034A,B	Floor Tile (Gray/Brown)	Conference Room	NAD
FT-035A,B	12"x12" Floor Tile (Red)	Conference Room	NAD
LVC-036A,B	Floor Leveling Compound (White)	Conference Room	NAD
ACT-037A,B	2'x4' Acoustical Ceiling Tile (Grooved Pattern)	Conference Room	NAD
CPTMAS-038A,B	Carpet Mastic (Yellow)	Room G02 / Room G16	NAD
FT-039A,B	12"x12" Floor Tile (Gray)	Records Room #2	NAD
FT-040A,B	12"x12" Floor Tile (Beige)	Records Room #2	NAD
FT-041A,B	12"x12" Floor Tile (Green, Mottled Pattern)	Staff Kitchen	NAD
FT-042A,B	Floor Tile (White)	Staff Kitchen	NAD
FT-043A,B	12"x12" Floor Tile (Black)	Staff Kitchen	NAD
FTMAS-044A,B	Floor Tile Mastic (Black)	Staff Kitchen	NAD
TSI-045A,B,C	Thermal System Insulation (Gray)	Staff Kitchen	NAD
FT-046A,B	12"x12" Floor Tile (Gray/White, Mottled Pattern)	Hallway Outside Staff Kitchen	NAD
FTMAS-047A,B	Floor Tile Mastic (Gray)	Hallway Outside Staff Kitchen	NAD
SFP-048A-E	Sprayed-On Fireproofing	Air Handling Room / Boiler Room	NAD
BINS-049A,B,C	Boiler Insulation	Air Handling Room / Boiler Room	NAD

Table Notes:

NAD = No Asbestos Detected

TRACE = Less than 0.25% Asbestos

Mr. Lee Kellaway
Baker Victory Services
Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building

The following presumed asbestos-containing materials (PACMs) were discovered during the asbestos pre-renovation survey:

Table 2 – Approximate Quantity of PACMs

BUILDING MATERIAL	MATERIAL LOCATION	ESTIMATED QUANTITY	MATERIAL CONDITION
Boiler Unit (PACM)	Boiler Room - Boiler	NA	NA

Table Notes:

NA = Not Assessed / Not Quantified, Due to the Inaccessibility of the Material

Asbestos Bulk Sample Summary – By regulatory definition, a building material must be greater than one percent (1%) asbestos to be considered an ACM. During this survey, the building materials that were sampled are not ACMs, as determined by laboratory analysis. Due to its operational status, the boiler unit was designated as a PACM by AECC's field personnel. According to State and Federal laws, ACMs and PACMs must be handled and disposed of by a licensed abatement contractor prior to any renovation or demolition-related activities. The laboratory results have been included in Attachment B of this report.

OSHA Compliance – It should be noted that the Occupational Safety & Health Administration (OSHA) Asbestos Standard (29 CFR 1926.1101) has a definition for both "asbestos" and "asbestos-containing material." Under OSHA Asbestos Standard, the definition of asbestos covers all materials containing any concentration of detected asbestos, including those with concentrations less than or equal to one percent asbestos (i.e. the brown floor tile mastic tested during this survey). Although work operations conducted in areas where a material contains less than or equal to one percent asbestos is an "unclassified" operation, the employer still must follow the requirements of 29 CFR 1926.1101(g)(1) [except (g)(1)(i)], (g)(2) and (g)(3) that describe engineering and work practice controls operations to prevent unnecessary asbestos exposures to their employees (worker protection regulations).

Transmittal of Building/Structure Asbestos Survey Information – As required by New York State Industrial Code Rule 56, one copy of the results of the building/structure asbestos survey shall be immediately transmitted by the building/structure owner as follows:

1. One (1) copy of the completed asbestos survey shall be sent by the owner or their agent to the local entity charged with issuing a permit for such demolition, renovation, remodeling or repair work under state or local laws.
2. One (1) copy of the completed asbestos survey shall be kept on the construction site with the asbestos notification and variance, if required, throughout the duration of the asbestos project and any associated demolition, renovation, remodeling or repair project.

CAULK SAMPLING FOR PCBs

The following representative caulk applications were sampled, labeled, and shipped to Schneider Laboratories Global, Inc. for polychlorinated biphenyls (PCB) analysis:

Mr. Lee Kellaway
Baker Victory Services
Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building

Table 3 – PCB Bulk Sampling Summary

SAMPLE NUMBER	MATERIAL DESCRIPTION	SAMPLE LOCATION	PCB CONTENT
CBC-012P	Cove Base Caulk (Cream)	Room #208A	BQL
SC-023P	Sink Caulk (White)	2 nd Floor – Men's Bathroom	BQL

Table Notes:

BQL = Below Quantification Limit

PCB Bulk Sample Summary – By regulatory definition, bulk samples are considered PCB-containing at 50 parts per million (ppm). The bulk samples collected during this sampling event are not considered PCB-containing bulk materials, as determined by laboratory analysis. The sample results of the PCB bulk sample testing have been included in Attachment C of this report.

LEAD-BASED PAINT INSPECTION

AECC's subcontractor, EcoSpect, Inc. (EcoSpect), performed a limited lead-based paint (LBP) inspection of the interior of the building. During the course of the inspection, LBP was identified in metal balusters and newel posts. Please reference EcoSpect's *Lead-Based Paint XRF Testing Report* (dated December 17, 2015) for additional details pertaining to the investigation techniques, sampling methodologies, and results of the LBP inspection (Attachment D).

MISCELLANEOUS HAZARDOUS / SPECIAL WASTE INVENTORY

The following items were observed during AECC investigation and presumed to contain the specified hazardous / special wastes in the table below:

Table 4 – Miscellaneous Hazardous / Special Waste Inventory

MISCELLANEOUS ITEM	ITEM LOCATION	ESTIMATED COUNT	PRESUMED WASTE	ITEM CONDITION
In-Service Fluorescent Light Bulbs	Throughout Building	725	Mercury & Lead	Intact
In-Service Light Ballasts	Throughout Building	320	PCBs	Intact
Exit Signs (Fluorescent Bulbs and Lead Batteries)	Throughout Building	20	Mercury & Lead	Intact
Thermostats	Throughout	8	Mercury	Intact
Stored Various Cleaners, Solvents, Paints, Etc.	Throughout	100	Volatile Organic Compounds	Intact
Emergency Flood Lights	Throughout	25	Lead	Intact

Mr. Lee Kellaway
Baker Victory Services
Limited Hazardous Material Pre-Renovation Survey Report
Baker Victory Services – Day Treatment Building

Miscellaneous Hazardous / Special Wastes Summary – Additional investigation into the status of these materials may be performed to prove that hazardous materials are not present. However, without conducting this additional investigation, these materials must be presumed to contain potentially hazardous materials and handled / disposed of in accordance with state and federal regulations.

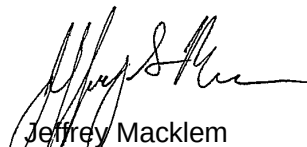
Project Limitations – As per discussions with the Client, the following tasks were not performed during this survey:

- Roofing investigation and/or sampling
- Fire door investigation and/or sampling
- Investigation into inaccessible areas (i.e. wall chases, wall cavities, inside boilers)
- Investigation into block walls for insulation / vermiculite
- Invasive / destructive floor, wall, or ceiling coring

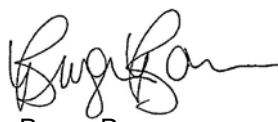
Report Note – In the event that other building materials (materials not specifically identified in this report) are identified during the course of the project, the materials shall be treated as potentially hazardous materials until examined by a qualified environmental professional and laboratory analysis proves otherwise.

If you have any questions pertaining to this report, please contact me directly at (716) 204-8377.

Sincerely,
Asbestos & Environmental Consulting Corporation



Jeffrey Macklem
Project Manager



Bryan Bowers
President / Owner

Attachment A: AECC Company License and Personnel Certifications
Attachment B: Asbestos Bulk Sample Laboratory Results
Attachment C: PCB Bulk Sample Laboratory Results
Attachment D: EcoSpect Inc. Lead-Based Paint XRF Testing Report
Attachment E: Figures 1 - 3

ATTACHMENT A

AECC COMPANY LICENSE AND PERSONNEL CERTIFICATIONS

New York State – Department of Labor

Division of Safety and Health
License and Certificate Unit
State Campus, Building 12
Albany, NY 12240

ASBESTOS HANDLING LICENSE

Asbestos & Environmental Consulting Corporation
6308 Fly Road
E. Syracuse, NY 13057

FILE NUMBER: 09-42909
LICENSE NUMBER: 42909
LICENSE CLASS: RESTRICTED
DATE OF ISSUE: 02/12/2015
EXPIRATION DATE: 02/29/2016

Duly Authorized Representative – Bryan Bowers:

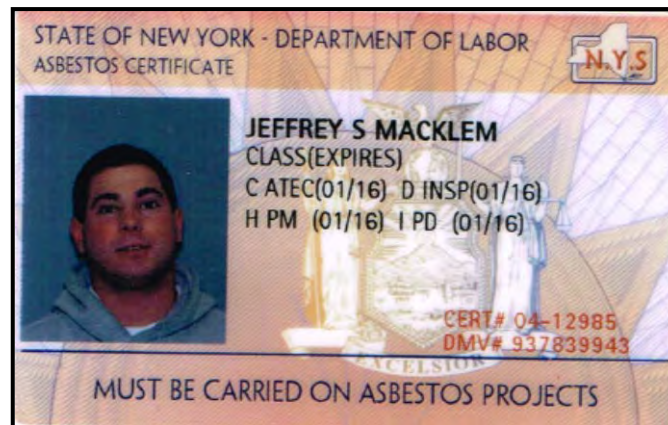
This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.



Eileen M. Franko, Director
For the Commissioner of Labor

ASBESTOS CERTIFICATION



The following letter codes (as shown on the handling certificate) represent the corresponding asbestos classifications.

A – Asbestos Handler	D – Asbestos Inspector	G – Asbestos Supervisor
B – Allied Trades	E – Management Planner	H – Asbestos Project Monitor
C – Air sampling Technician	F – Operations & Maintenance	I – Asbestos Project Designer

ATTACHMENT B

ASBESTOS BULK SAMPLE LABORATORY RESULTS

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
01	FT-001A	1	0.302	18.5	78.5	3.0	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - 12 X 12 Lt. Gray Mottled Fl. Tile								
02	FT-001B	1	0.338	18.9	74.9	6.2	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - 12 X 12 Lt. Gray Mottled Fl. Tile								
03	FTMAS-002A	2	0.084	89.3	2.4	8.3	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Black Fl. Tile Mastic								
04	FTMAS-002B	2	0.268	26.1	31.0	42.9	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Black Fl. Tile Mastic								
05	CB-003A	3	0.230	35.7	58.7	5.7	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Dark Brown Cove Base								
06	CB-003B	3	0.260	35.4	58.8	5.8	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Dark Brown Cove Base								
07	CBM-004A	4	0.123	52.8	10.6	36.6	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Tan Cove Base Mastic								
08	CBM-004B	4	0.124	53.2	8.1	38.7	NAD	NAD
Location: 2nd Fl. Rm. #205 / Cafeteria - Tan Cove Base Mastic								
09	SHR-005A	5	----	----	----	----	NAD	NA
Location: 2nd Fl. Rm. #205 / Rm. #202 - White Sheetrock								
10	SHR-005B	5	----	----	----	----	NAD	NA
Location: 2nd Fl. Rm. #205 / Rm. #202 - White Sheetrock								
11	JC-006A	6	----	----	----	----	NAD	NA
Location: 2nd Fl. Rm. #205 / Rm. #202 - White Joint Compound								
12	JC-006B	6	----	----	----	----	NAD	NA
Location: 2nd Fl. Rm. #205 / Rm. #202 - White Joint Compound								
13	DWG-007A	7	0.150	42.0	16.7	41.3	NAD	NAD
Location: 2nd Fl. Rms. #202 & #201 - Gray Door Window Glaze								
14	DWG-007B	7	0.153	56.9	38.6	4.6	NAD	NAD
Location: 2nd Fl. Rms. #202 & #201 - Gray Door Window Glaze								
15	ACT-008A	8	0.233	18.0	49.8	32.2	NAD	NAD
Location: 2nd Fl. Hallway - 2 X 4 Omni Ceiling Tile								
16	ACT-008B	8	0.239	17.6	50.2	32.2	NAD	NAD
Location: 2nd Fl. Hallway - 2 X 4 Omni Ceiling Tile								

See Reporting notes on last page

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
17	FT-009A	9	0.277	15.9	82.3	1.8	NAD	NAD
Location: 2nd Fl. Rm. #208A - 12 X 12 Yellow Fl. Tile								
18	FT-009B	9	0.281	16.0	80.8	3.2	NAD	NAD
Location: 2nd Fl. Rm. #208A - 12 X 12 Yellow Fl. Tile								
19	FTMAS-010A	10	0.220	43.6	48.2	8.1	Chrysotile <0.25	Chrysotile Trace
Location: 2nd Fl. Rm. #208A - Brown Fl. Tile Mastic								
20	FTMAS-010B	10	0.242	41.7	50.4	7.8	Chrysotile <0.25	Chrysotile Trace
Location: 2nd Fl. Rm. #208A - Brown Fl. Tile Mastic								
21	CBM-011A	11	0.210	53.8	44.3	1.9	NAD	NAD
Location: 2nd Fl. Rm. #208A - Cream Cove Base Mastic (On Wood)								
22	CBM-011B	11	0.171	57.9	38.0	4.1	NAD	NAD
Location: 2nd Fl. Rm. #208A - Cream Cove Base Mastic (On Wood)								
23	CBC-012A	12	0.101	55.4	43.6	1.0	NAD	NAD
Location: 2nd Fl. Rm. #208A - Off White Cove Base Caulk								
24	CBC-012B	12	0.130	57.7	41.5	0.8	NAD	NAD
Location: 2nd Fl. Rm. #208A - Off White Cove Base Caulk								
25	WPM-013A	13	0.139	43.2	38.8	18.0	NAD	NAD
Location: 2nd Fl. Rm. #208A - Lt. Tan Wall Panel Mastic								
26	WPM-013B	13	0.144	43.8	52.1	4.2	NAD	NAD
Location: 2nd Fl. Rm. #208A - Lt. Tan Wall Panel Mastic								
27	FT-014A	14	0.256	14.5	77.3	8.2	NAD	NAD
Location: 2nd Fl. Rm. #208B - 12 X 12 Orange Fl. Tile								
28	FT-014B	14	0.288	14.9	84.0	1.0	NAD	NAD
Location: 2nd Fl. Rm. #208B - 12 X 12 Orange Fl. Tile								
29	FT-015A	15	0.265	15.1	84.2	0.8	NAD	NAD
Location: 2nd Fl. Rm. #209 - 12 X 12 Blue Fl. Tile								
30	FT-015B	15	0.266	13.9	85.0	1.1	NAD	NAD
Location: 2nd Fl. Rm. #209 - 12 X 12 Blue Fl. Tile								
31	DMAS-016A	16	0.181	56.9	5.5	37.6	NAD	NAD
Location: 2nd Fl. Utility Room - Tan Duct Mastic								
32	DMAS-016B	16	0.167	57.5	5.4	37.1	NAD	NAD
Location: 2nd Fl. Utility Room - Tan Duct Mastic								

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
33	CPTMAS-017A	17	0.277	45.1	5.1	49.8	NAD	NAD
Location: 2nd Fl. Rm. #210 / 1st Fl. Nurse Office Rm. #103 - Yellow Carpet Mastic								
34	CPTMAS-017B	17	0.263	59.3	5.3	35.4	NAD	NAD
Location: 2nd Fl. Rm. #210 / 1st Fl. Nurse Office Rm. #103 - Yellow Carpet Mastic								
35	CB-018A	18	0.186	52.7	46.2	1.1	NAD	NAD
Location: 2nd Fl. Rm. #210 - Black 6" Cove Base								
36	CB-018B	18	0.202	53.0	46.5	0.5	NAD	NAD
Location: 2nd Fl. Rm. #210 - Black 6" Cove Base								
37	CBM-019A	19	0.146	54.1	40.4	5.5	NAD	NAD
Location: 2nd Fl. Rm. #210 - Tan Cove Base Mastic								
38	CBM-019B	19	0.179	53.6	41.9	4.5	NAD	NAD
Location: 2nd Fl. Rm. #210 - Tan Cove Base Mastic								
39	CTG-020A	20	----	----	----	----	NAD	NA
Location: 2nd LF. Men & Women Bathroom - White Ceramic Wall Tile Grout								
40	CTG-020B	20	----	----	----	----	NAD	NA
Location: 2nd LF. Men & Women Bathroom - White Ceramic Wall Tile Grout								
41	CTG-021A	21	----	----	----	----	NAD	NA
Location: 2nd LF. Men & Women Bathroom - Gray Ceramic Fl. Tile Grout								
42	CTG-021B	21	----	----	----	----	NAD	NA
Location: 2nd LF. Men & Women Bathroom - Gray Ceramic Fl. Tile Grout								
43	CTM-022A	22	0.205	39.0	53.7	7.3	NAD	NAD
Location: 2nd Fl. Women's Rm. - Ceramic Wall Tile Mastic								
44	CTM-022B	22	0.165	35.8	57.0	7.3	NAD	NAD
Location: 2nd Fl. Women's Rm. - Ceramic Wall Tile Mastic								
45	SC-023A	23	0.075	70.7	9.3	20.0	NAD	NAD
Location: 2nd Fl. Men's Rm. - White Sink Caulk								
46	SC-023B	23	0.116	80.2	2.6	17.2	NAD	NAD
Location: 2nd Fl. Men's Rm. - White Sink Caulk								
47	ACT-024A	24	0.292	16.1	51.4	32.5	NAD	NAD
Location: 1st Fl., Cafeteria / Pantry / Storage - 2 X 4 Omni Ceiling Tile								
48	ACT-024B	24	0.114	22.8	36.8	40.4	NAD	NAD
Location: 1st Fl., Cafeteria / Pantry / Storage - 2 X 4 Omni Ceiling Tile								

See Reporting notes on last page

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
49	SHR-025A	25	----	----	----	----	NAD	NA
Location: 1st Fl., Cafeteria / Pantry / Storage - Sheetrock								
50	SHR-025B	25	----	----	----	----	NAD	NA
Location: 1st Fl., Cafeteria / Pantry / Storage - Sheetrock								
51	JC-026A	26	----	----	----	----	NAD	NA
Location: 1st Fl., Cafeteria / Pantry / Storage - Joint Compound								
52	JC-026B	26	----	----	----	----	NAD	NA
Location: 1st Fl., Cafeteria / Pantry / Storage - Joint Compound								
53	FT-027A	27	0.284	20.4	70.1	9.5	NAD	NAD
Location: 1st Fl. DTX Help Center - 12 X 12 Pink Mottled Fl. Tile								
54	FT-027B	27	0.257	17.5	66.9	15.6	NAD	NAD
Location: 1st Fl. DTX Help Center - 12 X 12 Pink Mottled Fl. Tile								
55	FTMAS-028A	28	0.185	81.1	11.9	7.0	NAD	NAD
Location: 1st Fl. DTX Help Center - Yellow Fl. Tile Mastic								
56	FTMAS-028B	28	0.175	78.9	12.0	9.1	NAD	NAD
Location: 1st Fl. DTX Help Center - Yellow Fl. Tile Mastic								
57	CTG-029A	29	----	----	----	----	NAD	NA
Location: 1st Fl. Entrance / Lobby - Gray Ceramic Fl. Tile Grout								
58	CTG-029B	29	----	----	----	----	NAD	NA
Location: 1st Fl. Entrance / Lobby - Gray Ceramic Fl. Tile Grout								
59	CB-030A	30	0.191	48.7	46.1	5.2	NAD	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Black Cove Base								
60	CB-030B	30	0.185	49.7	46.5	3.8	NAD	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Black Cove Base								
61	CBM-031A	31	0.133	45.1	46.6	8.3	NAD	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Tan Mastic, Cove Base								
62	CBM-031B	31	0.079	45.6	48.1	6.3	NAD	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Tan Mastic, Cove Base								
63	PLAST-032A	32	----	----	----	----	NAD	NA
Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray								
64	PLAST-032B	32	----	----	----	----	NAD	NA
Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray								

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
65	PLAST-032C	32	----	----	----	----	NAD	NA
	Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray							
66	STT-033A	33	0.263	28.5	45.6	25.9	NAD	NAD
	Location: North Stairwell - Gray Mottled Stair Tread Tile							
67	STT-033B	33	0.185	29.2	44.9	25.9	NAD	NAD
	Location: North Stairwell - Gray Mottled Stair Tread Tile							
68	FT-034A	34	0.195	22.1	70.3	7.7	NAD	NAD
	Location: Conf. Room - Gray / Brown Fl. Tile (Under Red 12 X 12)							
69	FT-034B	34	0.216	18.1	24.1	57.9	NAD	NAD
	Location: Conf. Room - Gray / Brown Fl. Tile (Under Red 12 X 12)							
70	FT-035A	35	0.224	14.7	83.9	1.3	NAD	NAD
	Location: Conf. Room - Red 12 X 12 Fl. Tile (Top Layer)							
71	FT-035B	35	0.249	14.5	84.7	0.8	NAD	NAD
	Location: Conf. Room - Red 12 X 12 Fl. Tile (Top Layer)							
72	LVC-036A	36	----	----	----	----	NAD	NA
	Location: Conf. Room - Leveling Compound (Floor) White							
73	LVC-036B	36	----	----	----	----	NAD	NA
	Location: Conf. Room - Leveling Compound (Floor) White							
74	ACT-037A	37	0.253	17.0	35.6	47.4	NAD	NAD
	Location: Conf. Room - 2 X 4 Grooved Ceiling Tile							
75	ACT-037B	37	0.248	15.7	44.4	39.9	NAD	NAD
	Location: Conf. Room - 2 X 4 Grooved Ceiling Tile							
76	CPTMAS-038A	38	0.244	53.7	27.0	19.3	NAD	NAD
	Location: Rooms G02 & G16 - Yellow Carpet Mastic							
77	CPTMAS-038B	38	0.199	53.3	8.5	38.2	NAD	NAD
	Location: Rooms G02 & G16 - Yellow Carpet Mastic							
78	FT-039A	39	0.328	16.8	36.0	47.3	NAD	NAD
	Location: Records Room #2 - 12 X 12 Gray Fl. Tile							
79	FT-039B	39	0.268	17.9	72.4	9.7	NAD	NAD
	Location: Records Room #2 - 12 X 12 Gray Fl. Tile							
80	FT-040A	40	0.319	13.2	83.7	3.1	NAD	NAD
	Location: Records Room #2 - 12 X 12 Beige Fl. Tile							

See Reporting notes on last page

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
81	FT-040B	40	0.254	13.4	84.3	2.4	NAD	NAD
	Location: Records Room #2 - 12 X 12 Beige Fl. Tile							
82	FT-041A	41	0.291	23.7	66.7	9.6	NAD	NAD
	Location: Staff Kitchen - 12 X 12 Green Mottled Fl. Tile (Top Layer)							
83	FT-041B	41	0.218	22.5	72.0	5.5	NAD	NAD
	Location: Staff Kitchen - 12 X 12 Green Mottled Fl. Tile (Top Layer)							
84	FT-042A	42	0.249	16.5	74.7	8.8	NAD	NAD
	Location: Staff Kitchen - Fl. Tile White							
85	FT-042B	42	0.272	16.9	73.9	9.2	NAD	NAD
	Location: Staff Kitchen - Fl. Tile White							
86	FT-043A	43	0.260	23.1	66.9	10.0	NAD	NAD
	Location: Staff Kitchen - Black 12 X 12 Fl. Tile Border							
87	FT-043B	43	0.303	21.1	69.6	9.2	NAD	NAD
	Location: Staff Kitchen - Black 12 X 12 Fl. Tile Border							
88	FTMAS-044A	44	0.099	65.7	28.3	6.1	NAD	NAD
	Location: Staff Kitchen - Black Fl. Tile Mastic							
89	FTMAS-044B	44	0.128	57.8	31.3	10.9	NAD	NAD
	Location: Staff Kitchen - Black Fl. Tile Mastic							
90	TSI-045A	45	----	----	----	----	NAD	NA
	Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation							
91	TSI-045B	45	----	----	----	----	NAD	NA
	Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation							
92	TSI-045C	45	----	----	----	----	NAD	NA
	Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation							
93	FT-046A	46	0.252	13.5	83.3	3.2	NAD	NAD
	Location: Hallway Outside Staff Kitchen - 12 X 12 Fl. Tile Gray & White Mottled							
94	FT-046B	46	0.252	14.3	81.3	4.4	NAD	NAD
	Location: Hallway Outside Staff Kitchen - 12 X 12 Fl. Tile Gray & White Mottled							
95	FTMAS-047A	47	0.164	23.2	61.6	15.2	NAD	NAD
	Location: Hallway Outside Staff Kitchen - Gray Fl. Tile Mastic							
96	FTMAS-047B	47	0.221	23.5	62.9	13.6	NAD	NAD
	Location: Hallway Outside Staff Kitchen - Gray Fl. Tile Mastic							

See Reporting notes on last page

Client Name: Asbestos & Environmental Consulting Corp.

Table I
Summary of Bulk Asbestos Analysis Results
 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

AmeriSci Sample #	Client Sample#	HG Area	Sample Weight (gram)	Heat Sensitive Organic %	Acid Soluble Inorganic %	Insoluble Non-Asbestos Inorganic %	** Asbestos % by PLM/DS	** Asbestos % by TEM
97	SFP-048A	48	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing								
98	SFP-048B	48	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing								
99	SFP-048C	48	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing								
100	SFP-048D	48	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing								
101	SFP-048E	48	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing								
102	BINS-049A	49	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct								
103	BINS-049B	49	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct								
104	BINS-049C	49	----	----	----	----	NAD	NA
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct								

Analyzed by: Marik Peysakhov ; Date Analyzed 12/8/2015

**Quantitative Analysis (Semi/Full); Bulk Asbestos Analysis - PLM by EPA 600/M4-82-020 per 40 CFR or ELAP 198.1 for New York friable samples or ELAP 198.6 for New York NOB samples; TEM (Semi/Full) by EPA 600/R-93/116 (not covered by NVLAP Bulk accreditation) or ELAP 198.4; for New York samples; NAD = no asbestos detected during a quantitative analysis; NA = not analyzed; Trace = <1%; Quantitation for beginning weights of <0.1 grams should be considered as qualitative only; Qualitative Analysis: Asbestos analysis results of "Present" or "NVA = No Visible Asbestos" represents results for Qualitative PLM or TEM Analysis only (no accreditation coverage available from any regulatory agency for qualitative analyses); NVLAP 200546-0, NYSDOH ELAP Lab 11480, AIHA Lab 102843.

Warning Note: PLM limitation, only TEM will resolve fibers <0.25 micrometers in diameter. TEM bulk analysis is representative of the fine grained matrix material and may not be representative of non-uniformly dispersed debris for which PLM evaluation is recommended (i.e. soils and other heterogenous materials).

Reviewed By: _____



AmeriSci New York

117 EAST 30TH ST.

NEW YORK, NY 10016

TEL: (212) 679-8600 • FAX: (212) 679-3114

PLM Bulk Asbestos Report

Asbestos & Environmental Consulting C
Attn: Bryan Bowers
6308 Fly Road

East Syracuse, NY 13057

Date Received 12/03/15 AmeriSci Job # 215121351
Date Examined 12/08/15 P.O. #
ELAP # 11480 Page 1 of 19
RE: 15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-001A 1 Location: 2nd Fl. Rm. #205 / Cafeteria - 12 X 12 Lt. Gray Mottled Fl. Tile Analyst Description: Light Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 3 %	215121351-01	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
FT-001B 1 Location: 2nd Fl. Rm. #205 / Cafeteria - 12 X 12 Lt. Gray Mottled Fl. Tile Analyst Description: Light Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 6.2 %	215121351-02	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
FTMAS-002A 2 Location: 2nd Fl. Rm. #205 / Cafeteria - Black Fl. Tile Mastic Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 8.3 %	215121351-03	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
FTMAS-002B 2 Location: 2nd Fl. Rm. #205 / Cafeteria - Black Fl. Tile Mastic Analyst Description: Black/Grey, Heterogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 42.9 % Comment: Looks like a mix of Black Mastic and Leveling Compound (Inseparable-Composite)	215121351-04	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CB-003A 3	215121351-05 Location: 2nd Fl. Rm. #205 / Cafeteria - Dark Brown Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Dark Brown, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 5.7 %			
CB-003B 3	215121351-06 Location: 2nd Fl. Rm. #205 / Cafeteria - Dark Brown Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Dark Brown, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 5.8 %			
CBM-004A 4	215121351-07 Location: 2nd Fl. Rm. #205 / Cafeteria - Tan Cove Base Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 36.6 %			
CBM-004B 4	215121351-08 Location: 2nd Fl. Rm. #205 / Cafeteria - Tan Cove Base Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 38.7 %			
SHR-005A 5	215121351-09 Location: 2nd Fl. Rm. #205 / Rm. #202 - White Sheetrock	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White/Tan, Heterogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose 7 %, Non-fibrous 93 %			
SHR-005B 5	215121351-10 Location: 2nd Fl. Rm. #205 / Rm. #202 - White Sheetrock	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White/Tan, Heterogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose 20 %, Non-fibrous 80 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
JC-006A 6	215121351-11 Location: 2nd Fl. Rm. #205 / Rm. #202 - White Joint Compound	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			
JC-006B 6	215121351-12 Location: 2nd Fl. Rm. #205 / Rm. #202 - White Joint Compound	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			
DWG-007A 7	215121351-13 Location: 2nd Fl. Rms. #202 & #201 - Gray Door Window Glaze	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 41.3 %			
DWG-007B 7	215121351-14 Location: 2nd Fl. Rms. #202 & #201 - Gray Door Window Glaze	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 4.6 %			
ACT-008A 8	215121351-15 Location: 2nd Fl. Hallway - 2 X 4 Omni Ceiling Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass 3 %, Non-fibrous 29.2 %			
ACT-008B 8	215121351-16 Location: 2nd Fl. Hallway - 2 X 4 Omni Ceiling Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass 3 %, Non-fibrous 29.2 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-009A 9	215121351-17 Location: 2nd Fl. Rm. #208A - 12 X 12 Yellow Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1.8 %			
FT-009B 9	215121351-18 Location: 2nd Fl. Rm. #208A - 12 X 12 Yellow Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 3.2 %			
FTMAS-010A 10	215121351-19 Location: 2nd Fl. Rm. #208A - Brown Fl. Tile Mastic	Yes	Trace (<0.25 % pc) ¹ (EPA 400 PC) by Paul J. Mucha on 12/08/15
Analyst Description: Brown, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Chrysotile <0.25 % pc Other Material: Non-fibrous 8.2 %			
FTMAS-010B 10	215121351-20 Location: 2nd Fl. Rm. #208A - Brown Fl. Tile Mastic	Yes	Trace (<0.25 % pc) ¹ (EPA 400 PC) by Paul J. Mucha on 12/08/15
Analyst Description: Brown, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Chrysotile <0.25 % pc Other Material: Non-fibrous 7.9 %			
CBM-011A 11	215121351-21 Location: 2nd Fl. Rm. #208A - Cream Cove Base Mastic (On Wood)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Cream, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1.9 %			
CBM-011B 11	215121351-22 Location: 2nd Fl. Rm. #208A - Cream Cove Base Mastic (On Wood)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Cream, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 4.1 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CBC-012A 12	215121351-23 Location: 2nd Fl. Rm. #208A - Off White Cove Base Caulk	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1 %			
CBC-012B 12	215121351-24 Location: 2nd Fl. Rm. #208A - Off White Cove Base Caulk	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 0.8 %			
WPM-013A 13	215121351-25 Location: 2nd Fl. Rm. #208A - Lt. Tan Wall Panel Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Light Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 18 %			
WPM-013B 13	215121351-26 Location: 2nd Fl. Rm. #208A - Lt. Tan Wall Panel Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Light Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 4.2 %			
FT-014A 14	215121351-27 Location: 2nd Fl. Rm. #208B - 12 X 12 Orange Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Orange, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 8.2 %			
FT-014B 14	215121351-28 Location: 2nd Fl. Rm. #208B - 12 X 12 Orange Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Orange, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1 %			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-015A 15	215121351-29 Location: 2nd Fl. Rm. #209 - 12 X 12 Blue Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Blue, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 0.8 %			
FT-015B 15	215121351-30 Location: 2nd Fl. Rm. #209 - 12 X 12 Blue Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Blue, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1.1 %			
DMAS-016A 16	215121351-31 Location: 2nd Fl. Utility Room - Tan Duct Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 37.6 %			
DMAS-016B 16	215121351-32 Location: 2nd Fl. Utility Room - Tan Duct Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 37.1 %			
CPTMAS-017A 17	215121351-33 Location: 2nd Fl. Rm. #210 / 1st Fl. Nurse Office Rm. #103 - Yellow Carpet Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 49.8 %			
CPTMAS-017B 17	215121351-34 Location: 2nd Fl. Rm. #210 / 1st Fl. Nurse Office Rm. #103 - Yellow Carpet Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 35.4 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CB-018A 18	215121351-35 Location: 2nd Fl. Rm. #210 - Black 6" Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1.1 %			
CB-018B 18	215121351-36 Location: 2nd Fl. Rm. #210 - Black 6" Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 0.5 %			
CBM-019A 19	215121351-37 Location: 2nd Fl. Rm. #210 - Tan Cove Base Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 5.5 %			
CBM-019B 19	215121351-38 Location: 2nd Fl. Rm. #210 - Tan Cove Base Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 4.5 %			
CTG-020A 20	215121351-39 Location: 2nd LF. Men & Women Bathroom - White Ceramic Wall Tile Grout	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Cementitious, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			
CTG-020B 20	215121351-40 Location: 2nd LF. Men & Women Bathroom - White Ceramic Wall Tile Grout	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Cementitious, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CTG-021A 21	215121351-41	No	NAD
Location: 2nd LF. Men & Women Bathroom - Gray Ceramic Fl. Tile Grout			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Synthetic fibers Trace, Non-fibrous 100 %			
CTG-021B 21	215121351-42	No	NAD
Location: 2nd LF. Men & Women Bathroom - Gray Ceramic Fl. Tile Grout			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Synthetic fibers Trace, Non-fibrous 100 %			
CTM-022A 22	215121351-43	No	NAD
Location: 2nd Fl. Women's Rm. - Ceramic Wall Tile Mastic			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 7.3 %			
CTM-022B 22	215121351-44	No	NAD
Location: 2nd Fl. Women's Rm. - Ceramic Wall Tile Mastic			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 7.3 %			
SC-023A 23	215121351-45	No	NAD
Location: 2nd Fl. Men's Rm. - White Sink Caulk			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Fibrous Talc Trace, Non-fibrous 20 %			
SC-023B 23	215121351-46	No	NAD
Location: 2nd Fl. Men's Rm. - White Sink Caulk			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Fibrous Talc Trace, Non-fibrous 17.2 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
ACT-024A 24	215121351-47	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass 2 %, Non-fibrous 30.5 %			
ACT-024B 24	215121351-48	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass Trace, Non-fibrous 40.4 %			
SHR-025A 25	215121351-49	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White/Tan, Heterogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose 7 %, Fibrous glass 3 %, Non-fibrous 90 %			
SHR-025B 25	215121351-50	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose Trace, Fibrous glass 2 %, Synthetic fibers Trace, Non-fibrous 98 %			
JC-026A 26	215121351-51	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White/Tan, Heterogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose 10 %, Fibrous glass 10 %, Non-fibrous 80 % Comment: Joint Compound with Tape/Mesh			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
JC-026B 26	215121351-52 Location: 1st Fl., Cafeteria / Pantry / Storage - Joint Compound	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose Trace, Non-fibrous 100 %			
FT-027A 27	215121351-53 Location: 1st Fl. DTX Help Center - 12 X 12 Pink Mottled Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Pink, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.5 %			
FT-027B 27	215121351-54 Location: 1st Fl. DTX Help Center - 12 X 12 Pink Mottled Fl. Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Pink, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 15.6 %			
FTMAS-028A 28	215121351-55 Location: 1st Fl. DTX Help Center - Yellow Fl. Tile Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 7 %			
FTMAS-028B 28	215121351-56 Location: 1st Fl. DTX Help Center - Yellow Fl. Tile Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.1 %			
CTG-029A 29	215121351-57 Location: 1st Fl. Entrance / Lobby - Gray Ceramic Fl. Tile Grout	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CTG-029B 29	215121351-58 Location: 1st Fl. Entrance / Lobby - Gray Ceramic Fl. Tile Grout	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			
CB-030A 30	215121351-59 Location: 1st Fl. Rm. #103 (Nurse Office) - Black Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 5.2 %			
CB-030B 30	215121351-60 Location: 1st Fl. Rm. #103 (Nurse Office) - Black Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 3.8 %			
CBM-031A 31	215121351-61 Location: 1st Fl. Rm. #103 (Nurse Office) - Tan Mastic, Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 8.3 %			
CBM-031B 31	215121351-62 Location: 1st Fl. Rm. #103 (Nurse Office) - Tan Mastic, Cove Base	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 6.3 %			
PLAST-032A 32	215121351-63 Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Cementitious, Bulk Material Asbestos Types: Other Material: Non-fibrous 100 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
PLAST-032B 32	215121351-64	No	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 100 %			
PLAST-032C 32	215121351-65	No	NAD
Location: 1st Fl. Rm. #103 (Nurse Office) - Wall Plaster, Gray			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 100 %			
STT-033A 33	215121351-66	No	NAD
Location: North Stairwell - Gray Mottled Stair Tread Tile			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 25.9 %			
STT-033B 33	215121351-67	No	NAD
Location: North Stairwell - Gray Mottled Stair Tread Tile			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 25.9 %			
FT-034A 34	215121351-68	No	NAD
Location: Conf. Room - Gray / Brown Fl. Tile (Under Red 12 X 12)			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey/Brown, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 7.7 %			
FT-034B 34	215121351-69	No	NAD
Location: Conf. Room - Gray / Brown Fl. Tile (Under Red 12 X 12)			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey/Brown, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 57.9 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-035A 35	215121351-70 Location: Conf. Room - Red 12 X 12 Fl. Tile (Top Layer)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Red, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 1.3 %			
FT-035B 35	215121351-71 Location: Conf. Room - Red 12 X 12 Fl. Tile (Top Layer)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Red, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 0.8 %			
LVC-036A 36	215121351-72 Location: Conf. Room - Leveling Compound (Floor) White	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose Trace, Non-fibrous 100 %			
LVC-036B 36	215121351-73 Location: Conf. Room - Leveling Compound (Floor) White	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Cellulose Trace, Non-fibrous 100 %			
ACT-037A 37	215121351-74 Location: Conf. Room - 2 X 4 Grooved Ceiling Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass Trace, Non-fibrous 47.4 %			
ACT-037B 37	215121351-75 Location: Conf. Room - 2 X 4 Grooved Ceiling Tile	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White/Grey, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Fibrous glass Trace, Non-fibrous 39.9 %			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
CPTMAS-038A 38	215121351-76	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Rooms G02 & G16 - Yellow Carpet Mastic Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 19.3 %			
CPTMAS-038B 38	215121351-77	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Rooms G02 & G16 - Yellow Carpet Mastic Analyst Description: Yellow, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 38.2 %			
FT-039A 39	215121351-78	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Records Room #2 - 12 X 12 Gray Fl. Tile Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 47.3 %			
FT-039B 39	215121351-79	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Records Room #2 - 12 X 12 Gray Fl. Tile Analyst Description: Grey, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.7 %			
FT-040A 40	215121351-80	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Records Room #2 - 12 X 12 Beige Fl. Tile Analyst Description: Beige, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 3.1 %			
FT-040B 40	215121351-81	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Location: Records Room #2 - 12 X 12 Beige Fl. Tile Analyst Description: Beige, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 2.4 %			

Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-041A 41	215121351-82 Location: Staff Kitchen - 12 X 12 Green Mottled Fl. Tile (Top Layer)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Green, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.6 %			
FT-041B 41	215121351-83 Location: Staff Kitchen - 12 X 12 Green Mottled Fl. Tile (Top Layer)	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Green, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 5.5 %			
FT-042A 42	215121351-84 Location: Staff Kitchen - Fl. Tile White	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 8.8 %			
FT-042B 42	215121351-85 Location: Staff Kitchen - Fl. Tile White	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.2 %			
FT-043A 43	215121351-86 Location: Staff Kitchen - Black 12 X 12 Fl. Tile Border	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 10 %			
FT-043B 43	215121351-87 Location: Staff Kitchen - Black 12 X 12 Fl. Tile Border	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 9.2 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FTMAS-044A 44	215121351-88 Location: Staff Kitchen - Black Fl. Tile Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 6.1 %			
FTMAS-044B 44	215121351-89 Location: Staff Kitchen - Black Fl. Tile Mastic	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Black, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 10.9 %			
TSI-045A 45	215121351-90 Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Animal hair Trace, Cellulose 97 %, Synthetic fibers Trace, Non-fibrous 3 %			
TSI-045B 45	215121351-91 Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Animal hair Trace, Cellulose 97 %, Synthetic fibers Trace, Non-fibrous 3 %			
TSI-045C 45	215121351-92 Location: Staff Kitchen Wall Chase - Gray Thermal System Insulation	No	NAD (by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Tan, Homogeneous, Fibrous, Bulk Material Asbestos Types: Other Material: Animal hair Trace, Cellulose 95 %, Synthetic fibers Trace, Non-fibrous 5 %			
FT-046A 46	215121351-93 Location: Hallway Outside Staff Kitchen - 12 X 12 Fl. Tile Gray & White Mottled	No	NAD (by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey w/ White, Homogeneous, Non-Fibrous, Bulk Material Asbestos Types: Other Material: Non-fibrous 3.2 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
FT-046B 46	215121351-94	No	NAD
Location: Hallway Outside Staff Kitchen - 12 X 12 Fl. Tile Gray & White Mottled			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Grey w/ White, Homogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 4.4 %			
FTMAS-047A 47	215121351-95	No	NAD
Location: Hallway Outside Staff Kitchen - Gray Fl. Tile Mastic			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow/Brown/Black, Heterogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 15.2 %			
FTMAS-047B 47	215121351-96	No	NAD
Location: Hallway Outside Staff Kitchen - Gray Fl. Tile Mastic			(by NYS ELAP 198.6) by Paul J. Mucha on 12/08/15
Analyst Description: Yellow/Brown/Black, Heterogeneous, Non-Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Non-fibrous 13.6 %			
SFP-048A 48	215121351-97	No	NAD
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Fibrous glass 90 %, Non-fibrous 10 %			
SFP-048B 48	215121351-98	No	NAD
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 85 %, Non-fibrous 15 %			
SFP-048C 48	215121351-99	No	NAD
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 85 %, Non-fibrous 15 %			

PLM Bulk Asbestos Report

15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Client No. / HGA	Lab No.	Asbestos Present	Total % Asbestos
SFP-048D 48	215121351-100	No	NAD
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 90 %, Non-fibrous 10 %			
SFP-048E 48	215121351-101	No	NAD
Location: Air Handling Room & Boiler Room - Spray-On Fireproofing			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: Grey, Homogeneous, Fibrous, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 85 %, Non-fibrous 15 %			
BINS-049A 49	215121351-102	No	NAD
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 2 %, Wollastonite Trace, Non-fibrous 98 %			
BINS-049B 49	215121351-103	No	NAD
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass 2 %, Wollastonite Trace, Non-fibrous 98 %			
BINS-049C 49	215121351-104	No	NAD
Location: Air Handling Room & Boiler Room - Boiler Insulation On Duct			(by NYS ELAP 198.1) by Paul J. Mucha on 12/08/15
Analyst Description: OffWhite, Homogeneous, Non-Fibrous, Cementitious, Bulk Material			
Asbestos Types:			
Other Material: Cellulose Trace, Fibrous glass Trace, Wollastonite Trace, Non-fibrous 100 %			

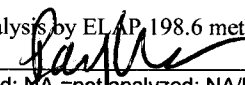
Client Name: Asbestos & Environmental Consulting Corp.

PLM Bulk Asbestos Report

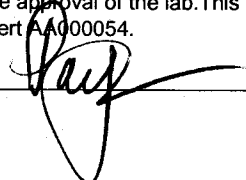
15-307; BVS; 650 Ridge Rd., Lackawanna, NY (DTX Bldg.)

Reporting Notes:

(1) Sample prepared for analysis by ELAP 198.6 method

Analyzed by: Paul J. Mucha 

*NAD/NSD =no asbestos detected; NA =not analyzed; NA/PS=not analyzed/positive stop; PLM Bulk Asbestos Analysis by EPA 600/M4-82-020 per 40 CFR 763 (NVLAP 200546-0), ELAP PLM Method 198.1 for NY friable samples, which includes the identification and quantitation of vermiculite or 198.6 for NOB samples or EPA 400 pt ct by EPA 600/M4-82-020 (NY ELAP Lab 11480); Note:PLM is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials. NAD or Trace results by PLM are inconclusive, TEM is currently the only method that can be used to determine if this material can be considered or treated as non asbestos-containing in NY State (also see EPA Advisory for floor tile, FR 59,146,38970,8/1/94) National Institute of Standards and Technology Accreditation requirements mandate that this report must not be reproduced except in full without the approval of the lab. This PLM report relates ONLY to the items tested. AIHA-LAP, LLC Lab ID 102843, RI Cert AAL-094, CT Cert PH-0186, Mass Cert AA000054.

Reviewed By: 

END OF REPORT

ASBESTOS BULK SAMPLE CHAIN OF CUSTODY

Project Number 15-307
 Client BVS
 Address 650 Ridge Rd
Lackawanna, NY
(DTX Bldg)

AECC Contact Name: Jeff Macklem
 Office Number: 716-204-8377
 Fax Number: 716-204-8378
 Email: Jeff@aeccgroup.com

SAMPLE ID	LOCATION	DESCRIPTION	REPORT RESULTS AS
FT-001A,B	2 nd Fl. Rm #205 / ^{Cafeteria} Rm #202	12x12 Lt. Gray mottled fl. tile	% Asbestos
FTMAS-002A,B	2 nd Fl. Rm #205 / Cafeteria	Black fl. tile mastic	% Asbestos
CB-003A,B		Dark Brown Cove Base	% Asbestos
CBM-004A,B		Tan Cove Base Mastic	% Asbestos
SHR-005A,B	Rm #202	White sheet rock	% Asbestos
JC-006A,B	Rm #202	White joint compound	% Asbestos
DWG-007A,B	2 nd Fl. - Rms #202 + #201	Gray Door Window Glaze	% Asbestos
ACT-008A,B	2 nd Fl. - Hallway	2x4 Omni Ceiling Tile	% Asbestos
FT-009A,B	2 nd Fl. Rm #208A	12x12 Yellow fl. tile	% Asbestos
FTMAS-010A,B	2 nd Fl. Rm #208A	Brown fl. tile mastic	% Asbestos
CBM-011A,B	2 nd Fl. Rm #208A	Cream Cove Base mastic (on wood)	% Asbestos
CBC-012A,B	fl " " "	off white Cove Base Caulk	% Asbestos
WPM-013A,B	" " " "	lt. tan Wall Panel Mastic	% Asbestos
FT-014A,B	2 nd Fl. - Rm #208B	12x12 Orange fl. tile	% Asbestos
FT-015A,B	" " - Rm #209	12x12 Blue fl. tile	% Asbestos
DMAS-016A,B	2 nd Fl. - Utility Room	Tan Dust Mastic	% Asbestos
CPTMAS-017A,B	2 nd Fl. Rm #210 / 1 st Fl. Nurse ^{Rm #103} Att	Yellow Carpet Mastic	% Asbestos
CB-018A,B	2 nd Fl. Rm #210	Black 6" Cove Base	% Asbestos

Analyzing Sequence:

- 1 - Separate layers/mastics for individual analysis, if applicable.
- 2 - Determine method of analysis for PLM (198.1 or 198.6).
- 3 - If the PLM NOB result is equal to or greater than 1% asbestos, testing of material is complete. If the PLM NOB result is less than 1% asbestos, please analyze utilizing TEM.
- 4 - If submitted in series (A, B, C), please stop at first positive
- 5 - Report Results via e-mail

Sample Turnaround Time: 5 Days

Email Jeff@aeccgroup.com Verbal To: Jeff@aeccgroup.com Phone: _____

Sampled By: <u>[Signature]</u>	Date: <u>12/2/15</u>	Time: _____
Shipped By: <u>[Signature]</u>	Date: _____	
Received By Lab: <u>[Signature]</u>	Date: <u>12/3/15</u>	<u>1506</u>
Results e-mailed By: _____	Date: _____	

#215121351

ASBESTOS BULK SAMPLE CHAIN OF CUSTODY

Project Number 15-307
 Client BVS
 Address 650 Ridge Rd
Lockawanna, NY
(DTX Bldg)

AECC Contact Name: Jeff Macklem
 Office Number: 716-204-8377
 Fax Number: 716-204-8378
 Email: Jeff@aeccgroup.com

SAMPLE ID	LOCATION	DESCRIPTION	REPORT RESULTS AS
CBM-019A,B	2 nd fl. Rm # 210	Tan Cove Base mastic	% Asbestos
CTG-020A,B	2 nd fl. - Men's Room Bathroom	White Ceramic Wall Tile Grout	% Asbestos
CTG-021A,B	" " " " "	Gray Ceramic fl. tile Grout	% Asbestos
CTM-022A,B	" " - ~~Men's~~ Women's Rm	Ceramic Wall Tile Mastic	% Asbestos
SC-023A,B	2 nd Fl. - Men's Rm.	White Sink Caulk	% Asbestos
ACT-024A,B	1 st Fl. - Cafeteria/Pantry/Storage	2x4 omni Ceiling Tile	% Asbestos
SHR-025A,B	" " - " / Pantry/Storage Rm	Sheet Rock	% Asbestos
SC-026A,B	" " - " / " " "	Joint compound	% Asbestos
FT-027A,B	1 st Fl. Rm # DTX Help Center	12x12 Pink mottled fl. tile	% Asbestos
FTMAS-028A,B	" " " " " "	Yellow fl. tile Mastic	% Asbestos
CTG-029A,B	1 st Fl. - Entrance/Lobby	Gray Ceramic fl. tile Grout	% Asbestos
CB-030A,B	1 st Fl. - Rm #103 (Nurse office)	Black Cove Base	% Asbestos
CBM-031A,B	" " - " " " "	Tan mastic - Cove Base	% Asbestos
PLAST-032A,B,C	" " " " " "	Wall Plaster - Gray	% Asbestos
STT-033A,B	North Stairwell	Gray mottled stair tread tile	% Asbestos
FT-034A,B	Conf Room	Gray/Brown fl. tile (under Red 12x12)	% Asbestos
FT-035A,B	" "	Red 12x12 fl. tile (top layer)	% Asbestos
LVL-036A,B	" "	Leveling Compound (floor)	White % Asbestos

Analyzing Sequence:

- 1 - Separate layers/mastics for individual analysis, if applicable.
- 2 - Determine method of analysis for PLM (198.1 or 198.6).
- 3 - If the PLM NOB result is equal to or greater than 1% asbestos, testing of material is complete. If the PLM NOB result is less than 1% asbestos, please analyze utilizing TEM.
- 4 - If submitted in series (A, B, C), please stop at first positive
- 5 - Report Results via e-mail

Sample Turnaround Time: 5 Days Email: Jeff@aeccgroup.com Verbal To: Jeff@aeccgroup.com Phone: _____

Sampled By: <u>[Signature]</u>	Date: <u>12/2/15</u>	Time: _____
Shipped By: <u>[Signature]</u>	Date: _____	
Received By Lab: <u>[Signature]</u>	Date: <u>12/3/15</u>	1506
Results e-mailed By: _____	Date: _____	

ASBESTOS BULK SAMPLE CHAIN OF CUSTODY

Project Number 15-307
 Client BVS
 Address 650 Ridge Rd
Lackawanna, NY
(DTX Bldg)

AECC Contact Name: Jeff Macklem
 Office Number: 716-204-8377
 Fax Number: 716-204-8378
 Email: Jeff@aeccgroup.com

SAMPLE ID	LOCATION	DESCRIPTION	REPORT RESULTS AS
ACT-037A,B	Conf Room	Grooved 2x4 Grooved Ceiling Tile	% Asbestos
CPTMAS-038A,B	Rooms G02 + G16	Yellow Carpet Mastic	% Asbestos
FT-039A,B	Records Room #2	12x12 Gray fl. tile	% Asbestos
FT-040A,B	Records Room #2	12x12 Beige fl. tile	% Asbestos
FT-041A,B	Staff Kitchen	12x12 Green mottled fl. tile (Top layer)	% Asbestos
FT-042A,B	" "	fl. tile white	% Asbestos
FT-043A,B	" "	Black 12x12 fl. tile Boarder	% Asbestos
FTMAS-044A,B	" "	Black fl. tile Mastic	% Asbestos
TSI-045A,B,C	" " " Chase	~~White Thermal System Insulation~~	% Asbestos
FT-046A,B	Hallway Outside Staff Kitchen	12x12 fl. tile Gray + white mottled	% Asbestos
FTMAS-047A,B	" " " "	Gray fl. tile Mastic	% Asbestos
SFP-048A,B,C,D	Air Handling Room + Boiler Room	Spray-on Fire Proofing	% Asbestos
BINS-049A,B,C	" "	Boiler Insulation on duct	% Asbestos
			% Asbestos
			% Asbestos
			% Asbestos
			% Asbestos
			% Asbestos
			% Asbestos

Analyzing Sequence:

- 1 - Separate layers/mastics for individual analysis, if applicable.
- 2 - Determine method of analysis for PLM (198.1 or 198.6).
- 3 - If the PLM NOB result is equal to or greater than 1% asbestos, testing of material is complete. If the PLM NOB result is less than 1% asbestos, please analyze utilizing TEM.
- 4 - If submitted in series (A, B, C), please stop at first positive
- 5 - Report Results via e-mail

Sample Turnaround Time: 5 Days Email Jeff@aeccgroup.com Verbal To: Jeff@aeccgroup.com Phone: _____

Sampled By: <u>[Signature]</u>	Date: <u>12/2/15</u>	Time: _____
Shipped By: <u>[Signature]</u>	Date: _____	
Received By Lab: <u>[Signature]</u>	Date: <u>12/3/15</u>	1506
Results e-mailed By: _____	Date: _____	

ATTACHMENT C

PCB BULK SAMPLE LABORATORY RESULTS



Analysis Report

Schneider Laboratories Global, Inc

2512 W. Cary Street • Richmond, Virginia • 23220-5117
804-353-6778 • 800-785-LABS (5227) • Fax 804-359-1475

Customer: Asbestos & Environmental Consulting Corp. (4307)
Address: 6308 Fly Road
East Syracuse, NY 13057

Order #: 152317

Matrix Bulk
Received 12/03/15
Reported 12/08/15

Attn:

Project: 650 Ridge Rd
Location: DTX Bldg
Number: 15-307

PO Number:

Sample ID	Cust. Sample ID	Location	Result	RL*	Units	Analysis Date	Analyst
Parameter		Method					
152317-001	CBC-012P	2nd FL Rm 208A					
Semi-volatile Organic Compounds							
Aroclor - 1016		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1221		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1232		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1242		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1248		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1254		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1260		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1262		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
Aroclor - 1268		SW846 8082A	<711	710	µg/Kg	12/08/15	BRW
PCB - Surrogate Recoveries							
DCB		MI					
TCMX		124%					
152317-002	SC-023P	2nd FL Men's Rm					
Semi-volatile Organic Compounds							
Aroclor - 1016		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1221		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1232		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1242		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1248		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1254		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1260		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1262		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
Aroclor - 1268		SW846 8082A	<1120	1120	µg/Kg	12/08/15	BRW
PCB - Surrogate Recoveries							
DCB		MI					
TCMX		124%					

All internal QC parameters were met. Unusual sample conditions, if any, are described. Surrogate Spike results designated with "D" indicate that the analyte was diluted out. "MI" indicates matrix interference. Concentration and *Reporting Limit (RL) based on areas provided by client. Values are reported to three significant figures. PPM = mg/kg | PPB = µg/kg. The test results reported relate only to the samples submitted.



Analysis Report

Schneider Laboratories Global, Inc

2512 W. Cary Street • Richmond, Virginia • 23220-5117
804-353-6778 • 800-785-LABS (5227) • Fax 804-359-1475

Customer: Asbestos & Environmental Consulting Corp. (4307)
Address: 6308 Fly Road
East Syracuse, NY 13057

Order #: 152317

Matrix Bulk
Received 12/03/15
Reported 12/08/15

Attn:

Project: 650 Ridge Rd
Location: DTX Bldg
Number: 15-307

PO Number:

Sample ID	Cust. Sample ID	Location	Result	RL*	Units	Analysis Date	Analyst
Parameter		Method					

152317-12/08/15 04:14 PM

Reviewed By: **Thoria Nadiem**
Organics Analyst

Certifications

Parameter	Method	Matrix	CT	NY	VA
Aroclor - 1016	SW846 8082A	Bulk	X	X	X
Aroclor - 1221	SW846 8082A	Bulk	X	X	X
Aroclor - 1232	SW846 8082A	Bulk	X	X	X
Aroclor - 1242	SW846 8082A	Bulk	X	X	X
Aroclor - 1248	SW846 8082A	Bulk	X	X	X
Aroclor - 1254	SW846 8082A	Bulk	X	X	X
Aroclor - 1260	SW846 8082A	Bulk	X	X	X
Aroclor - 1262	SW846 8082A	Bulk	X	X	X
Aroclor - 1268	SW846 8082A	Bulk	X	X	X

Key

State	Regulatory Agency - Lab ID	Certificate Number
CT	CT DPH	PH-0118
NY	NYELAP-11413	52362
VA	Virginia DCLS/DEQ - 460135	8040

'X' indicates that the analyte is accredited.

If your state is not listed above, call laboratory for accreditation/certification information.

All internal QC parameters were met. Unusual sample conditions, if any, are described. Surrogate Spike results designated with "D" indicate that the analyte was diluted out. "MI" indicates matrix interference. Concentration and *Reporting Limit (RL) based on areas provided by client. Values are reported to three significant figures. PPM = mg/kg | PPB = µg/kg. The test results reported relate only to the samples submitted.

ATTACHMENT D

ECO SPECT INC. LEAD-BASED PAINT XRF TESTING REPORT



LEAD BASED PAINT SPECIALISTS

Working for a Cleaner & Healthier Environment

Lead-Based Paint XRF Testing Report

Prepared for

Asbestos & Environmental Consulting Corp.
6308 Fly Rd
East Syracuse, NY 13057

Project

Baker Victory Services
Day Treatment Building
Syracuse, NY 14218

Conducted by

EcoSpect, Inc
5760 Route 96
Romulus, NY

December 17, 2015



EcoSpect, Inc.

LEAD BASED PAINT SPECIALISTS

Working for a Cleaner & Healthier Environment

December 17, 2015

Asbestos & Environmental Consulting Corporation
6308 Fly Rd
East Syracuse, NY 13057

Re: Baker Victory Services
Day Treatment Building
XRF Results

Dear Mr. Jeffrey Macklem:

On December 1, 2015, EcoSpect, Inc. conducted representative testing for the presence of lead based paint, as directed by Asbestos & Environmental Consulting Corporation, at the above captioned location.

The instruments were operated with the guidance from the Performance Characteristics Sheets published by the US Department of HUD and the results classified as positive or negative based the HUD action level of 1.00 mg/cm². Results less than 1.00 mg/cm² are considered negative and results greater than 1.00 mg/cm² are considered positive. For renovation purposes, as well as OSHA implications, it should be noted the lead present in levels less than 1.00 mg/cm² could generate dust that exceeds acceptable levels depending on the renovation or demolition being performed. For OSHA purposes, there are no accepted standards other than "zero" for lead content in surfaces that are affected so as to release lead in the form of dust. XRF readings at the lower end of the range (close to zero) are less likely to create toxic situations. XRF readings with negative prefixes correlate to very low lead levels in that particular surface. For conclusive, task oriented results, contractors should follow all applicable OSHA requirements found in regulation 1926.62.

The walls in each space oriented in a clockwise fashion, with wall #1 oriented to the front of the building. Please refer to the building plans for clarification. An "NA" indicates that the room was not accessible during testing, and "NP" indicates that there were no painted surfaces within that space. During the testing procedures, EcoSpect personnel were able to gain access to the designated spaces, and tested all of the selected rooms that were requested.

Project Notes

- N/A

Summary of Positive Findings

Baker Victory Services:

Positive test results for the presence of lead based paint in concentrations equal to or greater than 1.00 mg/cm² are:

Day Treatment Building

STEEL: Balusters (2) Newel Post (2)

If you have any questions regarding this report please feel free to give us a call at any time.

Sincerely Yours,

A handwritten signature in black ink, appearing to read 'D8P6' followed by a horizontal flourish.

Daryl Heffron
NY-R-121052-1
EcoSpect, Inc.

Summary

Summary Analysis						
Comp	Component	Number Tested	Number Pos (%)	Number Neg (%)	Lab Tested	Lab Pos (%)
1	Balusters	2	2 (100%)	0 (0%)	0	0 (0%)
2	Baseboard	2	0 (0%)	2 (100%)	0	0 (0%)
3	Ceiling	16	0 (0%)	16 (100%)	0	0 (0%)
4	Chair Rail	17	0 (0%)	17 (100%)	0	0 (0%)
5	Chalk Board	8	0 (0%)	8 (100%)	0	0 (0%)
6	Cork Board	1	0 (0%)	1 (100%)	0	0 (0%)
7	Door	68	0 (0%)	68 (100%)	0	0 (0%)
8	Door Molding	75	0 (0%)	75 (100%)	0	0 (0%)
9	Ducts	2	0 (0%)	2 (100%)	0	0 (0%)
10	Floor	3	0 (0%)	3 (100%)	0	0 (0%)
11	Newel Post	2	2 (100%)	0 (0%)	0	0 (0%)
12	Radiator	36	0 (0%)	36 (100%)	0	0 (0%)
14	Riser	3	0 (0%)	3 (100%)	0	0 (0%)
14	Stair Rail	2	0 (0%)	2 (100%)	0	0 (0%)
15	Stair	6	0 (0%)	6 (100%)	0	0 (0%)
16	Storm Window	2	0 (0%)	2 (100%)	0	0 (0%)
17	Stringer	3	0 (0%)	3 (100%)	0	0 (0%)
18	Wall	289	0 (0%)	289 (100%)	0	0 (0%)
19	Window Molding	38	0 (0%)	38 (100%)	0	0 (0%)
20	Window Sill	19	0 (0%)	19 (100%)	0	0 (0%)

Daily Calibrations

#	Time	Type	Duration	Units	Res	EScale1	EscleCT	PbL	PbK
1	12/1/2015 9:50	Calibrations	124.84	cps	378.11	4.14	2.46	0.47	0
2	12/1/2015 10:02	Calibrations	19.82	mg / cm ^2				1	0.26
3	12/1/2015 10:02	Calibrations	9.42	mg / cm ^2				1.1	0.25
7	12/1/2015 10:05	Calibrations	20	mg / cm ^2				1	0.4
67	12/1/2015 10:46	Calibrations	8.38	mg / cm ^2				1.1	0.3
71	12/1/2015 10:54	Calibrations	6.36	mg / cm ^2				1	0.28
554	12/1/2015 14:45	Calibrations	19.84	mg / cm ^2				1	0.3
555	12/1/2015 16:02	Calibrations	120.8	cps	380.2	4.14	2.46	0.56	0.01
556	12/1/2015 16:04	Calibrations	19.85	mg / cm ^2				1	0.3
810	12/1/2015 18:33	Calibrations	19.85	mg / cm ^2				1	0.3
811	12/1/2015 18:50	Calibrations	120.8	cps	378.72	4.14	2.44	0.44	0.01
814	12/1/2015 18:53	Calibrations	19.84	mg / cm ^2				1	0.13
957	12/1/2015 20:05	Calibrations	8.14	mg / cm ^2				1.1	0.4
958	12/1/2015 20:08	Calibrations	116.92	cps	383.61	4.14	2.45	0.46	0.01
959	12/1/2015 20:10	Calibrations	19.9	mg / cm ^2				1	0.6
1401	12/1/2015 23:04	Calibrations	8.68	mg / cm ^2				1.1	0.4
1	12/2/2015 8:02	Calibrations	120.82	cps	380.12	4.14	2.45	0.47	0.01
3	12/2/2015 8:05	Calibrations	19.83	mg / cm ^2				1	0.16
4	12/2/2015 8:05	Calibrations	11.42	mg / cm ^2				1.1	0.22
5	12/2/2015 8:06	Calibrations	19.81	mg / cm ^2				1	0.09
187	12/2/2015 9:32	Calibrations	19.84	mg / cm ^2				1	-0.03
188	12/2/2015 9:33	Calibrations	11.71	mg / cm ^2				1.1	0.09
201	12/2/2015 9:53	Calibrations	19.8	mg / cm ^2				1	-0.12
203	12/2/2015 9:55	Calibrations	19.88	mg / cm ^2				1	-0.11
515	12/2/2015 12:17	Calibrations	15.5	mg / cm ^2				1.1	0.5
519	12/2/2015 12:20	Calibrations	20	mg / cm ^2				1	0.7
826	12/2/2015 14:37	Calibrations	8.67	mg / cm ^2				1.1	0.03
827	12/2/2015 15:54	Calibrations	120.77	cps	381.83	4.14	2.45	0.52	0.01
828	12/2/2015 15:56	Calibrations	20	mg / cm ^2				1	0.12
843	12/2/2015 16:04	Calibrations	19.84	mg / cm ^2				1	0.09
844	12/2/2015 16:28	Calibrations	120.76	cps	376.79	4.14	2.46	0.56	0.01
845	12/2/2015 16:30	Calibrations	19.84	mg / cm ^2				1	0.07
1373	12/2/2015 21:02	Calibrations	122.83	cps	382.12	4.14	2.45	0.48	0

EcoSpect, Inc

5760 Route 96, Romulus, NY 14541

1374	12/2/2015 21:03	Calibrations	19.86	mg / cm ^2				1	0.29
1403	12/2/2015 21:33	Calibrations	19.82	mg / cm ^2				1	0.3

Confirmed Positives Component Types

Confirmed Positive Component Types
Baker Victory Services, Day Treatment Center, Lackawanna, NY

		
Room 8 – Balusters and Newel Post	Room 50 – Baluster and Newel Post	

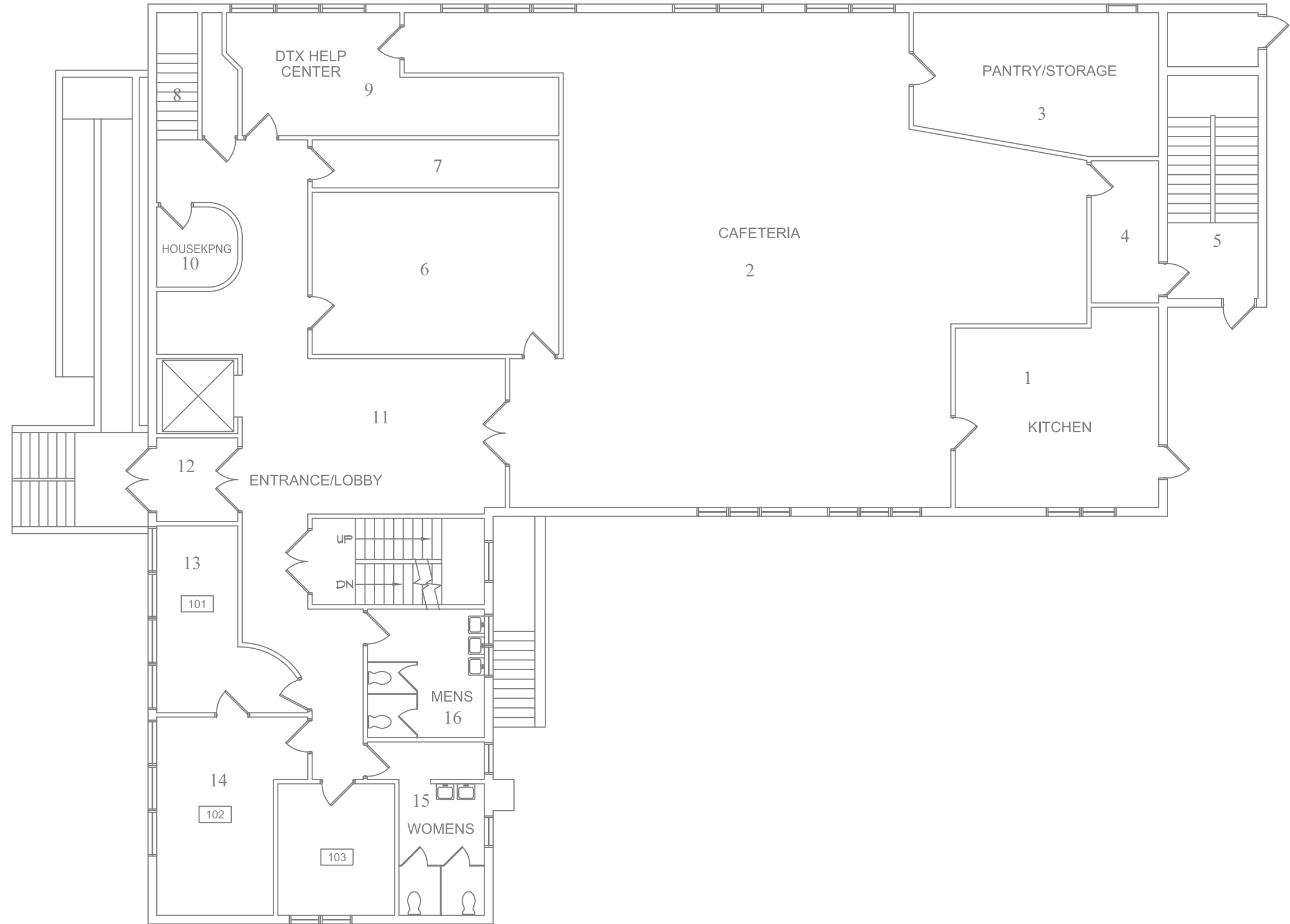
Site Plans



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AECC
ENVIRONMENTAL CONSULTING
Asbestos & Environmental
Consulting Corporation
6308 Fly Road
East Syracuse, NY 13057

PROJECT NO.	15-301	Baker Victory Services - Day Treatment Building 650 Ridge Road Lackawanna, New York 14218 Lower Level Plan	FIGURE 1
DRAWN:	DEC. 2015		
DRAWN BY:	H9		
CHECKED BY:	BB	Lead-Based Paint XRF Testing Report	



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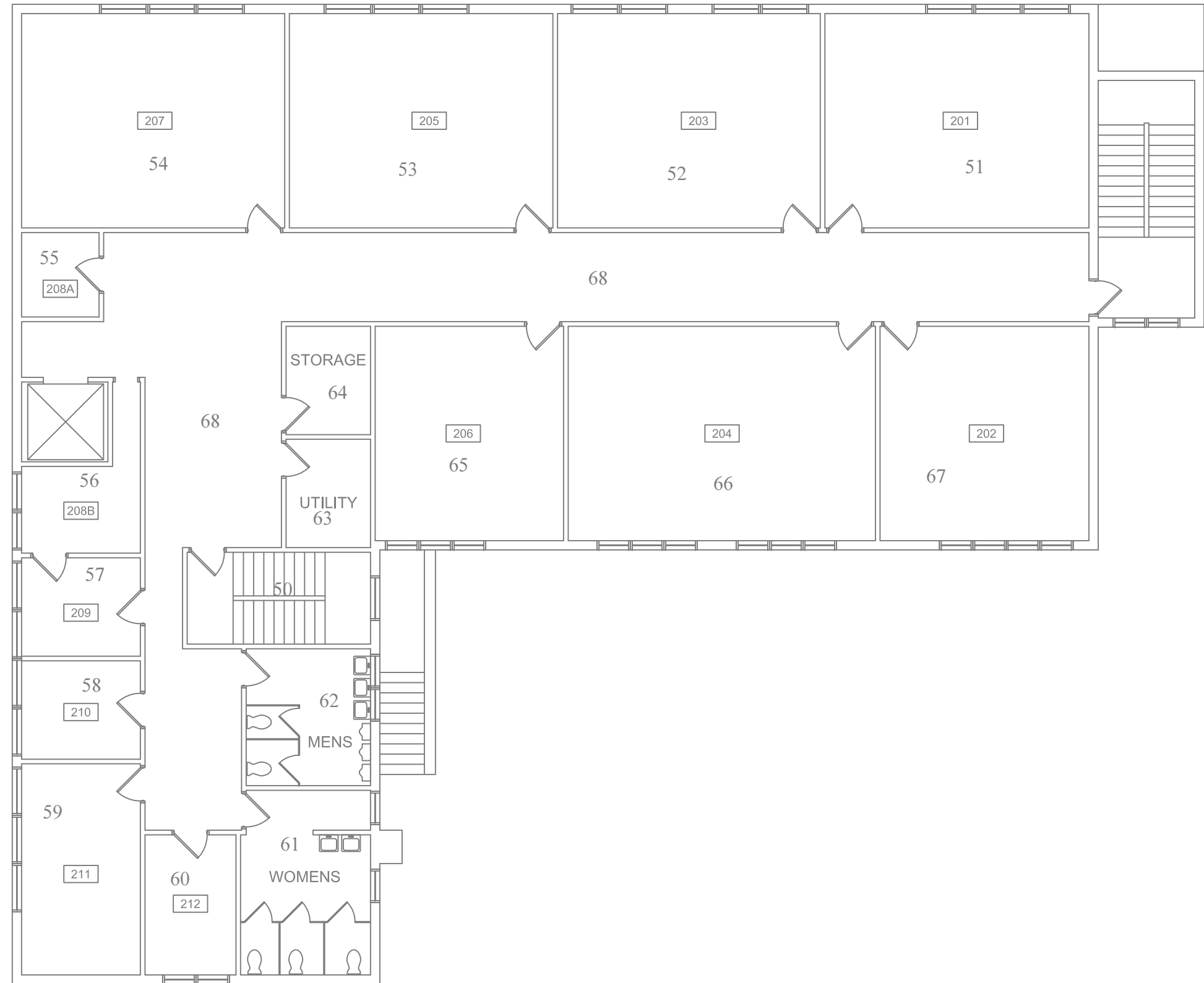

Asbestos & Environmental
Consulting Corporation
6308 Fly Road
East Syracuse, NY 13057

PROJECT NO.	15-307
DRAWN:	DEC. 2015
DRAWN BY:	HS
CHECKED BY:	BB

Baker Victory Services - Day Treatment Building
650 Ridge Road
Lackawanna, New York 14218
First Floor Plan

Lead-Based Paint XRF Testing Report

FIGURE
2



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Asbestos & Environmental
Consulting Corporation

6308 Fly Road
East Syracuse, NY 13057

PROJECT NO. 15-307		Baker Victory Services - Day Treatment Building 650 Ridge Road Lackawanna, New York 14218 Second Floor Plan	FIGURE 3
DRAWN: DEC. 2015			
DRAWN BY: HS		Lead-Based Paint XRF Testing Report	
CHECKED BY: BB			

Day Treatment Building

Confirmed Positives

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

Confirmed Positives									
#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
880	Day Treatment	Balusters	Steel	Wall 2	Gray	8	Positive	1.3	1.2
881	Day Treatment	Newel Post	Steel	Wall 2	Gray	8	Positive	4.1	3.4
1221	Day Treatment	Balusters	Steel	Wall 1	Blue	50	Positive	1.8	2.4
1222	Day Treatment	Newel Post	Steel	Wall 1	Blue	50	Positive	1.3	0.9

XRF Results

XRF & Labs									
#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
815	Day Treatment	Wall	Sheetrock	Wall 1	White	1	Negative	0	-0.41
816	Day Treatment	Window Molding	Steel	Wall 1	Brown	1	Negative	0	0.6
817	Day Treatment	Wall	Sheetrock	Wall 2	White	1	Negative	0	-0.04
818	Day Treatment	Door Molding	Steel	Wall 2	White	1	Negative	0.16	-0.23
819	Day Treatment	Door	Steel	Wall 2	White	1	Negative	0	0
820	Day Treatment	Wall	Sheetrock	Wall 3	White	1	Negative	0	0.15
821	Day Treatment	Wall	Sheetrock	Wall 4	White	1	Negative	0	-0.46
822	Day Treatment	Door Molding	Steel	Wall 4	White	1	Negative	0	-0.22
823	Day Treatment	Door	Steel	Wall 4	White	1	Negative	0	-0.18
824	Day Treatment	Wall	Sheetrock	Wall 1	Blue	1	Negative	0	-0.26
825	Day Treatment	Window Sill	Wood	Wall 1	White	1	Negative	0	-0.72
826	Day Treatment	Window Molding	Steel	Wall 1	Brown	1	Negative	0	-0.18
827	Day Treatment	Radiator	Steel	Wall 1	White	1	Negative	0	0.19
828	Day Treatment	Door Molding	Steel	Wall 2	White	1	Negative	0.03	0.6
829	Day Treatment	Door	Wood	Wall 2	Brown	1	Negative	0.01	-0.3
830	Day Treatment	Wall	Sheetrock	Wall 2	Blue	1	Negative	0	-0.46
831	Day Treatment	Door Molding	Steel	Wall 2	Gray	1	Negative	0	-0.46
832	Day Treatment	Door	Steel	Wall 2	Gray	1	Negative	0	-0.37
833	Day Treatment	Wall	Sheetrock	Wall 3	Blue	1	Negative	0	0
834	Day Treatment	Window Molding	Steel	Wall 3	Brown	1	Negative	0	-0.05
835	Day Treatment	Wall	Sheetrock	Wall 4	Blue	1	Negative	0	-0.3
836	Day Treatment	Wall	Sheetrock	Wall 1	Gray	3	Negative	0.01	0.25
837	Day Treatment	Wall	Sheetrock	Wall 2	Gray	3	Negative	0	0.3
838	Day Treatment	Door Molding	Steel	Wall 2	Gray	3	Negative	0.08	-0.87
839	Day Treatment	Door	Steel	Wall 2	Gray	3	Negative	0	-0.93
840	Day Treatment	Wall	Sheetrock	Wall 3	Gray	3	Negative	0	-0.58
841	Day Treatment	Wall	Sheetrock	Wall 4	Gray	3	Negative	0	-0.14
842	Day Treatment	Ducts	Steel	Wall 4	Gray	3	Negative	0	-0.26
843	Day Treatment	Wall	Sheetrock	Wall 1	Gray	4	Negative	0	-0.29
844	Day Treatment	Wall	Sheetrock	Wall 2	Orange	4	Negative	0	0.22
845	Day Treatment	Door Molding	Steel	Wall 2	Gray	4	Negative	0	0.3
846	Day Treatment	Door	Steel	Wall 2	Gray	4	Negative	0	-0.76

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
847	Day Treatment	Wall	Sheetrock	Wall 3	Gray	4	Negative	0	-0.48
848	Day Treatment	Wall	Sheetrock	Wall 4	Gray	4	Negative	0	-0.55
849	Day Treatment	Wall	Masonry	Wall 1	Gray	5	Negative	0.03	-0.29
850	Day Treatment	Door Molding	Steel	Wall 1	Blue	5	Negative	0	-0.32
851	Day Treatment	Door	Steel	Wall 1	Blue	5	Negative	0	-0.15
852	Day Treatment	Door	Steel	Wall 2	Gray	5	Negative	0	-0.06
853	Day Treatment	Door Molding	Steel	Wall 2	Gray	5	Negative	0	-0.55
854	Day Treatment	Wall	Masonry	Wall 2	Gray	5	Negative	0	-0.65
855	Day Treatment	Wall	Masonry	Wall 2	Blue	5	Negative	0	-0.8
856	Day Treatment	Stringer	Steel	Wall 2	Green	5	Negative	0.03	-0.24
857	Day Treatment	Riser	Steel	Wall 2	Green	5	Negative	0	-0.4
858	Day Treatment	Stair Rail	Steel	Wall 2	Green	5	Negative	0.02	-0.45
859	Day Treatment	Stair Rail	Steel	Wall 4	Green	5	Negative	0	-0.12
860	Day Treatment	Wall	Masonry	Wall 3	Blue	5	Negative	0	-0.56
861	Day Treatment	Wall	Masonry	Wall 3	Gray	5	Negative	0	-0.48
862	Day Treatment	Wall	Masonry	Wall 4	Gray	5	Negative	0	-0.16
863	Day Treatment	Wall	Sheetrock	Wall 1	Brown	6	Negative	0	-0.19
864	Day Treatment	Door Molding	Steel	Wall 1	Green	6	Negative	0	0.5
865	Day Treatment	Door	Steel	Wall 1	Green	6	Negative	0	-0.34
866	Day Treatment	Wall	Sheetrock	Wall 2	Brown	6	Negative	0	0.03
867	Day Treatment	Wall	Sheetrock	Wall 3	Brown	6	Negative	0	-0.57
868	Day Treatment	Chalk Board	Masonry	Wall 3	Green	6	Negative	0.6	0.4
869	Day Treatment	Wall	Sheetrock	Wall 4	Brown	6	Negative	0	0.14
870	Day Treatment	Wall	Sheetrock	Wall 1	White	7	Negative	0	-0.03
871	Day Treatment	Wall	Sheetrock	Wall 2	White	7	Negative	0	-0.14
872	Day Treatment	Door Molding	Steel	Wall 2	White	7	Negative	0.01	0.3
873	Day Treatment	Door	Steel	Wall 2	White	7	Negative	0	0.09
874	Day Treatment	Wall	Sheetrock	Wall 3	White	7	Negative	0	-0.27
875	Day Treatment	Wall	Sheetrock	Wall 4	White	7	Negative	0	-0.24
876	Day Treatment	Door Molding	Steel	Wall 1	White	8	Negative	0.02	-0.17
877	Day Treatment	Door	Steel	Wall 1	White	8	Negative	0	-0.4
878	Day Treatment	Wall	Sheetrock	Wall 2	Gray	8	Negative	0	0.03
879	Day Treatment	Stringer	Steel	Wall 2	Gray	8	Negative	0	0.5

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
880	Day Treatment	Balusters	Steel	Wall 2	Gray	8	Positive	1.3	1.2
881	Day Treatment	Newel Post	Steel	Wall 2	Gray	8	Positive	4.1	3.4
882	Day Treatment	Riser	Steel	Wall 2	Gray	8	Negative	0.11	0.18
883	Day Treatment	Wall	Sheetrock	Wall 3	Gray	8	Negative	0	-0.35
884	Day Treatment	Wall	Sheetrock	Wall 4	Gray	8	Negative	0	-0.34
885	Day Treatment	Wall	Sheetrock	Wall 1	Brown	9	Negative	0	-0.08
886	Day Treatment	Chalk Board	Masonry	Wall 1	Green	9	Negative	0.6	0.7
887	Day Treatment	Door Molding	Steel	Wall 1	White	9	Negative	0	0.1
888	Day Treatment	Door	Steel	Wall 1	White	9	Negative	0	-0.17
889	Day Treatment	Cork Board	Wood	Wall 2	Brown	9	Negative	0	0.4
890	Day Treatment	Wall	Sheetrock	Wall 3	Brown	9	Negative	0	0.04
891	Day Treatment	Window Molding	Steel	Wall 3	Black	9	Negative	0	-0.4
892	Day Treatment	Radiator	Steel	Wall 3	White	9	Negative	0	0.29
893	Day Treatment	Door Molding	Steel	Wall 4	Green	9	Negative	0	-0.06
894	Day Treatment	Door	Steel	Wall 4	Green	9	Negative	0	-0.11
895	Day Treatment	Wall	Sheetrock	Wall 4	Brown	9	Negative	0	-0.64
896	Day Treatment	Wall	Sheetrock	Wall 1	Blue	10	Negative	0	-0.24
897	Day Treatment	Wall	Sheetrock	Wall 2	Blue	10	Negative	0	0.02
898	Day Treatment	Wall	Sheetrock	Wall 3	Blue	10	Negative	0	-0.52
899	Day Treatment	Door Molding	Steel	Wall 3	White	10	Negative	0	0.3
900	Day Treatment	Door	Steel	Wall 3	White	10	Negative	0	-0.11
901	Day Treatment	Wall	Sheetrock	Wall 4	Blue	10	Negative	0	-0.66
902	Day Treatment	Wall	Sheetrock	Wall 1	Green	11	Negative	0.14	0.15
903	Day Treatment	Wall	Glazed Block	Wall 1	Green	11	Negative	0.03	-0.94
904	Day Treatment	Door Molding	Steel	Wall 1	Green	11	Negative	0.19	-0.08
905	Day Treatment	Door	Steel	Wall 1	Green	11	Negative	0	-0.08
906	Day Treatment	Wall	Sheetrock	Wall 2	Green	11	Negative	0	0.15
907	Day Treatment	Door Molding	Steel	Wall 2	Black	11	Negative	0	-0.63
908	Day Treatment	Door	Steel	Wall 2	Black	11	Negative	0	-0.45
909	Day Treatment	Wall	Sheetrock	Wall 3	Green	11	Negative	0	-0.09
910	Day Treatment	Wall	Sheetrock	Wall 4	Green	11	Negative	0	-0.73
911	Day Treatment	Wall	Sheetrock	Wall 1	Gray	12	Negative	0	-0.14
912	Day Treatment	Window Molding	Wood	Wall 1	Gray	12	Negative	0	-0.28

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
913	Day Treatment	Door Molding	Steel	Wall 2	Black	12	Negative	0	-0.54
914	Day Treatment	Door	Steel	Wall 2	Black	12	Negative	0	-0.44
915	Day Treatment	Wall	Sheetrock	Wall 3	Green	12	Negative	0.01	-0.38
916	Day Treatment	Wall	Sheetrock	Wall 4	Green	12	Negative	0	-0.91
917	Day Treatment	Wall	Sheetrock	Wall 1	Brown	13	Negative	0	0.05
918	Day Treatment	Wall	Sheetrock	Wall 2	Brown	13	Negative	0	-0.5
919	Day Treatment	Window Sill	Wood	Wall 2	White	13	Negative	0	-0.71
920	Day Treatment	Window Molding	Steel	Wall 2	Black	13	Negative	0	0.22
921	Day Treatment	Radiator	Steel	Wall 2	Brown	13	Negative	0	0.1
922	Day Treatment	Wall	Sheetrock	Wall 3	Brown	13	Negative	0	0.12
923	Day Treatment	Wall	Sheetrock	Wall 4	Brown	13	Negative	0	-0.31
924	Day Treatment	Door Molding	Steel	Wall 4	Green	13	Negative	0	0.17
925	Day Treatment	Door	Steel	Wall 4	Green	13	Negative	0	0.11
926	Day Treatment	Wall	Sheetrock	Wall 1	Brown	14	Negative	0	0.04
927	Day Treatment	Wall	Sheetrock	Wall 2	Brown	14	Negative	0	-0.32
928	Day Treatment	Window Sill	Wood	Wall 2	White	14	Negative	0	-0.69
929	Day Treatment	Window Molding	Steel	Wall 2	Black	14	Negative	0	-0.23
930	Day Treatment	Radiator	Steel	Wall 2	Brown	14	Negative	0	-0.19
931	Day Treatment	Wall	Sheetrock	Wall 3	Brown	14	Negative	0.09	-0.23
932	Day Treatment	Wall	Sheetrock	Wall 4	Brown	14	Negative	0	-0.4
933	Day Treatment	Door Molding	Steel	Wall 4	Green	14	Negative	0.01	-0.91
934	Day Treatment	Door	Steel	Wall 4	Green	14	Negative	0	-0.18
935	Day Treatment	Wall	Glazed Block	Wall 1	Green	15	Negative	0.01	0.16
936	Day Treatment	Stall	Steel	Wall 1	Brown	15	Negative	0	-0.2
937	Day Treatment	Ceiling	Sheetrock	Wall 1	White	15	Negative	0	-0.28
938	Day Treatment	Floor	Ceramic	Wall 1	Green	15	Negative	0	-0.18
939	Day Treatment	Wall	Glazed Block	Wall 2	Green	15	Negative	0.01	-0.57
940	Day Treatment	Door Molding	Steel	Wall 2	White	15	Negative	0.04	0.6
941	Day Treatment	Door	Steel	Wall 2	White	15	Negative	0	-0.28
942	Day Treatment	Wall	Glazed Block	Wall 3	Green	15	Negative	0.01	-0.58
943	Day Treatment	Wall	Glazed Block	Wall 4	Green	15	Negative	0.01	-0.55
944	Day Treatment	Window Molding	Steel	Wall 4	Black	15	Negative	0	0.06
945	Day Treatment	Radiator	Steel	Wall 4	White	15	Negative	0.01	0.02

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
946	Day Treatment	Radiator	Steel	Wall 1	Brown	16	Negative	0	-0.06
947	Day Treatment	Wall	Glazed Block	Wall 1	Green	16	Negative	0.03	-0.56
948	Day Treatment	Ceiling	Sheetrock	Wall 1	White	16	Negative	0	-0.42
949	Day Treatment	Stall	Steel	Wall 2	Brown	16	Negative	0	-0.24
950	Day Treatment	Wall	Glazed Block	Wall 2	Green	16	Negative	0.04	-0.39
951	Day Treatment	Door Molding	Steel	Wall 2	White	16	Negative	0	-0.24
952	Day Treatment	Door	Steel	Wall 2	White	16	Negative	0	-0.16
953	Day Treatment	Wall	Glazed Block	Wall 3	Green	16	Negative	0.02	-0.75
954	Day Treatment	Wall	Glazed Block	Wall 4	Green	16	Negative	0.01	-1.37
955	Day Treatment	Window Molding	Steel	Wall 4	Black	16	Negative	0	0.02
960	Day Treatment	Wall	Sheetrock	Wall 1	Yellow	17	Negative	0	0.22
961	Day Treatment	Chair Rail	Wood	Wall 1	White	17	Negative	0	-0.27
962	Day Treatment	Wall	Sheetrock	Wall 2	Yellow	17	Negative	0	-0.29
963	Day Treatment	Window Molding	Wood	Wall 2	White	17	Negative	0	-0.08
964	Day Treatment	Wall	Sheetrock	Wall 3	Yellow	17	Negative	0	0.14
965	Day Treatment	Radiator	Steel	Wall 3	Gray	17	Negative	0	0.5
966	Day Treatment	Wall	Sheetrock	Wall 4	Yellow	17	Negative	0	-0.06
967	Day Treatment	Door Molding	Steel	Wall 4	White	17	Negative	0	0.13
968	Day Treatment	Door	Steel	Wall 4	White	17	Negative	0	0.27
969	Day Treatment	Wall	Sheetrock	Wall 1	Yellow	18	Negative	0	0.12
970	Day Treatment	Wall	Sheetrock	Wall 2	Blue	18	Negative	0	0.05
971	Day Treatment	Wall	Sheetrock	Wall 3	Blue	18	Negative	0.01	-0.09
972	Day Treatment	Chair Rail	Wood	Wall 3	White	18	Negative	0	-0.3
973	Day Treatment	Chair Rail	Wood	Wall 1	Red	19	Negative	0	0.16
974	Day Treatment	Wall	Sheetrock	Wall 1	Blue	19	Negative	0	-0.1
975	Day Treatment	Door Molding	Steel	Wall 1	White	19	Negative	0	0.6
976	Day Treatment	Door	Steel	Wall 1	White	19	Negative	0	-0.47
977	Day Treatment	Window Molding	Steel	Wall 2	Gray	19	Negative	0	0.4
978	Day Treatment	Wall	Sheetrock	Wall 2	Blue	19	Negative	0	-0.22
979	Day Treatment	Wall	Sheetrock	Wall 3	Blue	19	Negative	0	0.3
980	Day Treatment	Radiator	Steel	Wall 3	Gray	19	Negative	0	0.07
981	Day Treatment	Wall	Sheetrock	Wall 4	Blue	19	Negative	0	-0.04
982	Day Treatment	Wall	Masonry	Wall 1	White	20	Negative	0	-0.3

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
983	Day Treatment	Wall	Masonry	Wall 2	White	20	Negative	0	-0.12
984	Day Treatment	Wall	Sheetrock	Wall 3	White	20	Negative	0	0.12
985	Day Treatment	Door Molding	Steel	Wall 3	White	20	Negative	0	-0.16
986	Day Treatment	Door	Steel	Wall 3	White	20	Negative	0.03	0.07
987	Day Treatment	Wall	Masonry	Wall 4	White	20	Negative	0	0.24
988	Day Treatment	Wall	Sheetrock	Wall 1	Blue	21	Negative	0	0.1
989	Day Treatment	Chair Rail	Wood	Wall 1	Blue	21	Negative	0	-0.1
990	Day Treatment	Door Molding	Steel	Wall 1	White	21	Negative	0	-0.31
991	Day Treatment	Door	Steel	Wall 1	White	21	Negative	0	-0.06
992	Day Treatment	Wall	Sheetrock	Wall 2	Blue	21	Negative	0	-0.41
993	Day Treatment	Wall	Sheetrock	Wall 3	Blue	21	Negative	0	0.18
994	Day Treatment	Radiator	Steel	Wall 3	Gray	21	Negative	0	0.26
995	Day Treatment	Wall	Sheetrock	Wall 4	Blue	21	Negative	0	-0.27
996	Day Treatment	Wall	Sheetrock	Wall 1	Brown	22	Negative	0	-0.18
997	Day Treatment	Chair Rail	Wood	Wall 1	White	22	Negative	0	-0.1
998	Day Treatment	Wall	Sheetrock	Wall 2	Brown	22	Negative	0	-0.11
999	Day Treatment	Door Molding	Steel	Wall 2	White	22	Negative	0	-0.15
1000	Day Treatment	Door	Steel	Wall 2	White	22	Negative	0	-0.09
1001	Day Treatment	Wall	Sheetrock	Wall 3	Brown	22	Negative	0.01	-0.02
1002	Day Treatment	Wall	Masonry	Wall 4	Gray	22	Negative	0	0.6
1003	Day Treatment	Wall	Sheetrock	Wall 1	White	23	Negative	0	-0.25
1004	Day Treatment	Wall	Sheetrock	Wall 1	Red	23	Negative	0	-0.38
1005	Day Treatment	Wall	Sheetrock	Wall 2	Red	23	Negative	0.03	-0.06
1006	Day Treatment	Wall	Sheetrock	Wall 2	White	23	Negative	0	-0.12
1007	Day Treatment	Chair Rail	Wood	Wall 2	Red	23	Negative	0	0.11
1008	Day Treatment	Wall	Sheetrock	Wall 3	White	23	Negative	0	-0.65
1009	Day Treatment	Wall	Steel	Wall 3	White	23	Negative	0	-0.37
1010	Day Treatment	Door Molding	Steel	Wall 3	White	23	Negative	0	-0.14
1011	Day Treatment	Door	Steel	Wall 3	White	23	Negative	0	-0.52
1012	Day Treatment	Wall	Sheetrock	Wall 4	White	23	Negative	0	-0.24
1013	Day Treatment	Wall	Sheetrock	Wall 1	Green	24	Negative	0	-0.42
1014	Day Treatment	Ceiling	Sheetrock	Wall 1	White	24	Negative	0	-0.18
1015	Day Treatment	Wall	Sheetrock	Wall 2	Green	24	Negative	0	-0.72

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1016	Day Treatment	Door Molding	Steel	Wall 2	Green	24	Negative	0	0.21
1017	Day Treatment	Door	Steel	Wall 2	Green	24	Negative	0	0.04
1018	Day Treatment	Wall	Sheetrock	Wall 3	Green	24	Negative	0.03	0.05
1019	Day Treatment	Stall	Steel	Wall 3	Brown	24	Negative	0.12	-0.48
1020	Day Treatment	Wall	Masonry	Wall 4	Gray	24	Negative	0	0.6
1021	Day Treatment	Wall	Masonry	Wall 1	Gray	25	Negative	0	-0.47
1022	Day Treatment	Wall	Masonry	Wall 2	Gray	25	Negative	0	0.04
1023	Day Treatment	Wall	Sheetrock	Wall 3	Brown	25	Negative	0	-0.15
1024	Day Treatment	Door Molding	Steel	Wall 3	White	25	Negative	0	-0.13
1025	Day Treatment	Door	Steel	Wall 3	White	25	Negative	0	-0.18
1026	Day Treatment	Wall	Masonry	Wall 4	Gray	25	Negative	0	-0.21
1027	Day Treatment	Wall	Sheetrock	Wall 1	White	26	Negative	0	0.17
1028	Day Treatment	Radiator	Steel	Wall 1	Gray	26	Negative	0	0.04
1029	Day Treatment	Wall	Sheetrock	Wall 2	White	26	Negative	0	0.08
1030	Day Treatment	Chair Rail	Wood	Wall 2	Brown	26	Negative	0	0.08
1031	Day Treatment	Wall	Sheetrock	Wall 3	White	26	Negative	0	-0.04
1032	Day Treatment	Door Molding	Steel	Wall 3	White	26	Negative	0	-0.04
1033	Day Treatment	Door	Steel	Wall 3	White	26	Negative	0	-0.19
1034	Day Treatment	Wall	Sheetrock	Wall 4	White	26	Negative	0	-0.59
1035	Day Treatment	Wall	Sheetrock	Wall 1	White	27	Negative	0	0.09
1036	Day Treatment	Radiator	Steel	Wall 1	Gray	27	Negative	0	-0.61
1037	Day Treatment	Wall	Sheetrock	Wall 2	White	27	Negative	0	-0.38
1038	Day Treatment	Baseboard	Wood	Wall 2	White	27	Negative	0	-0.2
1039	Day Treatment	Chair Rail	Wood	Wall 3	White	27	Negative	0	0.13
1040	Day Treatment	Wall	Sheetrock	Wall 3	White	27	Negative	0	-0.36
1041	Day Treatment	Door Molding	Steel	Wall 3	White	27	Negative	0	0.6
1042	Day Treatment	Door	Steel	Wall 3	White	27	Negative	0	-0.2
1043	Day Treatment	Wall	Sheetrock	Wall 4	White	27	Negative	0	0.03
1044	Day Treatment	Wall	Sheetrock	Wall 1	Blue	28	Negative	0	-0.13
1045	Day Treatment	Chair Rail	Wood	Wall 1	White	28	Negative	0	-0.04
1046	Day Treatment	Wall	Sheetrock	Wall 2	Yellow	28	Negative	0	-0.24
1047	Day Treatment	Wall	Sheetrock	Wall 2	Blue	28	Negative	0	-0.18
1048	Day Treatment	Wall	Sheetrock	Wall 3	Yellow	28	Negative	0	-0.39

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1049	Day Treatment	Wall	Sheetrock	Wall 4	Blue	28	Negative	0	-0.14
1050	Day Treatment	Wall	Sheetrock	Wall 1	Blue	29	Negative	0	-0.05
1051	Day Treatment	Chair Rail	Wood	Wall 1	White	29	Negative	0	-0.15
1052	Day Treatment	Wall	Sheetrock	Wall 2	Blue	29	Negative	0	-0.39
1053	Day Treatment	Wall	Sheetrock	Wall 3	Blue	29	Negative	0	0.01
1054	Day Treatment	Door Molding	Steel	Wall 3	White	29	Negative	0	-0.47
1055	Day Treatment	Door	Steel	Wall 3	White	29	Negative	0	0.4
1056	Day Treatment	Wall	Sheetrock	Wall 4	Blue	29	Negative	0	-0.05
1057	Day Treatment	Wall	Sheetrock	Wall 1	Blue	30	Negative	0	-0.34
1058	Day Treatment	Door Molding	Steel	Wall 1	White	30	Negative	0.01	0.29
1059	Day Treatment	Door	Steel	Wall 1	White	30	Negative	0	0.07
1060	Day Treatment	Chair Rail	Wood	Wall 1	Blue	30	Negative	0	0.13
1061	Day Treatment	Wall	Sheetrock	Wall 2	Blue	30	Negative	0	-0.04
1062	Day Treatment	Wall	Sheetrock	Wall 3	Blue	30	Negative	0	-0.21
1063	Day Treatment	Door Molding	Steel	Wall 3	White	30	Negative	0	-0.06
1064	Day Treatment	Door	Steel	Wall 3	White	30	Negative	0	-0.31
1065	Day Treatment	Wall	Sheetrock	Wall 4	Blue	30	Negative	0	0.19
1066	Day Treatment	Wall	Sheetrock	Wall 1	White	31	Negative	0	-0.09
1067	Day Treatment	Door Molding	Steel	Wall 1	White	31	Negative	0.01	0.22
1068	Day Treatment	Door	Steel	Wall 1	White	31	Negative	0	0.11
1069	Day Treatment	Chair Rail	Wood	Wall 1	White	31	Negative	0	-0.2
1070	Day Treatment	Wall	Sheetrock	Wall 2	White	31	Negative	0	-0.93
1071	Day Treatment	Wall	Sheetrock	Wall 3	White	31	Negative	0	0.08
1072	Day Treatment	Wall	Sheetrock	Wall 4	White	31	Negative	0	-0.39
1073	Day Treatment	Wall	Sheetrock	Wall 1	White	32	Negative	0	-0.08
1074	Day Treatment	Chair Rail	Wood	Wall 1	White	32	Negative	0	0.09
1075	Day Treatment	Door Molding	Steel	Wall 1	White	32	Negative	0	-0.13
1076	Day Treatment	Door	Steel	Wall 1	White	32	Negative	0	0.3
1077	Day Treatment	Wall	Sheetrock	Wall 2	White	32	Negative	0	0.4
1078	Day Treatment	Wall	Sheetrock	Wall 3	White	32	Negative	0	-0.08
1079	Day Treatment	Wall	Sheetrock	Wall 4	White	32	Negative	0	-0.21
1080	Day Treatment	Wall	Sheetrock	Wall 1	White	33	Negative	0	-0.19
1081	Day Treatment	Chair Rail	Wood	Wall 1	White	33	Negative	0	-0.12

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1082	Day Treatment	Door Molding	Steel	Wall 1	White	33	Negative	0.01	-0.47
1083	Day Treatment	Door	Steel	Wall 1	White	33	Negative	0	-0.64
1084	Day Treatment	Wall	Sheetrock	Wall 2	White	33	Negative	0	-0.26
1085	Day Treatment	Wall	Sheetrock	Wall 3	White	33	Negative	0	-0.23
1086	Day Treatment	Wall	Sheetrock	Wall 4	White	33	Negative	0	-0.23
1087	Day Treatment	Wall	Sheetrock	Wall 1	Blue	34	Negative	0	-0.28
1088	Day Treatment	Chair Rail	Wood	Wall 1	White	34	Negative	0	0.05
1089	Day Treatment	Door Molding	Steel	Wall 1	White	34	Negative	0	-0.45
1090	Day Treatment	Door	Steel	Wall 1	White	34	Negative	0	-0.78
1091	Day Treatment	Wall	Sheetrock	Wall 2	Blue	34	Negative	0	-0.02
1092	Day Treatment	Wall	Sheetrock	Wall 3	Blue	34	Negative	0	-0.14
1093	Day Treatment	Radiator	Steel	Wall 3	Gray	34	Negative	0	0.27
1094	Day Treatment	Wall	Sheetrock	Wall 4	Blue	34	Negative	0	-0.42
1095	Day Treatment	Wall	Sheetrock	Wall 1	White	35	Negative	0	-0.3
1096	Day Treatment	Chair Rail	Wood	Wall 1	White	35	Negative	0	-0.17
1097	Day Treatment	Door Molding	Steel	Wall 1	White	35	Negative	0	0.19
1098	Day Treatment	Door	Steel	Wall 1	White	35	Negative	0	-0.43
1099	Day Treatment	Wall	Sheetrock	Wall 2	White	35	Negative	0	0.23
1100	Day Treatment	Wall	Sheetrock	Wall 3	White	35	Negative	0	-0.13
1101	Day Treatment	Radiator	Steel	Wall 3	Gray	35	Negative	0	-0.83
1102	Day Treatment	Wall	Sheetrock	Wall 3	White	35	Negative	0	0.03
1103	Day Treatment	Wall	Sheetrock	Wall 4	White	35	Negative	0	-0.01
1104	Day Treatment	Wall	Sheetrock	Wall 1	White	36	Negative	0	0.18
1105	Day Treatment	Baseboard	Wood	Wall 1	Green	36	Negative	0	0.02
1106	Day Treatment	Door Molding	Steel	Wall 1	White	36	Negative	0.01	-0.02
1107	Day Treatment	Door	Steel	Wall 1	White	36	Negative	0	0.12
1108	Day Treatment	Wall	Sheetrock	Wall 2	White	36	Negative	0	-0.05
1109	Day Treatment	Wall	Sheetrock	Wall 3	White	36	Negative	0	-0.08
1110	Day Treatment	Radiator	Steel	Wall 3	Gray	36	Negative	0	0.11
1111	Day Treatment	Wall	Sheetrock	Wall 4	White	36	Negative	0	-0.01
1112	Day Treatment	Wall	Sheetrock	Wall 1	White	37	Negative	0	-0.2
1113	Day Treatment	Door Molding	Steel	Wall 1	White	37	Negative	0	0.06
1114	Day Treatment	Door	Steel	Wall 1	White	37	Negative	0	-0.15

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1115	Day Treatment	Wall	Sheetrock	Wall 2	White	37	Negative	0	0.1
1116	Day Treatment	Wall	Sheetrock	Wall 3	White	37	Negative	0	-0.33
1117	Day Treatment	Window Molding	Steel	Wall 3	Black	37	Negative	0	0.08
1118	Day Treatment	Radiator	Steel	Wall 3	Gray	37	Negative	0	-0.35
1119	Day Treatment	Wall	Sheetrock	Wall 4	White	37	Negative	0	0.3
1120	Day Treatment	Wall	Sheetrock	Wall 1	Green	38	Negative	0	-0.16
1121	Day Treatment	Door Molding	Steel	Wall 1	White	38	Negative	0	0.22
1122	Day Treatment	Door	Steel	Wall 1	White	38	Negative	0	0.5
1123	Day Treatment	Window Molding	Steel	Wall 1	Green	38	Negative	0	-0.09
1124	Day Treatment	Wall	Sheetrock	Wall 2	Green	38	Negative	0	-0.16
1125	Day Treatment	Wall	Sheetrock	Wall 3	Green	38	Negative	0	-0.44
1126	Day Treatment	Window Molding	Steel	Wall 3	Black	38	Negative	0	-0.61
1127	Day Treatment	Radiator	Steel	Wall 3	Gray	38	Negative	0	-0.42
1128	Day Treatment	Wall	Sheetrock	Wall 4	Green	38	Negative	0	-0.06
1129	Day Treatment	Wall	Sheetrock	Wall 1	Yellow	39	Negative	0	-0.45
1130	Day Treatment	Wall	Sheetrock	Wall 2	Yellow	39	Negative	0	0.23
1131	Day Treatment	Radiator	Steel	Wall 2	Gray	39	Negative	0.01	-0.12
1132	Day Treatment	Wall	Sheetrock	Wall 3	Yellow	39	Negative	0	-0.49
1133	Day Treatment	Wall	Sheetrock	Wall 4	Yellow	39	Negative	0.01	0.09
1134	Day Treatment	Door Molding	Steel	Wall 4	White	39	Negative	0	-0.13
1135	Day Treatment	Door	Steel	Wall 4	White	39	Negative	0	-1.01
1136	Day Treatment	Wall	Masonry	Wall 1	Yellow	40	Negative	0.01	0.3
1137	Day Treatment	Wall	Sheetrock	Wall 1	Yellow	40	Negative	0.13	-0.45
1138	Day Treatment	Wall	Sheetrock	Wall 2	Yellow	40	Negative	0	-0.04
1139	Day Treatment	Chair Rail	Wood	Wall 2	White	40	Negative	0	0.24
1140	Day Treatment	Wall	Sheetrock	Wall 2	Blue	40	Negative	0	0
1141	Day Treatment	Wall	Sheetrock	Wall 3	Blue	40	Negative	0	0.02
1142	Day Treatment	Wall	Sheetrock	Wall 4	Yellow	40	Negative	0	-0.08
1143	Day Treatment	Wall	Sheetrock	Wall 1	White	41	Negative	0	-0.48
1144	Day Treatment	Wall	Masonry	Wall 2	White	41	Negative	0	-0.71
1145	Day Treatment	Wall	Sheetrock	Wall 3	White	41	Negative	0	-0.46
1146	Day Treatment	Wall	Sheetrock	Wall 4	White	41	Negative	0	0.02
1147	Day Treatment	Door Molding	Steel	Wall 4	White	41	Negative	0	-0.08

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1148	Day Treatment	Door	Steel	Wall 4	White	41	Negative	0	0.29
1149	Day Treatment	Floor	Masonry	Wall 4	Gray	41	Negative	0	-0.39
1150	Day Treatment	Wall	Sheetrock	Wall 1	White	42	Negative	0	-0.04
1151	Day Treatment	Wall	Sheetrock	Wall 2	Gray	42	Negative	0	-0.12
1152	Day Treatment	Wall	Glazed Block	Wall 2	Gray	42	Negative	0	-0.48
1153	Day Treatment	Radiator	Steel	Wall 2	Gray	42	Negative	0	-0.28
1154	Day Treatment	Wall	Sheetrock	Wall 3	Gray	42	Negative	0	-0.41
1155	Day Treatment	Wall	Sheetrock	Wall 4	Gray	42	Negative	0	0.08
1156	Day Treatment	Door Molding	Steel	Wall 4	White	42	Negative	0.01	-0.09
1157	Day Treatment	Door	Steel	Wall 4	White	42	Negative	0	0.1
1158	Day Treatment	Wall	Sheetrock	Wall 1	Gray	43	Negative	0	0.07
1159	Day Treatment	Wall	Sheetrock	Wall 2	Gray	43	Negative	0	-0.11
1160	Day Treatment	Wall	Glazed Block	Wall 2	Blue	43	Negative	0.01	-0.33
1161	Day Treatment	Window Molding	Steel	Wall 2	Black	43	Negative	0	-0.15
1162	Day Treatment	Radiator	Steel	Wall 2	Gray	43	Negative	0	-0.31
1163	Day Treatment	Wall	Sheetrock	Wall 3	Gray	43	Negative	0	-0.78
1164	Day Treatment	Wall	Sheetrock	Wall 4	Gray	43	Negative	0	-0.77
1165	Day Treatment	Door Molding	Steel	Wall 4	White	43	Negative	0	-0.43
1166	Day Treatment	Door	Steel	Wall 4	White	43	Negative	0	0.06
1167	Day Treatment	Wall	Sheetrock	Wall 1	Gray	44	Negative	0	-0.15
1168	Day Treatment	Wall	Sheetrock	Wall 2	Gray	44	Negative	0	0.07
1169	Day Treatment	Wall	Glazed Block	Wall 2	Blue	44	Negative	0	-0.26
1170	Day Treatment	Window Molding	Steel	Wall 2	Black	44	Negative	0	-0.47
1171	Day Treatment	Radiator	Steel	Wall 2	Gray	44	Negative	0	-0.73
1172	Day Treatment	Wall	Sheetrock	Wall 3	Gray	44	Negative	0	-0.13
1173	Day Treatment	Wall	Sheetrock	Wall 4	Gray	44	Negative	0	-0.04
1174	Day Treatment	Door Molding	Steel	Wall 4	White	44	Negative	0	0.6
1175	Day Treatment	Door	Steel	Wall 4	White	44	Negative	0	0.04
1177	Day Treatment	Wall	Glazed Block	Wall 1	Green	45	Negative	0	-0.33
1178	Day Treatment	Window Molding	Steel	Wall 1	Black	45	Negative	0	-0.3
1179	Day Treatment	Stall	Steel	Wall 1	Brown	45	Negative	0	0.01
1180	Day Treatment	Ceiling	Sheetrock	Wall 1	White	45	Negative	0	-0.35
1181	Day Treatment	Wall	Ceramic	Wall 2	Gray	45	Negative	0.02	-0.07

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1182	Day Treatment	Wall	Ceramic	Wall 3	Gray	45	Negative	0.13	0.07
1183	Day Treatment	Door Molding	Steel	Wall 3	White	45	Negative	0	-0.46
1184	Day Treatment	Door	Steel	Wall 3	White	45	Negative	0	-0.21
1185	Day Treatment	Radiator	Steel	Wall 3	Brown	45	Negative	0	-0.38
1186	Day Treatment	Wall	Glazed Block	Wall 4	Green	45	Negative	0.04	-0.74
1187	Day Treatment	Wall	Glazed Block	Wall 1	Green	46	Negative	0.02	-0.65
1188	Day Treatment	Window Molding	Steel	Wall 1	Black	46	Negative	0	-0.04
1189	Day Treatment	Ceiling	Sheetrock	Wall 1	White	46	Negative	0	-0.13
1190	Day Treatment	Wall	Glazed Block	Wall 2	Green	46	Negative	0.01	-0.36
1191	Day Treatment	Wall	Glazed Block	Wall 3	Green	46	Negative	0	-0.21
1192	Day Treatment	Door Molding	Steel	Wall 3	White	46	Negative	0	-0.28
1193	Day Treatment	Door	Steel	Wall 3	White	46	Negative	0	-0.53
1194	Day Treatment	Wall	Ceramic	Wall 4	Gray	46	Negative	0.01	-0.7
1195	Day Treatment	Wall	Glazed Block	Wall 4	Green	46	Negative	0.02	-0.47
1196	Day Treatment	Wall	Sheetrock	Wall 1	White	47	Negative	0	-0.35
1197	Day Treatment	Window Sill	Wood	Wall 1	White	47	Negative	0	-0.62
1198	Day Treatment	Window Molding	Steel	Wall 1	Black	47	Negative	0.5	0.07
1199	Day Treatment	Door Molding	Steel	Wall 2	White	47	Negative	0.03	0.9
1200	Day Treatment	Door	Steel	Wall 2	White	47	Negative	0	-0.15
1201	Day Treatment	Wall	Sheetrock	Wall 2	White	47	Negative	0	0.07
1202	Day Treatment	Wall	Sheetrock	Wall 3	White	47	Negative	0	0.21
1203	Day Treatment	Wall	Sheetrock	Wall 4	White	47	Negative	0	-0.08
1204	Day Treatment	Wall	Masonry	Wall 1	White	48	Negative	0	0.04
1205	Day Treatment	Door Molding	Steel	Wall 1	White	48	Negative	0.25	0.8
1206	Day Treatment	Door	Steel	Wall 1	White	48	Negative	0	-0.46
1207	Day Treatment	Wall	Masonry	Wall 2	White	48	Negative	0	0.04
1208	Day Treatment	Wall	Masonry	Wall 3	White	48	Negative	0	0.13
1209	Day Treatment	Wall	Masonry	Wall 4	White	48	Negative	0	-0.24
1210	Day Treatment	Wall	Sheetrock	Wall 1	Blue	49	Negative	0	-0.25
1211	Day Treatment	Wall	Sheetrock	Wall 2	Blue	49	Negative	0.01	-0.12
1212	Day Treatment	Wall	Sheetrock	Wall 3	Blue	49	Negative	0	-0.15
1213	Day Treatment	Wall	Sheetrock	Wall 4	Blue	49	Negative	0	-0.37
1214	Day Treatment	Door Molding	Wood	Wall 4	Blue	49	Negative	0	-0.47

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1215	Day Treatment	Ceiling	Sheetrock	Wall 4	White	49	Negative	0.01	-0.19
1216	Day Treatment	Wall	Wood	Wall 4	Black	48	Negative	0.11	0.23
1217	Day Treatment	Wall	Sheetrock	Wall 1	Blue	50	Negative	0	-0.12
1218	Day Treatment	Wall	Masonry	Wall 1	Blue	50	Negative	0.02	-0.09
1219	Day Treatment	Stringer	Steel	Wall 1	Blue	50	Negative	0.21	-0.58
1220	Day Treatment	Riser	Steel	Wall 1	Blue	50	Negative	0.11	0.3
1221	Day Treatment	Balusters	Steel	Wall 1	Blue	50	Positive	1.8	2.4
1222	Day Treatment	Newel Post	Steel	Wall 1	Blue	50	Positive	1.3	0.9
1223	Day Treatment	Wall	Sheetrock	Wall 2	Blue	50	Negative	0	-0.31
1224	Day Treatment	Door Molding	Steel	Wall 2	Blue	50	Negative	0.01	-0.58
1225	Day Treatment	Door	Steel	Wall 2	Blue	50	Negative	0	0.15
1226	Day Treatment	Wall	Sheetrock	Wall 3	Blue	50	Negative	0	-0.09
1227	Day Treatment	Wall	Masonry	Wall 3	Blue	50	Negative	0.02	0.2
1228	Day Treatment	Wall	Masonry	Wall 4	Blue	50	Negative	0	-0.63
1229	Day Treatment	Window Sill	Wood	Wall 4	White	50	Negative	0.01	-0.63
1230	Day Treatment	Window Molding	Steel	Wall 4	Black	50	Negative	0.01	0.12
1231	Day Treatment	Radiator	Steel	Wall 4	Blue	50	Negative	0	0.29
1232	Day Treatment	Wall	Sheetrock	Wall 1	Blue	51	Negative	0	0.25
1233	Day Treatment	Door Molding	Steel	Wall 1	White	51	Negative	0	0.02
1234	Day Treatment	Door	Steel	Wall 1	White	51	Negative	0	-0.47
1235	Day Treatment	Wall	Sheetrock	Wall 2	Blue	51	Negative	0	0.09
1236	Day Treatment	Wall	Sheetrock	Wall 3	Blue	51	Negative	0	-0.48
1237	Day Treatment	Window Sill	Wood	Wall 3	White	51	Negative	0	-0.59
1238	Day Treatment	Window Molding	Steel	Wall 3	Black	51	Negative	0	-0.04
1239	Day Treatment	Radiator	Steel	Wall 3	White	51	Negative	0	0.02
1240	Day Treatment	Wall	Sheetrock	Wall 4	Brown	51	Negative	0	0.23
1241	Day Treatment	Wall	Sheetrock	Wall 1	Blue	52	Negative	0	0.26
1242	Day Treatment	Ceiling	Sheetrock	Wall 1	White	52	Negative	0	0.16
1243	Day Treatment	Door Molding	Steel	Wall 1	White	52	Negative	0	0.24
1244	Day Treatment	Door	Steel	Wall 1	White	52	Negative	0	-0.3
1245	Day Treatment	Wall	Sheetrock	Wall 2	Brown	52	Negative	0	-0.02
1246	Day Treatment	Wall	Sheetrock	Wall 3	Blue	52	Negative	0	0.05
1247	Day Treatment	Window Sill	Wood	Wall 3	White	52	Negative	0	-0.02

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1248	Day Treatment	Window Molding	Steel	Wall 3	Black	52	Negative	0	-0.2
1249	Day Treatment	Radiator	Steel	Wall 3	White	52	Negative	0	-0.11
1250	Day Treatment	Wall	Sheetrock	Wall 4	Blue	52	Negative	0	-0.34
1251	Day Treatment	Chalk Board	Masonry	Wall 4	Black	52	Negative	0.21	0.5
1252	Day Treatment	Wall	Sheetrock	Wall 1	Blue	53	Negative	0	0.22
1253	Day Treatment	Ceiling	Sheetrock	Wall 1	White	53	Negative	0	0.09
1254	Day Treatment	Door Molding	Steel	Wall 1	White	53	Negative	0	0.25
1255	Day Treatment	Door	Steel	Wall 1	White	53	Negative	0	-0.59
1256	Day Treatment	Wall	Sheetrock	Wall 2	Brown	53	Negative	0	0.1
1257	Day Treatment	Chalk Board	Masonry	Wall 2	Black	53	Negative	0.03	-0.2
1258	Day Treatment	Wall	Sheetrock	Wall 3	Blue	53	Negative	0	0.04
1259	Day Treatment	Window Sill	Wood	Wall 3	White	53	Negative	0.01	-0.66
1260	Day Treatment	Window Molding	Glazed Block	Wall 3	Black	53	Negative	0	-0.5
1261	Day Treatment	Radiator	Steel	Wall 3	White	53	Negative	0	-0.47
1262	Day Treatment	Wall	Sheetrock	Wall 4	Blue	53	Negative	0	0.06
1263	Day Treatment	Wall	Sheetrock	Wall 1	Brown	54	Negative	0	0.15
1264	Day Treatment	Door Molding	Steel	Wall 1	Green	54	Negative	0	0.15
1265	Day Treatment	Door	Steel	Wall 1	Green	54	Negative	0	-0.42
1266	Day Treatment	Ceiling	Sheetrock	Wall 1	White	54	Negative	0	-0.08
1267	Day Treatment	Wall	Sheetrock	Wall 2	Brown	54	Negative	0	0.13
1268	Day Treatment	Chalk Board	Masonry	Wall 2	Black	54	Negative	0.02	-0.28
1269	Day Treatment	Wall	Sheetrock	Wall 3	Brown	54	Negative	0	0.4
1270	Day Treatment	Window Sill	Wood	Wall 3	White	54	Negative	0	-0.79
1271	Day Treatment	Window Molding	Steel	Wall 3	Black	54	Negative	0	-0.33
1272	Day Treatment	Radiator	Steel	Wall 3	White	54	Negative	0	-0.29
1273	Day Treatment	Wall	Sheetrock	Wall 4	Brown	54	Negative	0	-0.13
1274	Day Treatment	Wall	Sheetrock	Wall 1	White	55	Negative	0	-0.99
1275	Day Treatment	Wall	Sheetrock	Wall 2	Black	55	Negative	0	0.4
1276	Day Treatment	Wall	Sheetrock	Wall 2	White	55	Negative	0	-0.1
1277	Day Treatment	Wall	Sheetrock	Wall 3	White	55	Negative	0.01	-0.27
1278	Day Treatment	Wall	Sheetrock	Wall 4	White	55	Negative	0	-0.41
1279	Day Treatment	Ceiling	Sheetrock	Wall 4	White	55	Negative	0	0.07
1280	Day Treatment	Door Molding	Steel	Wall 4	Brown	55	Negative	0	-0.88

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1281	Day Treatment	Wall	Sheetrock	Wall 1	Blue	56	Negative	0.01	0.03
1282	Day Treatment	Wall	Sheetrock	Wall 2	Blue	56	Negative	0	-0.25
1283	Day Treatment	Window Sill	Wood	Wall 2	White	56	Negative	0	-0.34
1284	Day Treatment	Storm Window	Steel	Wall 2	Brown	56	Negative	0	-1.07
1285	Day Treatment	Radiator	Steel	Wall 2	Gray	56	Negative	0	0.4
1286	Day Treatment	Wall	Sheetrock	Wall 3	Blue	56	Negative	0	-0.11
1287	Day Treatment	Ceiling	Sheetrock	Wall 3	White	56	Negative	0	-0.45
1288	Day Treatment	Door Molding	Steel	Wall 3	Brown	56	Negative	0	-0.31
1289	Day Treatment	Wall	Sheetrock	Wall 4	Blue	56	Negative	0	0.08
1290	Day Treatment	Wall	Sheetrock	Wall 1	Brown	57	Negative	0	-0.44
1291	Day Treatment	Ceiling	Sheetrock	Wall 1	Brown	57	Negative	0	0.05
1292	Day Treatment	Wall	Sheetrock	Wall 2	Brown	57	Negative	0	-0.03
1293	Day Treatment	Storm Window	Steel	Wall 2	Brown	57	Negative	0	-0.26
1294	Day Treatment	Window Sill	Wood	Wall 2	White	57	Negative	0	-0.36
1295	Day Treatment	Radiator	Steel	Wall 2	Brown	57	Negative	0	-0.03
1296	Day Treatment	Door Molding	Steel	Wall 3	Black	57	Negative	0	0.12
1297	Day Treatment	Door	Steel	Wall 3	Black	57	Negative	0	-0.74
1298	Day Treatment	Wall	Sheetrock	Wall 3	Brown	57	Negative	0	-0.6
1299	Day Treatment	Wall	Sheetrock	Wall 4	Brown	57	Negative	0	-0.3
1300	Day Treatment	Wall	Sheetrock	Wall 1	White	58	Negative	0	-0.03
1301	Day Treatment	Wall	Sheetrock	Wall 2	Green	58	Negative	0	0.22
1302	Day Treatment	Window Molding	Steel	Wall 2	Black	58	Negative	0	-0.06
1303	Day Treatment	Window Sill	Wood	Wall 2	White	58	Negative	0.01	0.19
1304	Day Treatment	Radiator	Steel	Wall 2	Brown	58	Negative	0	-0.01
1305	Day Treatment	Wall	Sheetrock	Wall 3	White	58	Negative	0	-0.16
1306	Day Treatment	Wall	Sheetrock	Wall 3	Green	58	Negative	0	-0.23
1307	Day Treatment	Wall	Sheetrock	Wall 4	White	58	Negative	0	0.16
1308	Day Treatment	Ceiling	Sheetrock	Wall 4	White	58	Negative	0.05	-0.24
1309	Day Treatment	Ceiling	Sheetrock	Wall 4	White	58	Negative	0	0.3
1310	Day Treatment	Door Molding	Steel	Wall 4	Gray	58	Negative	0.02	0.4
1311	Day Treatment	Door	Steel	Wall 4	Black	58	Negative	0	-0.37
1312	Day Treatment	Wall	Sheetrock	Wall 1	Brown	59	Negative	0	0.02
1313	Day Treatment	Wall	Sheetrock	Wall 2	Brown	59	Negative	0	0.02

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1314	Day Treatment	Window Sill	Wood	Wall 2	White	59	Negative	0	-0.37
1315	Day Treatment	Window Molding	Steel	Wall 2	Black	59	Negative	0.01	0.03
1316	Day Treatment	Radiator	Steel	Wall 2	Brown	59	Negative	0	0.21
1317	Day Treatment	Wall	Sheetrock	Wall 3	Gray	59	Negative	0	-0.24
1318	Day Treatment	Wall	Sheetrock	Wall 4	Gray	59	Negative	0	0.3
1319	Day Treatment	Door Molding	Steel	Wall 4	White	59	Negative	0	-0.5
1320	Day Treatment	Door	Steel	Wall 4	White	59	Negative	0	0
1321	Day Treatment	Wall	Sheetrock	Wall 1	Gray	60	Negative	0	0.4
1322	Day Treatment	Window Molding	Steel	Wall 1	Black	60	Negative	0	-0.4
1323	Day Treatment	Window Sill	Wood	Wall 1	White	60	Negative	0	-0.36
1324	Day Treatment	Radiator	Steel	Wall 1	Brown	60	Negative	0	0.4
1325	Day Treatment	Wall	Sheetrock	Wall 2	Gray	60	Negative	0	0.5
1326	Day Treatment	Wall	Sheetrock	Wall 3	Gray	60	Negative	0	0.23
1327	Day Treatment	Door Molding	Steel	Wall 3	Gray	60	Negative	0	-0.01
1328	Day Treatment	Door	Steel	Wall 3	Gray	60	Negative	0	0.11
1329	Day Treatment	Wall	Sheetrock	Wall 4	Gray	60	Negative	0	-0.24
1330	Day Treatment	Wall	Ceramic	Wall 1	Gray	61	Negative	0.01	-0.5
1331	Day Treatment	Ceiling	Sheetrock	Wall 1	White	61	Negative	0	0.2
1332	Day Treatment	Stall	Steel	Wall 1	Red	61	Negative	0.01	-0.28
1333	Day Treatment	Wall	Ceramic	Wall 2	Gray	61	Negative	0.01	-0.7
1334	Day Treatment	Door Molding	Steel	Wall 2	Green	61	Negative	0	0.07
1335	Day Treatment	Door	Steel	Wall 2	Green	61	Negative	0	-0.21
1336	Day Treatment	Wall	Ceramic	Wall 3	Gray	61	Negative	0.01	-0.1
1337	Day Treatment	Wall	Ceramic	Wall 4	Gray	61	Negative	0.01	-1.08
1338	Day Treatment	Window Sill	Wood	Wall 4	White	61	Negative	0	-0.38
1339	Day Treatment	Window Molding	Steel	Wall 4	Black	61	Negative	0	0.5
1340	Day Treatment	Radiator	Steel	Wall 4	Brown	61	Negative	0	-0.42
1341	Day Treatment	Wall	Ceramic	Wall 1	Gray	62	Negative	0.01	-0.6
1342	Day Treatment	Ceiling	Sheetrock	Wall 1	White	62	Negative	0	0.28
1343	Day Treatment	Radiator	Steel	Wall 1	Brown	62	Negative	0	-0.14
1344	Day Treatment	Stall	Steel	Wall 2	Brown	62	Negative	0	-0.28
1345	Day Treatment	Wall	Ceramic	Wall 2	Gray	62	Negative	0.03	-0.26
1346	Day Treatment	Door Molding	Steel	Wall 2	Green	62	Negative	0	-0.43

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1347	Day Treatment	Door	Steel	Wall 2	Green	62	Negative	0	0.27
1348	Day Treatment	Wall	Ceramic	Wall 3	Gray	62	Negative	0.01	-1.03
1349	Day Treatment	Wall	Ceramic	Wall 4	Gray	62	Negative	0	0.02
1350	Day Treatment	Window Molding	Steel	Wall 4	Black	62	Negative	0	0.12
1351	Day Treatment	Window Sill	Wood	Wall 4	White	62	Negative	0	-0.53
1352	Day Treatment	Wall	Sheetrock	Wall 1	Blue	63	Negative	0.02	-0.07
1353	Day Treatment	Wall	Sheetrock	Wall 2	Blue	63	Negative	0	-0.51
1354	Day Treatment	Door Molding	Steel	Wall 2	White	63	Negative	0	-0.01
1355	Day Treatment	Door	Steel	Wall 2	White	63	Negative	0	0.07
1356	Day Treatment	Wall	Sheetrock	Wall 3	Blue	63	Negative	0	-0.08
1357	Day Treatment	Ducts	Steel	Wall 4	Gray	63	Negative	0.02	0.19
1358	Day Treatment	Wall	Sheetrock	Wall 1	Blue	65	Negative	0	-0.15
1359	Day Treatment	Window Molding	Steel	Wall 1	Black	65	Negative	0	-0.2
1360	Day Treatment	Floor	Wood	Wall 1	White	65	Negative	0	-0.82
1361	Day Treatment	Window Sill	Wood	Wall 1	White	65	Negative	0	-0.14
1362	Day Treatment	Radiator	Steel	Wall 1	White	65	Negative	0	-0.77
1363	Day Treatment	Wall	Sheetrock	Wall 2	Brown	65	Negative	0	0.02
1364	Day Treatment	Chalk Board	Masonry	Wall 2	Black	65	Negative	0.04	-0.51
1365	Day Treatment	Wall	Sheetrock	Wall 3	Brown	65	Negative	0	0.01
1366	Day Treatment	Wall	Sheetrock	Wall 3	Blue	65	Negative	0	-0.28
1367	Day Treatment	Door Molding	Steel	Wall 3	White	65	Negative	0	-0.15
1368	Day Treatment	Door	Steel	Wall 3	White	65	Negative	0	0.5
1369	Day Treatment	Wall	Sheetrock	Wall 4	Blue	65	Negative	0	0.15
1370	Day Treatment	Wall	Sheetrock	Wall 1	Blue	66	Negative	0	-0.42
1371	Day Treatment	Window Sill	Wood	Wall 1	White	66	Negative	0	-0.51
1372	Day Treatment	Window Molding	Steel	Wall 1	Black	66	Negative	0	0.4
1373	Day Treatment	Radiator	Steel	Wall 1	White	66	Negative	0	-0.28
1374	Day Treatment	Wall	Sheetrock	Wall 2	Brown	66	Negative	0	0.26
1375	Day Treatment	Chalk Board	Masonry	Wall 2	Black	66	Negative	0.07	-0.02
1376	Day Treatment	Window Molding	Sheetrock	Wall 3	Blue	66	Negative	0	-0.06
1377	Day Treatment	Door Molding	Steel	Wall 3	White	66	Negative	0	0.12
1378	Day Treatment	Door	Steel	Wall 3	White	66	Negative	0	-0.26
1379	Day Treatment	Wall	Sheetrock	Wall 4	Blue	66	Negative	0	0.06

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
1380	Day Treatment	Wall	Sheetrock	Wall 1	Blue	67	Negative	0	-0.11
1381	Day Treatment	Window Sill	Wood	Wall 1	White	67	Negative	0	-0.1
1382	Day Treatment	Window Molding	Steel	Wall 1	Black	67	Negative	0	-0.09
1383	Day Treatment	Radiator	Steel	Wall 1	White	67	Negative	0	-0.42
1384	Day Treatment	Wall	Sheetrock	Wall 2	Blue	67	Negative	0	-0.41
1385	Day Treatment	Chalk Board	Masonry	Wall 2	Black	67	Negative	0.03	-0.24
1386	Day Treatment	Door Molding	Steel	Wall 3	White	67	Negative	0.02	0.11
1387	Day Treatment	Door	Steel	Wall 3	White	67	Negative	0	0.13
1388	Day Treatment	Wall	Sheetrock	Wall 3	Blue	67	Negative	0.01	-0.43
1389	Day Treatment	Wall	Sheetrock	Wall 4	Blue	67	Negative	0	-0.39
1390	Day Treatment	Wall	Sheetrock	Wall 1	Brown	68	Negative	0	0.15
1391	Day Treatment	Door Molding	Steel	Wall 1	Brown	68	Negative	0	0.05
1392	Day Treatment	Wall	Sheetrock	Wall 1	Brown	68	Negative	0	0.22
1393	Day Treatment	Wall	Sheetrock	Wall 2	Brown	68	Negative	0.01	0.09
1394	Day Treatment	Door Molding	Steel	Wall 2	Brown	68	Negative	0	-0.29
1395	Day Treatment	Door Molding	Steel	Wall 3	Brown	68	Negative	0	0.18
1396	Day Treatment	Wall	Sheetrock	Wall 3	Brown	68	Negative	0	0.09
1397	Day Treatment	Wall	Sheetrock	Wall 3	Brown	68	Negative	0	-0.06
1398	Day Treatment	Wall	Sheetrock	Wall 4	Brown	68	Negative	0	0.21
1399	Day Treatment	Door Molding	Steel	Wall 4	Brown	68	Negative	0	-0.33
829	Day Treatment	Wall	Masonry	Wall 1	Black	Exterior	Negative	0	-0.22
830	Day Treatment	Door Molding	Steel	Wall 1	Brown	Exterior	Negative	0	0.23
831	Day Treatment	Door	Steel	Wall 1	Brown	Exterior	Negative	0	-0.92
832	Day Treatment	Window Molding	Steel	Wall 1	Black	Exterior	Negative	0	-0.12
833	Day Treatment	Window Molding	Steel	Wall 1	Black	Exterior	Negative	0	0.26
834	Day Treatment	Wall	Masonry	Wall 4	Brown	Exterior	Negative	0.01	-0.14
835	Day Treatment	Door Molding	Steel	Wall 4	Brown	Exterior	Negative	0	-0.4
836	Day Treatment	Door	Steel	Wall 4	Brown	Exterior	Negative	0	0.4
837	Day Treatment	Wall	Masonry	Wall 3	Brown	Exterior	Negative	0	-0.18
838	Day Treatment	Wall	Brick	Wall 3	Brown	Exterior	Negative	0.01	-0.82
839	Day Treatment	Window Molding	Steel	Wall 3	Black	Exterior	Negative	0	-0.27
840	Day Treatment	Window Molding	Steel	Wall 3	Black	Exterior	Negative	0	-0.03
841	Day Treatment	Wall	Brick	Wall 2	Brown	Exterior	Negative	0	-0.22

EcoSpect, Inc
5760 Route 96, Romulus, NY 14541

Customer: Asbestos Environmental Consulting Corp
6308 Fly Rd, East Syracuse NY 13057

Project: Day Treatment Building
Baker Victory Services, Lackawanna, NY

#	Site	Component	Substrate	Side	Condition	Room	Results	PbL	PbK
842	Day Treatment	Window Molding	Steel	Wall 2	Black	Exterior	Negative	0	-0.17

Signature Page



Signature Page

The individual listed below completed XRF testing at Baker Victory Services, Lackawanna, NY:

A handwritten signature in black ink, appearing to read "D8P6" followed by a stylized flourish.

Daryl Heffron, Risk Assessor

Performance Characteristic Sheet

Performance Characteristic Sheet

EFFECTIVE DATE: September 24, 2004

EDITION NO.: 1

MANUFACTURER AND MODEL:

Make: Niton LLC

Tested Model: XLp 300

Source: ^{109}Cd

Note: This PCS is also applicable to the equivalent model variations indicated below, for the Lead-in-Paint K+L variable reading time mode, in the XLi and XLp series:

XLi 300A, XLi 301A, XLi 302A and XLi 303A.

XLp 300A, XLp 301A, XLp 302A and XLp 303A.

XLi 700A, XLi 701A, XLi 702A and XLi 703A.

XLp 700A, XLp 701A, XLp 702A, and XLp 703A.

Note: The XLi and XLp versions refer to the shape of the handle part of the instrument. The differences in the model numbers reflect other modes available, in addition to Lead-in-Paint modes. The manufacturer states that specifications for these instruments are identical for the source, detector, and detector electronics relative to the Lead-in-Paint mode.

FIELD OPERATION GUIDANCE

OPERATING PARAMETERS:

Lead-in-Paint K+L variable reading time mode.

XRF CALIBRATION CHECK LIMITS:

0.8 to 1.2 mg/cm² (inclusive)

The calibration of the XRF instrument should be checked using the paint film nearest 1.0 mg/cm² in the NIST Standard Reference Material (SRM) used (e.g., for NIST SRM 2579, use the 1.02 mg/cm² film).

If readings are outside the acceptable calibration check range, follow the manufacturer's instructions to bring the instruments into control before XRF testing proceeds.

SUBSTRATE CORRECTION:

For XRF results using Lead-in-Paint K+L variable reading time mode, substrate correction is not needed for:

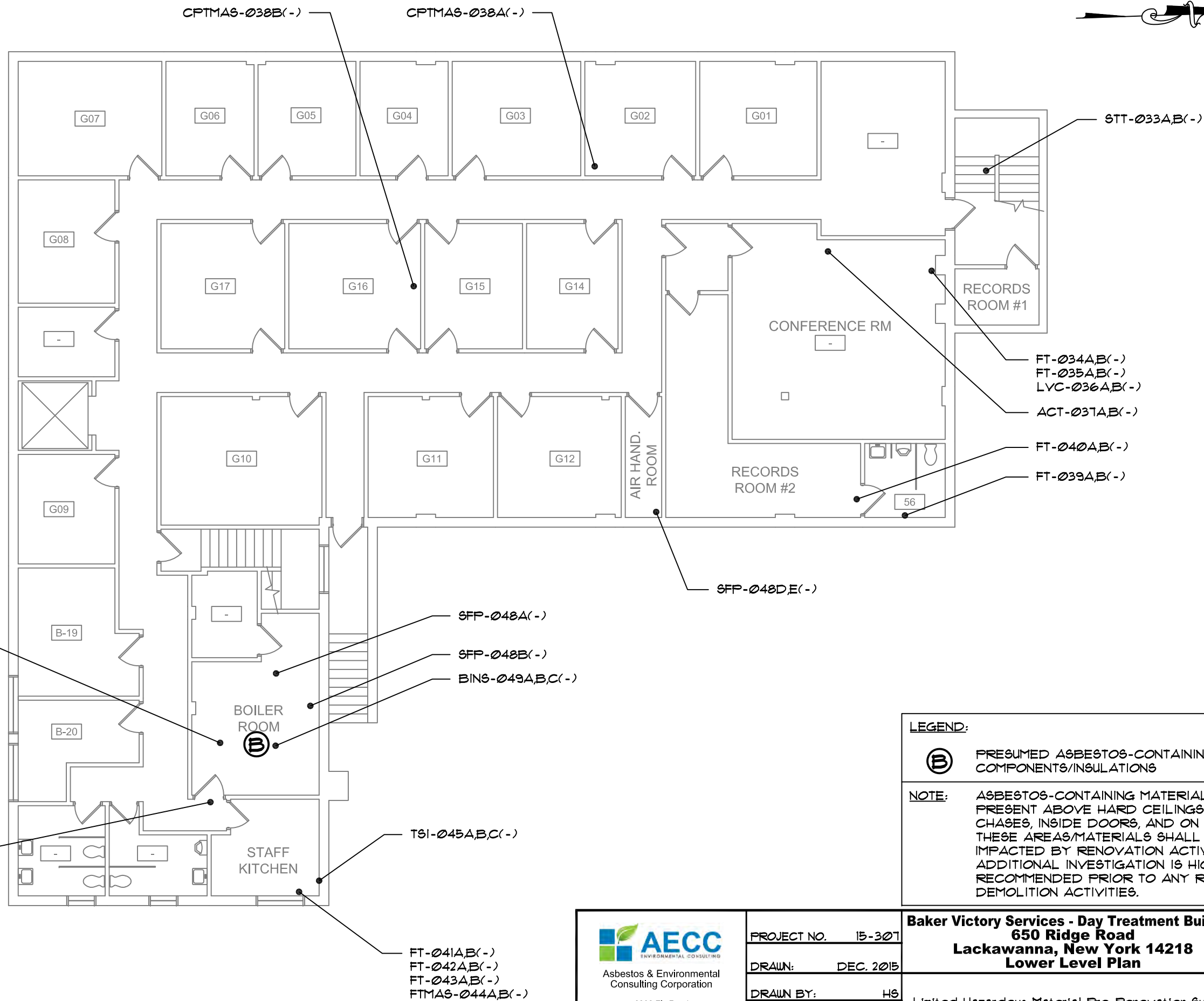
Brick, Concrete, Drywall, Metal, Plaster, and Wood

INCONCLUSIVE RANGE OR THRESHOLD:

K+L MODE READING DESCRIPTION	SUBSTRATE	THRESHOLD (mg/cm ²)
Results not corrected for substrate bias on any substrate	Brick	1.0
	Concrete	1.0
	Drywall	1.0
	Metal	1.0
	Plaster	1.0
	Wood	1.0

ATTACHMENT E

FIGURES 1 - 3



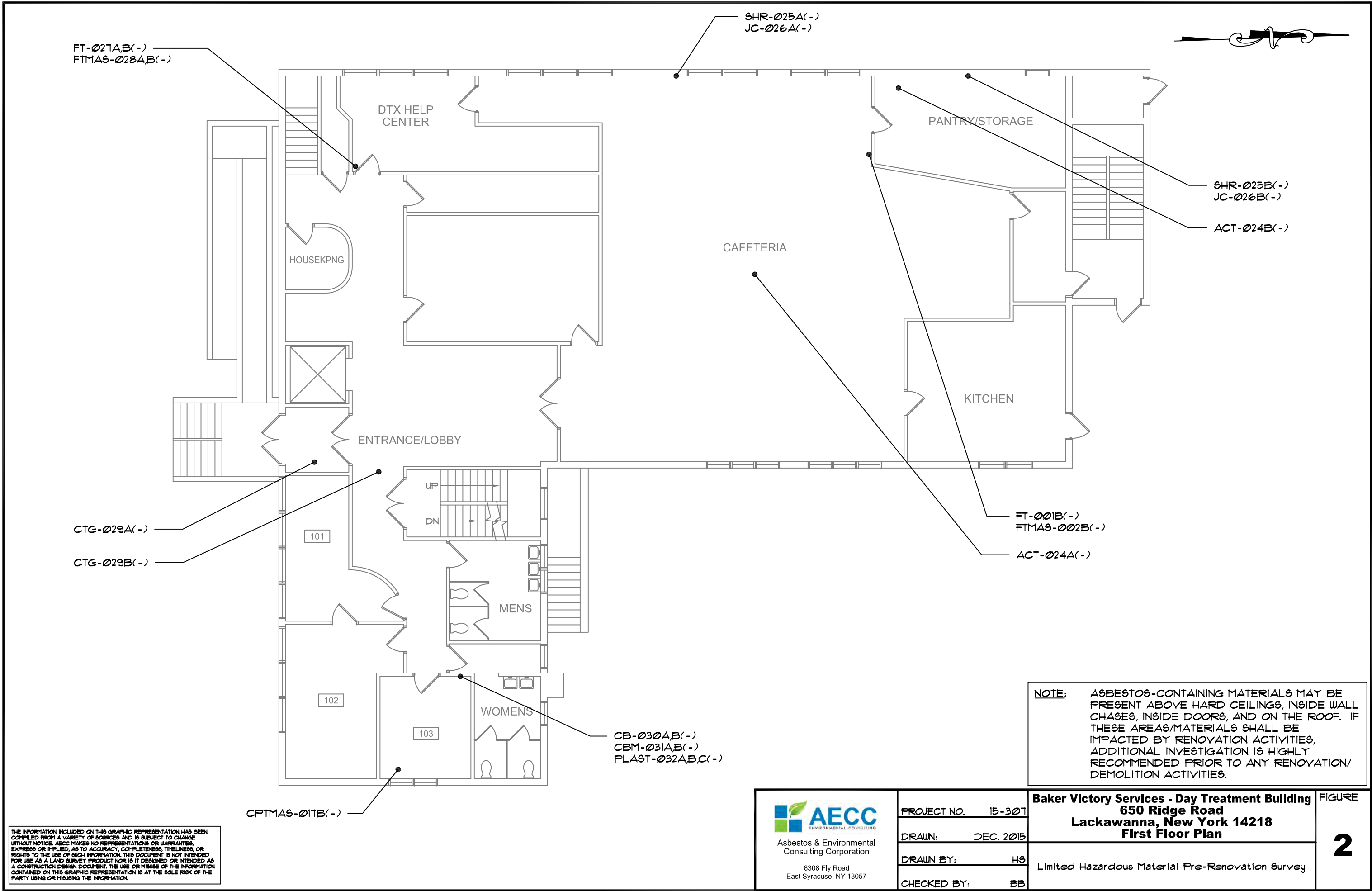
LEGEND:

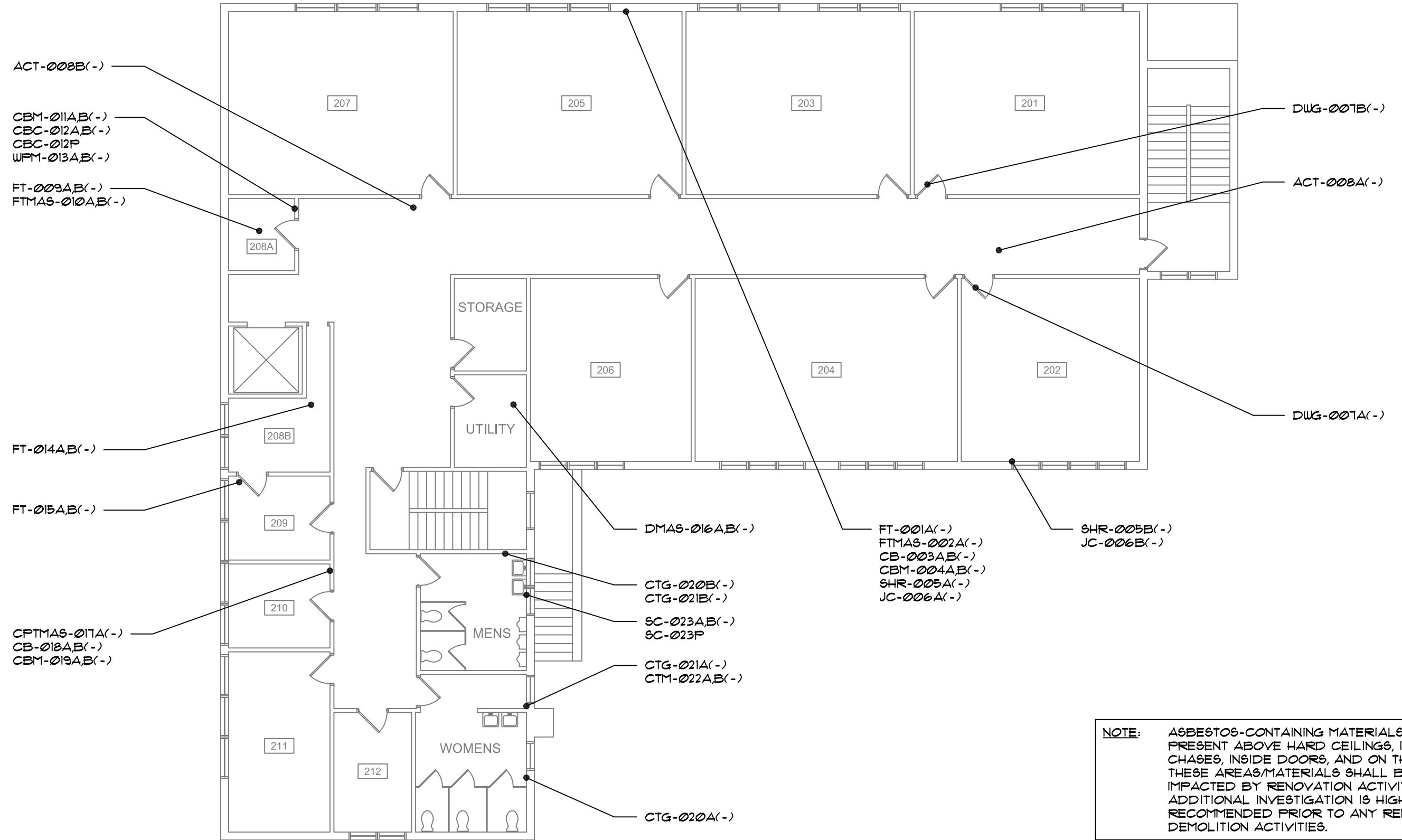
(B) PRESUMED ASBESTOS-CONTAINING BOILER COMPONENTS/INSULATIONS

NOTE: ASBESTOS-CONTAINING MATERIALS MAY BE PRESENT ABOVE HARD CEILINGS, INSIDE WALL CHASES, INSIDE DOORS, AND ON THE ROOF. IF THESE AREAS/MATERIALS SHALL BE IMPACTED BY RENOVATION ACTIVITIES, ADDITIONAL INVESTIGATION IS HIGHLY RECOMMENDED PRIOR TO ANY RENOVATION/DEMOLITION ACTIVITIES.

THE INFORMATION INCLUDED ON THIS GRAPHIC REPRESENTATION HAS BEEN COMPILED FROM A VARIETY OF SOURCES AND IS SUBJECT TO CHANGE WITHOUT NOTICE. AECC MAKES NO REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, AS TO ACCURACY, COMPLETENESS, TIMELINESS, OR RIGHTS TO THE USE OF SUCH INFORMATION. THIS DOCUMENT IS NOT INTENDED FOR USE AS A LAND SURVEY PRODUCT NOR IS IT DESIGNED OR INTENDED AS A CONSTRUCTION DESIGN DOCUMENT. THE USE OR MISUSE OF THE INFORMATION CONTAINED ON THIS GRAPHIC REPRESENTATION IS AT THE SOLE RISK OF THE PARTY USING OR MISUSING THE INFORMATION.

 Asbestos & Environmental Consulting Corporation 6308 Fly Road East Syracuse, NY 13057	PROJECT NO.	15-307	Baker Victory Services - Day Treatment Building 650 Ridge Road Lackawanna, New York 14218 Lower Level Plan	FIGURE 1
	DRAWN:	DEC. 2015		
	DRAWN BY:	HS		
	CHECKED BY:	BB	Limited Hazardous Material Pre-Renovation Survey	





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AEECC
ENVIRONMENTAL CONSULTING
Asbestos & Environmental
Consulting Corporation
6308 Fly Road
East Syracuse, NY 13057

PROJECT NO.	15-307	Baker Victory Services - Day Treatment Building 650 Ridge Road Lackawanna, New York 14218 Second Floor Plan	FIGURE 3
DRAWN:	DEC. 2015		
DRAWN BY:	HS	Limited Hazardous Material Pre-Renovation Survey	
CHECKED BY:	BB		

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DRAFT AIA® Document A101® – 2017

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the « » day of « » in the year « »
(In words, indicate day, month and year.)

BETWEEN the Owner:
(Name, legal status, address and other information)

«OLV Human Services »« »
«790 Ridge Road »
«Lackawanna NY 14218 »
« »

and the Contractor:
(Name, legal status, address and other information)

« »« »
« »
« »
« »

for the following Project:
(Name, location and detailed description)

«Baker Academy Building Improvements »
« »
« »

The Architect:
(Name, legal status, address and other information)

« CPL Architects, Engineers, Landscape Architect and Surveyor, D.P.C. d/b/a CPL »« »
« »
«26 Mississippi Street »
«Buffalo NY 14203 »
« »

The Owner and Contractor agree as follows.

ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101®-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201®-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

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TABLE OF ARTICLES

1	THE CONTRACT DOCUMENTS
2	THE WORK OF THIS CONTRACT
3	DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
4	CONTRACT SUM
5	PAYMENTS
6	DISPUTE RESOLUTION
7	TERMINATION OR SUSPENSION
8	MISCELLANEOUS PROVISIONS
9	ENUMERATION OF CONTRACT DOCUMENTS

EXHIBIT A INSURANCE AND BONDS

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be:

(Check one of the following boxes.)

☐ The date of this Agreement.

☐ A date set forth in a notice to proceed issued by the Owner.

☐ Established as follows:

(Insert a date or a means to determine the date of commencement of the Work.)

« »

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

§ 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

(Check one of the following boxes and complete the necessary information.)

[« »] Not later than « » (« ») calendar days from the date of commencement of the Work.

[« »] By the following date: « »

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be « » (\$ « »), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum:

Item	Price

§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement.
(Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Item	Price	Conditions for Acceptance

§ 4.3 Allowances, if any, included in the Contract Sum:
(Identify each allowance.)

Item	Price

§ 4.4 Unit prices, if any:
(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price per Unit (\$0.00)

§ 4.5 Liquidated damages, if any:
(Insert terms and conditions for liquidated damages, if any.)

«N/A »

§ 4.6 Other:
(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

« »

ARTICLE 5 PAYMENTS

§ 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the « » day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the « » day of the « » month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than « » (« ») days after the Architect receives the Application for Payment.

(Federal, state or local laws may require payment within a certain period of time.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™–2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017; and
- .5 Retainage withheld pursuant to Section 5.1.7.

§ 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

«5% »

§ 5.1.7.1.1 The following items are not subject to retainage:
(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

« »

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:
(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

« »

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:
(Insert any other conditions for release of retainage upon Substantial Completion.)

« »

§ 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 Final Payment

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

« »

§ 5.3 Interest

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

(Insert rate of interest agreed upon, if any.)

«0 » % « »

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 Initial Decision Maker

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker.
(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

« »

« »

<< >>
<< >>

§ 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows:

(Check the appropriate box.)

☐ Arbitration pursuant to Section 15.4 of AIA Document A201–2017

☒ Litigation in a court of competent jurisdiction

☐ Other (Specify)

<< >>

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.1.1 If the Contract is terminated for the Owner's convenience in accordance with Article 14 of AIA Document A201–2017, then the Owner shall pay the Contractor a termination fee as follows:

(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner's convenience.)

<< >>

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

(Name, address, email address, and other information)

<< >>
<< >>
<< >>
<< >>
<< >>
<< >>

§ 8.3 The Contractor's representative:

(Name, address, email address, and other information)

<< >>
<< >>
<< >>
<< >>
<< >>
<< >>

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

§ 8.5 Insurance and Bonds

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

§ 8.5.2 The Contractor shall provide bonds as set forth in AIA Document A101™–2017 Exhibit A, and elsewhere in the Contract Documents.

§ 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A201–2017, may be given in accordance with AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)

<< >>

§ 8.7 Other provisions:

<< >>

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™–2017, General Conditions of the Contract for Construction

<< >>

.5 Drawings

Number	Title	Date

.6 Specifications

Section	Title	Date	Pages

.7 Addenda, if any:

Number	Date	Pages

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

.8

<< >>

[< >] The Sustainability Plan:

Title	Date	Pages

[« »] Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

« »

This Agreement entered into as of the day and year first written above.

OWNER (Signature)

« »« »

(Printed name and title)

CONTRACTOR (Signature)

« »« »

(Printed name and title)

EXHIBIT A

OLV HUMAN SERVICES (OLV) requires that prior to the commencement of any work, all contractors shall provide a Certificate of Insurance evidencing that liability insurance is currently maintained and in force with an insurance carrier approved to do business in the State of New York and show OLV Certificate Holder. It is our suggestion that you share these requirements with your current insurance agent, broker or insurance company.

Acceptable Certificates of Insurance shall indicate the following minimal coverage limits of insurance, policy numbers and policy effective and expiration dates.

- A. Commercial General Liability:** Contractor and subcontractors shall provide coverage for the named insured's premises & operations, products-completed operations, blanket contractual liability and broad form property damage on an Occurrence basis. General Aggregate must apply separately to each project. Limits expressed shall be no less than:

General Aggregate	\$2,000,000
Products-Completed Operations Aggregate	\$2,000,000
Per Occurrence	\$1,000,000
Personal & Advertising Injury	\$1,000,000
Fire Damage Liability	\$ 100,000
Medical Payment (per person)	\$ 5,000

OLV shall be named as Additional Insured on **ISO Form CG 20 10 11-85 edition or its equivalent** to provide completed operations for the Additional Insured. Such coverage shall apply on a Primary & Non-Contributory basis and be indicated as such on the submitted Certificate of Insurance.

- B.** Any policies effected by the Subcontractor on its Owned and/or Rented Equipment and Materials shall contain a provision requiring the insurance carriers to waive their rights of subrogation against OLV and all other indemnitees named in the Contract.
- C.** Should the Subcontractor engage a Subcontractor, the same conditions will apply under this contract to each Subcontractor, however, the retained Subcontractor shall be required to maintain limits of liability of not less than One Million (\$1,000,000.00) Dollars per occurrence and Two Million (\$2,000,000) for the General Aggregate and Products-Completed Operations Aggregate respectively, with said General Aggregate limits applicable on a per project basis.

D. Workers Compensation & Employers Liability:

Contractors and subcontractors shall provide Workers Compensation insurance as required by statute to cover claims for injury or illness to their employees and those who may be eligible under the Act. Exclusions for proprietors, partners, members of limited liability companies or executive officers will not be permitted.

Workers Compensation:	Statutory

Employers Liability:

Bodily Injury by Accident (per Accident)	\$100,000
Bodily Injury by Disease (Policy Limit)	\$500,000
Bodily Injury by Disease (Per Employee)	\$100,000

E. Additional Insureds:

In addition to owner, include as additional insureds the following:
Clark Patterson Lee (CPL)

F. Automobile Liability:

Business Auto Liability insurance covering the use of all owned, hired or non-owned autos for Bodily Injury and Property Damage with a Combined Single Limit of \$1,000,000 per Accident. Required limits may be satisfied by a combination of a primary policy and an excess/umbrella policy.

G. Umbrella/Excess Liability:

Commercial Umbrella or excess liability for a limit of at least \$1,000,000 Per Occurrence with a \$1,000,000 Aggregate. Coverage should respond on a follow-form basis and excess over the aforementioned underlying policy limits. Coverage must apply on a primary & noncontributory basis.

H. Indemnification & Defense:

To the fullest extent permitted by law and in compliance with New York General Obligations Law 5-322.1, contractors and their subcontractors will indemnify, defend and hold harmless OLV their officers, directors, partners, representatives, agents and employees from and against any claims, suits, liens, judgments, damages, losses and expenses, including legal fees and all court costs and liability (including statutory liability) arising in whole or in part and in any manner from injury and/or death of person or damage to or loss of any property resulting from the acts, omissions, breach or default of Subcontractor, its officers, directors, agents, employees and subcontractors, directly in connection with the performance of any work by or for Subcontractor, except these claims, suits, liens, judgments, damages, losses and expenses caused by the sole negligence of OLV.

Subcontractor will defend and bear all costs of defending any actions or proceedings brought against OLV its officers, directors, agents and employees, arising in whole or in part out of any such acts, omissions, breach or default as a result of the work performed by the subcontractor.

This indemnification agreement contemplates partial indemnification which is also known as contractual contribution and that partial indemnification is agreed to by the parties to the full extent of non-negligent liability of any of the indemnitees

SECTION 005500 – GENERAL CONDITIONS

1.1 SUMMARY

- A. The following are the “General Conditions of the Contract for Construction”. AIA Document A201-2017, is bound with this Section. AIA Document A201-2017 sets forth the rights, responsibilities, and relationships of the Owner, Contractor, and Architect.

END OF SECTION 005500

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DRAFT AIA® Document A201® – 2017

General Conditions of the Contract for Construction

for the following PROJECT:

(Name and location or address)

«BAKER ACADEMY BUILDING IMPROVEMENTS
650 Ridge Road »
«Lackawanna NY 14218 »

THE OWNER:

(Name, legal status and address)

«OLV Human Services »« »
«790 Ridge Road
Lackawanna NY 14218 »

THE ARCHITECT:

(Name, legal status and address)

«CPL Architects, Engineers, Landscape Architect and Surveyor, D.P.C. d/b/a CPL »« »
«26 Mississippi Street
Buffalo NY 14218 »

TABLE OF ARTICLES

- 1 GENERAL PROVISIONS
- 2 OWNER
- 3 CONTRACTOR
- 4 ARCHITECT
- 5 SUBCONTRACTORS
- 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
- 7 CHANGES IN THE WORK
- 8 TIME
- 9 PAYMENTS AND COMPLETION
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 INSURANCE AND BONDS
- 12 UNCOVERING AND CORRECTION OF WORK
- 13 MISCELLANEOUS PROVISIONS
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT
- 15 CLAIMS AND DISPUTES

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, *Guide for Supplementary Conditions*.

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INDEX

(Topics and numbers in bold are Section headings.)

Acceptance of Nonconforming Work

9.6.6, 9.9.3, **12.3**

Acceptance of Work

9.6.6, 9.8.2, 9.9.3, 9.10.1, 9.10.3, **12.3**

Access to Work

3.16, 6.2.1, **12.1**

Accident Prevention

10

Acts and Omissions

3.2, 3.3.2, 3.12.8, 3.18, 4.2.3, 8.3.1, 9.5.1, 10.2.5,
10.2.8, 13.3.2, 14.1, 15.1.2, 15.2

Addenda

1.1.1

Additional Costs, Claims for

3.7.4, 3.7.5, 10.3.2, 15.1.5

Additional Inspections and Testing

9.4.2, 9.8.3, 12.2.1, **13.4**

Additional Time, Claims for

3.2.4, 3.7.4, 3.7.5, 3.10.2, 8.3.2, **15.1.6**

Administration of the Contract

3.1.3, **4.2**, 9.4, 9.5

Advertisement or Invitation to Bid

1.1.1

Aesthetic Effect

4.2.13

Allowances

3.8

Applications for Payment

4.2.5, 7.3.9, 9.2, **9.3**, 9.4, 9.5.1, 9.5.4, 9.6.3, 9.7, 9.10

Approvals

2.1.1, 2.3.1, 2.5, 3.1.3, 3.10.2, 3.12.8, 3.12.9,
3.12.10.1, 4.2.7, 9.3.2, 13.4.1

Arbitration

8.3.1, 15.3.2, **15.4**

ARCHITECT

4

Architect, Definition of

4.1.1

Architect, Extent of Authority

2.5, 3.12.7, 4.1.2, 4.2, 5.2, 6.3, 7.1.2, 7.3.4, 7.4, 9.2,
9.3.1, 9.4, 9.5, 9.6.3, 9.8, 9.10.1, 9.10.3, 12.1, 12.2.1,
13.4.1, 13.4.2, 14.2.2, 14.2.4, 15.1.4, 15.2.1

Architect, Limitations of Authority and
Responsibility

2.1.1, 3.12.4, 3.12.8, 3.12.10, 4.1.2, 4.2.1, 4.2.2,
4.2.3, 4.2.6, 4.2.7, 4.2.10, 4.2.12, 4.2.13, 5.2.1, 7.4,
9.4.2, 9.5.4, 9.6.4, 15.1.4, 15.2

Architect's Additional Services and Expenses

2.5, 12.2.1, 13.4.2, 13.4.3, 14.2.4

Architect's Administration of the Contract

3.1.3, 3.7.4, 15.2, 9.4.1, 9.5

Architect's Approvals

2.5, 3.1.3, 3.5, 3.10.2, 4.2.7

Architect's Authority to Reject Work

3.5, 4.2.6, 12.1.2, 12.2.1

Architect's Copyright

1.1.7, 1.5

Architect's Decisions

3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 4.2.14, 6.3,
7.3.4, 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4.1, 9.5, 9.8.4, 9.9.1,
13.4.2, 15.2

Architect's Inspections

3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.8.3, 9.9.2, 9.10.1, 13.4

Architect's Instructions

3.2.4, 3.3.1, 4.2.6, 4.2.7, 13.4.2

Architect's Interpretations

4.2.11, 4.2.12

Architect's Project Representative

4.2.10

Architect's Relationship with Contractor

1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2,
3.5, 3.7.4, 3.7.5, 3.9.2, 3.9.3, 3.10, 3.11, 3.12, 3.16,
3.18, 4.1.2, 4.2, 5.2, 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5,
9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.3.2, 13.4, 15.2

Architect's Relationship with Subcontractors

1.1.2, 4.2.3, 4.2.4, 4.2.6, 9.6.3, 9.6.4, 11.3

Architect's Representations

9.4.2, 9.5.1, 9.10.1

Architect's Site Visits

3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.5.1, 9.9.2, 9.10.1, 13.4

Asbestos

10.3.1

Attorneys' Fees

3.18.1, 9.6.8, 9.10.2, 10.3.3

Award of Separate Contracts

6.1.1, 6.1.2

Award of Subcontracts and Other Contracts for Portions of the Work

5.2

Basic Definitions

1.1

Bidding Requirements

1.1.1

Binding Dispute Resolution

8.3.1, 9.7, 11.5, 13.1, 15.1.2, 15.1.3, 15.2.1, 15.2.5,
15.2.6.1, 15.3.1, 15.3.2, 15.3.3, 15.4.1

Bonds, Lien

7.3.4.4, 9.6.8, 9.10.2, 9.10.3

Bonds, Performance, and Payment

7.3.4.4, 9.6.7, 9.10.3, **11.1.2**, 11.1.3, **11.5**

Building Information Models Use and Reliance

1.8

Building Permit

3.7.1

Capitalization

1.3

Certificate of Substantial Completion

9.8.3, 9.8.4, 9.8.5

Certificates for Payment

4.2.1, 4.2.5, 4.2.9, 9.3.3, **9.4**, 9.5, 9.6.1, 9.6.6, 9.7, 9.10.1, 9.10.3, 14.1.1.3, 14.2.4, 15.1.4
 Certificates of Inspection, Testing or Approval
 13.4.4
 Certificates of Insurance
 9.10.2
Change Orders
 1.1.1, 3.4.2, 3.7.4, 3.8.2.3, 3.11, 3.12.8, 4.2.8, 5.2.3, 7.1.2, 7.1.3, **7.2**, 7.3.2, 7.3.7, 7.3.9, 7.3.10, 8.3.1, 9.3.1.1, 9.10.3, 10.3.2, 11.2, 11.5, 12.1.2
Change Orders, Definition of
7.2.1
CHANGES IN THE WORK
 2.2.2, 3.11, 4.2.8, 7, 7.2.1, 7.3.1, 7.4, 8.3.1, 9.3.1.1, 11.5
Claims, Definition of
15.1.1
 Claims, Notice of
 1.6.2, 15.1.3
CLAIMS AND DISPUTES
 3.2.4, 6.1.1, 6.3, 7.3.9, 9.3.3, 9.10.4, 10.3.3, **15**, 15.4
 Claims and Timely Assertion of Claims
 15.4.1
Claims for Additional Cost
 3.2.4, 3.3.1, 3.7.4, 7.3.9, 9.5.2, 10.2.5, 10.3.2, **15.1.5**
Claims for Additional Time
 3.2.4, 3.3.1, 3.7.4, 6.1.1, 8.3.2, 9.5.2, 10.3.2, **15.1.6**
Concealed or Unknown Conditions, Claims for
3.7.4
 Claims for Damages
 3.2.4, 3.18, 8.3.3, 9.5.1, 9.6.7, 10.2.5, 10.3.3, 11.3, 11.3.2, 14.2.4, 15.1.7
 Claims Subject to Arbitration
 15.4.1
Cleaning Up
3.15, 6.3
 Commencement of the Work, Conditions Relating to
 2.2.1, 3.2.2, 3.4.1, 3.7.1, 3.10.1, 3.12.6, 5.2.1, 5.2.3, 6.2.2, 8.1.2, 8.2.2, 8.3.1, 11.1, 11.2, **15.1.5**
Commencement of the Work, Definition of
8.1.2
Communications
 3.9.1, **4.2.4**
 Completion, Conditions Relating to
 3.4.1, 3.11, 3.15, 4.2.2, 4.2.9, 8.2, 9.4.2, 9.8, 9.9.1, 9.10, 12.2, 14.1.2, 15.1.2
COMPLETION, PAYMENTS AND
9
 Completion, Substantial
 3.10.1, 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2
 Compliance with Laws
 2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14.1.1, 14.2.1.3, 15.2.8, 15.4.2, 15.4.3
 Concealed or Unknown Conditions
 3.7.4, 4.2.8, 8.3.1, 10.3

Conditions of the Contract
 1.1.1, 6.1.1, 6.1.4
 Consent, Written
 3.4.2, 3.14.2, 4.1.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3, 13.2, 15.4.4.2
Consolidation or Joinder
15.4.4
CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
 1.1.4, **6**
Construction Change Directive, Definition of
7.3.1
Construction Change Directives
 1.1.1, 3.4.2, 3.11, 3.12.8, 4.2.8, 7.1.1, 7.1.2, 7.1.3, **7.3**, 9.3.1.1
 Construction Schedules, Contractor's
 3.10, 3.11, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2
Contingent Assignment of Subcontracts
5.4, 14.2.2.2
Continuing Contract Performance
15.1.4
Contract, Definition of
1.1.2
CONTRACT, TERMINATION OR SUSPENSION OF THE
 5.4.1.1, 5.4.2, 11.5, **14**
 Contract Administration
 3.1.3, 4, 9.4, 9.5
 Contract Award and Execution, Conditions Relating to
 3.7.1, 3.10, 5.2, 6.1
 Contract Documents, Copies Furnished and Use of
 1.5.2, 2.3.6, 5.3
Contract Documents, Definition of
1.1.1
Contract Sum
 2.2.2, 2.2.4, 3.7.4, 3.7.5, 3.8, 3.10.2, 5.2.3, 7.3, 7.4, **9.1**, 9.2, 9.4.2, 9.5.1.4, 9.6.7, 9.7, 10.3.2, 11.5, 12.1.2, 12.3, 14.2.4, 14.3.2, 15.1.4.2, **15.1.5**, **15.2.5**
Contract Sum, Definition of
9.1
 Contract Time
 1.1.4, 2.2.1, 2.2.2, 3.7.4, 3.7.5, 3.10.2, 5.2.3, 6.1.5, 7.2.1.3, 7.3.1, 7.3.5, 7.3.6, 7, 7.3.10, 7.4, 8.1.1, 8.2.1, 8.2.3, 8.3.1, 9.5.1, 9.7, 10.3.2, 12.1.1, 12.1.2, 14.3.2, 15.1.4.2, 15.1.6.1, 15.2.5
Contract Time, Definition of
 8.1.1
CONTRACTOR
3
Contractor, Definition of
3.1, **6.1.2**
Contractor's Construction and Submittal Schedules
3.10, 3.12.1, 3.12.2, 4.2.3, 6.1.3, 15.1.6.2
 Contractor's Employees

2.2.4, 3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, 10.2, 10.3, 11.3, 14.1, 14.2.1.1

Contractor's Liability Insurance

11.1

Contractor's Relationship with Separate Contractors and Owner's Forces

3.12.5, 3.14.2, 4.2.4, 6, 11.3, 12.2.4

Contractor's Relationship with Subcontractors

1.2.2, 2.2.4, 3.3.2, 3.18.1, 3.18.2, 4.2.4, 5, 9.6.2, 9.6.7, 9.10.2, 11.2, 11.3, 11.4

Contractor's Relationship with the Architect

1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2, 3.5.1, 3.7.4, 3.10, 3.11, 3.12, 3.16, 3.18, 4.2, 5.2, 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5, 9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.4, 15.1.3, 15.2.1

Contractor's Representations

3.2.1, 3.2.2, 3.5, 3.12.6, 6.2.2, 8.2.1, 9.3.3, 9.8.2

Contractor's Responsibility for Those Performing the Work

3.3.2, 3.18, 5.3, 6.1.3, 6.2, 9.5.1, 10.2.8

Contractor's Review of Contract Documents

3.2

Contractor's Right to Stop the Work

2.2.2, 9.7

Contractor's Right to Terminate the Contract

14.1

Contractor's Submittals

3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 9.2, 9.3, 9.8.2, 9.8.3, 9.9.1, 9.10.2, 9.10.3

Contractor's Superintendent

3.9, 10.2.6

Contractor's Supervision and Construction

Procedures

1.2.2, 3.3, 3.4, 3.12.10, 4.2.2, 4.2.7, 6.1.3, 6.2.4, 7.1.3, 7.3.4, 7.3.6, 8.2, 10, 12, 14, 15.1.4

Coordination and Correlation

1.2, 3.2.1, 3.3.1, 3.10, 3.12.6, 6.1.3, 6.2.1

Copies Furnished of Drawings and Specifications

1.5, 2.3.6, 3.11

Copyrights

1.5, **3.17**

Correction of Work

2.5, 3.7.3, 9.4.2, 9.8.2, 9.8.3, 9.9.1, 12.1.2, **12.2**, 12.3, 15.1.3.1, 15.1.3.2, 15.2.1

Correlation and Intent of the Contract Documents

1.2

Cost, Definition of

7.3.4

Costs

2.5, 3.2.4, 3.7.3, 3.8.2, 3.15.2, 5.4.2, 6.1.1, 6.2.3, 7.3.3.3, 7.3.4, 7.3.8, 7.3.9, 9.10.2, 10.3.2, 10.3.6, 11.2, 12.1.2, 12.2.1, 12.2.4, 13.4, 14

Cutting and Patching

3.14, 6.2.5

Damage to Construction of Owner or Separate Contractors

3.14.2, 6.2.4, 10.2.1.2, 10.2.5, 10.4, 12.2.4

Damage to the Work

3.14.2, 9.9.1, 10.2.1.2, 10.2.5, 10.4, 12.2.4

Damages, Claims for

3.2.4, 3.18, 6.1.1, 8.3.3, 9.5.1, 9.6.7, 10.3.3, 11.3.2, 11.3, 14.2.4, 15.1.7

Damages for Delay

6.2.3, 8.3.3, 9.5.1.6, 9.7, 10.3.2, 14.3.2

Date of Commencement of the Work, Definition of

8.1.2

Date of Substantial Completion, Definition of

8.1.3

Day, Definition of

8.1.4

Decisions of the Architect

3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 6.3, 7.3.4, 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4, 9.5.1, 9.8.4, 9.9.1, 13.4.2, 14.2.2, 14.2.4, 15.1, 15.2

Decisions to Withhold Certification

9.4.1, **9.5**, 9.7, 14.1.1.3

Defective or Nonconforming Work, Acceptance, Rejection and Correction of

2.5, 3.5, 4.2.6, 6.2.3, 9.5.1, 9.5.3, 9.6.6, 9.8.2, 9.9.3, 9.10.4, 12.2.1

Definitions

1.1, 2.1.1, 3.1.1, 3.5, 3.12.1, 3.12.2, 3.12.3, 4.1.1, 5.1, 6.1.2, 7.2.1, 7.3.1, 8.1, 9.1, 9.8.1, 15.1.1

Delays and Extensions of Time

3.2, **3.7.4**, 5.2.3, 7.2.1, 7.3.1, **7.4**, **8.3**, 9.5.1, **9.7**, 10.3.2, **10.4**, 14.3.2, **15.1.6**, 15.2.5

Digital Data Use and Transmission

1.7

Disputes

6.3, 7.3.9, 15.1, 15.2

Documents and Samples at the Site

3.11

Drawings, Definition of

1.1.5

Drawings and Specifications, Use and Ownership of

3.11

Effective Date of Insurance

8.2.2

Emergencies

10.4, 14.1.1.2, **15.1.5**

Employees, Contractor's

3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, 10.2, 10.3.3, 11.3, 14.1, 14.2.1.1

Equipment, Labor, or Materials

1.1.3, 1.1.6, 3.4, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1, 4.2.6, 4.2.7, 5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1, 10.2.4, 14.2.1.1, 14.2.1.2

Execution and Progress of the Work

1.1.3, 1.2.1, 1.2.2, 2.3.4, 2.3.6, 3.1, 3.3.1, 3.4.1, 3.7.1, 3.10.1, 3.12, 3.14, 4.2, 6.2.2, 7.1.3, 7.3.6, 8.2, 9.5.1, 9.9.1, 10.2, 10.3, 12.1, 12.2, 14.2, 14.3.1, 15.1.4

Extensions of Time

3.2.4, 3.7.4, 5.2.3, 7.2.1, 7.3, 7.4, 9.5.1, 9.7, 10.3.2, 10.4, 14.3, 15.1.6, **15.2.5**

Failure of Payment

9.5.1.3, **9.7**, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2

Faulty Work

(See Defective or Nonconforming Work)

Final Completion and Final Payment

4.2.1, 4.2.9, 9.8.2, **9.10**, 12.3, 14.2.4, 14.4.3

Financial Arrangements, Owner's

2.2.1, 13.2.2, 14.1.1.4

GENERAL PROVISIONS

1

Governing Law

13.1

Guarantees (See Warranty)

Hazardous Materials and Substances

10.2.4, 10.3

Identification of Subcontractors and Suppliers

5.2.1

Indemnification

3.17, **3.18**, 9.6.8, 9.10.2, 10.3.3, 11.3

Information and Services Required of the Owner

2.1.2, **2.2**, 2.3, 3.2.2, 3.12.10.1, 6.1.3, 6.1.4, 6.2.5,

9.6.1, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, 13.4.2,

14.1.1.4, 14.1.4, 15.1.4

Initial Decision

15.2

Initial Decision Maker, Definition of

1.1.8

Initial Decision Maker, Decisions

14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5

Initial Decision Maker, Extent of Authority

14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5

Injury or Damage to Person or Property

10.2.8, 10.4

Inspections

3.1.3, 3.3.3, 3.7.1, 4.2.2, 4.2.6, 4.2.9, 9.4.2, 9.8.3,

9.9.2, 9.10.1, 12.2.1, 13.4

Instructions to Bidders

1.1.1

Instructions to the Contractor

3.2.4, 3.3.1, 3.8.1, 5.2.1, 7, 8.2.2, 12, 13.4.2

Instruments of Service, Definition of

1.1.7

Insurance

6.1.1, 7.3.4, 8.2.2, 9.3.2, 9.8.4, 9.9.1, 9.10.2, 10.2.5,

11

Insurance, Notice of Cancellation or Expiration

11.1.4, 11.2.3

Insurance, Contractor's Liability

11.1

Insurance, Effective Date of

8.2.2, 14.4.2

Insurance, Owner's Liability

11.2

Insurance, Property

10.2.5, 11.2, 11.4, 11.5

Insurance, Stored Materials

9.3.2

INSURANCE AND BONDS

11

Insurance Companies, Consent to Partial Occupancy

9.9.1

Insured loss, Adjustment and Settlement of

11.5

Intent of the Contract Documents

1.2.1, 4.2.7, 4.2.12, 4.2.13

Interest

13.5

Interpretation

1.1.8, 1.2.3, **1.4**, 4.1.1, 5.1, 6.1.2, 15.1.1

Interpretations, Written

4.2.11, 4.2.12

Judgment on Final Award

15.4.2

Labor and Materials, Equipment

1.1.3, 1.1.6, **3.4**, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1,

5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1,

10.2.4, 14.2.1.1, 14.2.1.2

Labor Disputes

8.3.1

Laws and Regulations

1.5, 2.3.2, 3.2.3, 3.2.4, 3.6, 3.7, 3.12.10, 3.13, 9.6.4,

9.9.1, 10.2.2, 13.1, 13.3.1, 13.4.2, 13.5, 14, 15.2.8,

15.4

Liens

2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8

Limitations, Statutes of

12.2.5, 15.1.2, 15.4.1.1

Limitations of Liability

3.2.2, 3.5, 3.12.10, 3.12.10.1, 3.17, 3.18.1, 4.2.6,

4.2.7, 6.2.2, 9.4.2, 9.6.4, 9.6.7, 9.6.8, 10.2.5, 10.3.3,

11.3, 12.2.5, 13.3.1

Limitations of Time

2.1.2, 2.2, 2.5, 3.2.2, 3.10, 3.11, 3.12.5, 3.15.1, 4.2.7,

5.2, 5.3, 5.4.1, 6.2.4, 7.3, 7.4, 8.2, 9.2, 9.3.1, 9.3.3,

9.4.1, 9.5, 9.6, 9.7, 9.8, 9.9, 9.10, 12.2, 13.4, 14, 15,

15.1.2, 15.1.3, 15.1.5

Materials, Hazardous

10.2.4, 10.3

Materials, Labor, Equipment and

1.1.3, 1.1.6, 3.4.1, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1,

5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2,

10.2.1.2, 10.2.4, 14.2.1.1, 14.2.1.2

Means, Methods, Techniques, Sequences and

Procedures of Construction

3.3.1, 3.12.10, 4.2.2, 4.2.7, 9.4.2

Mechanic's Lien

2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8

Mediation

8.3.1, 15.1.3.2, 15.2.1, 15.2.5, 15.2.6, **15.3**, 15.4.1,

15.4.1.1

Minor Changes in the Work

1.1.1, 3.4.2, 3.12.8, 4.2.8, 7.1, **7.4**

MISCELLANEOUS PROVISIONS

13

Modifications, Definition of

1.1.1

Modifications to the Contract

1.1.1, 1.1.2, 2.5, 3.11, 4.1.2, 4.2.1, 5.2.3, 7, 8.3.1, 9.7, 10.3.2

Mutual Responsibility

6.2

Nonconforming Work, Acceptance of

9.6.6, 9.9.3, **12.3**

Nonconforming Work, Rejection and Correction of
2.4, 2.5, 3.5, 4.2.6, 6.2.4, 9.5.1, 9.8.2, 9.9.3, 9.10.4, 12.2

Notice

1.6, 1.6.1, 1.6.2, 2.1.2, 2.2.2., 2.2.3, 2.2.4, 2.5, 3.2.4, 3.3.1, 3.7.4, 3.7.5, 3.9.2, 3.12.9, 3.12.10, 5.2.1, 7.4, 8.2.2, 9.6.8, 9.7, 9.10.1, 10.2.8, 10.3.2, 11.5, 12.2.2.1, 13.4.1, 13.4.2, 14.1, 14.2.2, 14.4.2, 15.1.3, 15.1.5, 15.1.6, 15.4.1

Notice of Cancellation or Expiration of Insurance
11.1.4, 11.2.3

Notice of Claims

1.6.2, 2.1.2, 3.7.4, 9.6.8, 10.2.8, **15.1.3**, 15.1.5, 15.1.6, 15.2.8, 15.3.2, 15.4.1

Notice of Testing and Inspections
13.4.1, 13.4.2

Observations, Contractor's
3.2, 3.7.4

Occupancy

2.3.1, 9.6.6, 9.8

Orders, Written

1.1.1, 2.4, 3.9.2, 7, 8.2.2, 11.5, 12.1, 12.2.2.1, 13.4.2, 14.3.1

OWNER

2

Owner, Definition of

2.1.1

Owner, Evidence of Financial Arrangements

2.2, 13.2.2, 14.1.1.4

Owner, Information and Services Required of the

2.1.2, **2.2**, 2.3, 3.2.2, 3.12.10, 6.1.3, 6.1.4, 6.2.5, 9.3.2, 9.6.1, 9.6.4, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, 13.4.2, 14.1.1.4, 14.1.4, 15.1.4

Owner's Authority

1.5, 2.1.1, 2.3.32.4, 2.5, 3.4.2, 3.8.1, 3.12.10, 3.14.2, 4.1.2, 4.2.4, 4.2.9, 5.2.1, 5.2.4, 5.4.1, 6.1, 6.3, 7.2.1, 7.3.1, 8.2.2, 8.3.1, 9.3.2, 9.5.1, 9.6.4, 9.9.1, 9.10.2, 10.3.2, 11.4, 11.5, 12.2.2, 12.3, 13.2.2, 14.3, 14.4, 15.2.7

Owner's Insurance

11.2

Owner's Relationship with Subcontractors

1.1.2, 5.2, 5.3, 5.4, 9.6.4, 9.10.2, 14.2.2

Owner's Right to Carry Out the Work

2.5, 14.2.2

Owner's Right to Clean Up

6.3

Owner's Right to Perform Construction and to

Award Separate Contracts

6.1

Owner's Right to Stop the Work

2.4

Owner's Right to Suspend the Work

14.3

Owner's Right to Terminate the Contract

14.2, 14.4

Ownership and Use of Drawings, Specifications and Other Instruments of Service

1.1.1, 1.1.6, 1.1.7, **1.5**, 2.3.6, 3.2.2, 3.11, 3.17, 4.2.12, 5.3

Partial Occupancy or Use

9.6.6, **9.9**

Patching, Cutting and

3.14, 6.2.5

Patents

3.17

Payment, Applications for

4.2.5, 7.3.9, 9.2, **9.3**, 9.4, 9.5, 9.6.3, 9.7, 9.8.5, 9.10.1, 14.2.3, 14.2.4, 14.4.3

Payment, Certificates for

4.2.5, 4.2.9, 9.3.3, **9.4**, 9.5, 9.6.1, 9.6.6, 9.7, 9.10.1, 9.10.3, 14.1.1.3, 14.2.4

Payment, Failure of

9.5.1.3, **9.7**, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2

Payment, Final

4.2.1, 4.2.9, **9.10**, 12.3, 14.2.4, 14.4.3

Payment Bond, Performance Bond and

7.3.4.4, 9.6.7, 9.10.3, **11.1.2**

Payments, Progress

9.3, **9.6**, 9.8.5, 9.10.3, 14.2.3, 15.1.4

PAYMENTS AND COMPLETION

9

Payments to Subcontractors

5.4.2, 9.5.1.3, 9.6.2, 9.6.3, 9.6.4, 9.6.7, 14.2.1.2

PCB

10.3.1

Performance Bond and Payment Bond

7.3.4.4, 9.6.7, 9.10.3, **11.1.2**

Permits, Fees, Notices and Compliance with Laws

2.3.1, **3.7**, 3.13, 7.3.4.4, 10.2.2

PERSONS AND PROPERTY, PROTECTION OF

10

Polychlorinated Biphenyl

10.3.1

Product Data, Definition of

3.12.2

Product Data and Samples, Shop Drawings

3.11, **3.12**, 4.2.7

Progress and Completion

4.2.2, **8.2**, 9.8, 9.9.1, 14.1.4, 15.1.4

Progress Payments

9.3, **9.6**, 9.8.5, 9.10.3, 14.2.3, 15.1.4

Project, Definition of

1.1.4

Project Representatives
4.2.10
Property Insurance
10.2.5, 11.2
Proposal Requirements
1.1.1
PROTECTION OF PERSONS AND PROPERTY
10
Regulations and Laws
1.5, 2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4
Rejection of Work
4.2.6, 12.2.1
Releases and Waivers of Liens
9.3.1, 9.10.2
Representations
3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1
Representatives
2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1
Responsibility for Those Performing the Work
3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10
Retainage
9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3
Review of Contract Documents and Field Conditions by Contractor
3.2, 3.12.7, 6.1.3
Review of Contractor's Submittals by Owner and Architect
3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2
Review of Shop Drawings, Product Data and Samples by Contractor
3.12
Rights and Remedies
1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4
Royalties, Patents and Copyrights
3.17
Rules and Notices for Arbitration
15.4.1
Safety of Persons and Property
10.2, 10.4
Safety Precautions and Programs
3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4
Samples, Definition of
3.12.3
Samples, Shop Drawings, Product Data and
3.11, 3.12, 4.2.7
Samples at the Site, Documents and
3.11
Schedule of Values
9.2, 9.3.1
Schedules, Construction
3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2
Separate Contracts and Contractors
1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2

Separate Contractors, Definition of
6.1.1
Shop Drawings, Definition of
3.12.1
Shop Drawings, Product Data and Samples
3.11, 3.12, 4.2.7
Site, Use of
3.13, 6.1.1, 6.2.1
Site Inspections
3.2.2, 3.3.3, 3.7.1, 3.7.4, 4.2, 9.9.2, 9.4.2, 9.10.1, 13.4
Site Visits, Architect's
3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.5.1, 9.9.2, 9.10.1, 13.4
Special Inspections and Testing
4.2.6, 12.2.1, 13.4
Specifications, Definition of
1.1.6
Specifications
1.1.1, 1.1.6, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14
Statute of Limitations
15.1.2, 15.4.1.1
Stopping the Work
2.2.2, 2.4, 9.7, 10.3, 14.1
Stored Materials
6.2.1, 9.3.2, 10.2.1.2, 10.2.4
Subcontractor, Definition of
5.1.1
SUBCONTRACTORS
5
Subcontractors, Work by
1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7
Subcontractual Relations
5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1
Submittals
3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.2, 9.10.3
Submittal Schedule
3.10.2, 3.12.5, 4.2.7
Subrogation, Waivers of
6.1.1, 11.3
Substances, Hazardous
10.3
Substantial Completion
4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2
Substantial Completion, Definition of
9.8.1
Substitution of Subcontractors
5.2.3, 5.2.4
Substitution of Architect
2.3.3
Substitutions of Materials
3.4.2, 3.5, 7.3.8
Sub-subcontractor, Definition of
5.1.2
Subsurface Conditions
3.7.4

Successors and Assigns

13.2

Superintendent

3.9, 10.2.6

Supervision and Construction Procedures

1.2.2, **3.3**, 3.4, 3.12.10, 4.2.2, 4.2.7, 6.1.3, 6.2.4,

7.1.3, 7.3.4, 8.2, 8.3.1, 9.4.2, 10, 12, 14, 15.1.4

Suppliers

1.5, 3.12.1, 4.2.4, 4.2.6, 5.2.1, 9.3, 9.4.2, 9.5.4, 9.6,

9.10.5, 14.2.1

Surety

5.4.1.2, 9.6.8, 9.8.5, 9.10.2, 9.10.3, 11.1.2, 14.2.2,

15.2.7

Surety, Consent of

9.8.5, 9.10.2, 9.10.3

Surveys

1.1.7, 2.3.4

Suspension by the Owner for Convenience

14.3

Suspension of the Work

3.7.5, 5.4.2, 14.3

Suspension or Termination of the Contract

5.4.1.1, 14

Taxes

3.6, 3.8.2.1, 7.3.4.4

Termination by the Contractor

14.1, 15.1.7

Termination by the Owner for Cause

5.4.1.1, **14.2**, 15.1.7

Termination by the Owner for Convenience

14.4

Termination of the Architect

2.3.3

Termination of the Contractor Employment

14.2.2

TERMINATION OR SUSPENSION OF THE CONTRACT

14

Tests and Inspections

3.1.3, 3.3.3, 3.7.1, 4.2.2, 4.2.6, 4.2.9, 9.4.2, 9.8.3,

9.9.2, 9.10.1, 10.3.2, 12.2.1, **13.4**

TIME

8

Time, Delays and Extensions of

3.2.4, 3.7.4, 5.2.3, 7.2.1, 7.3.1, 7.4, **8.3**, 9.5.1, 9.7,

10.3.2, 10.4, 14.3.2, 15.1.6, 15.2.5

Time Limits

2.1.2, 2.2, 2.5, 3.2.2, 3.10, 3.11, 3.12.5, 3.15.1, 4.2,
5.2, 5.3, 5.4, 6.2.4, 7.3, 7.4, 8.2, 9.2, 9.3.1, 9.3.3,
9.4.1, 9.5, 9.6, 9.7, 9.8, 9.9, 9.10, 12.2, 13.4, 14,
15.1.2, 15.1.3, 15.4

Time Limits on Claims

3.7.4, 10.2.8, 15.1.2, 15.1.3

Title to Work

9.3.2, 9.3.3

UNCOVERING AND CORRECTION OF WORK

12

Uncovering of Work

12.1

Unforeseen Conditions, Concealed or Unknown

3.7.4, 8.3.1, 10.3

Unit Prices

7.3.3.2, 9.1.2

Use of Documents

1.1.1, 1.5, 2.3.6, 3.12.6, 5.3

Use of Site

3.13, 6.1.1, 6.2.1

Values, Schedule of

9.2, 9.3.1

Waiver of Claims by the Architect

13.3.2

Waiver of Claims by the Contractor

9.10.5, 13.3.2, **15.1.7**

Waiver of Claims by the Owner

9.9.3, 9.10.3, 9.10.4, 12.2.2.1, 13.3.2, 14.2.4, **15.1.7**

Waiver of Consequential Damages

14.2.4, 15.1.7

Waiver of Liens

9.3, 9.10.2, 9.10.4

Waivers of Subrogation

6.1.1, **11.3**

Warranty

3.5, 4.2.9, 9.3.3, 9.8.4, 9.9.1, 9.10.2, 9.10.4, 12.2.2,
15.1.2

Weather Delays

8.3, 15.1.6.2

Work, Definition of

1.1.3

Written Consent

1.5.2, 3.4.2, 3.7.4, 3.12.8, 3.14.2, 4.1.2, 9.3.2, 9.10.3,
13.2, 13.3.2, 15.4.4.2

Written Interpretations

4.2.11, 4.2.12

Written Orders

1.1.1, 2.4, 3.9, 7, 8.2.2, 12.1, 12.2, 13.4.2, 14.3.1

ARTICLE 1 GENERAL PROVISIONS

§ 1.1 Basic Definitions

§ 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

§ 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

§ 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

§ 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

The Specifications may describe (or the Drawings may show) the general placement required of materials or equipment, but the actual required placement may vary depending on the specific material or equipment used by the Contractor or the existing field conditions. The Contractor shall bear all direct and indirect costs associated with such variances.

Some Specifications may be written in a condensed outline form and omitted words shall be included by inference. If the Specifications identify a task, it shall mean the "Contractor shall furnish, install and complete" the identified task unless otherwise stated.

Reference to standard specifications, manuals or codes shall mean reference to the latest standard specification, manual or code in effect at the time of the execution of the Owner-Contractor Agreement, unless otherwise stated. When reference is made to a manufacturer, trade association, reference standard or similar source (such as ASTM, ASA, AISC, ACI, etc.) the standards or requirements of such entity shall be incorporated into the Specifications and have the force and effect as though they were set forth expressly. Upon entering into the Owner-Contractor Agreement, the Contractor acknowledges its familiarity with those references, codes, etc. The date of the referenced standard shall be the latest edition in effect at the time of the execution of the Owner-Contractor Agreement unless otherwise stated.

§ 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

§ 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results. In the event of inconsistencies within or between parts of the Contract Documents, the Contractor shall (1) provide the better quality of Work or (2) comply with the more stringent requirement; either or both in accordance with the Architect's interpretation. The terms and conditions of the Subparagraph 1.2.1, however shall not relieve the Contractor of any of the obligations set forth elsewhere in this Agreement. All work shall conform to the Contract Documents. No significant change therefrom shall be made without prior written authorization by the Owner. Where only part of the Work is indicated, similar parts shall be considered repetition. When any detail is shown and the components therefore are fully described, similar details shall be construed to require the same materials and construction. Items required by either the Drawings or the Specifications and not mentioned in the other shall be of like effect as if shown or mentioned in both. Should the Specifications and Drawings fail to particularly describe a product or material shown to be used in any place, the Contractor shall furnish the product that would normally be used in that place.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed nor to limit the scope of work performed by any trade or by any Subcontractor or supplier. Such separations shall not operate to make the Architect an arbiter to establish limits of work between Subcontractors or between Contractor and Subcontractor.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.2.4 Reference to "match existing" in Contract Documents refer to existing finishes, materials, details, and qualities which have been used in adjacent portions of existing facilities. Material designations or details not specifically shown shall either match existing or be similar in finish, material or quality to similar adjacent conditions.

§ 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Owner, Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Owner, Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

§ 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

§ 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™–2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

ARTICLE 2 OWNER

§ 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 Evidence of the Owner's Financial Arrangements

§ 2.2.1 Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner

provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

§ 2.2.2 Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

§ 2.2.3 Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

§ 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities as necessary to complete the Project.

§ 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 2.3.3 If the employment of the Architect terminates, the Owner shall employ a successor whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.3.6 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent

required by Section 6.1.3. Such order or stoppage by the Owner shall not constitute grounds for contract termination by the Contractor under Article 14 and shall not be the basis of Time Extensions by the Contractor under Article 8.3.

§ 2.5 Owner's Right to Carry Out the Work

§ 2.5.1 If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

§ 2.5.2 The rights stated in this Article 2 and elsewhere in the Contract Documents are cumulative and not in limitation of any rights of the Owner or Contractor (1) granted in the Contract Documents; (2) law; or (3) in equity.

§ 2.5.3 In no event shall the Owner have control over, charge of, or any responsibility for construction means, methods, techniques, sequences, or procedures or for safety precautions and programs in connection with the Work. The owner assumes no responsibility for liability for the safety of the Project site. The Contractor shall be solely responsible for providing a safe place for the performance of the Work; provided that the Owner shall be responsible for, and the Contractor shall upon discovery notify the Owner of, any unsafe condition created by the Owner.

ARTICLE 3 CONTRACTOR

§ 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

§ 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

The Contractor shall rely on its own knowledge and its review and interpretation of the Contract Documents and data provided in entering into the Contract and not the representations of the Owner or other persons. The Contractor acknowledges that quantities provided in the Contract Documents are estimates only and Contractor shall not seek additional compensation or adjustment in price based on a variation in actual quantities.

Prior to execution of the Contract, the Contractor and each Subcontractor shall evaluate and satisfy themselves as to the conditions and limitations under which the Work is to be performed, including, without limitation, (i) the location, condition, layout, and nature of the Project site and surrounding areas, (ii) generally prevailing climatic conditions, (iii) anticipated labor supply and costs, and (iv) availability and cost of materials, tools, and equipment.

The location of existing features shown on plans is intended for general information only. The Contractor, alone, is responsible for accurate determination of the location of all structures, and shall not be entitled to any extra payment for discrepancies between the Work as shown in the Contract Documents and existing conditions.

The locations, depths and data as to underground conditions have been obtained from records, surface indications and data furnished by others. Information furnished is solely for the convenience of the Contractor without any warranty, expressed or implied as to its accuracy or completeness. The Contractor shall verify all existing conditions prior to commencing the Work. The Contractor shall make no claim against the Owner or Architect with respect to the accuracy or completeness of such information if the conditions found after commencement of the Work are different from those as indicated.

The Contractor shall be solely responsible for the conditions which develop during construction and in the event any structure is dislocated, or over strained, or damaged so as to affect its usefulness, the Contractor shall correct or repair any dislocations, over strains or damages caused.

The Contractor is responsible for restoration and/or repair of utilities, private property, buildings, pavement, walkways, roads, etc. damaged by its activities during the performance of its Work.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

The Contractor shall assume full responsibility for accuracy of measurements obtained at the site. No extra compensation will be allowed because of differences between actual measurements and dimensions indicated on the Drawings, nor for Contractor's failure to coordinate work with actual field measurements.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.2.5 The Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and shall make no changes or relocations without the prior written approval of the Owner. The Contractor shall report to the Architect whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points by professionally qualified personnel.

§ 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite

safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.3.4 The Contractor shall employ a licensed surveyor to locate and stake out the Work and establish necessary reference and bench marks. The contractor shall work from established bench marks and reference points, layout and correctly establish all lines, levels, grades and locations of all parts of their own Work and be responsible for their accuracy and proper correlation with Work and established data.

§ 3.3.5 Prohibitions: There shall be no use of tobacco products, alcohol or illegal drugs at the construction site. No weapons are permitted at the construction site. Contractor and its agents shall refrain from the use of profanity or dressing in any way that is disrespectful or harassing to legally protected groups, including but not limited to race, color, sex, age, disability, religion, national orientation or sexual orientation.

§ 3.4 Labor and Materials

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

- .1** All materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned in accordance with the instructions of the applicable manufacturer, fabricator, supplier or distributor, except as otherwise provided in the Contract Documents.
- .2** Contractor shall confine construction equipment, the storage of materials and equipment and the operations of all workers to areas permitted by law, ordinances, permits or the Contract Documents, and shall not disturb the premises more than required for the proper performance of the Work and/or permitted by the Owner.
- .3** Contractors and Subcontractors warrant that they have good title to all materials used in performing Work on this Contract.

§ 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

After the Contract has been executed, the Owner and Architect will consider requests for the substitution of products in place of those specified only if the Contractor satisfies the procedural requirements set forth in the General Requirements (Division 01) of the Specifications. By making requests for substitutions, the Contractor:

- .1** Represents that it has personally investigated the proposed substitute product and determined that it is equal or superior in all respects to that specified;
- .2** Represents that it will provide the same warranty for the substitution as it would have provided for the product specified;
- .3** Certifies that the cost data presented is complete and includes all related costs for the substituted product and for Work that must be changed as a result of the substitution, except for the Architect's redesign costs, and waives all claims for additional costs related to the substitution that may subsequently be incurred by the Contractor; and
- .4** Shall coordinate the installation of the accepted substitute, making such changes as may be required for the Work to be complete in all respects.

§ 3.4.2.1 The Owner shall be entitled to reimbursement from the Contractor for amounts paid to the Architect for reviewing the Contractor's proposed substitutions and making agreed upon changes in the Drawings and Specifications resulting from such substitutions. The Owner may seek reimbursement pursuant to the procedures set forth in § 9.5.1.

§ 3.4.2.2 The Contractor shall bear all expenses resulting from substitutions including the cost General Conditions as well as any structural, plumbing, mechanical and electrical trade costs made necessary by the substitution.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.4.4 The Owner shall have the right, but not the obligation, to require the Contractor to remove and replace, with a person acceptable to Owner, promptly after notice from Owner, any employee of Contractor or Subcontractor who: (1) has engaged in conduct on Owner's property that is contrary to the requirements of any applicable law, the Contract Documents, or any rule or directive of Owner relating to conduct on Owner's property; or (2) is incapable of fulfilling its responsibilities in connection with the Project.

§ 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

§ 3.6 Taxes

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

<Keep the following for NC Public Work>

§ 3.6 Taxes

§ 3.6.1 The Contractor shall provide the Owner with two (2) notarized invoices with an itemized listing and supporting data for all such taxes paid, and the Owner shall reimburse the Contractor or such payments. Supporting documentation shall be in conformance with requirements of the State in which the Project is located.

<Keep the following for New York Public Work>

§ 3.6 Taxes

§ 3.6.1 Owner is exempt from payment of New York State, and Local Sales and Compensation Use Taxes on all supplies and materials incorporated into and becoming an integral component part of the structures, buildings, or real property pursuant to this Contract. Such taxes are therefore not to be included in the Contractor's bid or Contract Sum. Owner shall deliver to Contractor the appropriate exemption certificate required to be supplied by the Owner, and Contractor and its Subcontractors and materialmen shall be solely responsible for obtaining and delivering any and all exemption or other certificates and for furnishing a Contractor Exempt Purchase Certificate or other appropriate certificates to all persons, firms, or corporations from whom they purchase supplies, materials, and equipment for the performance of the Work.

§ 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

- .1 The Contractor shall promptly deliver copies of such documents to the Owner.
- .2 If in connection with the Project, the Owner has obtained certain permits, licenses or agreements for the Project, the Owner will furnish copies of these documents to the Contractor. It is the Contractor's responsibility to comply with any conditions or limitations placed on the Project by these permits. The Contractor shall fully cooperate with the Owner in meeting the permit requirements and accommodations of regulatory inspections / directives.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work. If the Contractor fails to give such notices as applicable to the performance of the Work, the Contractor shall be liable for and shall indemnify and hold harmless the Owner against any and all resulting fines, penalties, judgments or damages, including reasonable attorney fees, imposed on or incurred by the parties indemnified, as a result of such failure by the Contractor

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.7.6 Upon completion of the Work, the Contractor shall deliver to the Architect original copies of all required final certificates of inspection, the Certificate of Occupancy, the other documents evidencing that inspections required by authorities having jurisdiction over the Work have been performed

§ 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

The Contractor's superintendent shall not be removed from this Project until the Project punch list has been completed and the Project has been accepted by the Owner. Unless approved otherwise by the Owner in advance, the Contractor's superintendent shall be assigned solely to this Project and shall not perform any duties or superintendence on any other Project until completion of this Project.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.1.1 The Construction Schedule shall be a Critical Path Method (CPM) type of schedule, consisting of: (1) a single critical path delineation and other sequencing, and early and late start, float, and completion dates for each activity; and (2) milestones, interrelationships, and restraints for all activities, including Owner-awarded contracts through the date of Project completion. The Construction Schedule must show all activities necessary for Substantial and Final Completion as defined in Section 9.8, Section 9.10, and elsewhere in the Contract Documents.

§ 3.10.1.2 When the Construction Schedule is complete, the Contractor, after consultation with all Subcontractors and material suppliers, shall confirm in writing to the Architect that the Construction Schedule is reasonable and achievable by the Contractor, subject to any extensions of time as provided for elsewhere in the Contract Documents. The Contractor shall thereafter give prompt specific notice to the Owner and the Architect of any change in the logic of the Construction Schedule or any part thereof, the removal of any restraints, or the reduction of any durations.

§ 3.10.1.3 Periodic meetings will be held at least monthly or at more frequent times, as required by the Work, to assess the state of the completion of the Project and to update the Construction Schedule as necessary. In advance of each such meeting, Contractor shall provide Owner a written status report identifying whether the Work is on

schedule in accordance with the Construction Schedule or whether there are anticipated or potential delays to any critical path elements in the construction of the Work (in which event Contractor shall provide notice and an analysis as reasonably requested by Owner)

§ 3.10.1.4 The Construction Schedule shall be revised at least monthly or at more frequent times as required by conditions of the Work, and shall provide for expeditious and practicable execution of the Work consistent with the Contract Time. The Architect and Owner shall be provided copies of the Construction Schedule as periodically updated and in electronic format, as maintained by the Contractor.

§ 3.10.1.5 In the event that any updated Construction Schedule indicates a projected Substantial Completion date that is more than thirty (30) days after the required Substantial Completion date (as the same may be extended by Change Order for Excusable Delay), the Owner shall have the right to direct the Contractor to take corrective measures necessary to expedite the progress of construction, including, without limitation, (1) working additional shifts or overtime, (2) supplying additional manpower, equipment, facilities, (3) rescheduling activities, and (4) other similar measures (hereinafter referred to collectively as "Recovery Measures"). Such Recovery Measures shall continue until the progress of the Work complies with the state of completion required by the Construction Schedule. The Owner's right to require Recovery Measures is solely for the purpose of ensuring the Contractor's compliance with the Construction Schedule.

- .1 The Contractor shall not be entitled to seek an adjustment in the Contract Sum in connection with Recovery Measures required by the Owner, unless they are incurred by Contractor as directed in writing by Owner to mitigate or offset Excusable Delay.
- .2 The Owner may exercise the rights furnished to the Owner under or pursuant to this Subparagraph 3.10.1.5 as frequently as is reasonably necessary to ensure that the Contractor's performance of the Work will comply with any milestone date or completion date set forth in the Construction Schedule.

§ 3.10.1.6 The Contractor is solely responsible for the timing, sequencing coordination, and supervision of the work in accordance with the approved Construction Schedule. Review or approval of the initial Construction Schedule and subsequent reviews of the Construction Schedule by the Architect and Owner do not operate to imply agreement by the Architect or Owner that the means and methods of planning of the Work utilized by the Contractor are adequate or will accomplish the Work in the time shown on the Construction Schedule. The Contractor shall take all actions necessary to ensure the Work's successful planning and execution within the stipulated Contract Time. Additionally, review or approval of the Construction Schedule by the Owner or its consultants shall not make the Owner or its consultants responsible for Contractor's scheduling obligations or the accuracy of the Construction Schedule prepared by the Contractor.

§ 3.10.1.7 The Contractor represents to the Owner that the initial Construction Schedule and all subsequent Construction Schedules (including updates and amendments) have been prepared in good faith and are accurate to the best of the Contractor's knowledge.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's review. The Architect's review shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a contract with the Contractor.

§ 3.10.4 The Owner shall have the reasonable right to direct postponement or rescheduling of any date or time for the performance of any part of the Work that may interfere with the operation of the Owner's premises or any tenants or invitees, thereof. The Contractor shall, upon the Owner's reasonable request, reschedule any portion of the Work affecting operation of the premises during hours when the premises are not in operation. Any postponement, rescheduling, or performance of the Work under this Subparagraph 3.10.5 may be grounds for an extension of the Contract Time, if permitted under Subparagraph 8.3.1, and an equitable adjustment in the Contract Sum if (1) the

performance of the Work was properly scheduled by the Contractor in compliance with the requirements of the Contract Documents, and (2) such rescheduling or postponement is required by the Owner.

§ 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the Architect's reviewed Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

§ 3.12 Shop Drawings, Product Data and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged. Contractor shall submit samples requiring color or finish selection in a single, coordinated submittal. The Architect will issue no color or finish schedule until all samples and other data necessary for making complete color selections for the project are received.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule reviewed by the Architect. The Architect shall have no responsibility to review any Shop Drawings, Product Data, Samples or similar submittals unless and until the Contractor has submitted and received back from the Architect approved reviewed submittal schedule as required under Section 3.10.2. In addition, it is not the Architect's responsibility to ensure that all required Shop Drawings, Product Data, Samples or similar submittals that are required to be submitted and reviewed under the Contract Documents are submitted by the Contractor. Submissions of Shop Drawings, Product Data, Samples or similar submittals is solely the Contractor's responsibility.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been reviewed and commented on by the Architect.

§ 3.12.8 The Work shall be in accordance with reviewed submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's review of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has indicated in writing that there is no exception to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has

been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's review thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's action on a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall cause such services or certifications to be provided by a properly licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor all performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review, and take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Contractor shall not be responsible for the adequacy of the performance and design criteria specified in the Contract Documents.

§ 3.12.10.1 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

§ 3.12.11 The Architect's review of the Contractor's submittals will be limited to examination of an initial submittal and one (1) resubmittal. The Owner is entitled to obtain reimbursement from the Contractor for amounts paid to the Architect for evaluation of additional resubmittals.

§ 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

- .1** Due to the site constraints, only materials and equipment that are to be used in the Work shall be brought to and stored on the Project site by the Contractor. After materials and equipment are no longer required for the Work, they shall be promptly removed from the Project site. Protection of materials and equipment stored at the Project site from weather, theft, damage, and all other adversity is solely the responsibility of the Contractor. The Contractor shall ensure that the Work, at all times, is performed in a manner that affords reasonable access, both vehicular and pedestrian, to the site of the Work and adjacent areas.
- .2** The Contractor shall not permit any workers to use existing facilities at the Project site, including, without limitation, lavatories, entrances and parking areas other than those designated and approved by the Owner.
- .3** The Contractor shall comply with all rules and regulations promulgated by the Owner in connection with the use and occupancy of the Project site and the Building, as amended from time to time. The Contractor shall immediately notify the Owner in writing if during the performance of the Work, the Contractor finds compliance with any portion of such rules and regulations to be impracticable, setting forth the problems of such compliance and suggesting alternatives through which the same results intended by such portions of the rules and regulations can be achieved. The Owner may, in the Owner's sole discretion, adopt such suggestions, develop new alternatives, or require compliance with the existing requirements of the rules and regulations.

§ 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor without written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.14.3 All cutting and patching work shall be done by the Contractor (or through the appropriate Subcontractor). Patches in finish surfaces shall match the adjacent surfaces in material, finish, detail, and quality. Patches in fire rated construction or construction required to be smoke tight shall be made in conformance with assemblies designed and tested by agencies recognized by governing codes. Any UL rated fire safing materials, flanges, or other materials required by Code, the Contract Documents, or manufacturers installation instructions for devices penetrating the work affected shall be applied and installed by an approved firestop subcontractor or qualified personnel from the applicable trade.

§ 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall lawfully remove and dispose of waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, or if not specified in the Contract Documents, then within 48 hours of an Owner request, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

§ 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

§ 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the

indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner and Architect. Consent shall not be unreasonably withheld.

§ 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.2.1 The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for site visits made necessary by the fault of the Contractor to maintain the Project Schedule or for defects and deficiencies in the Work. The Owner may seek reimbursement pursuant to the procedures set forth in § 9.5.1.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 Communications

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work. All costs made

necessary by such failure, including those of repeated procedures shall be at Contractor's sole expense, including reasonable compensation for Architect's services and expenses.

§ 4.2.7 The Architect will review the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken with the most recently reviewed submittal schedule or, in the absence of a submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's review of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct site visits to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

- .1 The Contractor's request for information shall be prepared and submitted in accordance with the General Requirements (Division 01 of the Specifications) on the form included therein or as otherwise approved in advance. The Architect will return requests for information that do not conform to requirements of the Contract Documents.
- .2 The Architect's response to a request for information (RFI), or issuance of a clarification or interpretation shall be considered an interpretation, clarification, supplemental information or an order for a minor change in the Work not involving an adjustment in Contract Sum or extension of Contract Time and not inconsistent with the intent of the Contract Documents, and shall be binding, unless indicated otherwise in the Architect's response to the RFI.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, but prior to the first Application for Payment, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

The listing required by this Section shall be submitted to the Architect no later than 30 days from the date of the Agreement. This list shall include the names of manufacturers, suppliers, and installers proposed for each of the products, equipment, and materials to be incorporated into the project.

The Contractor shall furnish upon request adequate data on any named entity on the list in order to permit the Architect and the Owner to conduct a proper evaluation. Failure to object to a manufacturer shall not constitute a waiver of any of the requirements of the Contract Documents and all products furnished by the listed manufacturer must conform to such requirements.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor

will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.3.1 The division of the Specifications into sections is not intended to control the Contractor in dividing the work among subcontractors nor to limit the scope of work performed by any trade under a given section. The Architect will not undertake to settle any differences between the Contractor and its Subcontractors as to the responsibility for completing all Work in the Specifications. It shall be entirely the Contractor's responsibility to properly coordinate and complete all the Work described in the Specifications whether performed by the Contractor or its Subcontractors.

§ 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract, provided that the Owner shall not be under any obligation to compensate the Subcontractor with respect to amounts that the Owner has already paid to the Contractor for such Subcontractor's work.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity.

§ 5.4.4 Nothing in the Contract Documents shall be deemed to create any contractual relationship between any Subcontractor of any tier and the Owner, or between the General Contractor or Subcontractor of any tier and the Architect.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate

Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

§ 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

ARTICLE 7 CHANGES IN THE WORK

§ 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.1.4 Unless otherwise agreed to in writing by the Owner and the Contractor, the combined overhead and profit that shall be included in the total cost (or credit) to the Owner for a Change in the Work shall be based on the following schedule:

- .1** For the Contractor, for Work performed by the Contractor's own forces:
 - a. 15% on the first \$25,000 of the change order direct cost of self-performed work,
 - b. 10% on the portion of the change order direct cost of self-performed work between \$25,000 and \$50,000 and
 - c. 7.5% on the portion of the change order direct cost of self-performed work between \$50,000 and \$200,000 and
 - d. 5% on the portion of the change order direct cost of self-performed work greater than \$200,000.

- .2 For the Contractor, for Work performed by the Contractor's Subcontractor five percent (5%) of the amount due the Subcontractor.
- .3 For each Subcontractor involved, for Work performed by that Subcontractor's own forces, fifteen percent (15%) of the cost.
- .4 For each Subcontractor involved, for Work performed by the Subcontractor's Sub-subcontractors, five percent (5%) of the amount due the Sub-subcontractor.
- .5 Cost to which overhead and profit is to be applied shall be determined in accordance with Section 7.3.7 and shall be itemized (including labor costs).

§ 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.2.2 A Change Order, when issued, shall be full compensation, or credit, for the extra Work performed, omitted, or substituted. It shall show on its face, any adjustment in time for completion of the Project as a result of the Change in the Work. Each Change Order shall include all costs related thereto, including all overhead, miscellaneous expenses, and incidentals.

§ 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.
- .5 Calculation of overhead and profit shall be consistent with Section 7.1.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in Section 7.1.4. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum and/or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and/or Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured in accordance with Section 7.1.4.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

ARTICLE 8 TIME

§ 8.1 Definitions

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

In the event that the Owner, the Contractor or the Architect is delayed or hindered in or prevented from the performance of any act required by the Contract Documents by reason of a labor dispute, fire, failure of power, unusual delay in deliveries, adverse weather conditions not reasonably anticipatable, unavoidable casualties or other causes of a like nature beyond the Owner's, the Contractor's or the Architect's control, the Contractor (or its Subcontractors) shall not be entitled to any additional compensation.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15; however, The Contractor's Claims, if any, for any increase in Contract Time must be made in accordance with the time requirements of this Section. Claims for an increase in Contract Time must be made in writing to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims must be initiated within seven (7) days after the Contractor has notice of the delay (initial notice). Thereafter, the Contractor must provide full details and support documentation with regard to the cause of the delay within twenty-one (21) days of the initial notice of the delay. If either the initial notice or the supporting documentation is not submitted to the Initial Decision Maker with a copy to the Architect, if the Architect is not the Initial Decision maker, in writing within the time periods prescribed in this Section, the Claim for an increase in Contract Time shall be waived. If the cause for the delay is a continuing one then only one Claim is necessary. The Contractor's supporting documentation to the Initial Decision Maker and/or Architect shall include an estimate of cost, if any, and of the probable effect of the delay on the progress of the Work and the Project Schedule.

§ 8.3.3 Unless expressly provided otherwise in the Contract Documents, an extension of the Contract Time, to the extent permitted under Subparagraph 8.3.1 shall be the sole remedy of the contractor for any (1) delay in the commencement, prosecution, or completion of the Work, (2) hindrance or obstruction in the performance of the work, (3) loss of productivity, or (4) other similar claims (collectively referred to in this Subparagraph 8.3.3 as "Delays") whether or not such Delays are foreseeable unless a Delay is caused by acts of the Owner or Architect, or of an employee of either, or of a separate contractor employed by the Owner (an "Owner-Caused Delay"), in which case the Contractor shall also be entitled to an equitable adjustment of the Contract Sum provided that the Contractor provides to the Owner written notice of such Owner-Caused Delay within ten (10) days of the occurrence of the event giving rise to such Owner-Caused Delay or within ten (10) days after the Contractor first recognizes the condition giving rise to such Owner-Caused Delay, whichever is later.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used

as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

§ 9.2.1 The Contractor and each Subcontractor shall prepare a trade payment breakdown for the work for which it is responsible, such breakdown being submitted on a uniform standardized form reasonably approved by the Architect and Owner (AIA G703). The form shall be divided in detail sufficient to exhibit area, floors, and/or sections of the Work, and/or by convenient units and shall be updated as required by either the Owner or the Architect as necessary to reflect (1) description of Work (listing labor and material separately), (2) total value, (3) percent of the work completed to date, (4) value of the work completed to date, (5) percent of previous amount billed, (6) previous amount billed, (7) current percent completed, and (8) value of Work completed to date. Any trade breakdown that unreasonably fails to include sufficient funds shall be withheld from future Applications for Payment to ensure an adequate reserve (including of normal retainage) to complete the Work.

§ 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

The form Application for Payment, duly notarized, shall be the most recent authorized edition of AIA Document G702, Application and Certificate for Payment, supported by the most recent authorized edition of AIA Document G703, Continuation Sheet.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.1.3 Each Application for Payment shall be submitted electronically and in four (4) hard copies and shall be accompanied by the following, in all form and substance reasonably satisfactory to the Owner; (1) a current conditional Contractor's waiver of claims and liens, and duly executed an acknowledged sworn statement showing all Subcontractors and material suppliers with whom the Contractor has entered into subcontracts, the amount of each such subcontract, the amount requested for any Subcontractor and material supplier in the requested progress payment, and the amount to be paid to the Contractor from such progress payment together with similar sworn statements from all such subcontractors and material suppliers; (2) duly executed unconditional waivers of claims and liens from all Subcontractors and, when appropriate, from material suppliers and lower tier Subcontractors establishing payment or satisfaction of payment of all amounts requested by the Contractor on behalf of such entities or information and materials required to comply with the requirements Contract Documents or reasonably requested by the Owner or the Architect or required by the Owner's title insurer.

§ 9.3.1.4 Until Substantial Completion, the Owner shall pay the Contractor ninety percent (90%) of the amount due the Contractor.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site. Such payment

by the Owner for materials, equipment, fixtures and supplies stored on or off the Site shall not relieve the Contractor of its responsibility to provide reasonable protection of said materials, equipment, fixtures and supplies until their incorporation into the Work.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.3.3.1 The Contractor further expressly undertakes to defend the Owner, against any actions, lawsuits, or proceedings brought against the Owner as a result of liens related to the Work unless the reason for the lien is the nonpayment by the Owner to the Contractor in accordance with the Contract Documents (referred to as "liens" in this Subparagraph). The Contractor hereby agrees to indemnify and hold the Owner harmless against any such liens or claims of liens and agrees to pay any final judgment or lien if the reason for the judgment or lien is the nonpayment by the Owner to Contractor in accordance with the Contract Documents.

§ 9.3.3.2 The Owner shall release any payments withheld due to a lien or claim of lien if the Contractor obtains security acceptable to the Owner or a lien discharge bond that is (1) issued by a surety acceptable to the Owner; (2) in form and substance satisfactory to the Owner, and (3) in an amount required by law to release such lien claim. By posting a lien discharge bond or other acceptable security, however, the Contractor shall not be relieved of any responsibilities or obligations under Subparagraph 9.3.3.1 including without limitation, the duty to defend and indemnify the Owner. The cost of any premiums incurred in connection with such bonds and security shall be the responsibility of the Contractor and shall not be part of, or cause any adjustment to, the Contract Sum.

§ 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to

such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;
- .7 repeated failure to carry out the Work in accordance with the Contract Documents; or
- .8 any other reasonable grounds for objection or withholding as provided in the agreement or as permitted by law.

§ 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld. The Owner shall not be deemed in default by reason of withholding payment while any conditions described in 9.5.1 remain.

§ 9.5.3 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its option, issue joint checks to the Contractor and to any Subcontractor for material and/or equipment suppliers to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Architect will reflect such payment on the next Certificate for Payment.

§ 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.2.1 The Contractor shall indemnify and hold the Owner harmless from laborers, mechanics and materialmen liens upon the Owner's properties or the premises upon which the work is located, arising out of the work performed or materials furnished by the Contractor or any of its Subcontractors or any material suppliers under the Contract.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4. The Owner shall have no obligation to pay or reimburse a Contractor for payments to material and equipment suppliers until materials and supplies have been delivered on site or to an offsite storage facility which is bonded and secured.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately, and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up.

§ 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use, and shall require that: (1) the Work is operational and usable for the purposes intended; and (2) all required governmental permits, approvals and temporary or permanent certificates of occupancy have been properly and validly issued. Substantial completion shall not be withheld due to Owner's failure to occupy or use based on any reason that is not the responsibility of the Contractor under the Contract Documents or is caused by circumstances beyond Contractor's control

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

- .1 The Architect will perform no more than two (2) inspections to determine whether the Work or a designated portion thereof has attained Substantial Completion in accordance with the Contract Documents. The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections pursuant to Section 9.5.1.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

The Certificate of Substantial Completion will not be issued until after the Architect and Owner have determined that: (1) the Work and all systems are operational and otherwise complete and ready for unobstructed, lawful use and occupancy by the Owner; (2) the governmental agency that issued the building permit has issued a certificate of occupancy; (3) all testing (including but not limited to TAB, Envelope, Commissioning, etc.) are completed and required corrections revealed by these tests are completed; (4) the Project has been accepted by each regulatory body having jurisdiction, and (5) the only items of Work remaining to be completed are of a minor nature such as touch-up, adjustments, testing, corrections, and omissions to be remedied, as may appear on the final list made during inspection by the Architect and Owner.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.10.1.1 The Architect will perform no more than two (2) inspections to determine whether the Work or a designated portion thereof has attained Final Completion in accordance with the Contract Documents. The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections. The Owner may seek reimbursement pursuant to Section 9.5.1.

§ 9.10.1.2 The final payment of retained amount due the Contractor on account of the Contract shall not become due until the Contractor has furnished to the Owner, through the Architect, completion documents as enumerated below, or as otherwise required in the Contract Documents.

- .1 One (1) hard copy and one electronic Record Set of Drawings showing actual construction of all portions of the Work and incorporating all changes and amendments thereto, as redlined against the 100% Construction Drawings.
- .2 Guarantees and Warranties required by specific Sections of the Specifications.

- .3 Release and Waiver of Claims, conditioned upon Final Payment, by the General Contractor, Subcontractors, Sub-subcontractors and materials suppliers.
- .4 All mechanical and electrical installation, operating and maintenance manuals called for under the Specifications.
- .5 All test reports and certifications required under the mechanical and electrical specifications.
- .6 All forms required to be completed by the Contractor by regulatory governmental agencies with two copies delivered to the Architect.
- .7 Shop Drawing submittals in accordance with Article 3.
- .8 A copy of the unconditional Occupancy Permit or Certificate of Compliance issued by the local Building Inspection Department have Jurisdiction, unless such is not issued for any reason that is not the responsibility of the Contractor under the Contract Documents or is caused by circumstances beyond Contractor's control.
- .9 Manufacturer's current detailed installation instructions for fire dampers, ceiling radiation dampers, smoke dampers, and duct smoke detectors as applicable to the Project
- .10 One (1) copy of the equipment operational and maintenance manuals.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.2.1 In the event that review, inspection or other action by regulatory agencies or other parties results in the imposition of fines, fees, or other costs due to the failure of the Contractor to comply with said applicable laws, ordinance, rules, regulations and lawful orders, the Contractor shall hold harmless the Owner, owner's Consultants, the Architect, and Owner's separate contractors, if any, from all consequences arising from the Contractor's non-compliance.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or

polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor.

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

§ 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 Contractor's Insurance and Bonds

§ 11.1.1 The Contractor shall purchase from and maintain in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located such insurance as will protect the Contractor from claims set forth below (and such insurance shall be from a company that is A rated or better by A.M Best Company) which may arise out of or result from the Contractor's operations and completed operations under the Contract and for which the Contractor may be legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable:

- .1 Claims under workers' compensation, disability benefit and other similar employee benefit acts that are applicable to the Work to be performed.
- .2 Claims for damages because of bodily injury, occupational sickness or disease, or death of the Contractor's employees;
- .3 Claims for damages because of bodily injury, sickness or disease, or death of any person other than the Contractor's employees;

- .4 Claims for damages insured by usual personal injury liability coverage;
- .5 Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
- .6 Claims for damages because of bodily injury, death of a person or property damage arising out of ownership, maintenance or use of a motor vehicle.
- .7 Claims for bodily injury or property damage arising out of completed operations; and
- .8 Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.

§ 11.1.2 The insurance required by Section 11.1.1 ~~or as described in the Agreement~~ (or other corresponding Exhibit setting forth the specific insurance requirements) shall be written for not less than limits of liability specified by the Owner or required by law, whichever coverage is greater. Coverages, whether written on an occurrence or claims-made basis, shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and, with respect to the Contractor's completed operations coverage, until the expiration of the period for correction of Work or for such other period for maintenance of completed operations coverage as specified in the Contract Documents.

§ 11.1.3 Certificates of insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance. These certificates and the insurance policies required by this Section 11.1 shall contain a provision that coverages afforded under the policies will not be canceled or allowed to expire until at least 30 days prior written notice has been given to the Owner. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment as required by Section 9.10.2 and thereafter upon renewal or replacement of such coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the Contractor with reasonable promptness.

§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within not less than twenty (20) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

§ 11.1.5 The Contractor shall cause the commercial liability coverage required by the Contract Documents to include (1) the Owner, the Architect and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations.

§ 11.1.6 INSURANCE REQUIREMENTS

INSERT HERE OR IN OWNER-CONTRACTOR AGREEMENT.

§ 11.1.7 PERFORMANCE BOND AND PAYMENT BOND

IF BOND INFORMATION IS TO BE FOUND ELSEWHERE (OWNER-CONTRACTOR AGREEMENT OR INSTRUCTION TO BIDDERS), OR NOT REQUIRED - MODIFY THIS SECTION.

§ 11.1.7.1 The Contractor shall furnish a Performance Bond and Labor and Material Payment Bond meeting all statutory requirements of the jurisdiction where the Project is located, in form and substance satisfactory to the Owner and, without limitation, complying with the following specific requirements:

- .1 Except as otherwise required by statute, the form and substance of such bonds shall be satisfactory to the Owner in the Owner's sole judgment.
- .2 Bonds shall be executed by a responsible surety licensed in the jurisdiction where the Project is located, with a Best's rating of no less than A/XII, and shall remain in effect for a period not less than two (2) years following the date of Substantial Completion or the time required to resolve any items of incomplete Work and the payment of any disputed amounts, whichever time period is longer.

- .3 The Performance Bond and the Labor and Material Payment Bond shall each be in an amount equal to the Contract Sum and all subsequent increases.
- .4 The Contractor shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of his power-of-attorney indicating the monetary limit of such power.
- .5 Every Bond under this Subparagraph 11.4.1 must display the Surety's Bond Number. A rider including the following provisions shall be attached to each Bond:
 - (i) The Surety hereby agrees that it consents to and waives notice of any addition, alteration, omission, change, or other modification of the Contract Documents. Any addition, alteration, change, extension of time, or other modification of the Contract Documents, or a forbearance on the part of either the Owner or the Contractor to the other, shall not release the Surety of its obligations hereunder, and notice to the Surety of such matters is hereby waived.
 - (ii) The Surety agrees that it is obligated under the bonds to any successor, grantee, or assignee of the Owner.
- .6 Bonds shall be written on AIA Document 312.
- .7 If the surety on any Bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of paragraph 11.4.1 Contractor shall within ten days thereafter substitute another Bond and surety, both of which must be acceptable to Owner.

§ 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

§ 11.2.2 Failure to Purchase Required Property Insurance. If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

§ 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required

by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

§11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

§ 12.2 Correction of Work

§ 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 After Substantial Completion

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.1.1 In all operations under the Contract, the Contractor agrees that it will comply with provisions of all State and Federal Laws (including OSHA) and all local ordinances which may affect such operations.

§ 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

§ 13.3 Rights and Remedies

§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

§ 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

§ 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work,

repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

§ 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.
- .5 fails to implement measures that will bring the work into conformity with the approved Project Schedule.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

§ 14.4.4 The Contractor shall include in each of its subcontracts a clause, similar in effect to the provisions in Paragraph 14.4, allowing the Contractor to terminate the subcontract for its sole convenience, subject only to the payment obligations set forth in Paragraph 14.4.3.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 Claims

§ 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

§ 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

§ 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

§ 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

§ 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary. The Contractor shall accompany the Claim with a written analysis with a proposed revision to the Schedule illustrating the claimed influence of the basis for delay on the critical path of the Work and the applicable deadlines that may be impacted. Contractor will exercise reasonable efforts to mitigate the potential impact of any delay but shall be compensated for any costs associated therewith.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction. The time for performance of this Contract, as set forth in the Construction Schedule, shall include an allowance for delays due to reasonably anticipated adverse weather for the area where the Work is located. For the purpose of establishing that abnormal adverse weather conditions have caused a delay, and determining the extent of delay attributed to such weather conditions, the Contractor shall furnish with its claim, National Oceanic and Atmospheric Administration (NOAA) National Weather Service records of climatic conditions during the same time interval for the previous five (5) years for the locality of the Work; the Contractor's daily job site logs/daily construction reports showing weather, job activities, and the effect of weather on the progress of the Work; and an impact schedule showing the effects of the weather event on the critical path of the Contractor's Construction Schedule. Time extensions for weather delays and related impact do not entitle the Contractor to extended overhead recovery or to any other monetary compensation associated with that claim unless approved in writing by the Owner.

§ 15.1.6.3 The Contractor shall not be entitled to a separate increase in the Contract Time for each one of the number of causes of delay which have concurrent or interrelated effects on the progress of the Work.

§ 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

DRAFT

SECTION 006000 - PROJECT FORMS AND RELATED DOCUMENTS

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section lists the project forms used for administration of the project as well as documents used for administration and logistics

1.2 FORMS

- A. The following forms are contained within the conditions of the contract section:
1. FRONT END SUBMISSION LOG
 2. PROJECT REQUEST FOR INFORMATION (RFI) FORM
 3. SUBCONTRACTOR LIST
 4. ALLOWANCE DISBURSEMENT FORM
 5. SUBSTITUTION REQUEST FORM
 6. SUBMITTAL COVER
 7. INFORMATION BULLETIN

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 PROCEDURES

- A. **Front End Submission Log:** This document is a checklist of the required submissions. Refer to Bidding Requirements, Section entitled "Instructions to Bidders" and Division 1, Specification Section entitled "SUBMITTAL PROCEDURES" for submission procedures.
- B. **Project Request For Information (RFI) Form:** This form is to be used for information requests. The forms are filled out by any party to the contract and sent to the Architect/Engineer. The Architect/Engineer shall number RFI before processing.
- C. **Subcontractor List:** This document is to be used identify subcontractors. The forms are filled out by each Prime Contractor for all proposed subcontractors and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"
- D. **Allowance Disbursement Form:** the Architect/Engineer shall issue this document after all parties have agreed to the conditions of change to be charged to the Allowance Amount in accordance with Division 1, section entitled "ALLOWANCES", if required.
- E. **Substitution Request Form:** This document is to be used for a Contractor to propose substitutions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES" and "PRODUCT REQUIREMENTS".
- F. **Submittal Cover:** This document is to be used for submittal submissions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"

- G. **Information Bulletin:** The Architect/Engineer shall issue this document for 3 actions.
1. PROPOSAL REQUEST: A quotations for changes in the Contract Sum and / or proposed modifications to the Contract Documents
 2. SUPPLEMENTAL INSTRUCTIONS: Instructions for changes to the Contract Documents without additional cost or time
 3. CONSTRUCTION CHANGE DIRECTIVE: A directive to immediately proceed with changes to the work of the contract and to submit final cost for inclusion into a Change Order

END OF SECTION 006000



FRONT END SUBMISSION LOG

PROJECT #15213.03 – OLV HUMAN SERVICES BAKER ACADEMY BUILDING IMPROVEMENTS

Contractor Name: _____

SUBMISSIONS

Submission	Date		Remarks
	Submitted	Approved	
Contract:			
Schedule of Values:			
Bonds:			
Insurance:			
Workers Compensation:			
Automobile Insurance:			
Safety Program:			
Schedule:			
Submittal Schedule:			
Emergency Contact:			
Substitution List:			
Subcontractor List:			
Project Manager:			
Superintendent:			

This log is to be used by the contractor to monitor and complete the required front-end submissions.

26 Mississippi Street
Buffalo, NY 14203
CPLTeam.com
716.852.2100 TEL
716.852.2120 FAX



REQUEST FOR INFORMATION

RFI #:

Date:

PROJECT #15213.03 – OLV HUMAN SERVICES BAKER ACADEMY BUILDING IMPROVEMENTS

Contractor Name: _____

To: _____ Firm: _____

From: _____

WE REQUEST YOUR ATTENTION (OR CONFIRMATION) REGARDING THE FOLLOWING:

Subject: _____

Location: _____

Information is Requested By: _____

MESSAGE: _____

Contractors Name: _____

By: _____ Date: _____

26 Mississippi Street
Buffalo, NY 14203
CPLTeam.com
716.852.2100 TEL
716.852.2120 FAX



SUBCONTRACTOR LIST

PROJECT #15213.03 – OLV HUMAN SERVICES

BAKER ACADEMY BUILDING IMPROVEMENTS

To: **CPL**
26 Mississippi St.
Buffalo, NY 14203

From:
(Contractor) _____

Contractors

No.: _____

Contract For: _____

List Subcontractors proposed for use on this Project as required by the Construction Documents.
Attach supplemental sheets if necessary.

Section
No.: _____ Section Title: _____
Firm
Name: _____ Contact: _____
Address: _____

Section
No.: _____ Section Title: _____
Firm
Name: _____ Contact: _____
Address: _____

Section
No.: _____ Section Title: _____
Firm
Name: _____ Contact: _____
Address: _____

Section
No.: _____ Section Title: _____
Firm
Name: _____ Contact: _____
Address: _____

Section
No.: _____ Section Title: _____

☐ Attachment(s)

Signed by: _____ Date: _____

Copies: ☐ Owner ☐ Consultants ☐ File
☐ ☐ ☐

26 Mississippi Street
Buffalo, NY 14203
CPLTeam.com
716.852.2100 TEL
716.852.2120 FAX



ALLOWANCE DISBURSEMENT AUTHORIZATION

Owner _____
Architect/Engineer _____
Contractor _____
Field _____
Other _____
Other _____

PROJECT #15213.03 – OLV HUMAN SERVICES

BAKER ACADEMY BUILDING IMPROVEMENTS

Allowance Disbursement No. _____ Initiation Date: _____

Contract For: _____

To Contractor: _____

Contract Date: _____

Not valid until signed by Owner, Architect/Engineer, [Construction Manager] and Contractor.

The Original Contract Allowance _____

Net Allowance Disbursements previously authorized _____

Charges to Contract Allowance as a result of this authorization _____

Current Contract Allowance Balance including this authorization _____

Owner: _____
(TLC Health Network)

Architect/Engineer: _____
(Clark Patterson Lee)

Contractor: _____

26 Mississippi Street
Buffalo, NY 14203
CPLTeam.com
716.852.2100 TEL
716.852.2120 FAX



SUBSTITUTION REQUEST FORM

PROJECT #15213.03 – OLV HUMAN SERVICES

BAKER ACADEMY BUILDING IMPROVEMENTS

To: **CPL** From: _____
(Contractor)

26 Mississippi St.
Buffalo, NY 14203

Re: _____ Substitution Request Number: _____

Contract For: _____

Specification Title: _____ Description: _____

Section Number: _____ Page: _____ Part/Paragraph: _____

Proposed Substitution: _____

Manufacturer: _____ Address: _____ Phone: _____

Trade Name: _____ Model No.: _____

Installer: _____ Address: _____ Phone: _____
History: ☐ New product ☐ 2-5 years old ☐ 5-10 yrs old ☐ More than 10 years old

Differences between proposed substitution and specified product: _____

☐ Point-by-point comparative data attached

Reason for not providing specified item: _____

Similar Installation:

Project: _____ Architect/Engineer: _____

Contractor: _____ Owner: _____

_____ Date Installed: _____

Proposed substitution affects other parts of Work: ☐ No
☐ Yes, explain _____

Savings to Owner for accepting substitution: _____ (\$ _____)

Proposed substitution changes Contract Time: ☐ Yes; explain ☐ No ☐ Yes [Add] [Deduct] _____ days

Supporting Data Attached: ☐ Drawings ☐ Product Data ☐ Samples ☐ Tests ☐ Reports ☐ _____

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted By: _____

Signed By: _____

Firm: _____

Address _____

Phone: _____

Attachments: _____

REVIEW AND ACTION

- ☐ Substitution approved - Make submittals in accordance with Specification Section 01330.
- ☐ Substitution approved as noted - Make submittals in accordance with Specification Section 01330.
- ☐ Substitution rejected - Use specified materials.
- ☐ Substitution Request received too late - Use specified materials.

Signed By: _____ Date: _____

Additional

Comments: ☐ Contractor ☐ Subcontractor ☐ Supplier ☐ Manufacturer ☐ Architect/Engineer

☐ _____



SUBMITTAL COVER

(Attach to each submitted)

Submittal No. Contractor only

Contractor: _____
Address: _____

Phone / Fax: () () _____

Architect Project Number: 15213.03
Contractors Number: _____
Project Name:
OLV HUMAN SERVICES
BAKER ACADEMY BUILDING
IMPROVEMENTS
Date returned: _____

TYPE OF SUBMITTAL

(Check one)

☐ Product Data	☐ Color Selection	☐ O&M Manual
☐ Shop Drawings	☐ Sample	☐ Record Document
☐ Other		

DATE OF SUBMITTAL: _____

RESUBMITTED: _____

NUMBER OF ATTACHED: _____

SUBSTITUTION

See General Conditions

☐ YES ☐ NO

PRODUCT IDENTIFICATION

Specification Section No.: _____
Contract Dwg. No.: _____
Product Name: _____
Part/Paragraph: _____
Detail Reference: _____
Manufacturer: _____

CONTRACTOR APPROVAL

Identify that this submittal has been reviewed
and approved by the Contractor in
accordance with the General Conditions

By: _____ Date: _____

Deviation from Contract Documents:

Contractor Comments:

FOR USE BY CPL

SHOP DRAWING

☐ No Exception Taken	☐ Revise & Resubmit
☐ Furnish as Corrected	☐ Rejected

Corrections or comments made on the shop drawings during this review do not relieve the Contractor from compliance with the requirement of the drawings and specifications. This check is only for review of general conformance with the design concept of the project and general compliance with the information given the contract documents. The Contractor is responsible for: confirming and correlating all quantities and dimensions; selecting fabrication processes and techniques of construction; coordinating his work with that of all other trades; and performing his work in safe satisfactory manner.

CPL

Date: _____ By: _____

Architect's Comments:

RECEIVED STAMP

26 Mississippi Street
Buffalo, NY 14203
CPLTeam.com
716.852.2100 TEL
716.852.2120 FAX



INFORMATION BULLETIN

PROJECT: OLV HUMAN SERVICES
BAKER ACADEMY BUILDING
IMPROVEMENTS

INFORMATION BULLETIN NO.:

DATE:

OWNER:

ARCHITECT'S PROJECT NO.: 15213.03

CONTRACTOR:

CONTRACT NO.:

CONTRACT DATE:

DESCRIPTION:

ATTACHMENT(S):

ACTION

- ☐ 1. *PROPOSAL REQUEST*: Submit an itemized quotation for changes in the Contract Sum and/or time required to implement the above proposed modifications to the Contract Documents. This is not authorization to proceed with the work.
- ☐ 2. *SUPPLEMENTAL INSTRUCTIONS*: Implement the above instructions without change to the Contract Sum and/or Time. Prior to proceeding, indicate acceptance below and return one copy to the Architect.
- ☐ 3. *CONSTRUCTION CHANGE DIRECTIVE*: Proceed with the above described changes to the Contract Documents immediately. Submit final costs and/or change in Contract Time for inclusion in a subsequent Change Order.

Methods: ☐ Lump Sum ☐ Unit Price ☐ Time & Material Not-to-Exceed

Change in Contract Sum of _____

Change in Contract Time of _____ days

ISSUED:

ACCEPTED:

AUTHORIZED:

BY: _____ BY: _____ BY: _____

Architect Date
Required for Actions 1,2,3

Owner Date
Required for Action 3

Contractor Date
Required for Actions 2,3

☐ Owner
☐ Contractor

☐ Architect
☐ Field

☐ Structural
☐ Mechanical/Electrical

☐ Civil
☐ Other (Roofing)

26 Mississippi Street
Buffalo, NY 14203
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716.852.2100 TEL
716.852.2120 FAX

SECTION 011000 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
1. Project information.
 2. Work covered by Contract Documents.
 3. Owner-furnished/contractor-installed products.
 4. Contractor's use of site and premises.
 5. Coordination with occupants.
 6. Work restrictions.
 7. Specification and Drawing conventions.
- B. Related Requirements:
1. Section 015000 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.
 2. Section 017300 "Execution" for coordination of Owner-installed products.

1.3 DEFINITIONS

- A. Work Package: A group of specifications, drawings, and schedules prepared by the design team to describe a portion of the Project Work for pricing, permitting, and construction.

1.4 PROJECT INFORMATION

- A. Project Identification: Baker Academy Building Improvements
1. Project Location: Baker Academy 650 Ridge Rd, Lackawanna, NY 14218.
- B. Owner: OLV Human Services, 790 Ridge Rd, Lackawanna, NY 14218.
- C. Owner's Representative: Karen Ralph-Langdon, Chief Facilities and Procurement Officer.
- D. Architect: Clark Patterson Lee dba CPL.
1. Architect's Representative: Michelle Ezzo, Project Manager, mobile: 716-220-3492, e-mail address mezzo@cplteam.com.
- E. Web-Based Project Software: Project software will be used for purposes of managing communication and documents during the construction stage.
1. See Section 013100 "Project Management and Coordination." for requirements for using web-based Project software.

1.5 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and includes, but is not limited to, the following:
1. The project consists of a 200 square foot of interior demolition and build out, including gypsum and metal stud partitions, hollow metal doors, interior finishes, mechanical, electrical, plumbing and fire protection.

- B. Type of Contract:
 - 1. Project will be constructed under a single prime contract.

1.6 CONTRACTOR'S USE OF SITE AND PREMISES

- A. Restricted Use of Site: Contractor shall have limited use of Project site for construction operations, as defined by the Owner and as indicated by requirements of this Section.
- B. Limits on Use of Site: Limit use of Project site to Work in area of construction. Do not disturb portions of Project site beyond areas in which the Work is indicated.
 - 1. Limits on Use of Site: Confine construction operations to Area of renovations. Staging area to be located in area mutually agreeable to Owner and Contractor. There will be very limited staging on the exterior.
 - 2. Driveways, Walkways and Entrances: Keep driveways, loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or for storage of materials.
 - a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
 - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weathertight condition throughout construction period. Repair damage caused by construction operations.
- D. Condition of Existing Grounds: Maintain portions of existing grounds, landscaping, and hardscaping affected by construction operations throughout construction period. Repair damage caused by construction operations.

1.7 COORDINATION WITH OCCUPANTS

- A. Full Owner Occupancy: Owner will occupy Project site and existing building(s) during entire construction period. School will be in session throughout the summer season. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits unless otherwise indicated.
 - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and approval of authorities having jurisdiction.
 - 2. Notify Owner not less than 72 hours in advance of activities that will affect Owner's operations.

1.8 WORK RESTRICTIONS

- A. Comply with restrictions on construction operations.
 - 1. Comply with limitations on use of public streets, work on public streets, rights of way, and other requirements of authorities having jurisdiction.
- B. On-Site Work Hours: Limit work to between 6:00 a.m. to 9:00 p.m., Monday through Friday, unless otherwise indicated. Work hours may be modified to meet Project requirements if approved by Owner and authorities having jurisdiction.
 - 1. Weekend Hours: 6:00 a.m. to 9:00 p.m.
 - 2. Hours for Utility Shutdowns: 3:00 p.m. – 7:30 a.m M-F. 8:00 a.m.- 8:00 p.m. Sat.

3. Hours where noise and disruption are to be minimized. 7:30 a.m. to 3:00 p.m.
(School is in session)
- C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging for temporary utility services according to requirements indicated:
 1. Notify Owner not less than 72 hours in advance of proposed utility interruptions.
 2. Obtain Owner's written permission before proceeding with utility interruptions.
- D. Noise, Vibration, Dust, and Odors: Coordinate operations that may result in high levels of noise and vibration, dust, odors, or other disruption to Owner occupancy with Owner.
 1. Notify Owner not less than two days in advance of proposed disruptive operations.
 2. Obtain Owner's written permission before proceeding with disruptive operations.
- E. Smoking and Controlled Substance Restrictions: Use of tobacco products and other controlled substances on Owner's property is not permitted.
- F. Employee Identification: Provide identification tags for Contractor personnel working on Project site. Require personnel to use identification tags at all times.

1.9 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
 2. Text Color: Text used in the Specifications, including units of measure, manufacturer and product names, and other text may appear in multiple colors or underlined as part of a hyperlink; no emphasis is implied by text with these characteristics.
 3. Hypertext: Text used in the Specifications may contain hyperlinks. Hyperlinks may allow for access to linked information that is not residing in the Specifications. Unless otherwise indicated, linked information is not part of the Contract Documents.
 4. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 00 Contracting Requirements: General provisions of the Contract, including General and Supplementary Conditions, apply to all Sections of the Specifications.
- C. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- D. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
 2. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings and published as part of the U.S. National CAD Standard.
 3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 011000

SECTION 012600 - CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Sections:
 - 1. Division 01 Section "Product Requirements" for administrative procedures for handling requests for substitutions made after Contract award.

1.2 MINOR CHANGES IN THE WORK

- A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time.

1.3 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
 - 1. Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
 - 2. Within time specified in Proposal Request or 10 days, when not otherwise specified, after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
 - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
 - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
 - c. Include costs of labor and supervision directly attributable to the change.
 - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.
 - 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
 - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
 - 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
 - 4. Include costs of labor and supervision directly attributable to the change.
 - 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

6. Comply with requirements in Division 01 Section "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.

1.4 ALLOWANCES

- A. Allowance Adjustment: To adjust allowance amounts, base each Change Order proposal on the difference between purchase amount and the allowance, multiplied by final measurement of work-in-place. If applicable, include reasonable allowances for cutting losses, tolerances, mixing wastes, normal product imperfections, and similar margins.
 1. Include installation costs in purchase amount only where indicated as part of the allowance.
 2. If requested, prepare explanation and documentation to substantiate distribution of overhead costs and other margins claimed.
 3. Submit substantiation of a change in scope of work, if any, claimed in Change Orders related to unit-cost allowances.
 4. Owner reserves the right to establish the quantity of work-in-place by independent quantity survey, measure, or count.
- B. Submit claims for increased costs because of a change in scope or nature of the allowance described in the Contract Documents, whether for the Purchase Order amount or Contractor's handling, labor, installation, overhead, and profit. Submit claims within 5 days of receipt of the Change Order or Construction Change Directive authorizing work to proceed. Owner will reject claims submitted later than 5 days after such authorization.
 1. Do not include Contractor's or subcontractor's indirect expense in the Change Order cost amount unless it is clearly shown that the nature or extent of work has changed from what could have been foreseen from information in the Contract Documents.
 2. No change to Contractor's indirect expense is permitted for selection of higher- or lower-priced materials or systems of the same scope and nature as originally indicated.

1.5 ADMINISTRATIVE CHANGE ORDERS

- A. Allowance Adjustment: Refer to Division 01 Section "Allowances" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect actual costs of allowances.
- B. Unit Price Adjustment: Refer to Division 01 Section "Unit Prices" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect measured scope of unit price work.

1.6 CHANGE ORDER PROCEDURES

- A. On Owner's approval of a Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on AIA Document G701.

1.7 CONSTRUCTION CHANGE DIRECTIVE

- A. Construction Change Directive: Architect may issue a Construction Change Directive.
- B. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
 1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- C. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.

1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 012600

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SECTION 012900 - PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

1.2 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.3 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Construction Schedule. Cost-loaded CPM Schedule may serve to satisfy requirements for the Schedule of Values.
1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:
 - a. Application for Payment forms with Continuation Sheets.
 - b. Submittals Schedule.
 - c. Contractor's Construction Schedule.
 2. Submit the Schedule of Values to Architect through Construction Manager at earliest possible date but no later than fifteen days before the date scheduled for submittal of initial Applications for Payment.
 3. Subschedules for Phased Work: Where the Work is separated into phases requiring separately phased payments, provide subschedules showing values correlated with each phase of payment.
 4. Subschedules for Separate Elements of Work: Where the Contractor's construction schedule defines separate SED number (School), provide subschedules showing values coordinated with each element.
 5. Subschedules for Separate Design Contracts: Where the Owner has retained design professionals under separate contracts who will each provide certification of payment requests, provide subschedules showing values coordinated with the scope of each design services contract as described in Division 01 Section "Summary."
- B. Format and Content: Use the Project Manual table of contents as a guide to establish line items for the Schedule of Values. Provide at least one line item for each Specification Section.
1. Identification: Include the following Project identification on the Schedule of Values:
 - a. Project name and location.
 - b. Name of Architect.
 - c. Architect's project number.
 - d. Contractor's name and address.
 - e. Date of submittal.
 2. Arrange Schedule of Values consist with format of AIA Document G703 Continuation Sheets.
 3. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:
 - a. Related Specification Section or Division.
 - b. Description of the Work.

- c. Name of subcontractor.
 - d. Name of manufacturer or fabricator.
 - e. Name of supplier.
 - f. Change Orders (numbers) that affect value.
 - g. Dollar value of the following, as a percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.
 - 1) Labor.
 - 2) Materials.
 - 3) Equipment.
 - 4. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual table of contents. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum and subcontract amount.
 - 5. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
 - 6. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
 - a. Differentiate between items stored on-site and items stored off-site. If specified, include evidence of insurance or bonded warehousing.
 - 7. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
 - 8. Allowances: Provide a separate line item in the Schedule of Values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.
 - 9. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
 - a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at Contractor's option.
 - 10. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.
- C. Substantiation of Values: Upon Architect's request, submit sufficient data to substantiate the values stated in the Schedule of Values.

1.4 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Architect and Construction Manager and paid for by Owner.
 - 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- B. Payment Application Times: Submit Application for Payment to Architect by the last day of the month. The period covered by each Application for Payment is one month, ending on the last day of the month.
- C. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.

- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Construction Manager will return incomplete applications without action.
1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.
 2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
 3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
 4. Each item listed in the Payment Application shall have a separate amount for labor, a separate amount for material costs, and a separate amount for other costs.
 5. Indicate separate amounts for work being carried out under Owner-requested project acceleration.
- E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment, for stored materials.
 2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
 3. Provide summary documentation for stored materials indicating the following:
 - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
 - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
 - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- F. Transmittal: Submit number of signed and notarized original copies of each Application for Payment as directed by the Construction Manager to Construction Manager by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.
1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's liens from subcontractors, sub-subcontractors, and suppliers for construction period covered by the previous application in accordance with requirements and provisions in the General Conditions and Supplementary Conditions.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
 2. Schedule of Values.
 3. Contractor's Construction Schedule (preliminary if not final).
 4. Products list (preliminary if not final).
 5. Schedule of unit prices.
 6. Submittals Schedule (preliminary if not final).
 7. List of Contractor's staff assignments.
 8. List of Contractor's principal consultants.
 9. Copies of building permits.

10. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
 11. Initial progress report.
 12. Report of preconstruction conference.
 13. Certificates of insurance and insurance policies.
 14. Data needed to acquire Owner's insurance.
 15. Initial settlement survey and damage report if required.
- I. Application for Payment at Substantial Completion: After issuing the Certificate of Substantial Completion, submit an Application for Payment for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
 2. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
 2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
 3. Updated final statement, accounting for final changes to the Contract Sum.
 4. AIA Document G706, "Contractor's Affidavit of Payment of Debts and Claims."
 5. AIA Document G706A, "Contractor's Affidavit of Release of Liens."
 6. AIA Document G707, "Consent of Surety to Final Payment."
 7. Evidence that claims have been settled.
 8. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
 9. Final, liquidated damages settlement statement.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 012900

SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
 - 1. General coordination procedures.
 - 2. Coordination drawings.
 - 3. RFIs.
 - 4. Digital project management procedures.
 - 5. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.
- C. Related Requirements:
 - 1. Section 013200 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
 - 2. Section 017300 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
 - 3. Section 017700 "Closeout Procedures" for coordinating closeout of the Contract.
 - 4. Section 019113 "General Commissioning Requirements" for coordinating the Work with Owner's Commissioning Authority.

1.3 DEFINITIONS

- A. BIM: Building Information Modeling.
- B. RFI: Request for Information. Request from Owner, Architect, or Contractor seeking information required by or clarifications of the Contract Documents.

1.4 INFORMATIONAL SUBMITTALS

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
 - 1. Name, address, telephone number, and email address of entity performing subcontract or supplying products.
 - 2. Number and title of related Specification Section(s) covered by subcontract.
 - 3. Drawing number and detail references, as appropriate, covered by subcontract.
- B. Key Personnel Names: Within 7 days of contract award, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.
 - 1. Post copies of list in project meeting room, in temporary field office, and in prominent location in each built facility. Keep list current at all times.

1.5 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
 - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 - 2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
 - 3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Coordination: Each contractor shall coordinate its construction operations with those of other contractors and entities to ensure efficient and orderly installation of each part of the Work. Each contractor shall coordinate its own operations with operations included in different Sections that depend on each other for proper installation, connection, and operation.
 - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 - 2. Coordinate installation of different components with other contractors to ensure maximum performance and accessibility for required maintenance, service, and repair.
 - 3. Make adequate provisions to accommodate items scheduled for later installation.
- C. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
 - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- D. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and scheduled activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
 - 1. Preparation of Contractor's construction schedule.
 - 2. Preparation of the schedule of values.
 - 3. Installation and removal of temporary facilities and controls.
 - 4. Delivery and processing of submittals.
 - 5. Progress meetings.
 - 6. Preinstallation conferences.
 - 7. Project closeout activities.
 - 8. Startup and adjustment of systems.

1.6 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections, and additionally where installation is not completely indicated on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity.
 - 1. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:

- a. Use applicable Drawings as a basis for preparation of coordination drawings. Prepare sections, elevations, and details as needed to describe relationship of various systems and components.
 - b. Coordinate the addition of trade-specific information to coordination drawings by multiple contractors in a sequence that best provides for coordination of the information and resolution of conflicts between installed components before submitting for review.
 - c. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
 - d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
 - e. Show location and size of access doors required for access to concealed dampers, valves, and other controls.
 - f. Indicate required installation sequences.
 - g. Indicate dimensions shown on Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternative sketches to Architect indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
- B. Coordination Drawing Organization: Organize coordination drawings as follows:
1. Floor Plans and Reflected Ceiling Plans: Show architectural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings where required to adequately represent the Work.
 2. Plenum Space: Indicate subframing for support of ceiling and wall systems, mechanical and electrical equipment, and related Work. Locate components within plenums to accommodate layout of light fixtures and other components indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
 3. Mechanical Rooms: Provide coordination drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
 4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
 5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
 6. Mechanical and Plumbing Work: Show the following:
 - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.
 - b. Dimensions of major components, such as dampers, valves, diffusers, access doors, cleanouts and electrical distribution equipment.
 - c. Fire-rated enclosures around ductwork.
 7. Electrical Work: Show the following:
 - a. Runs of vertical and horizontal conduit 1-1/4 inches in diameter and larger.
 - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
 - c. Panel board, switch board, switchgear, transformer, busway, generator, and motor-control center locations.
 - d. Location of pull boxes and junction boxes, dimensioned from column center lines.
 8. Fire-Protection System: Show the following:

- a. Locations of standpipes, mains piping, branch lines, pipe drops, and sprinkler heads.
 9. Review: Architect will review coordination drawings to confirm that in general the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If Architect determines that coordination drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, Architect will so inform Contractor, who shall make suitable modifications and resubmit.
 10. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 013300 "Submittal Procedures."
- C. Coordination Digital Data Files: Prepare coordination digital data files according to the following requirements:
 1. File Preparation Format: Same digital data software program, version, and operating system as original Drawings.
 2. File Preparation Format: DWG, Version 2015, operating in Microsoft Windows operating system.
 3. File Submittal Format: Submit or post coordination drawing files using format same as file preparation format or PDF format.
 4. Architect will furnish Contractor one set of digital data files of Drawings for use in preparing coordination digital data files.
 - a. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Drawings.
 - b. Digital Data Software Program: Drawings are available in Autocad 2015.
 - c. Contractor shall execute a data licensing agreement in the form of Agreement form acceptable to Owner and Architect.

1.7 REQUEST FOR INFORMATION (RFI)

- A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
 1. Architect will return without response those RFIs submitted to Architect by other entities controlled by Contractor.
 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
 1. Project name.
 2. Project number.
 3. Date.
 4. Name of Contractor.
 5. Name of Architect.
 6. RFI number, numbered sequentially.
 7. RFI subject.
 8. Specification Section number and title and related paragraphs, as appropriate.
 9. Drawing number and detail references, as appropriate.
 10. Field dimensions and conditions, as appropriate.
 11. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
 12. Contractor's signature.

13. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
 - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. RFI Forms: Form bound in Project Manual.
 1. Attachments shall be electronic files in PDF format.
- D. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow seven working days for Architect's response for each RFI. RFIs received by Architect after 1:00 p.m. will be considered as received the following working day.
 1. The following Contractor-generated RFIs will be returned without action:
 - a. Requests for approval of submittals.
 - b. Requests for approval of substitutions.
 - c. Requests for approval of Contractor's means and methods.
 - d. Requests for coordination information already indicated in the Contract Documents.
 - e. Requests for adjustments in the Contract Time or the Contract Sum.
 - f. Requests for interpretation of Architect's actions on submittals.
 - g. Incomplete RFIs or inaccurately prepared RFIs.
 2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt by Architect of additional information.
 3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 012600 "Contract Modification Procedures."
 - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 10 days of receipt of the RFI response.
- E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Include the following:
 1. Project name.
 2. Name and address of Contractor.
 3. Name and address of Architect.
 4. RFI number including RFIs that were returned without action or withdrawn.
 5. RFI description.
 6. Date the RFI was submitted.
 7. Date Architect's response was received.
 8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
 9. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.
- F. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven days if Contractor disagrees with response.

1.8 DIGITAL PROJECT MANAGEMENT PROCEDURES

- A. Use of Architect's Digital Data Files: Digital data files of Architect's CAD drawings will be provided by Architect for Contractor's use during construction as needed.

1. Digital data files may be used by Contractor in preparing coordination drawings, Shop Drawings, and Project record Drawings.
 2. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Contract Drawings.
 3. Digital Drawing Software Program: Contract Drawings are available in Autocad 2015.
 4. Contractor shall execute a data licensing agreement in the form acceptable to Owner and Architect.
 - a. Subcontractors, and other parties granted access by Contractor to Architect's digital data files shall execute a data licensing agreement in the form of Agreement acceptable to Owner and Architect.
 5. The following digital data files will be furnished for each appropriate discipline:
 - a. Reflected ceiling plans.
- B. PDF Document Preparation: Where PDFs are required to be submitted to Architect, prepare as follows:
1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
 2. Name file with submittal number or other unique identifier, including revision identifier.
 3. Certifications: Where digitally submitted certificates and certifications are required, provide a digital signature with digital certificate on where indicated.

1.9 PROJECT MEETINGS

- A. General: Architect will schedule and conduct meetings and conferences at Project site unless otherwise indicated.
1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times a minimum of 10 working days prior to meeting.
 2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
 3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Architect, within three days of the meeting.
- B. Preconstruction Conference: Architect will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than 15 days after execution of the Agreement.
1. Attendees: Authorized representatives of Owner Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
 2. Agenda: Discuss items of significance that could affect progress, including the following:
 - a. Responsibilities and personnel assignments.
 - b. Tentative construction schedule.
 - c. Phasing.
 - d. Critical work sequencing and long lead items.
 - e. Designation of key personnel and their duties.
 - f. Lines of communications.
 - g. Use of web-based Project software.
 - h. Procedures for processing field decisions and Change Orders.
 - i. Procedures for RFIs.
 - j. Procedures for testing and inspecting.
 - k. Procedures for processing Applications for Payment.

- l. Distribution of the Contract Documents.
 - m. Submittal procedures.
 - n. Sustainable design requirements.
 - o. Preparation of Record Documents.
 - p. Use of the premises and existing building.
 - q. Work restrictions.
 - r. Working hours.
 - s. Owner's occupancy requirements.
 - t. Responsibility for temporary facilities and controls.
 - u. Procedures for moisture and mold control.
 - v. Procedures for disruptions and shutdowns.
 - w. Construction waste management and recycling.
 - x. Parking availability.
 - y. Office, work, and storage areas.
 - z. Equipment deliveries and priorities.
 - aa. First aid.
 - bb. Security.
 - cc. Progress cleaning.
 3. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity when required by other sections and when required for coordination with other construction.
 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect of scheduled meeting dates.
 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
 - a. Contract Documents.
 - b. Options.
 - c. Related RFIs.
 - d. Related Change Orders.
 - e. Purchases.
 - f. Deliveries.
 - g. Submittals.
 - h. Sustainable design requirements.
 - i. Review of mockups.
 - j. Possible conflicts.
 - k. Compatibility requirements.
 - l. Time schedules.
 - m. Weather limitations.
 - n. Manufacturer's written instructions.
 - o. Warranty requirements.
 - p. Compatibility of materials.
 - q. Acceptability of substrates.
 - r. Temporary facilities and controls.
 - s. Space and access limitations.
 - t. Regulations of authorities having jurisdiction.
 - u. Testing and inspecting requirements.
 - v. Installation procedures.

- w. Coordination with other work.
 - x. Required performance results.
 - y. Protection of adjacent work.
 - z. Protection of construction and personnel.
- 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
- 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
- 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Project Closeout Conference: Architect will schedule and conduct a project closeout conference, at a time convenient to Owner and Architect, but no later than 30 days prior to the scheduled date of Substantial Completion.
 - 1. Conduct the conference to review requirements and responsibilities related to Project closeout.
 - 2. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
 - 3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
 - a. Preparation of Submittals for Department of Health Inspection.
 - b. Preparation of Record Documents.
 - c. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
 - d. Procedures for completing and archiving web-based Project software site data files.
 - e. Submittal of written warranties.
 - f. Requirements for completing sustainable design documentation.
 - g. Requirements for preparing operations and maintenance data.
 - h. Requirements for delivery of material samples, attic stock, and spare parts.
 - i. Requirements for demonstration and training.
 - j. Preparation of Contractor's punch list.
 - k. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
 - l. Submittal procedures.
 - m. Coordination of separate contracts.
 - n. Owner's partial occupancy requirements.
 - o. Installation of Owner's furniture, fixtures, and equipment.
 - p. Responsibility for removing temporary facilities and controls.
 - 4. Minutes: Entity conducting meeting will record and distribute meeting minutes.
- E. Progress Meetings: Architect will conduct progress meetings at biweekly intervals.
 - 1. Coordinate dates of meetings with preparation of payment requests.
 - 2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.

3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - 1) Review schedule for next period.
 - b. Review present and future needs of each entity present, including the following:
 - 1) Interface requirements.
 - 2) Sequence of operations.
 - 3) Resolution of BIM component conflicts.
 - 4) Status of submittals.
 - 5) Status of sustainable design documentation.
 - 6) Deliveries.
 - 7) Off-site fabrication.
 - 8) Access.
 - 9) Site use.
 - 10) Temporary facilities and controls.
 - 11) Progress cleaning.
 - 12) Quality and work standards.
 - 13) Status of correction of deficient items.
 - 14) Field observations.
 - 15) Status of RFIs.
 - 16) Status of Proposal Requests.
 - 17) Pending changes.
 - 18) Status of Change Orders.
 - 19) Pending claims and disputes.
 - 20) Documentation of information for payment requests.
 4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
 - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- F. Coordination Meetings: Conduct Project coordination meetings at regular intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.
1. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meetings shall be familiar with Project and authorized to conclude matters relating to the Work.
 2. Agenda: Review and correct or approve minutes of the previous coordination meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Combined Contractor's Construction Schedule: Review progress since the last coordination meeting. Determine whether each contract is on time, ahead of schedule, or behind schedule, in relation to combined Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure

- commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
- b. Schedule Updating: Revise combined Contractor's construction schedule after each coordination meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with report of each meeting.
 - c. Review present and future needs of each contractor present, including the following:
 - 1) Interface requirements.
 - 2) Sequence of operations.
 - 3) Resolution of BIM component conflicts.
 - 4) Status of submittals.
 - 5) Deliveries.
 - 6) Off-site fabrication.
 - 7) Access.
 - 8) Site use.
 - 9) Temporary facilities and controls.
 - 10) Work hours.
 - 11) Hazards and risks.
 - 12) Progress cleaning.
 - 13) Quality and work standards.
 - 14) Status of RFIs.
 - 15) Proposal Requests.
 - 16) Change Orders.
 - 17) Pending changes.
3. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 013100

SECTION 013200 - CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
1. Contractor's construction schedule.
 2. Construction schedule updating reports.
 3. Daily construction reports.
 4. Material location reports.
 5. Site condition reports.
 6. Special reports.

1.2 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.
1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
 2. Predecessor Activity: An activity that precedes another activity in the network.
 3. Successor Activity: An activity that follows another activity in the network.
- B. Cost Loading: The allocation of the Schedule of Values for the completion of an activity as scheduled. The sum of costs for all activities must equal the total Contract Sum, unless otherwise approved by Architect.
- C. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.
- D. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- E. Event: The starting or ending point of an activity.
- F. Float: The measure of leeway in starting and completing an activity.
1. Float time belongs to Owner.
 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.
- G. Resource Loading: The allocation of manpower and equipment necessary for the completion of an activity as scheduled.

1.3 INFORMATIONAL SUBMITTALS

- A. Format for Submittals: Submit required submittals in the following format:
1. Working electronic copy of schedule file, where indicated.
 2. PDF electronic file.
 3. Two paper copies.
- B. Contractor's Construction Schedule: Submit initial schedule, large enough to show entire schedule for entire construction period.

1. Submit working electronic copy of schedule, using software indicated, labeled to comply with requirements for submittals. Include type of schedule (initial or updated) and date on label.
- C. CPM Reports: Concurrent with CPM schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, cost and resource loading, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.
 1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
 2. Logic Report: List of preceding and succeeding activities for all activities, sorted in ascending order by activity number and then early start date, or actual start date if known.
 3. Total Float Report: List of all activities sorted in ascending order of total float.
 4. Earnings Report: Compilation of Contractor's total earnings from the Notice to Proceed until most recent Application for Payment.
- D. Construction Schedule Updating Reports: Submit with Applications for Payment.
- E. Daily Construction Reports: Submit at weekly intervals.
- F. Material Location Reports: Submit at monthly intervals.
- G. Site Condition Reports: Submit at time of discovery of differing conditions.
- H. Special Reports: Submit at time of unusual event.

1.4 QUALITY ASSURANCE

- A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting, with capability of producing CPM reports and diagrams within 24 hours of Architect's request.
- B. Prescheduling Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination." Review methods and procedures related to the preliminary construction schedule and Contractor's construction schedule, including, but not limited to, the following:
 1. Review software limitations and content and format for reports.
 2. Verify availability of qualified personnel needed to develop and update schedule.
 3. Discuss constraints, including phasing work stages area separations interim milestones and partial Owner occupancy.
 4. Review delivery dates for Owner-furnished products.
 5. Review schedule for work of Owner's separate contracts.
 6. Review submittal requirements and procedures.
 7. Review time required for review of submittals and resubmittals.
 8. Review requirements for tests and inspections by independent testing and inspecting agencies.
 9. Review time required for Project closeout and Owner startup procedures.
 10. Review and finalize list of construction activities to be included in schedule.
 11. Review submittal requirements and procedures.
 12. Review procedures for updating schedule.

1.5 COORDINATION

- A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.
- B. Coordinate Contractor's Construction Schedule with the Schedule of Values, list of subcontracts, Submittals Schedule, progress reports, payment requests, and other required schedules and reports.

1. Secure time commitments for performing critical elements of the Work from parties involved.
2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 - PRODUCTS

2.1 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Time Frame: Extend schedule from date established for the Notice of Award to date of Final Completion.
 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:
 1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Architect.
 2. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 60 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
 3. Submittal Review Time: Include review and resubmittal times indicated in Division 01 Section "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Construction Schedule with Submittals Schedule.
 4. Startup and Testing Time: Include not less than the number of days for startup and testing recommended by manufacturer.
 5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Architect's and Construction Manager's administrative procedures necessary for certification of Substantial Completion.
 6. Punch List and Final Completion: Include not more than 30 days for completion of punch list items and final completion.
- C. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
 1. Phasing: Arrange list of activities on schedule by phase.
 2. Work under More Than One Contract: Include a separate activity for each contract.
 3. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
 4. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Division 01 Section "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
 5. Work Restrictions: Show the effect of the following items on the schedule:
 - a. Coordination with existing construction.
 - b. Limitations of continued occupancies.
 - c. Uninterruptible services.
 - d. Partial occupancy before Substantial Completion.
 - e. Use of premises restrictions.
 - f. Provisions for future construction.
 - g. Seasonal variations.
 - h. Environmental control.
 6. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
 - a. Subcontract awards.

- b. Submittals.
 - c. Purchases.
 - d. Mockups.
 - e. Fabrication.
 - f. Sample testing.
 - g. Deliveries.
 - h. Installation.
 - i. Tests and inspections.
 - j. Adjusting.
 - k. Curing.
 - l. Building flush-out.
 - m. Startup and placement into final use and operation.
- 7. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
 - a. Structural completion.
 - b. Permanent space enclosure.
 - c. Completion of mechanical installation.
 - d. Completion of electrical installation.
 - e. Substantial Completion.
- D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Substantial Completion, and Final Completion and the following interim milestones:
 - 1. Temporary enclosure and space conditioning.
- E. Cost Correlation: Superimpose a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of the Work performed as of dates used for preparation of payment requests.
 - 1. Refer to Division 01 Section "Payment Procedures" for cost reporting and payment procedures.
 - 2. Contractor shall assign cost to construction activities on the CPM schedule. Costs shall not be assigned to submittal activities unless specified otherwise but may, with Architect's approval, be assigned to fabrication and delivery activities. Costs shall be under required principal subcontracts for testing and commissioning activities, operation and maintenance manuals, punch list activities, Project Record Documents, and demonstration and training (if applicable), in the amount of 5 percent of the Contract Sum.
 - 3. Each activity cost shall reflect an accurate value subject to approval by Architect.
 - 4. Total cost assigned to activities shall equal the total Contract Sum.
- F. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
 - 1. Unresolved issues.
 - 2. Unanswered Requests for Information.
 - 3. Rejected or unreturned submittals.
 - 4. Notations on returned submittals.
 - 5. Pending modifications affecting the Work and Contract Time.
- G. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance, and date by which recovery will be accomplished.

- H. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time-impact analysis using dragnets to demonstrate the effect of the proposed change on the overall project schedule.
- I. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE (GANTT CHART)

- A. Gantt-Chart Schedule: Submit a comprehensive, fully developed, horizontal Gantt-chart-type, Contractor's Construction Schedule within 30 days of date established for the Notice of Award.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
 - 1. For construction activities that require 3 months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar.

2.3 CONTRACTOR'S CONSTRUCTION SCHEDULE (CPM SCHEDULE)

- A. General: Prepare network diagrams using AON (activity-on-node) format.
- B. CPM Schedule: Prepare Contractor's Construction Schedule using a computerized, cost- and resource-loaded, time-scaled CPM network analysis diagram for the Work.
 - 1. Develop network diagram in sufficient time to submit CPM schedule so it can be accepted for use no later than 30 days after date established for the Notice of Award.
 - a. Failure to include any work item required for performance of this Contract shall not excuse Contractor from completing all work within applicable completion dates, regardless of Architect's approval of the schedule.
 - 2. Conduct educational workshops to train and inform key Project personnel, including subcontractors' personnel, in proper methods of providing data and using CPM schedule information.
 - 3. Establish procedures for monitoring and updating CPM schedule and for reporting progress. Coordinate procedures with progress meeting and payment request dates.
 - 4. Use "one workday" as the unit of time. Include list of nonworking days and holidays incorporated into the schedule.
- C. CPM Schedule Preparation: Prepare a list of all activities required to complete the Work. Using the startup network diagram, prepare a skeleton network to identify probable critical paths.
 - 1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each activity in relation to other activities. Include estimated time frames for the following activities:
 - a. Preparation and processing of submittals.
 - b. Mobilization and demobilization.
 - c. Purchase of materials.
 - d. Delivery.
 - e. Fabrication.
 - f. Utility interruptions.
 - g. Installation.
 - h. Work by Owner that may affect or be affected by Contractor's activities.
 - i. Testing.
 - j. Punch list and final completion.
 - k. Activities occurring following final completion.
 - 2. Critical Path Activities: Identify critical path activities, including those for interim completion dates. Scheduled start and completion dates shall be consistent with Contract milestone dates.

3. Processing: Process data to produce output data on a computer-drawn, time-scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the CPM schedule within the limitations of the Contract Time.
4. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.
 - a. Subnetworks on separate sheets are permissible for activities clearly off the critical path.
5. Cost- and Resource-Loading of CPM Schedule: Assign cost to construction activities on the CPM schedule. Do not assign costs to submittal activities. Obtain Architect's approval prior to assigning costs to fabrication and delivery activities. Assign costs under main subcontracts for testing and commissioning activities, operation and maintenance manuals, punch list activities, Project record documents, and demonstration and training (if applicable), in the amount of 5 percent of the Contract Sum.
 - a. Each activity cost shall reflect an appropriate value subject to approval by Architect.
 - b. Total cost assigned to activities shall equal the total Contract Sum.
- D. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time-impact analysis using a network fragment to demonstrate the effect of the proposed change on the overall project schedule.
- E. Initial Issue of Schedule: Prepare initial network diagram from a sorted activity list indicating straight "early start-total float." Identify critical activities. Prepare tabulated reports showing the following:
 1. Contractor or subcontractor and the Work or activity.
 2. Description of activity.
 3. Main events of activity.
 4. Immediate preceding and succeeding activities.
 5. Early and late start dates.
 6. Early and late finish dates.
 7. Activity duration in workdays.
 8. Total float or slack time.
 9. Average size of workforce.
 10. Dollar value of activity (coordinated with the Schedule of Values).
- F. Schedule Updating: Concurrent with making revisions to schedule, prepare tabulated reports showing the following:
 1. Identification of activities that have changed.
 2. Changes in early and late start dates.
 3. Changes in early and late finish dates.
 4. Changes in activity durations in workdays.
 5. Changes in the critical path.
 6. Changes in total float or slack time.
 7. Changes in the Contract Time.
- G. Value Summaries: Prepare two cumulative value lists, sorted by finish dates.
 1. In first list, tabulate activity number, early finish date, dollar value, and cumulative dollar value.
 2. In second list, tabulate activity number, late finish date, dollar value, and cumulative dollar value.
 3. In subsequent issues of both lists, substitute actual finish dates for activities completed as of list date.
 4. Prepare list for ease of comparison with payment requests; coordinate timing with progress meetings.
 - a. In both value summary lists, tabulate "actual percent complete" and "cumulative value completed" with total at bottom.

- b. Submit value summary printouts one week before each regularly scheduled progress meeting.

2.4 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
 - 1. List of subcontractors at Project site.
 - 2. List of separate contractors at Project site.
 - 3. Approximate count of personnel at Project site.
 - 4. Equipment at Project site.
 - 5. Material deliveries.
 - 6. High and low temperatures and general weather conditions including presence of rain or snow.
 - 7. Accidents.
 - 8. Meetings and significant decisions.
 - 9. Unusual events (refer to special reports).
 - 10. Stoppages, delays, shortages, and losses.
 - 11. Meter readings and similar recordings.
 - 12. Emergency procedures.
 - 13. Orders and requests of authorities having jurisdiction.
 - 14. Change Orders received and implemented.
 - 15. Construction Change Directives received and implemented.
 - 16. Services connected and disconnected.
 - 17. Equipment or system tests and startups.
 - 18. Partial Completions and occupancies.
 - 19. Substantial Completions authorized.
- B. Material Location Reports: At monthly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site. Indicate the following categories for stored materials:
 - 1. Material stored prior to previous report and remaining in storage.
 - 2. Material stored prior to previous report and since removed from storage and installed.
 - 3. Material stored following previous report and remaining in storage.
- C. **Site Condition Reports:** Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request For Interpretation. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

2.5 SPECIAL REPORTS

- A. General: Submit special reports directly to Owner within one day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

PART 3 - EXECUTION

3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE

- A. Scheduling Consultant: Engage a consultant to provide planning, evaluation, and reporting using CPM scheduling.
1. In-House Option: Owner may waive the requirement to retain a consultant if Contractor employs skilled personnel with experience in CPM scheduling and reporting techniques. Submit qualifications.
 2. Meetings: Scheduling consultant shall attend all meetings related to Project progress, alleged delays, and time impact.
- B. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
 3. As the Work progresses, indicate Actual Completion percentage for each activity.
- C. Distribution: Distribute copies of approved schedule to Architect, Construction Manager, Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
1. Post copies in Project meeting rooms and temporary field offices.
 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

END OF SECTION 013200

SECTION 013300 - SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.
- B. This specification explains the procedures for electronic submittals when using the Project Website.

1.2 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."
- C. File Transfer Protocol (FTP): Communications protocol that enables transfer of files to and from another computer over a network and that serves as the basis for standard Internet protocols. An FTP site is a portion of a network located outside of network firewalls within which internal and external users are able to access files.
- D. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.

1.3 ACTION SUBMITTALS

- A. Submittal Schedule: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.
 - 1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
 - 2. Initial Submittal: Submit concurrently with startup construction schedule. Include submittals required during the first 60 days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
 - 3. Final Submittal: Submit concurrently with the first complete submittal of Contractor's construction schedule.
 - a. Submit revised submittal schedule to reflect changes in current status and timing for submittals.
 - 4. Format: Arrange the following information in a tabular format:
 - a. Scheduled date for first submittal.
 - b. Specification Section number and title.
 - c. Submittal category: Action; informational.
 - d. Name of subcontractor.

- e. Description of the Work covered.
- f. Scheduled date for Architect's final release or approval.
- g. Scheduled date of fabrication.
- h. Scheduled dates for purchasing.
- i. Scheduled dates for installation.
- j. Activity or event number.
- 5. See Article 1.4 for additional requirements.

1.4 SUBMITTAL ADMINISTRATIVE REQUIREMENTS

- A. The Contractor shall prepare a Submittal Log containing the information required to be submitted under the Submittal article from each respective Specification Section. With each item listed the Contractor shall provide anticipated dates for submission to the Architect. The Architect will review and accept or request that corrections be made for subsequent acceptance. This acceptance will constitute an approval for the submittal, shop drawings and sample submissions to commence. **No Submittals or Shop Drawings will be reviewed by the Architect until an approved Submittal Log is in place.**
 - 1. The Submittal Schedule shall be coordinated with the overall Project Schedule to ensure that submittals are submitted and reviewed as not to delay the Project Schedule. The contractor shall allow adequate processing time for the Architects review of the initial submittal and equivalent time to review re-submissions
 - 2. The Architect will not be responsible to ensure that all required Shop Drawings, Product Data, Samples or similar submittals that are required to be submitted and reviewed under the Contract Documents are submitted by the Contractor. Submissions of Shop Drawings, Product Data, Samples or similar submittals are the Contractor's sole responsibility.
- B. Architect's Digital Data Files: Architect will not furnish Contractor with digital drawings.
 - 1. Architect makes no representations as to the accuracy or completeness of digital data drawing files as they relate to the Contract Drawings.
- C. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
 - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
 - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
 - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
 - 4. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
 - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- D. Processing Time: Allow time for submittal review, including time for re-submittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including re-submittals.
 - 1. Initial Review: Allow 10 Calendar days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.

2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
 3. Re-submittal Review: Allow 10 Calendar days for review of each re-submittal.
 4. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow 21 Calendar days for initial review of each submittal.
 5. Concurrent Consultant Review: Where the Contract Documents indicate that submittals may be transmitted simultaneously to Architect and to Architect's consultants, allow 15 Calendar days for review of each submittal. Submittal will be returned to Architect before being returned to Contractor.
 6. Where submittal are required to be approved that are part of an assembly or for items such as finishes where color selections are required. The submittal will be retained until all of the information related to these systems and color selections is provided and accepted.
- E. Options: Identify options requiring selection or action by Architect.
- F. Deviations and Additional Information: On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.

1.5 ELECTRONIC SUBMITTALS

- A. All submittals shall be submitted electronically unless otherwise indicated.
1. Submittals and Shop Drawings sent by mail or hand carried will not be accepted and may be discarded.
 2. The Contractor is responsible for all costs creating electronic files for the submittal process. The Architect will not provide this service.
 3. The Submittal Cover Sheet located in Specification Section 006000 Project Forms shall be used for all Submittals.
 - a. The Submittal cover sheet form, as a Word Document, (.doc) is available from the Architect.
 4. The Submittal Cover sheet when scanned to a .PDF shall be the first document viewed in the individual file.
 - a. Each Product Submitted shall have a Submittal Cover sheet attached.
 - b. Each Submittal Cover sheet shall be filled in completely. Files that are sent with the Submittal Cover Sheet missing or not filled in correctly will be rejected. The Architect will send a notice that the submittal is missing information. If the Contractor fails to correct or provide the proper submittal within 15 days, notice will be provided and the submittal will be removed from the Project Website.
 5. The Contractor(s) will be provided with a folder location to upload files to the Project Website. This folder location and a "log in" will be provided to the Contractor(s) at the Pre-Construction Meeting.
 6. The contractor upon uploading the files shall send a corresponding email notifying the Architect that files have been uploaded to the Project Website. Web Addresses for the Project Website will be provided at the Pre-Construction Meeting
 7. A read only Record Submittal Log will be available at the Project Website for the Contractors reference in checking the status of the submittals and shop drawings.

8. File Naming (for uploading): Each submittal or shop drawing file uploaded to the designated folder on the Project Website shall have in the file name, the specification section number followed by a numerical reference to each product that is submitted. For re-submissions a space will be entered followed by the re-submission number. This will be followed by a space and the following letter reference. (without parenthesis) Include the following information as keywords in the electronic submittal file metadata:

(IS) Incoming Submittal
(ISD) Incoming Shop Drawing
(R1 or R2) Revision 1, 2 etc.

Example:

<u>Spec Sec</u>	<u>Product in Section</u>	<u>Re-submittal (if required)</u>	<u>Incoming Submittal</u>
102113	01	R1	IS

The file name should appear as follows: 102113 01 R1 IS pdf

- B. The Architect will review the submittals and shop drawings that are uploaded to Project Website.
1. When the review is completed for each submittal, the submittal will be uploaded to the Project Website in an “Outgoing Folder”. An email notice will be sent out to the contractor stating the submittal has been reviewed and is available for download from Project Website.
 2. The file name will be the same without incoming (IS), (ISD) designations indicated in the upload instructions. An (“O” Outgoing) will be inserted indicating that the submittal was uploaded to the Project Website.
 3. The Architect will add in the file name preceded by spaces the following designations. (without parenthesis)

(A) No exception Taken
(FC) Furnish as Corrected
(RR) Revise and Resubmit
(R) Rejected

Example:

<u>Spec Sec</u>	<u>Product in Section</u>	<u>Re-submittal if required.</u>	<u>Outgoing</u>	<u>Action as indicated in In Para. 3</u>
102113	01	R1	O	FC

The file name should appear as follows: 102113 01 R1 FC pdf

- C. Re-submittals: Make re-submittals in same electronic form as initial submittal.
1. Note date and content of previous submittal.
 2. Note date and content of revision in label or title block and clearly indicate extent of revision.
 3. Resubmit submittals until they are marked with a “No Exception Taken” or ‘Furnish as Corrected’ notation from Architect's action.

- D. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- E. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

1.6 SAMPLES

- A. Only samples requiring color selection, verification of a sample or review of an assembled product should be submitted to The Architects office for review. A Submittal Cover Sheet, completely filled in, is to be provided with all submitted samples. If samples are delivered with product data, only the samples will be reviewed. The Product Data must be uploaded to the Project Website.
- B. Once the samples are received they will be logged in to the Project Website Submittal log folder and reviewed accordingly. When action has been taken on the sample(s) only the Submittal Cover Sheet will be uploaded to the Project Website] with the corresponding color or selection noted.

PART 2 - PRODUCTS

2.1 SUBMITTAL PROCEDURES

- A. General Submittal Procedure Requirements: Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
 - 1. Post all submittals as PDF electronic files directly to Project Website specifically established for Project unless otherwise indicated.
 - a. Architect will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.
 - 2. Certificates and Certifications Submittals: Provide an electronic statement that includes digital signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
 - 1. If information must be specially prepared for submittal because standard published data are not suitable for use, submit as Shop Drawings, not as Product Data.
 - 2. Mark each copy of each submittal to show which products and options are applicable.
 - 3. Include the following information, as applicable:
 - a. Manufacturer's catalog cuts.
 - b. Manufacturer's product specifications.
 - c. Standard color charts.
 - d. Statement of compliance with specified referenced standards.
 - e. Testing by recognized testing agency.
 - f. Application of testing agency labels and seals.
 - g. Notation of coordination requirements.
 - h. Availability and delivery time information.
 - 4. For equipment, include the following in addition to the above, as applicable:
 - a. Wiring diagrams showing factory-installed wiring.
 - b. Printed performance curves.
 - c. Operational range diagrams.

- d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
 5. Submit Product Data before or concurrent with Samples.
 6. Product Data shall be submitted in the following format:
 - a. PDF electronic file uploaded to the Project Website.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
 - a. Identification of products.
 - b. Schedules.
 - c. Compliance with specified standards.
 - d. Notation of coordination requirements.
 - e. Notation of dimensions established by field measurement.
 - f. Relationship and attachment to adjoining construction clearly indicated.
 - g. Seal and signature of professional engineer if specified.
 2. Shop Drawings shall be submitted in the following format:
 - a. PDF electronic file uploaded to the Project Website.
 - b. Retain one of two subparagraphs below if requiring paper copy submittal. First subparagraph assumes Architect and Contractor will make copies from opaque print.
- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed. Samples shall be hand delivered or mailed with the contractor having the responsibility that Architect receives samples in the time frame established by the contract.
 1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
 2. Identification: Attach label on unexposed side of Samples that includes the following:
 - a. Generic description of Sample.
 - b. Product name and name of manufacturer.
 - c. Sample source.
 - d. Number and title of applicable Specification Section.
 - e. Specification paragraph number and generic name of each item.
 3. Provide corresponding electronic submittal of Sample transmittal, digital image file illustrating Sample characteristics, and identification information for record through the Project Website.
 4. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
 - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
 - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
 5. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
 - a. Number of Samples: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from

- manufacturer's product line. Architect will return submittal with options selected.
6. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
- a. Number of Samples: Submit three sets of Samples. Architect and Construction Coordinator will retain two Sample sets; remainder will be returned. Mark up and retain one returned Sample set as a project record sample.
- 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
- 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
- E. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
1. Type of product. Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
2. Manufacturer and product name, and model number if applicable.
3. Number and name of room or space.
4. Location within room or space.
5. Submit product schedule in the following format:
- a. PDF electronic file.
- F. Coordination Drawing Submittals: Comply with requirements specified in Section 013100 "Project Management and Coordination."
- G. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
1. Name, address, and telephone number of entity performing subcontract or supplying products.
2. Number and title of related Specification Section(s) covered by subcontract.
3. Drawing number and detail references, as appropriate, covered by subcontract.
- H. Contractor's Construction Schedule: Comply with requirements specified in Section 013200 "Construction Progress Documentation."
- I. Application for Payment and Schedule of Values: Comply with requirements specified in Section 012900 "Payment Procedures."
- J. Test and Inspection Reports and Schedule of Tests and Inspections Submittals: Comply with requirements specified in Section 014329 "Special Inspections."
- K. Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Section 017700 "Closeout Procedures."

- L. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- M. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- N. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- O. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
- P. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- Q. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- R. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- S. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- T. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
 - 1. Name of evaluation organization.
 - 2. Date of evaluation.
 - 3. Time period when report is in effect.
 - 4. Product and manufacturers' names.
 - 5. Description of product.
 - 6. Test procedures and results.
 - 7. Limitations of use.
- U. Schedule of Tests and Inspections: Comply with requirements specified in Division 01 Section "Quality Requirements."
- V. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- W. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.

- X. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- Y. Maintenance Data: Comply with requirements specified in Division 01 Section "Operation and Maintenance Data."
- Z. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

2.2 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF electronic file signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional in compliance with the State law applicable to the project site.
 - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

PART 3 - EXECUTION

3.1 CONTRACTOR'S REVIEW

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Project Closeout and Maintenance Material Submittals: See requirements in Section 017700 "Closeout Procedures."
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

3.2 ARCHITECT'S ACTION

- A. Action Submittals: Architect will review each submittal, make marks to indicate corrections or revisions required, and return it. Architect will respond to each submittal indicating one of the following actions required:
 - 1. **No Exceptions Taken:** Architect takes no exception to the submittal. This part of the Work covered by the submittal may proceed provided it complies with requirements of the Contract Documents; final acceptance will depend upon that compliance.

2. **Furnish as Corrected:** No exceptions taken except what is identified by the Architect. The part of the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents; final acceptance will depend on that compliance.
3. **Revise and Re-Submit:** Revise the submittal based on the Architects comments and resubmit the submittal. Do not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal in accordance with the notations; resubmit without delay. Repeat if necessary to obtain a different action mark.
 - a. Do not permit submittals marked “Revise and Resubmit” to be used at the Project Site, or elsewhere where Work is in progress.
4. **Rejected:** The submittal is rejected. See Architects comments on why submittal was rejected.
 - a. Submittal has not been reviewed by the Contractor and so noted.
 - b. Submittal has been prepared without due regard for information called for or logically implied by the Contract Documents.
 - c. Information is not sufficiently complete or accurate to verify that work represented is in accordance with the Contract Documents.
 - d. Do not permit submittals marked “Rejected” to be used at the Project Site, or elsewhere where Work is in progress.
- B. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will post the action to the Project Website.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect. The action will be posted to the Project Website, noted as a partial review until a full submittal can be receive action.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for re-submittal without review.
- E. Submittals not required by the Contract Documents may be returned by the Architect without action.

END OF SECTION 013300

SECTION 014000 - QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
 - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
 - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
 - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect, Owner, Construction Manager, or authorities having jurisdiction are not limited by provisions of this Section.
- C. Related Sections:
 - 1. Division 01 Section "Construction Progress Documentation" for developing a schedule of required tests and inspections.
 - 2. Division 01 Section "Code-Required Special Inspections and Procedures" for tests and inspections ordered by the Owner.
 - 3. Divisions 02 through 49 Sections for specific test and inspection requirements.

1.2 DEFINITIONS

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect or Construction Manager.
- C. Mockups: Full size physical assemblies that are constructed on-site. Mockups are constructed to verify selections made under sample submittals; to demonstrate aesthetic effects and, where indicated, qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
 - 1. Integrated Exterior Mockups: Mockups of the exterior envelope erected separately from the building but on Project site, consisting of multiple products, assemblies, and subassemblies.
 - 2. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes, doors, windows, millwork, casework, specialties, furnishings and equipment, and lighting.
- D. Preconstruction Testing: Tests and inspections performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.

- E. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- F. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.
- G. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
 - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade or trades.
- J. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of five projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

1.3 CONFLICTING REQUIREMENTS

- A. Referenced Standards: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

1.4 ACTION SUBMITTALS

- A. Shop Drawings: For integrated exterior mockups, provide plans, sections, and elevations, indicating materials and size of mockup construction.
 - 1. Indicate manufacturer and model number of individual components.
 - 2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

1.5 INFORMATIONAL SUBMITTALS

- A. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility sent to authorities having jurisdiction before starting work on the following systems.
 - 1. Seismic-force resisting system, designated seismic system, or component listed in the designated seismic system quality assurance plan prepared by the Architect.
 - 2. Main wind-force resisting system or a wind-resisting component listed in the wind-force-resisting system quality assurance plan prepared by the Architect.

- B. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- C. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
 - 1. Specification Section number and title.
 - 2. Entity responsible for performing tests and inspections.
 - 3. Description of test and inspection.
 - 4. Identification of applicable standards.
 - 5. Identification of test and inspection methods.
 - 6. Number of tests and inspections required.
 - 7. Time schedule or time span for tests and inspections.
 - 8. Requirements for obtaining samples.
 - 9. Unique characteristics of each quality-control service.

1.6 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
 - 1. Date of issue.
 - 2. Project title and number.
 - 3. Name, address, and telephone number of testing agency.
 - 4. Dates and locations of samples and tests or inspections.
 - 5. Names of individuals making tests and inspections.
 - 6. Description of the Work and test and inspection method.
 - 7. Identification of product and Specification Section.
 - 8. Complete test or inspection data.
 - 9. Test and inspection results and an interpretation of test results.
 - 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
 - 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
 - 12. Name and signature of laboratory inspector.
 - 13. Recommendations on retesting and re-inspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
 - 1. Name, address, and telephone number of technical representative making report.
 - 2. Statement on condition of substrates and their acceptability for installation of product.
 - 3. Statement that products at Project site comply with requirements.
 - 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
 - 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
 - 6. Statement whether conditions, products, and installation will affect warranty.
 - 7. Other required items indicated in individual Specification Sections.
- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:
 - 1. Name, address, and telephone number of factory-authorized service representative making report.
 - 2. Statement that equipment complies with requirements.

3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
 4. Statement whether conditions, products, and installation will affect warranty.
 5. Other required items indicated in individual Specification Sections.
- D. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

1.7 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar to those indicated for this Project in material, design, and extent.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 329; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
1. Contractor responsibilities include the following:
 - a. Provide test specimens representative of proposed products and construction.
 - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
 - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
 - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
 - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
 - f. When testing is complete, remove test specimens, assemblies, mockups; do not reuse products on Project.
 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, through Construction Manager, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- K. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect or Construction Manager.
 2. Demonstrate the proposed range of aesthetic effects and workmanship.
 3. Obtain Architect's and Construction Manager's approval of mockups before starting work, fabrication, or construction.
 4. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 5. Demolish and remove mockups when directed, unless otherwise indicated.

1.8 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
 2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities required to verify that the Work complies with requirements, whether specified or not.
1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
 2. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.

3. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
 4. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
 5. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
 6. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 Section "Submittal Procedures."
- D. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- E. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- F. Testing Agency Responsibilities: Cooperate with Architect, Construction Manager, and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
1. Notify Architect, Construction Manager, and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
 2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
 6. Do not perform any duties of Contractor.
- G. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
 2. Incidental labor and facilities necessary to facilitate tests and inspections.
 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
 4. Facilities for storage and field curing of test samples.
 5. Preliminary design mix proposed for use for material mixes that require control by testing agency.
 6. Security and protection for samples and for testing and inspecting equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.

1.9 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Engage a qualified testing agency to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:
1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviews the completeness and adequacy of those procedures to perform the Work.
 2. Notifying Architect, Construction Manager, and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
 3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect, through Construction Manager, with copy to Contractor and to authorities having jurisdiction.
 4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
 6. Retesting and reinspecting corrected work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 TEST AND INSPECTION LOG

- A. Prepare a record of tests and inspections. Include the following:
1. Date test or inspection was conducted.
 2. Description of the Work tested or inspected.
 3. Date test or inspection results were transmitted to Architect.
 4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and modifications as they occur. Provide access to test and inspection log for Architect's and Construction Manager's reference during normal working hours.

3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Division 01 Section "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 014000

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SECTION 014200 - REFERENCES

PART 1 - GENERAL

1.1 KEY DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

1.2 DEFINITIONS

- A. Air Handling Unit: A blower or fan used for the purpose of distributing supply air to a room, space or area.
- B. Approved Agency: An established and recognized agency regularly engaged in conducting tests or furnishing inspection services, when such agency has been approved according to the requirements established in this Section and as required by the Code Official having jurisdiction over this project.
- C. Architect: Other terms including "Architect/Engineer" and "Engineer" have the same meaning as "Architect".
- D. Company Field Adviser: An employee of the Company which lists and markets the primary components of the system under the name who is certified in writing by the Company to be technically qualified in design, installation, and servicing of the required products or an employee of an organization certified by the foregoing Company to be technically qualified in design, installation, and servicing of the required products. Personnel involved solely in sales do not qualify.
- E. Concealed Location: A location that cannot be accessed without damaging permanent parts of the building structure or finish surface. Spaces above, below or behind readily removable panels or doors shall not be considered as concealed.

- F. Concealed Piping: Piping that is located in a concealed location. (See "concealed location".)
- G. Connect: A term contraction and unless otherwise specifically noted is to mean “ The labor and materials necessary to join or attach equipment, materials or systems to perform the functions intended”.
- H. Construction Manager: Buffalo Construction Consultants
- I. Drain: Any pipe that carries wastewater or water-borne wastes in a building drainage system.
- J. Drainage Fittings: Type of fitting or fittings utilized in the drainage system. Drainage fittings are similar to cast-iron fittings, except that instead of having a bell and spigot, drainage fittings are recessed and tapped to eliminate ridges on the inside of the installed pipe.
- K. Drainage System: Piping within a public or private premise that conveys sewage, rainwater or other liquid wastes to a point of disposal. A drainage system does not include the mains of a public sewer system or a private or public sewage treatment or disposal plant.
 - 1. Building Gravity: A drainage system that drains by gravity into the building sewer.
 - 2. Sanitary: A drainage system that carries sewage and excludes storm, surface and ground water.
 - 3. Storm: A drainage system that carries rainwater, surface water, condensate, cooling water or similar liquid wastes.
- L. Duct: A tube or conduit utilized for conveying air. The air passages of self-contained systems are not to be construed as air ducts.
- M. Duct System: A continuous passageway for the transmission of air that, in addition to ducts, includes duct fittings, dampers, plenums, fans and accessory air-handling equipment and appliances.
- N. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- O. Headroom: Minimum clearance between the floor and the underside of the point of lowest installed mechanical construction above. In case of stairways and walkways, the minimum clearance between the step or surface of the walkway and the lowest installed mechanical construction above the stairway or the walkway.
- P. Include: When used in any form other than “inclusive”, is non-limiting and is not intended to mean “all-inclusive.”
- Q. Indicated: Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- R. Inspection Certificate: Identification applied on a product by an approved agency containing the name of the manufacturer, the function and performance characteristics, and the name and identification of an approved agency that indicates that the product or material has been inspected and evaluated by an approved agency.
- S. Installer: An installer is the Contractor or another entity engaged by the Contractor, either as an employee, subcontractor, or contractor of lower tier, to perform a particular construction activity, including installation, erection, application, or similar operations. Installers are required to be experienced in the operations they are engaged to perform.
 - 1. Trades: Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespersons of the corresponding generic name.

2. Assigning Specialists: Certain Sections of the Specifications require that specific construction activities shall be performed by specialists who are recognized experts in those operations. The specialists must be engaged for those activities, and their assignments are requirements over which the Contractor has no option. However, the ultimate responsibility for fulfilling contract requirements remains with the Contractor.
 3. This requirement shall not be interpreted to conflict with enforcing building codes and similar regulations governing the Work. It is also not intended to interfere with local trade-union jurisdictional settlements and similar conventions.
- T. Label: An identification applied on a product by the manufacturer that contains the name of the manufacturer, the function and performance characteristics of the product or material, and the name and identification of an approved agency and that indicates that the representative sample of the product or material has been tested and evaluated by an approved agency.
- U. Location:
1. Damp Location: Partially protected locations under canopies, marquees, roofed open porches and like locations, and interior locations subject to moderate degrees of moisture, such as some basements, some barns and some cold-storage warehouses.
 2. Dry Location: A location not normally subject to dampness or wetness. A location classified as dry may be temporarily subject to dampness or wetness, as in the case of a building under construction.
 3. Wet Location: Installations underground or in concrete slabs or masonry in direct contact with the earth and locations subject to saturation with water or other liquids, such as vehicle-washing areas, and locations exposed to weather and unprotected.
- V. Manufacturer's Designation: Identification applied on a product by the manufacturer indicating that a product or material complies with a specified standard or set of rules (see also "Inspection Certificate," "Label" and "Mark").
- W. Mark: An identification applied on a product by the manufacturer indicating the name of the manufacturer and the function of a product or material (see also "Inspection Certificate," "Label" and "Manufacturer's Designation").
- X. Mechanical: Other terms including "HVAC", "Plumbing", "Sprinkler", "Laboratory Equipment", "Food Service Equipment", "Laundry Equipment", and "Refrigeration" have the same meaning as "Mechanical".
- Y. Owner: Niagara Falls City School District.
- Z. Piping: This term includes pipe, tube and appurtenant fittings, flanges, valves, traps, hangers and supports.
- AA. Piping, Concealed: Piping built into construction and not accessible without removal of construction Work such as masonry, plaster or other finish material, and piping installed in floors, furred spaces, suspended ceilings, non-walk-in tunnels, conduits, and behind removable panels and cabinet doors.
- BB. Piping, Distribution: Domestic water supply piping, starting with a connection to service piping, and continuing throughout the building to point of connection to equipment and fixture supply piping.
- CC. Piping, Exposed: Piping directly accessible by normal accesses without removal of any construction Work or material.
- DD. Piping, Service: Underground domestic water supply piping with a connection to a water main or supply as noted, and continuing to and into a building and terminating with the exposed fitting inside the building.

- EE. Piping, Tunnel: Piping installed in walk-in or non-walk-in tunnels or conduits up to first shut-off valve inside building.
- FF. Plumbing System: Includes the water supply and distribution pipes; plumbing fixtures and traps; water-treating or water-using equipment; soil, waste and vent pipes; and sanitary and storm sewers and building drains, in addition to their respective connections, devices and appurtenances within a structure or premises.
- GG. Product: As used includes materials, systems and equipment.
- HH. Registered Design Professional: An individual who is a registered architect (RA) in accordance with Article 147 of the New York State Education Law or a licensed professional engineer (PE) in accordance with Article 145 of the New York State Education Law.
- II. Space, Finished: A space which has a finishing material applied to walls or ceilings, such as paint, plaster, ceramic tile, enamel glazing, face brick, vinyl wall covering, etc. to provide a finished appearance or which will have such finishes applied under a related Contract.
- JJ. Space, Unfinished: A space which does not meet the definition of a finished space.
- KK. Special Inspection: Inspection as herein required of the materials, installation, fabrication, erection, or placement of components and connections requiring special expertise to ensure compliance with approved construction documents and referenced standards.
- LL. Steam-Heating Boiler: A boiler operated at pressures not exceeding 15 psi for steam.
- MM. Supplier: Any person or organization who supplies materials or equipment for the work, including that fabricated to a special design.
- NN. Utility: Any gas, steam, water, sanitary sewer, storm sewer, electrical or other such service.
- OO. Water Supply System: The water service pipe, water distribution pipes, and the necessary connecting pipes, fittings, control valves and all appurtenances in or adjacent to the structure or premises.
 - 1. Chilled: Water-cooled by refrigeration.
 - 2. Cold: Water with at temperature between 33 degrees F and 80 degrees F and which is neither cooled nor heated mechanically.
 - 3. Domestic: Water for use in buildings, except water used in connection with space heating and space cooling.
 - 4. High Temperature: Water with a supply water temperature above 350 degrees.
 - 5. Hot: Water at a temperature greater than or equal to 110°F.

1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Conflicting Requirements: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
 - 1. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are

minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

- D. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

1.4 ABBREVIATIONS AND ACRONYMS

AA	Aluminum Association, Inc. (The)
AABC	Associated Air Balance Council
AAALAC	Association for Assessment and Accreditation of Laboratory Animal Care
AAMA	American Architectural Manufacturers Association
AASHTO	American Association of State Highway and Transportation Officials
ACI	ACI International (American Concrete Institute)
ACPA	American Concrete Pipe Association
AF&PA	American Forest & Paper Association
AGA	American Gas Association
AGC	Associated General Contractors of America (The)
AHA	American Hardboard Association (part of CPA)
AI	Asphalt Institute
AIA	American Institute of Architects (The)
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
ALSC	American Lumber Standard Committee, Incorporated
AMCA	Air Movement and Control Association International, Inc.
ANSI	American National Standards Institute
AOSA	Association of Official Seed Analysts, Inc.
APA	Architectural Precast Association
APA	APA - The Engineered Wood Association

ARI	Air-Conditioning & Refrigeration Institute
ASCE	American Society of Civil Engineers
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
ASME	ASME International
ASSE	American Society of Sanitary Engineering
ASTM	ASTM International
AWCMA	American Window Covering Manufacturers Association (WCSC)
AWI	Architectural Woodwork Institute
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Industry Association (The)
CBM	Certified Ballast Manufacturers
CCC	Carpet Cushion Council
CDA	Copper Development Association
CISCA	Ceilings & Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
CPA	Composite Panel Association
CRI	Carpet & Rug Institute (The)
CRSI	Concrete Reinforcing Steel Institute
CSI	Cast Stone Institute
CSI	Construction Specifications Institute (The)
CTI	Cooling Technology Institute
DHI	Door and Hardware Institute
EIA	Electronic Industries Alliance

EIMA	EIFS Industry Members Association
EJCDC	Engineers Joint Contract Documents Committee
EJMA	Expansion Joint Manufacturers Association, Inc.
ESD	ESD Association
FM Approvals	Factory Mutual Approvals
FSA	Fluid Sealing Association
GA	Gypsum Association
GANA	Glass Association of North America
GSI	Geosynthetic Institute
HI	Hydraulic Institute
HI	Hydronics Institute
HMMA	Hollow Metal Manufacturers Association
HPVA	Hardwood Plywood & Veneer Association
ICEA	Insulated Cable Engineers Association, Inc
ICRI	International Concrete Repair Institute, Inc.
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers, Inc. (The)
IESNA	Illuminating Engineering Society of North America
IENT	Institute of Environmental Sciences and Technology
IGCC	Insulating Glass Certification Council
IGMA	Insulating Glass Manufacturers Alliance
ILI	Indiana Limestone Institute of America, Inc.
IPCEA	Insulated Power Cable Engineer Associates
ISO	International Organization for Standardization
ISSFA	International Solid Surface Fabricators Association
ITU	International Telecommunication Union

KCMA	Kitchen Cabinet Manufacturers Association
LEED	Leadership in Energy and Environmental Design
MBMA	Metal Building Manufacturers Association
MFMA	Maple Flooring Manufacturers Association, Inc.
MFMA	Metal Framing Manufacturers Association, Inc.
MIA	Marble Institute of America
MPI	Master Painters Institute
MSS	Manufacturers Standardization Society of The Valve and Fittings Industry Inc.
NAAMM	National Association of Architectural Metal Manufacturers
NACE	NACE International
NADCA	National Air Duct Cleaners Association
NAIMA	North American Insulation Manufacturers Association
NCMA	National Concrete Masonry Association
NCPI	National Clay Pipe Institute
NCTA	National Cable & Telecommunications Association
NEBB	National Environmental Balancing Bureau
NECA	National Electrical Contractors Association
NeLMA	Northeastern Lumber Manufacturers' Association
NEMA	National Electrical Manufacturers Association
NETA	National Electrical Testing Association
NFHS	National Federation of State High School Associations
NFPA	National Fire Protection Association
NFRC	National Fenestration Rating Council
NGA	National Glass Association
NHLA	National Hardwood Lumber Association
NLGA	National Lumber Grades Authority
NOFMA	NOFMA: The Wood Flooring Manufacturers Association

NRCA	National Roofing Contractors Association
NRMCA	National Ready Mixed Concrete Association
NSF	NSF International (National Sanitation Foundation International)
NSSGA	National Stone, Sand & Gravel Association
NTMA	National Terrazzo & Mosaic Association, Inc. (The)
NWWDA	National Wood Window and Door Association (WDMA)
PCI	Precast/Prestressed Concrete Institute
PDCA	Painting & Decorating Contractors of America
PDI	Plumbing & Drainage Institute
PGI	PVC Geomembrane Institute
PTI	Post-Tensioning Institute
RCSC	Research Council on Structural Connections
RFCI	Resilient Floor Covering Institute
SAE	SAE International
SDI	Steel Deck Institute
SDI	Steel Door Institute
SEFA	Scientific Equipment and Furniture Association
SEI/ASCE	Structural Engineering Institute/American Society of Civil Engineers
SGCC	Safety Glazing Certification Council
SIA	Security Industry Association
SIGMA	Sealed Insulating Glass Manufacturers Association
SJI	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SPIB	Southern Pine Inspection Bureau (The)
SPRI	Single Ply Roofing Industry

SSINA	Specialty Steel Industry of North America
SSPC	SSPC: The Society for Protective Coatings
STI	Steel Tank Institute
SWRI	Sealant, Waterproofing, & Restoration Institute
TCA	Tile Council of America, Inc.

1.5 FEDERAL GOVERNMENT AGENCIES:

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

CE	Army Corps of Engineers
CPSC	Consumer Product Safety Commission
DOC	Department of Commerce
DOD	Department of Defense
DOE	Department of Energy
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FCC	Federal Communications Commission
FDA	Food and Drug Administration
GSA	General Services Administration
HUD	Department of Housing and Urban Development
NIST	National Institute of Standards and Technology
OSHA	Occupational Safety & Health Administration
PHS	Office of Public Health and Science
SD	State Department
TRB	Transportation Research Board
USDA	Department of Agriculture

USPS Postal Service

- B. Codes, Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list.

ADAAG Americans with Disabilities Act (ADA) Accessibility Guidelines

BCNYS Building Code of New York State

CFR Code of Federal Regulations

DOD Department of Defense Military Specifications and Standards

FS Federal Specification

MILSPEC Military Specification and Standards

1.6 NEW YORK STATE GOVERNMENT AGENCIES:

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

DASNY Dormitory Authority of the State of New York

DEC Department of Environmental Conservation

DHCR Division of Housing and Community Renewal

DOH Department of Health

NYSDOL New York State Department of Labor

DOS Department of State

DOT Department of Transportation

NYSPA New York State Power Authority

OGS Office of General Services

OCFS Office of Children and Family Services

OMRD Office of Mental Retardation and Developmental Disabilities

OPRHP Office of Parks, Recreation and Historic Preservation

NYSED New York State Education Department (Department of Education)

SHPO State Historic Preservation Office

SUCF State University Construction Fund

SUNY State University of New York

1.7 NEW YORK STATE CODES

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

BCNYS Building Code of New York State

9-NYCRR New York State Dept. of Labor Title 9 State Building Code

10-NYCRR New York State Dept. of Labor Title 10 State Hospital Code

19-NYCRR - Charter XXXIII, Sub Charter A, Uniform Fire Prevention and Building Code

- B. Where references these references are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

Building Code of New York State

Energy Conservation Code of New York State

Plumbing Code of New York State of New York State

Mechanical Code of New York State

Fuel Gas Code of New York State

Fire Code of New York State

1.8 OTHER TERMS OR ACRONYMS:

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name in the following list.

ACM	Asbestos Containing Materials
ACT	Acoustical Tile
ICRA	Infection Control Risk Assessment
RVT	Resilient Vinyl Tile
SAT	Suspended Acoustical Tile
SFRM	Spray on Fire Resistive Materials
TSI	Thermal Systems Insulation
VAT	Vinyl Asbestos Tile
VCT	Vinyl Composition Tile

1.9 OTHER TERMS OR ACRONYMS:

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name in the following list.

ACM	Asbestos Containing Materials
ACT	Acoustical Tile
ICRA	Infection Control Risk Assessment
RVT	Resilient Vinyl Tile
SAT	Suspended Acoustical Tile
SFRM	Spray on Fire Resistive Materials
TSI	Thermal Systems Insulation
VAT	Vinyl Asbestos Tile
VCT	Vinyl Composition Tile

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 014200

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SECTION 015000 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes requirements for temporary facilities and controls, including temporary utilities, support facilities, and security and protection.
- B. Temporary utilities include, but are not limited to, the following:
 - 1. Temporary heat.
- C. Support facilities include, but are not limited to, the following:
 - 1. Temporary partitions and enclosures.
 - 2. Waste disposal services and dumpsters.
 - 3. Construction aids and miscellaneous services and facilities.
- D. Security and protection facilities include, but are not limited to, the following:
 - 1. Temporary fire protection.
 - 2. Barricades, warning signs, and lights.
 - 3. Security enclosure and lockup.
 - 4. Temporary enclosures.
 - 5. Temporary partitions.
- E. Related Sections:
 - 1. Division 01 Section "Summary" for work restrictions and limitations on utility interruptions.

1.2 INFORMATIONAL SUBMITTALS

- A. Temporary Utilities: The contractor shall submit reports of tests, inspections, meter readings, and similar procedures performed on temporary utilities.
- B. Implementation and Termination Schedule: Within 15 days of the date established for submittal of the Contractor's Construction Schedule, The contractor shall submit a schedule indicating implementation and termination of each temporary utility for which the Contractor is responsible.
- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- D. Moisture-Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage, including delivery, handling, and storage provisions for materials subject to water absorption or water damage, discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water damaged Work.
 - 1. Describe delivery, handling, and storage provisions for materials subject to water absorption or water damage.
 - 2. Indicate procedures for discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water-damaged Work.
- E. Dust-Control and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust-control and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Identify further options if proposed measures are later determined to be inadequate. Include the following:
 - 1. Locations of dust-control partitions at each phase of the work.
 - 2. HVAC system isolation schematic drawing.
 - 3. Location of proposed air filtration system discharge.

4. Other dust-control measures.
5. Waste management plan.

1.3 DEFINITIONS

- A. Temporary Enclosure: As determined by Architect, temporary roofing is complete, insulated, all exterior wall openings are closed with temporary closures.
- B. Permanent Enclosure: As determined by Architect, permanent roofing is complete, insulated, and weather tight; exterior walls are insulated and weather tight; and all openings are closed with permanent construction or substantial temporary closures.
- C. Temporary Facilities: Construction, fixtures, fittings, and other built items required to accomplish the work but which are not incorporated into the finished work.
- D. Temporary Utilities: A type of temporary facility, primary sources of electric power, water, natural gas supply, etc., obtained from public utilities, other main distribution systems, or temporary sources constructed for the project, but not including the fixtures and equipment served.
- E. Temporary Services: Activities required during construction, which do not directly accomplish the work.

1.4 QUALITY ASSURANCE

- A. Regulations: The contractor shall comply with industry standards and with applicable laws and regulations of authorities having jurisdiction including, but not limited to, the following:
 1. Building code requirements.
 2. Health and safety regulations.
 3. Utility company regulations.
 4. Police, fire department and rescue squad rules.
 5. Environmental protection regulations.
- B. Standards: The Contractor shall comply with NFPA 241 "Standard for Safeguarding Construction, Alterations, and Demolition Operations," ANSI-A10 Series standards for "Safety Requirements for Construction and Demolition," and NECA Electrical Design Library "Temporary Electrical Facilities."
- C. Trade Jurisdictions: Assigned responsibilities for installation and operation of temporary utilities are not intended to interfere with the normal application of trade regulations and union jurisdictions.
- D. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- E. Accessible Temporary Egress: Comply with applicable provisions in the U.S. Architectural & Transportation Barriers Compliance Board's ADA-ABA Accessibility Guidelines and ICC/ANSI A117.1.

1.5 USE CHARGES

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Architect, testing agencies, and authorities having jurisdiction.
 1. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.
 2. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.

3. Gas Service from Existing System: Gas Service from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.
- B. Cost or use charges for temporary facilities are not chargeable to the Owner or the Architect. The Architect will not accept a contractor's cost or use charges for temporary services or facilities as a basis of claim for an adjustment in the Contract Sum or the Contract Time.
- C. Other entities using temporary services and facilities include, but are not limited to, the following:
 1. Other nonprime contractors.
 2. The Owner's work forces.
 3. Occupants of the Project.
 4. The Architect.
 5. Testing agencies.
 6. Personnel of government agencies.

1.6 PROJECT CONDITIONS

- A. Temporary Utilities: The contractor shall prepare a schedule indicating dates for implementation and termination of each temporary utility for which the Contractor is responsible. At the earliest feasible time, when acceptable to the Owner, change over from use of temporary service to use of permanent service.
- B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Relocate temporary services and facilities as the Work progresses. Do not overload facilities or permit them to interfere with progress. Take necessary fire-prevention measures. Do not allow hazardous, dangerous, or unsanitary conditions, or public nuisances to develop or persist on-site.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Chain-Link Fencing: Minimum 2-inch, 0.148-inch- thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts.
- B. Portable Chain-Link Fencing: Minimum 2-inch, 0.148-inch- thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts, with 1-5/8-inch- OD top and bottom rails. Provide concrete or galvanized-steel bases for supporting posts.
- C. General: The contractor shall provide new materials. If acceptable to the Architect, undamaged, previously used materials in serviceable condition may be used. Provide materials suitable for use intended.
- D. Lumber and Plywood: Comply with requirements in Division 6 Section "Rough Carpentry."
 1. For signs and directory boards, provide exterior-type, Grade B-B high-density concrete form overlay plywood of sizes and thicknesses indicated.
- E. Gypsum Wallboard: Provide 5/8 Type X gypsum wallboard on each side of temporary partitions.
- F. Paint: Comply with requirements of Division 9 Section "Painting."
- G. Tarpaulins: Provide waterproof, fire-resistant, UL-labeled tarpaulins with flame-spread rating of 15 or less. For temporary enclosures, provide translucent, nylon-reinforced, laminated polyethylene or polyvinyl chloride, fire-retardant tarpaulins.

- H. Polyethylene Sheet: Reinforced, fire-resistive sheet, 10-mil minimum thickness, with flame-spread rating of 15 or less per ASTM E 84 and passing NFPA 701 Test Method 2.
- I. Dust-Control Adhesive-Surface Walk-off Mats: Provide mats minimum 36 by 60 inches.
- J. Insulation: Unfaced mineral-fiber blanket, manufactured from glass, slag wool, or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively.

2.2 TEMPORARY FACILITIES

- A. Field Offices, General: Owner will provide office within existing facility.

2.3 EQUIPMENT

- A. General: The contractor shall provide new equipment. If acceptable to the Architect, undamaged, previously used equipment in serviceable condition may be used. Provide equipment suitable for use intended.
- B. Water Hoses: Provide 3/4-inch heavy-duty, abrasion-resistant, flexible rubber hoses 100 feet long, with pressure rating greater than the maximum pressure of the water distribution system. Provide adjustable shutoff nozzles at hose discharge.
- C. Electrical Outlets: Provide properly configured, NEMA-polarized outlets to prevent insertion of 110- to 120-V plugs into higher voltage outlets. Provide receptacle outlets equipped with ground-fault circuit interrupters, reset button, and pilot light for connection of power tools and equipment.
- D. Electrical Power Cords: Provide grounded extension cords. Use hard-service cords where exposed to abrasion and traffic. Provide waterproof connectors to connect separate lengths of electric cords if single lengths will not reach areas where construction activities are in progress. Do not exceed safe length-voltage ratio.
- E. Lamps and Light Fixtures: Provide general service incandescent lamps of wattage required for adequate illumination. Provide guard cages or tempered-glass enclosures, where exposed to breakage. Provide exterior fixtures where exposed to moisture.
- F. Heating Units: Provide temporary heating units that have been tested and labeled by UL, FM, or another recognized trade association related to the type of fuel being consumed.
- G. Temporary Toilet Units: use of designated toilet rooms within the existing facility will be allowed.
- H. Fire Extinguishers: Provide hand-carried, portable, UL-rated, Class A fire extinguishers for temporary offices and similar spaces. In other locations, provide hand-carried, portable, UL-rated, Class ABC, dry-chemical extinguishers or a combination of extinguishers of NFPA-recommended classes for the exposures.
 - 1. Comply with NFPA 10 and NFPA 241 for classification, extinguishing agent, and size required by location and class of fire exposure.
- I. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
 - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
 - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
 - 3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return air grille in system and remove at end of construction and clean HVAC system as required in Division 01 Section "Closeout Procedures".
- J. Air Filtration Units: HEPA primary and secondary filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

3.2 TEMPORARY UTILITY INSTALLATION

- A. General: Engage the appropriate local utility company to install temporary service or connect to existing service. Where the company provides only part of the service, provide the remainder with matching, compatible materials and equipment. Comply with company recommendations.
 - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services. A minimum of 72 hours notice is required.
 - 2. Provide adequate capacity at each stage of construction. Prior to temporary utility availability, provide trucked-in services.
 - 3. Obtain easements to bring temporary utilities to the site where the Owner's easements cannot be used for that purpose.
- B. Use qualified personnel for installation of temporary facilities. Locate facilities where they will serve the Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required.
- C. The contractor shall provide each facility ready for use when needed to avoid delay. Maintain and modify as required. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.
- D. Sanitary Facilities:
 - 1. Toilets: Use of Owner's existing toilet facilities will be permitted, as long as facilities are cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.
- E. Water Service: Connect to Owner's existing water service facilities. Clean and maintain water service facilities in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.
- F. Electric Power Service: Connect to Owner's existing electric power service. Maintain equipment in a condition acceptable to Owner.
 - 1. Connect temporary service to Owner's existing power source, as directed by Owner.
- G. Temporary Lighting: Provide temporary lighting with local switching.
 - 1. Install and operate temporary lighting that will fulfill security and protection requirements without operating the entire system. Provide temporary lighting that will provide adequate illumination for construction operations and traffic conditions.
 - a. Existing security lighting for building exteriors shall be continuously operational and maintained.
 - b. Temporary lighting shall be maintained in accordance with OSHA standards for power and foot candle levels in all areas while workers occupy the space.
 - 2. Provide temporary lighting in the areas of renovation where the existing fixtures have been removed and the new lighting has not been installed.
- H. Heating Facilities:
 - 1. Protect all permanent equipment put into services from dust, dust infiltration and soiling by installing filtering media at each supply and return outlet. Filters shall be changed in all

air handling equipment including unit vents prior to owner occupancy. Failure to provide the necessary protection to the equipment may result in the contractor to be charged to clean the equipment and associated ductwork.

- I. Ventilation and Humidity Control: Provide temporary ventilation required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
 - 1. Provide dehumidification systems when required to reduce substrate moisture levels to level required to allow installation or application of finishes.
- J. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.
 - 1. Prior to commencing work, isolate the HVAC system in area where work is to be performed in accordance with approved coordination drawings.
 - a. Disconnect supply and return ductwork in work area from HVAC systems servicing occupied areas.
 - b. Maintain negative air pressure within work area using HEPA-equipped air filtration units, starting with commencement of temporary partition construction, and continuing until removal of temporary partitions is complete.
 - 2. Maintain dust partitions during the Work. Use vacuum collection attachments on dust-producing equipment. Isolate limited work within occupied areas using portable dust containment devices.
 - 3. Perform daily construction cleanup and final cleanup using approved, HEPA-filter-equipped vacuum equipment.

3.3 SUPPORT FACILITIES INSTALLATION

- A. Traffic Controls: Comply with requirements of authorities having jurisdiction.
 - 1. Protect existing site improvements to remain including curbs, pavement, and utilities.
 - 2. Maintain access for fire-fighting equipment and access to fire hydrants.
- B. Temporary Parking: Use designated areas of Owner's existing parking areas for construction personnel.
- C. Waste Disposal Facilities: Comply with Division 01 Section "Construction Waste Management and Disposal" for waste disposal. Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with Division 01 Section "Execution" for progress cleaning requirements.
- D. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
 - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
 - 1. Comply with work restrictions specified in Division 01 Section "Summary."

- C. Tree and Plant Protection: Install temporary fencing located as indicated or outside the drip line of trees to protect vegetation from damage from construction operations. Protect tree root systems from damage, flooding, and erosion.
- D. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- E. Temporary Signs: Prepare signs in sizes indicated. Install signs where indicated to inform public and persons seeking entrance to Project. Do not permit installation of unauthorized signs.
 - 1. Prepare temporary signs to provide directional information to construction personnel and visitors.
- F. Temporary Signs: Prepare signs to provide directional information to construction personnel and visitors for each site. Unauthorized signs are not permitted.
 - 1. For construction traffic control/flow at entrances/exits, as designated by the Owner.
 - 2. For warning signs as required
 - 3. Per OSHA standards as necessary
 - 4. For "No Smoking" safe work site at multiple locations.
- G. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.
- H. Temporary Enclosures: Provide temporary enclosure for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities.
 - 1. Where heat is needed and the permanent building enclosure is not complete, provide temporary enclosures where there is no other provision for containment of heat. Coordinate enclosure with ventilating and material drying or curing requirements to avoid dangerous conditions and effects.
 - 2. Install tarpaulins securely, with incombustible wood framing and other materials. Close openings of 25 sq. ft. or less with plywood or similar materials.
- I. Temporary Partitions: Provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by Owner from fumes and noise.
 - 1. Temporary partitions shall be installed where required or indicated to protect areas, spaces, property, residents, personnel,; to separate and control dust, debris, noise, access, sight, fire areas, safety and security.
 - a. All temporary partitions shall be one hour fire rated assemblies.
 - b. Temporary partitions shall be installed, maintained, and removed as directed by the Architect
 - 2. Insulate partitions to control noise transmission to occupied areas.
 - 3. Seal joints and perimeter. Equip partitions with gasketed dustproof doors and security locks where openings are required.
 - 4. Paint public side of temporary gypsum wallboard Comply with requirements of Division 9 Section "Painting".
 - 5. Protect air-handling equipment.
 - 6. Provide walk-off mats at each entrance through temporary partition.
- J. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241.
 - 1. Prohibit smoking in construction areas and throughout the campus.
 - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction and the Owner's requirements.
 - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.

- K. Security Enclosure and Lockup: Install substantial temporary enclosure of partially completed areas of construction. Provide locking entrances to prevent entrance by unauthorized persons.
 - 1. Storage: Where materials and equipment must be stored, and are of value or attractive for theft, provide a secure lockup. Enforce discipline in connection with the installation and release of material to minimize the opportunity for theft and vandalism.

3.5 MOISTURE AND MOLD CONTROL

- A. Contractor's Moisture-Protection Plan: Avoid trapping water in finished work. Document visible signs of mold that may appear during construction.
- B. Exposed Construction Phase: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:
 - 1. Protect porous materials from water damage.
 - 2. Protect stored and installed material from flowing or standing water.
 - 3. Keep porous and organic materials from coming into prolonged contact with concrete.
 - 4. Remove standing water from decks.
 - 5. Keep deck openings covered or dammed.
- C. Controlled Construction Phase of Construction: Prior to the full operation of permanent HVAC systems, maintain as follows:
 - 1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
 - 2. The Contractor is to provide temporary dehumidification and ventilation until the building systems are operational and the spaces are substantially completed.
 - 3. Comply with manufacturer's written instructions for temperature, relative humidity, and exposure to water limits.
 - a. Hygroscopic materials that may support mold growth, including wood and gypsum-based products, that become wet during the course of construction and remain wet for 48 hours are considered defective.
 - b. Measure moisture content of materials that have been exposed to moisture during construction operations or after installation. Record daily readings over a forty-eight hour period. Identify materials containing moisture levels higher than allowed. Report findings in writing to Architect.
 - c. Remove materials that cannot be completely restored to their manufactured moisture level 48 hours.

3.6 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. Limit availability of temporary facilities to essential and intended uses to minimize waste and abuse.
- B. Maintenance: Maintain facilities in good operating condition until removal.
 - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
 - 1. Materials and facilities that constitute temporary facilities are property of Contractor.

2. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Division 01 Section "Closeout Procedures."
3. Restore areas of pavement and lawn that have been damaged by temporary facilities.

END OF SECTION 015000

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SECTION 016000 - PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
 - 1. Section 012100 "Allowances" for products selected under an allowance.
 - 2. Section 012300 "Alternates" for products selected under an alternate.
 - 3. Section 014200 "References" for applicable industry standards for products specified.

1.2 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a specific manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating comparable products of additional manufacturers named in the specification.

1.3 ACTION SUBMITTALS

- A. Comparable Product Requests: Submit request for consideration of each comparable product. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.
 - 2. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor through Construction Manager of approval or rejection of proposed comparable product request within 10 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
 - a. Form of Approval: As specified in Section 013300 "Submittal Procedures."
 - b. Use product specified if Architect does not issue a decision on use of a comparable product request within time allocated.

- B. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 013300 "Submittal Procedures." Show compliance with requirements.

1.4 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
 - 1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
 - 2. If a dispute arises between contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.

1.5 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
 - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
 - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
 - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
 - 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
 - 1. Store products to allow for inspection and measurement of quantity or counting of units.
 - 2. Store materials in a manner that will not endanger Project structure.
 - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
 - 4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
 - 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
 - 6. Protect stored products from damage and liquids from freezing.
 - 7. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

1.6 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
 - 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.

2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 017700 "Closeout Procedures."

PART 2 - PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
 4. Where products are accompanied by the term "as selected," Architect will make selection.
 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
 6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product.
- B. Product Selection Procedures:
 1. Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 3. Products:
 - a. Restricted List: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
 - b. Nonrestricted List: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed, or an unnamed product, that complies with requirements. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product.

4. Manufacturers:
 - a. Restricted List: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
 - b. Nonrestricted List: Where Specifications include a list of available manufacturers, provide a product by one of the manufacturers listed, or a product by an unnamed manufacturer, that complies with requirements. Comply with requirements in "Comparable Products" Article for consideration of an unnamed manufacturer's product.
5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
- C. Visual Matching Specification: Where Specifications require "match Architect's sample", provide a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
 1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 012500 "Substitution Procedures" for proposal of product.
- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 EQUIVALENT PRODUCTS

- A. Conditions for Consideration: Architect will consider Contractor's request for equivalent product when the following conditions are satisfied. If the following conditions are not satisfied, Architect may return requests without action, except to record noncompliance with these requirements:
 1. Evidence that the proposed product does not require revisions to the Contract Documents, that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.
 2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
 3. Evidence that proposed product provides specified warranty.
 4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
 5. Samples, if requested.

PART 3 - EXECUTION (Not Used)

END OF SECTION 016000

SECTION 017300 - EXECUTION

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
 - 1. Construction layout.
 - 2. Installation of the Work.
 - 3. Cutting and patching.
 - 4. Progress cleaning.
 - 5. Starting and adjusting.
 - 6. Protection of installed construction.
- B. Related Requirements:
 - 1. Section 024119 "Selective Demolition" for demolition and removal of selected portions of the building.
 - 2. Section 078413 "Penetration Firestopping" for patching penetrations in fire-rated construction.

1.2 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

1.3 INFORMATIONAL SUBMITTALS

- A. Cutting and Patching Plan: Submit plan describing procedures for cutting and patching. Include the following information:
 - 1. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
 - 2. Products: List products to be used for patching and firms or entities that will perform patching work.
 - 3. Dates: Indicate when cutting and patching will be performed.
 - 4. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.
 - a. Include description of provisions for temporary services and systems during interruption of permanent services and systems.

1.4 QUALITY ASSURANCE

- A. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
 - 1. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and

- patch structural elements in a manner that could change their load-carrying capacity or increase deflection
- a. Masonry and stone support lintels>.
 2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
 3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
 - a. Water, moisture, or vapor barriers.
 - b. Membranes and flashings.
 - c. Exterior curtain-wall construction.
 - d. Sprayed fire-resistive material.
 - e. Equipment supports.
 - f. Piping, ductwork, vessels, and equipment.
 4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- B. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.
 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.

- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
 - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
 - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
 - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
 - 1. Description of the Work.
 - 2. List of detrimental conditions, including substrates.
 - 3. List of unacceptable installation tolerances.
 - 4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- B. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- C. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect and Construction Manager promptly.
- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
 - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
 - 2. Establish limits on use of Project site.
 - 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
 - 4. Inform installers of lines and levels to which they must comply.
 - 5. Check the location, level and plumb, of every major element as the Work progresses.
 - 6. Notify Architect and Construction Manager when deviations from required lines and levels exceed allowable tolerances.

7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- D. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect and Construction Manager.

3.4 FIELD ENGINEERING

- A. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
- B. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.

3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
 1. Make vertical work plumb and make horizontal work level.
 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
 4. Maintain minimum headroom clearance of 120 inches in occupied spaces and 108 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.

- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
 - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
 - 2. Allow for building movement, including thermal expansion and contraction.
 - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

3.6 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
 - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 011000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
 - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
 - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
 - 3. Concrete: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
 - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.

5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
 - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
 - b. Restore damaged pipe covering to its original condition.
 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
 - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch extending to an inside or outside corner of a wall. Provide additional coats until patch blends with adjacent surfaces.
 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

3.7 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
 2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
 - a. Use containers intended for holding waste materials of type to be stored.
 4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.

1. Remove liquid spills promptly.
 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not wash waste materials down sewers or drains. Comply with waste disposal requirements in Division 1 "Temporary Facilities and Controls."
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

3.8 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

3.9 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

END OF SECTION 017300

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SECTION 017329 - CUTTING AND PATCHING

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes procedural requirements for cutting and patching.
- B. Each Contractor is responsible for all cutting, fitting and patching required for alteration Work or to correct or modify newly installed construction, including but not limited to:
 - 1. Coordination between all trades.
 - 2. Performing sequential excavation and backfill.
 - 3. Completing the Work or making its several parts fit together properly or integrate with other Work.
 - 4. Uncovering portions of the Work to provide for installation of ill-timed Work.
 - 5. Removing and replacing defective Work.
 - 6. Removing and replacing Work not conforming to requirements of Contract Documents.
 - 7. Removing samples of installed Work as specified for testing.
 - 8. Providing routine penetrations of non-structural surfaces for installation of materials such as piping and electrical conduit.

1.2 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other Work.
- B. Patching: Fitting and repair work required to restore surfaces to original conditions after installation of other Work.

1.3 QUALITY ASSURANCE

- A. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
 - 1. A structural element includes any load-bearing, lateral force-resistant member, and wind or seismic movement resisting construction.
 - 2. Take precautions and exercise care to ensure Work is removed neatly and without movement or settlement to remainder of building. Contractor will be held liable for any damage, movement, settlement caused thereby or resulting therefrom.
- B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Examples of operating elements include, but are not limited to, the following:
 - 1. Primary operational systems and equipment.
 - 2. Air or smoke barriers.
 - 3. Fire-suppression systems.
 - 4. Mechanical systems piping and ducts.
 - 5. Control systems.
 - 6. Communication systems.
 - 7. Conveying systems.
 - 8. Electrical wiring systems.
 - 9. Operating systems of special construction in Division 13 Sections.

- C. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Examples of miscellaneous elements include, but are not limited to, the following:
1. Water, moisture, or vapor barriers.
 2. Membranes and flashings.
 3. Exterior curtain-wall construction.
 4. Equipment supports.
 5. Piping, ductwork, vessels, and equipment.
 6. Noise- and vibration-control elements and systems.
- D. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- E. Cutting and Patching Conference: Before proceeding, meet at Project site with parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict. Coordinate procedures and resolve potential conflicts before proceeding.
- F. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.
- G. Qualifications: Workmen to have minimum three (3) years experience in working with materials being cut and patched.

1.4 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials identical to in-place materials.
1. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
 2. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.
- C. Materials used for sealing openings shall have a fire rating equal to or greater than the rating of the floor, ceiling or partition and shall comply with applicable codes.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.
1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
 2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Temporary Support: Provide temporary support of Work to be cut.
- B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- C. Adjacent Occupied Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.
- D. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.

3.3 PERFORMANCE

- A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
 - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
 - 2. Restore Work and surfaces with new products in accordance with requirements of the Contract Documents.
- B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. Employ original Installer for cutting and patching of newly installed construction; comply with original Installer's written recommendations.
 - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
 - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
 - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
 - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
 - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
 - 6. Proceed with patching after construction operations requiring cutting are complete.
- C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.
 - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
 - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
 - a. Refinish entire surfaces as necessary to provide an even new finish.
 - b. For continuous surfaces, refinish to nearest intersection.
 - c. For assemblies, entirely refinish.
 - d. Clean piping, conduit, and similar features before applying paint or other finishing materials.

- e. Restore damaged pipe covering to its original condition.
3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
 - a. Patch subfloors under removed partitions, fixed equipment, etc. by cutting back, applying underlayment, concrete fill or other acceptable leveling fill as necessary to provide subfloor that is level with adjacent existing subfloors and properly prepare to receive finish flooring.
 - b. In renovated rooms/areas to receive new floor finishes, remove existing finish flooring and related materials and prepare subfloor by cutting back, applying concrete fill or other acceptable leveling fill as necessary to provide subfloor that is level and properly prepared to receive new floor finish as required by Room Finish Schedule and material manufacturers written recommendations.
 - c. In renovated rooms/areas to receive new wall finishes, those portions of existing walls that remain shall have their surfaces patched, cut back, or brought forward as necessary, and prepared as required to receive the new finishes per Room Finish Schedule.
 - d. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for the substrate over the patch and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
 - e. In rooms or areas where patching is required on one wall only, that entire wall is to be refinished to match the existing finish and color, including existing painted doors, door frames and window frames if they occur in that wall.
 - f. In rooms or areas where patching is required on two or more walls, all walls, including painted doors, door frames and painted window frames, are to be refinished.
4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even- plane surface of uniform appearance.
 - a. In rooms or areas where patching is required in an existing plaster or gypsum wallboard ceiling, the entire ceiling is to be repainted. In rooms where patching is required in existing acoustic tile ceilings, patch ceilings with matching type and pattern of acoustic tile, clean all remaining tile and apply one coat of white latex paint by roller over all tile surfaces. Clean all exposed metal suspension system.
5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
6. Openings created as a result of removal of materials must be patched to match adjacent construction as to materials and finishes, unless otherwise indicated.
 - a. Contractor responsible for cutting and patching shall also be responsible for furnishing and installing lintels where openings are cut through existing masonry or concrete walls. Refer to Lintel Schedule in Division 05 Section "Metal Fabrications" for sizing of lintels, unless lintels are shown on Drawings.
7. Where existing equipment is removed and new equipment is installed in the existing opening, the Contractor installing the new equipment shall close up the unused portion of the opening with materials matching adjacent construction.
8. When new rubber or vinyl stair treads, risers and landings, are installed at existing stairs, paint all exposed steel.
9. Paint all exposed insulated or non-insulated pipes and ducts in finished rooms or areas.
10. Where existing equipment or assemblies are removed, the Contractor removing the equipment shall patch and repair the floor, walls and ceiling.

D. Roofing:

1. Before commencing with cutting and patching of roofing, consult with the Owner regarding the existence of an outstanding roofing warranty. If such a warranty exists, obtain written approval of the methods to be used from the roofing manufacturer who issued the warranty so as not to affect the value of the warranty.
2. If necessary, cutting and patching of roofing to be performed by roofing manufacturer authorized personnel only.
3. Cut, patch, repair and extend roofing and insulation as follows:
 - a. Where disturbed or damaged by alteration Work or activities related to same.
 - b. Where new Work connects to existing construction.
4. Roof areas penetrated for alterations shall be protected against damage and leakage by the Contractor performing the Work. Roof openings shall not be left uncovered or unprotected overnight or during any periods of rainy or inclement weather.
5. Remove loose aggregate, if applicable, and store away from work area.
6. Work shall be performed in a manner to provide for permanent water-tight splice or repair.
7. Roof repair and alteration Work and materials shall match existing roofing materials and construction.
8. Upon completion and inspection of splice or repair Work, remove debris from the roof and replace the aggregate as required.
9. Protect undisturbed existing and newly repaired roofing subject to traffic and damage.

3.4 CLEANING

- A. Clean areas and spaces where cutting and patching are performed.
- B. Completely remove paint, mortar, oils, putty, and similar materials.

END OF SECTION 017329

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SECTION 017700 - CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
 - 1. Substantial Completion procedures.
 - 2. Final completion procedures.
 - 3. Warranties.
 - 4. Final cleaning.
 - 5. Repair of the Work.
- B. Related Requirements:
 - 1. Section 017300 "Execution" for progress cleaning of Project site.
 - 2. Section 017823 "Operation and Maintenance Data" for operation and maintenance manual requirements.
 - 3. Section 017839 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
 - 4. Section 017900 "Demonstration and Training" for requirements for instructing Owner's personnel.
 - 5. Divisions 02 through 49 Sections for specific closeout and special cleaning requirements for the Work in those Sections.

1.2 ACTION SUBMITTALS

- A. Product Data: For cleaning agents.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- C. Certified List of Incomplete Items: Final submittal at Final Completion.

1.3 CLOSEOUT SUBMITTALS

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.

1.4 MAINTENANCE MATERIAL SUBMITTALS

- A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.5 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete. **The Architect will not perform a punch list inspection until the contractors punch list is received and reviewed.**
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.

1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
 2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Construction Manager. Label with manufacturer's name and model number where applicable.
 - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Construction Manager's signature for receipt of submittals.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
 2. Complete startup and testing of systems and equipment
 3. Submit test/adjust/balance records.
 4. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
 5. Complete startup testing of systems.
 6. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 017900 "Demonstration and Training."
 7. Complete final cleaning requirements, including touchup painting
- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect and Construction Manager will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
 - a. **The Architects basic services include (1) initial punch list and (1) follow-up punch list inspection to insure all corrective action and or incomplete work has been finished. The Contractor is responsible to the Owner for all costs incurred by the Architect for additional services to provide multiple punch lists for the same work area. The cost for these additional services, may be deducted from the Contractors Contract by deduct Change Order.**
 2. Results of completed inspection will form the basis of requirements for final completion.

1.6 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:
1. Submit a final Application for Payment according to Section 012900 "Payment Procedures."
 2. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
 3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
 4. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
 5. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.
 6. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
 7. Prepare and submit Project Record Documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
- B. Inspection: Submit a written request for final inspection to determine acceptance, a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect and Construction Manager will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.7 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
1. Organize list of spaces in sequential order,.
 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
 3. Include the following information at the top of each page:
 - a. Project name.
 - b. Date.
 - c. Name of Architect and Construction Manager.
 - d. Name of Contractor.
 - e. Page number.
 4. Submit list of incomplete items in the following format:
 - a. PDF electronic file. Architect, through Construction Manager, will return annotated file.

1.8 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
 - 1. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
- D. Provide additional copies of each warranty to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

PART 3 - EXECUTION

3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
 - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
 - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
 - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
 - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
 - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
 - e. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces.
 - f. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.

- g. Sweep concrete floors broom clean in unoccupied spaces.
- h. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
- i. Clean transparent materials, including glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials.
- j. Remove labels that are not permanent.
- k. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.

3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
 - 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
 - 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
 - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.

END OF SECTION 017700

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SECTION 017823 - OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
 - 1. Operation and maintenance documentation directory.
 - 2. Emergency manuals.
 - 3. Operation manuals for systems, subsystems, and equipment.
 - 4. Product maintenance manuals.
 - 5. Systems and equipment maintenance manuals.
- B. Related Requirements:
 - 1. Divisions 02 through 49 Sections for any specific closeout requirements for the Work in those Sections.

1.2 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

1.3 CLOSEOUT SUBMITTALS

- A. Manual Content: Operations and maintenance manual content is specified in individual Specification Sections to be reviewed at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
 - 1. Architect will comment on whether content of operations and maintenance submittals are acceptable.
 - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operations and maintenance manuals in the following format:
 - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect.
 - a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
 - b. Enable inserted reviewer comments on draft submittals.
- C. Manual Submittal: Submit draft pdf of each manual at least 15 days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.

PART 2 - PRODUCTS

2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:

1. List of documents.
 2. List of systems.
 3. List of equipment.
 4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

2.2 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
1. Title page.
 2. Table of contents.
 3. Manual contents.
- B. Title Page: Include the following information:
1. Subject matter included in manual.
 2. Name and address of Project.
 3. Name and address of Owner.
 4. Date of submittal.
 5. Name and contact information for Contractor.
 6. Name and contact information for Construction Manager.
 7. Name and contact information for Architect.
 8. Name and contact information for Commissioning Authority.
 9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
 10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.

- E. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
 2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
 - a. contents, and drawing locations.

2.3 EMERGENCY MANUALS

- A. Content: Organize manual into a separate section for each of the following:
1. Type of emergency.
 2. Emergency instructions.
 3. Emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
1. Fire.
 2. Flood.
 3. Gas leak.
 4. Water leak.
 5. Power failure.
 6. Water outage.
 7. System, subsystem, or equipment failure.
 8. Chemical release or spill.
- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include the following, as applicable:
1. Instructions on stopping.
 2. Shutdown instructions for each type of emergency.
 3. Operating instructions for conditions outside normal operating limits.
 4. Required sequences for electric or electronic systems.
 5. Special operating instructions and procedures.

2.4 OPERATION MANUALS

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
 2. Performance and design criteria if Contractor has delegated design responsibility.
 3. Operating standards.
 4. Operating procedures.
 5. Operating logs.

6. Wiring diagrams.
 7. Control diagrams.
 8. Piped system diagrams.
 9. Precautions against improper use.
 10. License requirements including inspection and renewal dates.
- B. Descriptions: Include the following:
1. Product name and model number. Use designations for products indicated on Contract Documents.
 2. Manufacturer's name.
 3. Equipment identification with serial number of each component.
 4. Equipment function.
 5. Operating characteristics.
 6. Limiting conditions.
 7. Performance curves.
 8. Engineering data and tests.
 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include the following, as applicable:
1. Startup procedures.
 2. Equipment or system break-in procedures.
 3. Routine and normal operating instructions.
 4. Regulation and control procedures.
 5. Instructions on stopping.
 6. Normal shutdown instructions.
 7. Seasonal and weekend operating instructions.
 8. Required sequences for electric or electronic systems.
 9. Special operating instructions and procedures.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed, and identify color-coding where required for identification.

2.5 PRODUCT MAINTENANCE MANUALS

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Product Information: Include the following, as applicable:
1. Product name and model number.
 2. Manufacturer's name.
 3. Color, pattern, and texture.
 4. Material and chemical composition.
 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:

1. Inspection procedures.
 2. Types of cleaning agents to be used and methods of cleaning.
 3. List of cleaning agents and methods of cleaning detrimental to product.
 4. Schedule for routine cleaning and maintenance.
 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
1. Include procedures to follow and required notifications for warranty claims.

2.6 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Manufacturers' Maintenance Documentation: Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
1. Standard maintenance instructions and bulletins.
 2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
 3. Identification and nomenclature of parts and components.
 4. List of items recommended to be stocked as spare parts.
- D. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
1. Test and inspection instructions.
 2. Troubleshooting guide.
 3. Precautions against improper maintenance.
 4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 5. Aligning, adjusting, and checking instructions.
 6. Demonstration and training video recording, if available.
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
1. Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
 2. Maintenance and Service Record: Include manufacturers' forms for recording maintenance.
- F. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.

- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- H. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
 - 1. Include procedures to follow and required notifications for warranty claims.

PART 3 - EXECUTION

3.1 MANUAL PREPARATION

- A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.
- B. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- C. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- D. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.
 - 1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
 - 2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
 - 1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- F. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
 - 1. Comply with requirements of newly prepared record Drawings in Section 017839 "Project Record Documents."
- G. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION 017823

SECTION 017839 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
 - 1. Record Drawings.
 - 2. Record Specifications.
 - 3. Record Product Data.
 - 4. Miscellaneous record submittals.
- B. Related Requirements:
 - 1. Section 017700 "Closeout Procedures" for general closeout procedures.
 - 2. Section 017823 "Operation and Maintenance Data" for operation and maintenance manual requirements.
 - 3. Divisions 02 through 49 Sections for specific requirements for project record documents of the Work in those Sections.

PART 2 - PRODUCTS

2.1 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
 - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
 - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
 - b. Accurately record information in an acceptable drawing technique.
 - c. Record data as soon as possible after obtaining it.
 - d. Record and check the markup before enclosing concealed installations.
 - e. Cross-reference record prints to corresponding archive photographic documentation.
 - 2. Content: Types of items requiring marking include, but are not limited to, the following:
 - a. Dimensional changes to Drawings.
 - b. Revisions to details shown on Drawings.
 - c. Depths of foundations below first floor.
 - d. Locations and depths of underground utilities.
 - e. Revisions to routing of piping and conduits.
 - f. Revisions to electrical circuitry.
 - g. Actual equipment locations.
 - h. Duct size and routing.
 - i. Locations of concealed internal utilities.
 - j. Changes made by Change Order or Construction Change Directive.
 - k. Changes made following Architect's written orders.
 - l. Details not on the original Contract Drawings.
 - m. Field records for variable and concealed conditions.

- n. Record information on the Work that is shown only schematically.
- 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
- 4. Mark important additional information that was either shown schematically or omitted from original Drawings.
- 5. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Record Digital Drawings: Immediately before inspection for Certificate of Substantial Completion, review marked-up record prints with Architect and Construction Manager. When authorized, prepare a full set of corrected digital Annotated PDF files of the Contract Drawings, as follows:
 - 1. Format: Annotated PDF electronic file with comment function enabled.
 - 2. Incorporate changes and additional information previously marked on record prints. add details and notations where applicable.
 - 3. Refer instances of uncertainty to Architect for resolution.
 - 4. Identification: As follows:
 - a. Project name.
 - b. Date.
 - c. Designation "PROJECT RECORD DRAWINGS."
 - d. Name of Architect and Construction Manager.
 - e. Name of Contractor.

2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
 - 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
 - 4. For each principal product, indicate whether record Product Data has been submitted in operation and maintenance manuals instead of submitted as record Product Data.
 - 5. Note related Change Orders and record Drawings where applicable.
- B. Format: Submit record Specifications as annotated PDF electronic file.

2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
 - 3. Note related Change Orders and record Drawings where applicable.
- B. Format: Submit record Product Data as annotated PDF electronic file.

1. Include record Product Data directory organized by Specification Section number and title, electronically linked to each item of record Product Data.

2.4 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
- B. Format: Submit miscellaneous record submittals as PDF electronic file.
 1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals.

PART 3 - EXECUTION

3.1 RECORDING AND MAINTENANCE

- A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project.
- B. Maintenance of Record Documents and Samples: Store record documents and Samples in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect's and Construction Manager's reference during normal working hours.

END OF SECTION 017839

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SECTION 017900 - DEMONSTRATION AND TRAINING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
 - 1. Demonstration of operation of systems, subsystems, and equipment.
 - 2. Training in operation and maintenance of systems, subsystems, and equipment.

1.2 COORDINATION

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by Architect.

PART 2 - PRODUCTS

2.1 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
 - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
 - a. System, subsystem, and equipment descriptions.
 - b. Operating standards.
 - c. Regulatory requirements.
 - d. Equipment function.
 - e. Operating characteristics.
 - f. Limiting conditions.
 - 2. Documentation: Review the following items in detail:
 - a. Emergency manuals.
 - b. Operations manuals.
 - c. Maintenance manuals.
 - d. Project record documents.
 - e. Identification systems.
 - f. Maintenance service agreements and similar continuing commitments.
 - 3. Emergencies: Include the following, as applicable:
 - a. Instructions on meaning of warnings, trouble indications, and error messages.
 - b. Instructions on stopping.
 - c. Shutdown instructions for each type of emergency.

- d. Operating instructions for conditions outside of normal operating limits.
- e. Sequences for electric or electronic systems.
- f. Special operating instructions and procedures.
- 4. Operations: Include the following, as applicable:
 - a. Equipment or system break-in procedures.
 - b. Routine and normal operating instructions.
 - c. Regulation and control procedures.
 - d. Control sequences.
 - e. Safety procedures.
 - f. Instructions on stopping.
 - g. Normal shutdown instructions.
 - h. Operating procedures for emergencies.
 - i. Operating procedures for system, subsystem, or equipment failure.
 - j. Required sequences for electric or electronic systems.
 - k. Special operating instructions and procedures.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 017823 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

3.2 INSTRUCTION

- A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
 - 1. Architect will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
 - 2. Owner will furnish an instructor to describe Owner's operational philosophy.
 - 3. Owner will furnish Contractor with names and positions of participants.
- C. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
 - 1. Schedule training with Owner, through Construction Manager, with at least seven days' advance notice.
- D. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.

END OF SECTION 017900

SECTION 024119 - SELECTIVE DEMOLITION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Demolition and removal of selected portions of building or structure.
 - 2. Demolition and removal of selected site elements.
- B. Related Requirements:
 - 1. Section 011000 "Summary" for restrictions on use of the premises, Owner-occupancy requirements, and phasing requirements.
 - 2. Section 017300 "Execution" for cutting and patching procedures.
 - 3. Section 013516 "Alteration Project Procedures" for general protection and work procedures for alteration projects.

1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse.
- C. Remove and Reinstall: Detach items from existing construction, in a manner to prevent damage, prepare for reuse, and reinstall where indicated.
- D. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.
- E. Dismantle: To remove by disassembling or detaching an item from a surface, using gentle methods and equipment to prevent damage to the item and surfaces; disposing of items unless indicated to be salvaged or reinstalled.

1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
 - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

1.5 PREINSTALLATION MEETINGS

- A. Predemolition Conference: Conduct conference at Project site.
 - 1. Inspect and discuss condition of construction to be selectively demolished.
 - 2. Review structural load limitations of existing structure.
 - 3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.

4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
5. Review areas where existing construction is to remain and requires protection.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For refrigerant recovery technician.
- B. Engineering Survey: Submit engineering survey of condition of building.
- C. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property, for dust control. Indicate proposed locations and construction of barriers.
- D. Schedule of Selective Demolition Activities: Indicate the following:
 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's on-site operations are uninterrupted.
 2. Interruption of utility services. Indicate how long utility services will be interrupted.
 3. Coordination for shutoff, capping, and continuation of utility services.
 4. Use of elevator and stairs.
 5. Coordination of Owner's continuing occupancy of portions of existing building and of Owner's partial occupancy of completed Work.
- E. Predemolition Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by demolition operations. Submit before Work begins.
- F. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician responsible for recovering refrigerant, stating that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations. Include name and address of technician and date refrigerant was recovered.
- G. Warranties: Documentation indicating that existing warranties are still in effect after completion of selective demolition.

1.7 CLOSEOUT SUBMITTALS

- A. Inventory: Submit a list of items that have been removed and salvaged.

1.8 QUALITY ASSURANCE

- A. Refrigerant Recovery Technician Qualifications: Certified by an EPA-approved certification program.

1.9 FIELD CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
 1. Before selective demolition, Owner will remove the following items:
 - a. Furniture and moveable equipment.
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.

- D. Hazardous Materials: Present in buildings and structures to be selectively demolished. A report on the presence of hazardous materials is on file for review and use. Examine report to become aware of locations where hazardous materials are present.
 - 1. Hazardous material remediation is not required within the building.
 - 2. Removal of an underground fuel oil tank is required and is described within these contract documents..
 - 3. Notify the Owner and Architect immediately, if materials that appear to be asbestos bearing are discovered in the course of demolition and construction. Do not disturb hazardous materials or items suspected of containing hazardous materials.
 - 4. Owner will provide material safety data sheets for suspected hazardous materials that are known to be present in buildings and structures to be selectively demolished because of building operations or processes performed there.
- E. Storage or sale of removed items or materials on-site is not permitted.
- F. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.
 - 1. Maintain fire-protection facilities in service during selective demolition operations.

1.10 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during selective demolition, by methods and with materials and using approved contractors so as not to void existing warranties. Notify warrantor before proceeding. Existing warranties include the following:
 - 1. Security System
 - 2. Fire Annunciator Panel.
- B. Notify warrantor on completion of selective demolition, and obtain documentation verifying that existing system has been inspected and warranty remains in effect. Submit documentation at Project closeout.

1.11 COORDINATION

- A. Arrange selective demolition schedule so as not to interfere with Owner's operations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that utilities have been disconnected and capped before starting selective demolition operations.
- B. Review Project Record Documents of existing construction or other existing condition and hazardous material information provided by Owner. Owner does not guarantee that existing conditions are same as those indicated in Project Record Documents.

- C. Perform an engineering survey of condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building demolition operations.
 - 1. Perform surveys as the Work progresses to detect hazards resulting from selective demolition activities.
- D. Steel Tendons: Locate tensioned steel tendons and include recommendations for de-tensioning.
- E. Verify that hazardous materials have been remediated before proceeding with building demolition operations.
- F. Survey of Existing Conditions: Record existing conditions by use of preconstruction photographs or video.
 - 1. Inventory and record the condition of items to be removed and salvaged. Provide photographs or video of conditions that might be misconstrued as damage caused by salvage operations.
 - 2. Before selective demolition or removal of existing building elements that will be reproduced or duplicated in final Work, make permanent record of measurements, materials, and construction details required to make exact reproduction.

3.2 PREPARATION

- A. Refrigerant: Before starting demolition, remove refrigerant from mechanical equipment according to 40 CFR 82 and regulations of authorities having jurisdiction.

3.3 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.
- B. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively demolished.
 - 1. Owner will arrange to shut off indicated services/systems when requested by Contractor.
 - 2. Arrange to shut off utilities with utility companies.
 - 3. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.
 - 4. Disconnect, demolish, and remove fire-suppression systems, plumbing, and HVAC systems, equipment, and components indicated on Drawings to be removed.
 - a. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
 - b. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material and leave in place.
 - c. Equipment to Be Removed: Disconnect and cap services and remove equipment.
 - d. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.
 - e. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
 - f. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
 - g. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material and leave in place.

3.4 PROTECTION

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
 - 1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
 - 2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
 - 3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
 - 4. Cover and protect furniture, furnishings, and equipment that have not been removed.
 - 5. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Section 015000 "Temporary Facilities and Controls."
- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.
 - 1. Strengthen or add new supports when required during progress of selective demolition.
- C. Remove temporary barricades and protections where hazards no longer exist.

3.5 SELECTIVE DEMOLITION, GENERAL

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
 - 1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
 - 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
 - 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
 - 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
 - 5. Maintain fire watch during and for at least two hours after flame-cutting operations.
 - 6. Maintain adequate ventilation when using cutting torches.
 - 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
 - 8. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
 - 9. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
 - 10. Dispose of demolished items and materials promptly.
- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.

- C. Removed and Salvaged Items:
 - 1. Clean salvaged items.
 - 2. Pack or crate items after cleaning. Identify contents of containers.
 - 3. Store items in a secure area until delivery to Owner.
 - 4. Transport items to Owner's storage area on-site.
 - 5. Protect items from damage during transport and storage.
- D. Removed and Reinstalled Items:
 - 1. Clean and repair items to functional condition adequate for intended reuse.
 - 2. Pack or crate items after cleaning and repairing. Identify contents of containers.
 - 3. Protect items from damage during transport and storage.
 - 4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.
- E. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Architect, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

3.6 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete: Demolish in small sections. Using power-driven saw, cut concrete to a depth of at least 3/4 inch at junctures with construction to remain. Dislodge concrete from reinforcement at perimeter of areas being demolished, cut reinforcement, and then remove remainder of concrete. Neatly trim openings to dimensions indicated.
- B. Masonry: Demolish in small sections. Cut masonry at junctures with construction to remain, using power-driven saw, and then remove masonry between saw cuts.
- C. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.
- D. Resilient Floor Coverings: Remove floor coverings and adhesive according to recommendations in RFCI's "Recommended Work Practices for the Removal of Resilient Floor Coverings." Do not use methods requiring solvent-based adhesive strippers.

3.7 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove demolition waste materials from Project site and dispose of them in an EPA-approved construction and demolition waste landfill acceptable to authorities having jurisdiction.
 - 1. Do not allow demolished materials to accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
 - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
- B. Burning: Do not burn demolished materials.

3.8 CLEANING

- A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 024119

SECTION 035416 - HYDRAULIC CEMENT UNDERLAYMENT

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes a pourable portland cement based underlayment for application below interior floor coverings.

1.2 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.4 REFERENCES

- A. ASTM C 109M, Compressive Strength Air-Cure Only
- B. ASTM C348, Flexural Strength of Hydraulic-Cement Mortars
- C. ANSI A108 AN-2 "General Requirements for Subsurfaces" and the Tile Council of America's "Handbook for Ceramic Tile Installation"

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: Installer who is approved by manufacturer for application of underlayment products required for this Project.

PART 2 - PRODUCTS

2.1 PORTLAND CEMENT UNDERLAYMENTS

- A. Hydraulic Cement Underlayment: Rapid set portland cement ramping mortar product that can be applied in minimum uniform thickness of 1/4 inch to a maximum thickness of 1-1/4" applied over existing concrete flooring systems.
 - 1. Product: Ardex - AM 100.
- B. Water: Potable and at a temperature of not more than 70 deg F.

2.2 CRACK ISOLATION MEMBRANE COMPOUND

- A. A flexible two component waterproof coating system consisting of an acrylic compound and cement powders for use under portland cement underlayment's
 - 1. Product Ardex- 8+9

2.3 ACCESSORIES

- A. Fiberglass mesh embedded in crack isolation compound for use at cracks, corners, saw-cuts or drain flashings
 - 1. Product: Ardex SK.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, with Installer present, for conditions affecting performance of the Work.
- B. Proceed with application only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. General: Prepare and clean substrate according to manufacturer's written instructions.
 - 1. Treat nonmoving substrate cracks according to manufacturer's written instructions to prevent cracks from telegraphing (reflecting) through underlayment.
 - 2. Fill substrate voids to prevent underlayment from leaking.
- B. Concrete Substrates: Mechanically remove, according to manufacturer's written instructions, laitance, glaze, efflorescence, curing compounds, form-release agents, dust, dirt, grease, oil, and other contaminants that might impair underlayment bond.
 - 1. Install underlayment reinforcement recommended in writing by manufacturer.

3.3 APPLICATION

- A. General: Mix and apply underlayment components according to manufacturer's written instructions.
 - 1. Close areas to traffic during underlayment application and for time period after application recommended in writing by manufacturer.
 - 2. Coordinate application of components to provide optimum adhesion to substrate and between coats.
- B. Apply crack isolation and waterproof membrane over prepared substrate at manufacturer's recommended spreading rate.
- C. Apply underlayment to slopes indicated
 - 1. Feather edges to match adjacent floor elevations.
- D. Cure underlayment according to manufacturer's written instructions. Prevent contamination during application and curing processes.
- E. Do not install floor coverings over underlayment until after time period recommended in writing by underlayment manufacturer.
- F. Apply surface sealer at rate recommended by manufacturer.
- G. Remove and replace underlayment areas that evidence lack of bond with substrate, including areas that emit a "hollow" sound when tapped.

3.4 PROTECTION

- A. Protect underlayment from concentrated and rolling loads for remainder of construction period.

END OF SECTION 035416

SECTION 055000 - METAL FABRICATIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
1. Steel framing and supports for mechanical and electrical equipment.
 2. Steel framing and supports for applications where framing and supports are not specified in other Sections.
 3. Elevator machine beams, hoist beams, and divider beams.
 4. Steel shapes for supporting elevator door sills.
 5. Shelf angles.
 6. Loose bearing and leveling plates for applications where they are not specified in other Sections.
- B. Products furnished, but not installed, under this Section:
1. Loose steel lintels.
 2. Anchor bolts, steel pipe sleeves, slotted-channel inserts, and wedge-type inserts indicated to be cast into concrete or built into unit masonry.
 3. Steel weld plates and angles for casting into concrete for applications where they are not specified in other Sections.

1.3 PERFORMANCE REQUIREMENTS

- A. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes acting on exterior metal fabrications by preventing buckling, opening of joints, overstressing of components, failure of connections, and other detrimental effects.
1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

1.4 SUBMITTALS

- A. Product Data: For the following:
1. Paint products.
 2. Grout.
- B. Shop Drawings: Show fabrication and installation details for metal fabrications.
1. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items.
- C. Samples for Verification: For each type and finish of extruded nosing.
- D. Welding certificates.

1.5 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1 "Structural Welding Code - Steel."

1.6 PROJECT CONDITIONS

- A. Field Measurements: Verify actual locations of walls and other construction contiguous with metal fabrications by field measurements before fabrication.

1.7 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of anchorages and steel weld plates and angles for casting into concrete. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

PART 2 - PRODUCTS

2.1 METALS, GENERAL

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.

2.2 FERROUS METALS

- A. Recycled Content of Steel Products: Provide products with average recycled content of steel products so postconsumer recycled content plus one-half of pre-consumer recycled content is not less than 25 percent.
- B. Steel Plates, Shapes, and Bars: ASTM A 36.
- C. Steel Tubing: ASTM A 500, cold-formed steel tubing.
- D. Steel Pipe: ASTM A 53, standard weight (Schedule 40) unless otherwise indicated.
- E. Slotted Channel Framing: Cold-formed metal box channels (struts) complying with MFMA-4.
 - 1. Size of Channels: 1-5/8 by 1-5/8 inches unless otherwise indicated.
 - 2. Material: Cold-rolled steel, ASTM A 1008, structural steel, Grade 33; 0.0528-inch minimum thickness; unfinished.
- F. Cast Iron: Either gray iron, ASTM A 48, or malleable iron, ASTM A 47, unless otherwise indicated.

2.3 NONFERROUS METALS

- A. Aluminum Plate and Sheet: ASTM B 209, Alloy 6061-T6.
- B. Aluminum Extrusions: ASTM B 221, Alloy 6063-T6.

2.4 FASTENERS

- A. General: Unless otherwise indicated, provide Type 316 stainless-steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B 633 or ASTM F 1941, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.
 - 1. Provide stainless-steel fasteners for fastening aluminum.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A 307, Grade A; with hex nuts, ASTM A 563; and, where indicated, flat washers.
- C. Stainless-Steel Bolts and Nuts: Regular hexagon-head annealed stainless-steel bolts, ASTM F 593; with hex nuts, ASTM F 594; and, where indicated, flat washers; Alloy Group 2.
- D. Anchor Bolts: ASTM F 1554, Grade 36, of dimensions indicated; with nuts, ASTM A 563; and, where indicated, flat washers.

1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.
- E. Eyebolts: ASTM A 489.
- F. Machine Screws: ASME B18.6.3.
- G. Lag Screws: ASME B18.2.1.
- H. Wood Screws: Flat head, ASME B18.6.1.
- I. Plain Washers: Round, ASME B18.22.1.
- J. Lock Washers: Helical, spring type, ASME B18.21.1.
- K. Anchors, General: Anchors capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing according to ASTM E 488, conducted by a qualified independent testing agency.
- L. Cast-in-Place Anchors in Concrete: Either threaded type or wedge type unless otherwise indicated; galvanized ferrous castings, either ASTM A 47 malleable iron or ASTM A 27 cast steel. Provide bolts, washers, and shims as needed, all hot-dip galvanized per ASTM F 2329.
- M. Post-Installed Anchors: Chemical anchors.
1. Material for Interior Locations: Carbon-steel components zinc plated to comply with ASTM B 633 or ASTM F 1941, Class Fe/Zn 5, unless otherwise indicated.
 2. Material for Exterior Locations and Where Stainless Steel is Indicated: Alloy Group 2 stainless-steel bolts, ASTM F 593, and nuts, ASTM F 594.
- N. Slotted-Channel Inserts: Cold-formed, hot-dip galvanized-steel box channels (struts) complying with MFMA-4, 1-5/8 by 7/8 inches by length indicated with anchor straps or studs not less than 3 inches long at not more than 8 inches o.c. Provide with temporary filler and tee-head bolts, complete with washers and nuts, all zinc-plated to comply with ASTM B 633, Class Fe/Zn 5, as needed for fastening to inserts.

2.5 MISCELLANEOUS MATERIALS

- A. Welding Rods and Bare Electrodes: Select according to AWS specifications for metal alloy welded.
- B. Universal Shop Primer: Fast-curing, lead- and chromate-free, universal modified-alkyd primer complying with MPI#79 and compatible with topcoat.
- C. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- D. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D 1187.
- E. Nonshrink, Nonmetallic Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C 1107. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- F. Concrete: Comply with requirements in Division 03 Section "Cast-in-Place Concrete" for normal-weight, air-entrained, concrete with a minimum 28-day compressive strength of 3000 psi.

2.6 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.

- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- D. Form exposed work with accurate angles and surfaces and straight edges.
- E. Weld corners and seams continuously to comply with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- F. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- G. Fabricate seams and other connections that will be exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- H. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- I. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
 - 1. Where units are indicated to be cast into concrete or built into masonry, equip with integrally welded steel strap anchors, 1/8 by 1-1/2 inches, with a minimum 6-inch embedment and 2-inch hook, not less than 8 inches from ends and corners of units and 24 inches o.c., unless otherwise indicated.

2.7 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.
- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
 - 1. Fabricate units from slotted channel framing where indicated.
 - 2. Furnish inserts for units installed after concrete is placed.
- C. Fabricate supports for operable partitions from continuous steel beams of sizes indicated with attached bearing plates, anchors, and braces as indicated. Drill or punch bottom flanges of beams to receive partition track hanger rods; locate holes where indicated on operable partition Shop Drawings.
- D. Galvanize miscellaneous framing and supports where indicated.

2.8 SHELF ANGLES

- A. Fabricate shelf angles from steel angles of sizes indicated and for attachment to concrete framing. Provide horizontally slotted holes to receive 3/4-inch bolts, spaced not more than 6 inches from ends and 24 inches o.c., unless otherwise indicated.
 - 1. Provide mitered and welded units at corners.

2. Provide open joints in shelf angles at expansion and control joints. Make open joint approximately 2 inches larger than expansion or control joint.
- B. For cavity walls, provide vertical channel brackets to support angles from backup masonry and concrete.
- C. Galvanize shelf angles located in exterior walls.
- D. Furnish wedge-type concrete inserts, complete with fasteners, to attach shelf angles to cast-in-place concrete.

2.9 MISCELLANEOUS STEEL TRIM

- A. Unless otherwise indicated, fabricate units from steel shapes, plates, and bars of profiles shown with continuously welded joints and smooth exposed edges. Miter corners and use concealed field splices where possible.
- B. Provide cutouts, fittings, and anchorages as needed to coordinate assembly and installation with other work.
 1. Provide with integrally welded steel strap anchors for embedding in concrete or masonry construction.
- C. Galvanize exterior miscellaneous steel trim.

2.10 LOOSE BEARING AND LEVELING PLATES

- A. Provide loose bearing and leveling plates for steel items bearing on masonry or concrete construction. Drill plates to receive anchor bolts and for grouting.
- B. Galvanize plates.

2.11 LOOSE STEEL LINTELS

- A. Fabricate loose steel lintels from steel angles and shapes of size indicated for openings and recesses in masonry walls and partitions at locations indicated. Fabricate in single lengths for each opening unless otherwise indicated. Weld adjoining members together to form a single unit where indicated.
- B. Size loose lintels to provide bearing length at each side of openings equal to 1/12 of clear span but not less than 8 inches unless otherwise indicated.
- C. Galvanize loose steel lintels located in exterior walls.

2.12 STEEL WELD PLATES AND ANGLES

- A. Provide steel weld plates and angles not specified in other Sections, for items supported from concrete construction as needed to complete the Work. Provide each unit with no fewer than two integrally welded steel strap anchors for embedding in concrete.

2.13 FINISHES, GENERAL

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Finish metal fabrications after assembly.
- C. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

2.14 STEEL AND IRON FINISHES

- A. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A 153/A 153M for steel and iron hardware and with ASTM A 123/A 123M for other steel and iron products.
 - 1. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.
- B. Shop prime iron and steel items not indicated to be galvanized unless they are to be embedded in concrete, sprayed-on fireproofing, or masonry, or unless otherwise indicated.
 - 1. Shop prime with universal shop primer unless indicated.
- C. Preparation for Shop Priming: Prepare surfaces to comply with requirements indicated below:
 - 1. Exterior Items: SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
 - 2. Other Items: SSPC-SP 3, "Power Tool Cleaning."
- D. Shop Priming: Apply shop primer to comply with SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting.
 - 1. Stripe paint corners, crevices, bolts, welds, and sharp edges.

2.15 ALUMINUM FINISHES

- A. Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.
- B. As-Fabricated Finish: AA-M10 (Mechanical Finish: as fabricated, unspecified).

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing metal fabrications. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Fit exposed connections accurately together to form hairline joints. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.
- C. Field Welding: Comply with the following requirements:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide threaded fasteners for use with concrete and masonry inserts, toggle bolts, through bolts, lag screws, wood screws, and other connectors.
- E. Provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.
- F. Corrosion Protection: Coat concealed surfaces of aluminum that will come into contact with grout, concrete, masonry, wood, or dissimilar metals with the following:
 - 1. Extruded Aluminum: Two coats of clear lacquer.

3.2 INSTALLING MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.
- B. Anchor supports for operable partitions securely to and rigidly brace from building structure.

3.3 INSTALLING BEARING AND LEVELING PLATES

- A. Clean concrete and masonry bearing surfaces of bond-reducing materials, and roughen to improve bond to surfaces. Clean bottom surface of plates.
- B. Set bearing and leveling plates on wedges, shims, or leveling nuts. After bearing members have been positioned and plumbed, tighten anchor bolts. Do not remove wedges or shims but, if protruding, cut off flush with edge of bearing plate before packing with grout.
 - 1. Use nonshrink, nonmetallic grout in all locations unless otherwise indicated.
 - 2. Pack grout solidly between bearing surfaces and plates to ensure that no voids remain.

3.4 ADJUSTING AND CLEANING

- A. Touchup Painting: Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
 - 1. Apply by brush or spray to provide a minimum 2.0-mil dry film thickness.
- B. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A 780.

END OF SECTION 055000

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SECTION 061053 - MISCELLANEOUS ROUGH CARPENTRY

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Wood blocking, cants, and nailers.
 2. Plywood backing panels.

1.2 DEFINITIONS

- A. Dimension Lumber: Lumber of 2 inches nominal or greater but less than 5 inches nominal in least dimension.
- B. Lumber grading agencies, and the abbreviations used to reference them, include the following:
1. NeLMA: Northeastern Lumber Manufacturers' Association.
 2. NHLA: National Hardwood Lumber Association.
 3. NLGA: National Lumber Grades Authority.
 4. SPIB: The Southern Pine Inspection Bureau.
 5. WCLIB: West Coast Lumber Inspection Bureau.
 6. WWPA: Western Wood Products Association.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.
1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained.
 2. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced to levels specified before shipment to Project site.
 3. Include copies of warranties from chemical treatment manufacturers for each type of treatment.

1.4 INFORMATIONAL SUBMITTALS

- A. Evaluation Reports: For the following, from ICC-ES:
1. Preservative-treated wood.
 2. Power-driven fasteners.
 3. Powder-actuated fasteners.
 4. Expansion anchors.

1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: For testing agency providing classification marking for fire-retardant treated material, an inspection agency acceptable to authorities having jurisdiction that periodically performs inspections to verify that the material bearing the classification marking is representative of the material tested.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Stack lumber flat with spacers beneath and between each bundle to provide air circulation. Protect lumber from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.

PART 2 - PRODUCTS

2.1 WOOD PRODUCTS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, provide lumber that complies with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Provide lumber graded by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
 - 1. Factory mark each piece of lumber with grade stamp of grading agency.
 - 2. Where nominal sizes are indicated, provide actual sizes required by DOC PS 20 for moisture content specified. Where actual sizes are indicated, they are minimum dressed sizes for dry lumber.
 - 3. Provide dressed lumber, S4S, unless otherwise indicated.
- B. Maximum Moisture Content of Lumber: 15 percent for 2-inch nominal thickness or less, 19 percent for more than 2-inch nominal thickness unless otherwise indicated.

2.2 WOOD-PRESERVATIVE-TREATED MATERIALS

- A. Preservative Treatment by Pressure Process: AWP A U1; Use Category UC2 for interior construction not in contact with the ground, Use Category UC3b for exterior construction not in contact with the ground, and Use Category UC4a for items in contact with the ground.
 - 1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium.
- B. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Do not use material that is warped or does not comply with requirements for untreated material.
- C. Mark lumber with treatment quality mark of an inspection agency approved by the ALSC Board of Review.
- D. Application: Treat items indicated on Drawings, and the following:
 - 1. Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.

2.3 FIRE-RETARDANT-TREATED MATERIALS

- A. General: Where fire-retardant-treated materials are indicated, use materials complying with requirements in this article, that are acceptable to authorities having jurisdiction, and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.
- B. Fire-Retardant-Treated Lumber and Plywood by Pressure Process: Products with a flame spread index of 25 or less when tested according to ASTM E 84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than 10.5 feet beyond the centerline of the burners at any time during the test.
 - 1. Use treatment that does not promote corrosion of metal fasteners.

2. Exterior Type: Treated materials shall comply with requirements specified above for fire-retardant-treated lumber and plywood by pressure process after being subjected to accelerated weathering according to ASTM D 2898. Use for exterior locations and where indicated.
 3. Interior Type A: Treated materials shall have a moisture content of 28 percent or less when tested according to ASTM D 3201 at 92 percent relative humidity. Use where exterior type is not indicated.
 4. Design Value Adjustment Factors: Treated lumber shall be tested according to ASTM D 5664, and design value adjustment factors shall be calculated according to ASTM D 6841.
- C. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Kiln-dry plywood after treatment to a maximum moisture content of 15 percent.
- D. Identify fire-retardant-treated wood with appropriate classification marking of testing and inspecting agency acceptable to authorities having jurisdiction.
- E. Application: Treat items indicated on Drawings, and the following:
1. Plywood backing panels.

2.4 PLYWOOD BACKING PANELS

- A. Equipment Backing Panels: DOC PS 1,, fire-retardant treated, in thickness indicated or, if not indicated, not less than 3/4-inch nominal thickness.

2.5 FASTENERS

- A. General: Provide fasteners of size and type indicated that comply with requirements specified in this article for material and manufacture.
- B. Nails: ASTM F 1667.
- C. Power-Driven Fasteners: NES NER-272.
- D. Wood Screws: ASME B18.6.1.
- E. Screws for Fastening to Metal Framing: ASTM C 1002 and ASTM C 954, length as recommended by screw manufacturer for material being fastened.
- F. Lag Bolts: ASME B18.2.1.
- G. Bolts: Steel bolts complying with ASTM A 307, Grade A; with ASTM A 563 hex nuts and, where indicated, flat washers.
- H. Expansion Anchors: Anchor bolt and sleeve assembly of material indicated below with capability to sustain, without failure, a load equal to 6 times the load imposed when installed in unit masonry assemblies and equal to 4 times the load imposed when installed in concrete as determined by testing per ASTM E 488 conducted by a qualified independent testing and inspecting agency.
1. Material: Carbon-steel components, zinc plated to comply with ASTM B 633, Class Fe/Zn 5.
 2. Material: Stainless steel with bolts and nuts complying with ASTM F 593 and ASTM F 594, Alloy Group 1 or 2.

2.6 MISCELLANEOUS MATERIALS

- A. Flexible Flashing: Composite, self-adhesive, flashing product consisting of a pliable, butyl rubber or rubberized-asphalt compound, bonded to a high-density polyethylene film, aluminum foil, or spunbonded polyolefin to produce an overall thickness of not less than 0.025 inch.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Set carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit carpentry to other construction; scribe and cope as needed for accurate fit. Locate nailers, blocking, and similar supports to comply with requirements for attaching other construction.
- B. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.
- C. Securely attach carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
1. NES NER-272 for power-driven fasteners.
 2. Table 2304.9.1, "Fastening Schedule," in ICC's International Building Code.
 3. Table R602.3(1), "Fastener Schedule for Structural Members," and Table R602.3(2), "Alternate Attachments," in ICC's International Residential Code for One- and Two-Family Dwellings.
- D. Use steel common nails unless otherwise indicated. Select fasteners of size that will not fully penetrate members where opposite side will be exposed to view or will receive finish materials. Make tight connections between members. Install fasteners without splitting wood. Drive nails snug but do not countersink nail heads unless otherwise indicated.

3.2 WOOD BLOCKING, AND NAILER INSTALLATION

- A. Install where indicated and where required for attaching other work. Form to shapes indicated and cut as required for true line and level of attached work. Coordinate locations with other work involved.
- B. Attach items to substrates to support applied loading. Recess bolts and nuts flush with surfaces unless otherwise indicated.

END OF SECTION 061053

SECTION 078413 - PENETRATION FIRESTOP SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes through-penetration firestop systems for penetrations through the following fire-resistance-rated assemblies, including both empty openings and openings containing penetrating items:
1. Floors.
 2. Walls and partitions.
 3. Smoke barriers.

1.2 DEFINITIONS

- A. UL-classified through-penetration firestop systems are identified in UL's *Fire Resistance Directory* under product Category XHEZ by an alpha-alpha-numeric identification system, which is explained below:
1. "C-AJ": Indicates penetrations through either floors or walls. Floor construction to be concrete with a minimum thickness less than or equal to 5-inches. Wall construction to be either concrete or masonry with a minimum thickness less than or equal to 8-inches.
 2. "W-L": Indicates penetrations through framed walls.

1.3 PERFORMANCE REQUIREMENTS

- A. General: For the following constructions, provide through-penetration firestop systems that are produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of assembly penetrated.
1. Fire-resistance-rated load-bearing walls, including partitions, with fire-protection-rated openings.
 2. Fire-resistance-rated non-load-bearing walls, including partitions, with fire-protection-rated openings.
 3. Fire-resistance-rated floor assemblies.
- B. F-Rated Systems: Provide through-penetration firestop systems with F-ratings indicated, as determined per ASTM E 814, but not less than that equaling or exceeding fire-resistance rating of constructions penetrated.
- C. T-Rated Systems: For the following conditions, provide through-penetration firestop systems with T-ratings indicated, as well as F-ratings, as determined per ASTM E 814, where systems protect penetrating items exposed to potential contact with adjacent materials in occupiable floor areas:
1. Penetrations located outside wall cavities.
 2. Penetrations located outside fire-resistive shaft enclosures.
 3. Penetrations located in construction containing fire-protection-rated openings.
 4. Penetrating items larger than 4-inch- diameter nominal pipe or 16 sq. in. in overall cross-sectional area.
- D. For through-penetration firestop systems exposed to view, traffic, moisture, and physical damage, provide products that after curing do not deteriorate when exposed to these conditions both during and after construction.
1. For piping penetrations for plumbing and wet-pipe sprinkler systems, provide moisture-resistant through-penetration firestop systems.

2. For floor penetrations with annular spaces exceeding 4 inches in width and exposed to possible loading and traffic, provide firestop systems capable of supporting floor loads involved either by installing floor plates or by other means.
 3. For penetrations involving insulated piping, provide through-penetration firestop systems not requiring removal of insulation.
- E. For through-penetration firestop systems exposed to view, provide products with the following requirements as determined per ASTM E 84.:
1. Flame-spread: less than 25
 2. Smoke-developed: less than 450,

1.4 SUBMITTALS

- A. Product Data: For each type of through-penetration firestop system product indicated.
1. Indicate intended location for each product.
- B. Shop Drawings: For each through-penetration firestop system, show each kind of construction condition penetrated, relationships to adjoining construction, and kind of penetrating item. Include firestop design designation of testing and inspecting agency acceptable to authorities having jurisdiction that evidences compliance with requirements for each condition indicated.
1. Submit documentation, including illustrations, from a qualified testing and inspecting agency that is applicable to each through-penetration firestop system configuration for construction and penetrating items.
 2. Where Project conditions require modification of qualified testing and inspecting agency's illustration to suit a particular through-penetration firestop condition, submit illustration, with modifications marked, approved by through-penetration firestop system manufacturer's fire-protection engineer.
- C. Qualification Data: For firms and persons specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- D. Product Certificates: Signed by manufacturers of through-penetration firestop system products certifying that products furnished comply with requirements.
- E. Product Test Reports: From a qualified testing agency indicating through-penetration firestop system complies with requirements, based on comprehensive testing of current products.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An experienced installer who has completed through-penetration firestop systems similar in material, design, and extent to that indicated for this Project and whose work has resulted in construction with a record of successful in-service performance.
- B. Installer Qualifications: An experienced installer who is qualified by having the necessary experience, staff, and training to install manufacturer's products per specified requirements. A manufacturer's willingness to sell its through-penetration firestop system products to Contractor or to an installer engaged by Contractor does not in itself confer qualification on buyer.
- C. Source Limitations: Obtain through-penetration firestop systems, for each kind of penetration and construction condition indicated, from a single manufacturer.
- D. Fire-Test-Response Characteristics: Provide through-penetration firestop systems that comply with the following requirements and those specified in "Performance Requirements" Article:
1. Firestopping tests are performed by a qualified testing and inspecting agency. A qualified testing and inspecting agency is UL, or another agency performing testing and

follow-up inspection services for firestop systems acceptable to authorities having jurisdiction.

2. Through-penetration firestop systems are identical to those tested per ASTM E 814. Provide rated systems complying with the following requirements:
 - a. Through-penetration firestop systems correspond to those indicated by reference to through-penetration firestop system designations listed by the following:
 - 1) UL in "Fire Resistance Directory."

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver through-penetration firestop system products to Project site in original, unopened containers or packages with intact and legible manufacturers' labels identifying product and manufacturer; date of manufacture; lot number; shelf life, if applicable; qualified testing and inspecting agency's classification marking applicable to Project; curing time; and mixing instructions for multicomponent materials.
- B. Store and handle materials for through-penetration firestop systems to prevent their deterioration or damage due to moisture, temperature changes, contaminants, or other causes.

1.7 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install through-penetration firestop systems when ambient or substrate temperatures are outside limits permitted by through-penetration firestop system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Ventilate through-penetration firestop systems per manufacturer's written instructions by natural means or, where this is inadequate, forced-air circulation.

1.8 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that through-penetration firestop systems are installed according to specified requirements.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate through-penetration firestop systems.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Products: Subject to compliance with requirements, provide one of the through-penetration firestop systems indicated for each application in the Through-Penetration Firestop System Schedule at the end of Part 3, that are produced by one of the following manufacturers:
 1. A/D Fire Protection Systems Inc.
 2. Hilti Construction Chemicals, Inc.
 3. Specified Technologies Inc.
 4. 3M Fire Protection Products.
 5. United States Gypsum Company.
 6. W. R. Grace & Co.

2.2 FIRESTOPPING, GENERAL

- A. Compatibility: Provide through-penetration firestop systems that are compatible with one another, with the substrates forming openings, and with the items, if any, penetrating through-penetration firestop systems, under conditions of service and application, as demonstrated by through-penetration firestop system manufacturer based on testing and field experience.

- B. Accessories: Provide components for each through-penetration firestop system that are needed to install fill materials and to comply with "Performance Requirements" Article. Use only components specified by through-penetration firestop system manufacturer and approved by the qualified testing and inspecting agency for firestop systems indicated. Accessories include, but are not limited to, the following items:

1. Permanent forming/damming/backing materials, including the following:
 - a. Slag-/rock-wool-fiber insulation.
 - b. Sealants used in combination with other forming/damming/backing materials to prevent leakage of fill materials in liquid state.
 - c. Fire-rated form board.
2. Substrate primers.
3. Collars.
4. Steel sleeves.

2.3 MIXING

- A. For those products requiring mixing before application, comply with through-penetration firestop system manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance.
- B. Coordinate all support items (hangers, clamps etc) that must be attached to the substrate for subsequent work are in place prior to installation of fireproofing materials
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Cleaning: Clean out openings immediately before installing through-penetration firestop systems to comply with written recommendations of firestop system manufacturer and the following requirements:
1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of through-penetration firestop systems.
 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with through-penetration firestop systems. Remove loose particles remaining from cleaning operation.
 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by through-penetration firestop system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent through-penetration firestop systems from contacting adjoining surfaces that will remain exposed on completion of Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to

remove smears from firestop system materials. Remove tape as soon as possible without disturbing firestop system's seal with substrates.

3.3 THROUGH-PENETRATION FIRESTOP SYSTEM INSTALLATION

- A. General: Install through-penetration firestop systems to comply with "Performance Requirements" Article and firestop system manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming/damming/backing materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
 - 1. After installing fill materials, remove combustible forming materials and other accessories not indicated as permanent components of firestop systems.
- C. Install fill materials for firestop systems by proven techniques to produce the following results:
 - 1. Fill voids and cavities formed by openings, forming materials, accessories, and penetrating items as required to achieve fire-resistance ratings indicated.
 - 2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
 - 3. For fill materials that will remain exposed after completing Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

3.4 IDENTIFICATION

- A. Identify through-penetration firestop systems with pressure-sensitive, self-adhesive, preprinted vinyl labels. Attach labels permanently to surfaces of penetrated construction on both sides of each firestop system installation where labels will be visible to anyone seeking to remove penetrating items or firestop systems. Include the following information on labels:
 - 1. The words: "Warning--Through-Penetration Firestop System--Do Not Disturb. Notify Building Management of Any Damage."
 - 2. Contractor's name, address, and phone number.
 - 3. Through-penetration firestop system designation of applicable testing and inspecting agency.
 - 4. Date of installation.
 - 5. Through-penetration firestop system manufacturer's name.
 - 6. Installer's name.

3.5 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as Work progresses by methods and with cleaning materials that are approved in writing by through-penetration firestop system manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure through-penetration firestop systems are without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated through-penetration firestop systems immediately and install new materials to produce through-penetration firestop systems complying with specified requirements.

3.6 THROUGH-PENETRATION FIRESTOP SYSTEM SCHEDULE

- A. Firestop Systems with No Penetrating Items: Comply with the following:
 - 1. Available UL-Classified Systems:
 - a. C-AJ-0001-0999.

- b. W-L-0001-0999.
 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Silicone sealant.
 - c. Intumescent putty.
 - d. Mortar.
- B. Firestop Systems for Metallic Pipes, Conduit, or Tubing: Comply with the following:
 1. Available UL-Classified Systems:
 - a. C-AJ-1001-1999.
 - b. W-L-1001-1999.
 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Silicone sealant.
 - c. Intumescent putty.
 - d. Mortar.
- C. Firestop Systems for Nonmetallic Pipe, Conduit, or Tubing: Comply with the following:
 1. Available UL-Classified Systems:
 - a. C-AJ-2001-2999.
 - b. W-L-2001-2999.
 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Silicone sealant.
 - c. Intumescent putty.
 - d. Intumescent wrap strips.
 - e. Firestop device.
- D. Firestop Systems for Electrical Cables: Comply with the following:
 1. Available UL-Classified Systems:
 - a. C-AJ-3001-3999.
 - b. W-L-3001-3999.
 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Silicone sealant.
 - c. Intumescent putty.
 - d. Silicone foam.
- E. Firestop Systems for Cable Trays: Comply with the following:
 1. Available UL-Classified Systems:
 - a. EZ -Path Series 44+ fire rated pathway
 - b. Approved equal.
- F. Firestop Systems for Insulated Pipes: Comply with the following:
 1. Available UL-Classified Systems:
 - a. C-AJ-5001-5999.
 - b. W-L-5001-5999.
 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Intumescent putty.
 - c. Silicone foam.
 - d. Intumescent wrap strips.
- G. Firestop Systems for Miscellaneous Electrical Penetrants: Comply with the following:
 1. Available UL-Classified Systems:

- a. C-AJ-6001-6999.
 - b. W-L-6001-6999.
 - 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Intumescent putty.
 - c. Mortar.
- H. Firestop Systems for Miscellaneous Mechanical Penetrations: Comply with the following:
 - 1. Available UL-Classified Systems:
 - a. C-AJ-7001-7999.
 - b. W-L-7001-7999.
 - 2. Type of Fill Materials: One or both of the following:
 - a. Latex sealant.
 - b. Mortar.
- I. Firestop Systems for Groupings of Penetrations: Comply with the following:
 - 1. Available UL-Classified Systems:
 - a. C-AJ-8001-8999.
 - b. W-L-8001-8999.
 - 2. Type of Fill Materials: One or more of the following:
 - a. Latex sealant.
 - b. Mortar.
 - c. Intumescent wrap strips.
 - d. Firestop device.
 - e. Intumescent composite sheet.
- J. Smoke-stop Systems
 - 1. For all non-rated partitions required to control smoke only provide the following:
 - a. Mineral wool
 - b. Fire and smoke stopping sealant

END OF SECTION 078413

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SECTION 078446 - FIRE-RESISTIVE JOINT SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes fire-resistive joint systems for the following:
1. Floor-to-floor joints.
 2. Floor-to-wall joints.
 3. Head-of-wall joints.
 4. Wall-to-wall joints.

1.2 PERFORMANCE REQUIREMENTS

- A. General: For joints in the following constructions, provide fire-resistive joint systems that are produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of assembly in which fire-resistive joint systems are installed:
1. Fire-resistance-rated load-bearing walls, including partitions.
 2. Fire-resistance-rated non-load-bearing walls, including partitions.
 3. Fire-resistance-rated floor assemblies.
 4. Exterior curtain-wall assemblies and fire-resistance-rated floor assemblies.
- B. Fire Resistance of Joint Systems: Assembly ratings and movement capabilities indicated, but with assembly ratings not less than that equaling or exceeding fire-resistance rating of constructions in which joints are located, as determined by UL 2079.
1. Load-bearing capabilities as determined by evaluation during the time test.
- C. Fire Resistance of Perimeter Fire-Containment Systems: Integrity and insulation ratings indicated as determined by UBC Standard 26-9 and UL 2079.

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: For each fire-resistive joint system, show each kind of construction condition in which joints are installed and relationships to adjoining construction. Include fire-resistive joint system design designation of testing and inspecting agency acceptable to authorities having jurisdiction that demonstrates compliance with requirements for each condition indicated.
1. Submit documentation, including illustrations, from a qualified testing and inspecting agency that is applicable to each fire-resistive joint system configuration for construction and penetrating items.
- C. Product Certificates: For each type of fire-resistive joint system, signed by product manufacturer.
- D. Qualification Data: For Installer.
- E. Compatibility and Adhesion Test Reports: From fire-resistive joint system manufacturer indicating the following:
1. Materials forming joint substrates have been tested for compatibility and adhesion with fill materials.
 2. Interpretation of test results and written recommendations for primers and substrate preparation needed for adhesion.
- F. Evaluation Reports: Evidence of fire-resistive joint systems' compliance with ICBO ES AC30, from the ICBO Evaluation Service.

- G. Research/Evaluation Reports: For each type of fire-resistive joint system.

1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain fire-resistive joint systems for each kind of joint and construction condition indicated through one source from a single manufacturer.
- B. Fire-Test-Response Characteristics: Provide fire-resistive joint systems that comply with the following requirements and those specified in "Performance Requirements" Article:
1. Fire-resistance tests are performed by a qualified testing and inspecting agency. A qualified testing and inspecting agency is UL or another agency performing testing and follow-up inspection services for fire-resistive joint systems acceptable to authorities having jurisdiction.
 2. Fire-resistive joint systems are identical to those tested per UL 2079. Perimeter fire-containment systems are identical to those tested per both UBC Standard 26-9 and UL 2079. Provide rated systems complying with the following requirements:
 - a. Fire-resistive joint systems correspond to those indicated by referencing system designations listed by the following:
 - 1) UL in its "Fire Resistance Directory."

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Deliver fire-resistive joint system products to Project site in original, unopened containers or packages with qualified testing and inspecting agency's classification marking applicable to Project and with intact and legible manufacturers' labels identifying product and manufacturer, date of manufacture, lot number, shelf life, curing time, and mixing instructions for multicomponent materials.
- B. Store and handle materials for fire-resistive joint systems to prevent their deterioration or damage due to moisture, temperature changes, contaminants, or other causes.

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install fire-resistive joint systems when ambient or substrate temperatures are outside limits permitted by fire-resistive joint system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Ventilate fire-resistive joint systems per manufacturer's written instructions by natural means or, if this is inadequate, forced-air circulation.

1.7 COORDINATION

- A. Coordinate construction of joints to ensure that fire-resistive joint systems are installed according to specified requirements.
- B. Coordinate sizing of joints to accommodate fire-resistive joint systems.
- C. Notify Owner's inspecting agency at least seven days in advance of fire-resistive joint system installations; confirm dates and times on days preceding each series of installations.
- D. Do not cover up fire-resistive joint system installations that will become concealed behind other construction until Owner's inspecting agency and building inspector, if required by authorities having jurisdiction, have examined each installation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
1. Basis-of-Design Products: The design for each fire-resistive joint system is based on products named in Part 2 articles. Subject to compliance with requirements, provide products by one of the following:
 - a. Fire-Resistive Joint Systems:
 - 1) A/D Fire Protection Systems Inc.
 - 2) Hilti, Inc.
 - 3) Specified Technologies Inc.
 - 4) 3M Fire Protection Products.
 - b. Perimeter Fire-Containment Systems:
 - 1) Specified Technologies Inc.
 - 2) Hilti, Inc.
 - 3) 3M Fire Protection Products

2.2 FIRE-RESISTIVE JOINT SYSTEMS, GENERAL

- A. Compatibility: Provide fire-resistive joint systems that are compatible with joint substrates, under conditions of service and application, as demonstrated by fire-resistive joint system manufacturer based on testing and field experience.
- B. Accessories: Provide components of fire-resistive joint systems, including forming materials that are needed to install fill materials and to comply with Part 1 "Performance Requirements" Article. Use only components specified by fire-resistive joint system manufacturer and approved by the qualified testing and inspecting agency for systems indicated.

2.3 FIRE-RESISTIVE JOINT SYSTEMS

- A. Where UL-classified fire-resistive joint systems are indicated, they refer to alphanumeric designations listed in UL's "Fire Resistance Directory" under product Category XHBN.
- B. Floor-to-Floor (Concrete to Concrete Floor), Fire-Resistive Joint System:
 1. Basis-of-Design UL-Classified Product: FF-D -0005.
 2. Assembly Rating: 2 hours.
 3. Nominal Joint Width: 1-inch
 4. Movement Capabilities: Class II - 12.5 percent compression or extension.
- C. Floor-to-Wall (Concrete Floor to Masonry Wall), Fire-Resistive Joint System:
 1. Basis-of-Design UL-Classified Product: FW-D -1005.
 2. Assembly Rating: 2 hours.
 3. Nominal Joint Width: 4-inches
 4. Movement Capabilities: 15 percent compression or extension.
- D. Head-of-Wall (Steel Stud/Gypsum Board Wall to Concrete Floor Slab with or without Metal Deck), Fire-Resistive Joint System:
 1. Basis-of-Design UL-Classified Product: HW-D -0043.
 2. Assembly Rating: 2 hours.
 3. Nominal Joint Width: 1-inch
 4. Movement Capabilities: Class II - 18.75 percent compression and extension.

- E. Head-of-Wall (Masonry Wall to Concrete Floor Slab with or without Metal Deck), Fire-Resistive Joint System:
 - 1. Basis-of-Design UL-Classified Product: HW-D -0041.
 - 2. Assembly Rating: 2 hours.
 - 3. Nominal Joint Width: 1-inch
 - 4. Movement Capabilities: Class II - 12.5 percent compression and extension.
- F. Wall-to-Wall (Masonry to Masonry Wall), Fire-Resistive Joint System:
 - 1. Basis-of-Design UL-Classified Product: WW-D -0004.
 - 2. Assembly Rating: 2 hours.
 - 3. Nominal Joint Width: 1-inch
 - 4. Movement Capabilities: Class II - 12.5 percent compression or extension.
- G. Wall-to-Wall (Masonry to Masonry Wall), Fire-Resistive Joint System:
 - 1. Basis-of-Design UL-Classified Product: WW-D -0001.
 - 2. Assembly Rating: 3 hours.
 - 3. Nominal Joint Width: 2-inches
 - 4. Movement Capabilities: 25 percent compression or extension.
- H. Wall-to-Wall (Masonry to Masonry Wall), Fire-Resistive Joint System (Limited to Fire Exposure On Interior Face Only):
 - 1. Basis-of-Design UL-Classified Product: WW-S-0020.
 - 2. Assembly Rating: 2 hours.
 - 3. Nominal Joint Width: 2-inches maximum.

2.4 PERIMETER FIRE-CONTAINMENT SYSTEMS

- A. Where UL-classified perimeter fire-containment systems are indicated, they refer to alphanumeric designations listed in UL's "Fire Resistance Directory" under product Category XHDG.
- B. Perimeter Fire-Containment System (Steel Stud/Gypsum Board Wall to Concrete Floor Slab with or without Metal Deck), Fire-Resistive Joint System:
 - 1. Basis-of-Design UL-Classified Product: CW-S-1002.
 - 2. Integrity Rating: 2 hours.
 - 3. Insulation Rating: ¼-hour.
 - 4. Linear Opening Width: 2-1/2 inches, maximum.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for joint configurations, substrates, and other conditions affecting performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Cleaning: Clean joints immediately before installing fire-resistive joint systems to comply with fire-resistive joint system manufacturer's written instructions and the following requirements:
 - 1. Remove from surfaces of joint substrates foreign materials that could interfere with adhesion of fill materials.
 - 2. Clean joint substrates to produce clean, sound surfaces capable of developing optimum bond with fill materials. Remove loose particles remaining from cleaning operation.
 - 3. Remove laitance and form-release agents from concrete.

- B. Priming: Prime substrates where recommended in writing by fire-resistive joint system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent fill materials of fire-resistive joint system from contacting adjoining surfaces that will remain exposed on completion of Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove smears from fire-resistive joint system materials. Remove tape as soon as possible without disturbing fire-resistive joint system's seal with substrates.

3.3 INSTALLATION

- A. General: Install fire-resistive joint systems to comply with Part 1 "Performance Requirements" Article and fire-resistive joint system manufacturer's written installation instructions for products and applications indicated.
- B. Install forming/packing/backing materials and other accessories of types required to support fill materials during their application and in position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
- C. Install fill materials for fire-resistive joint systems by proven techniques to produce the following results:
 - 1. Fill voids and cavities formed by openings and forming/packing/backing materials as required to achieve fire-resistance ratings indicated.
 - 2. Apply fill materials so they contact and adhere to substrates formed by joints.
 - 3. For fill materials that will remain exposed after completing Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

3.4 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to joints as Work progresses by methods and with cleaning materials that are approved in writing by fire-resistive joint system manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure fire-resistive joint systems are without damage or deterioration at time of Substantial Completion. If damage or deterioration occurs despite such protection, cut out and remove damaged or deteriorated fire-resistive joint systems immediately and install new materials to produce fire-resistive joint systems complying with specified requirements.

END OF SECTION 078446

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SECTION 079200 - JOINT SEALANTS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Silicone joint sealants.
 - 2. Latex joint sealants.
 - 3. Acoustical joint sealants.
- B. Related Sections:
 - 1. Division 07 Section "Fire-Resistive Joint Systems" for sealing joints in fire-resistance-rated construction.
 - 2. Division 08 Section "Glazing" for glazing sealants.
 - 3. Division 09 Section "Gypsum Board" for sealing penetrations in gypsum board and sealing of perimeter joints.
 - 4. Division 09 Section "Acoustical Panel Ceilings" for sealing edge moldings at perimeters with acoustical sealant.

1.2 SUBMITTALS

- A. Product Data: For each joint-sealant product indicated.
- B. Samples for Initial Selection: Manufacturer's color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.
- C. Joint-Sealant Schedule: Include the following information:
 - 1. Joint-sealant application, joint location, and designation.
 - 2. Joint-sealant manufacturer and product name.
 - 3. Joint-sealant formulation.
 - 4. Joint-sealant color.

1.3 QUALITY ASSURANCE

- A. Installer Qualifications: Manufacturer's authorized representative who is trained and approved for installation of units required for this Project.
- B. Source Limitations: Obtain each kind of joint sealant from single source from single manufacturer.

1.4 PROJECT CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
 - 1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F.
 - 2. When joint substrates are wet.
 - 3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
 - 4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

PART 2 - PRODUCTS

2.1 MATERIALS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. VOC Content of Interior Sealants: Provide sealants and sealant primers for use inside the weatherproofing system that comply with the following limits for VOC content when calculated according to 40 CFR 59, Part 59, Subpart D (EPA Method 24):
 - 1. Architectural Sealants: 250 g/L.
 - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
 - 3. Sealant Primers for Porous Substrates: 775 g/L.
- C. Liquid-Applied Joint Sealants: Comply with ASTM C 920 and other requirements indicated for each liquid-applied joint sealant specified, including those referencing ASTM C 920 classifications for type, grade, class, and uses related to exposure and joint substrates.
- D. Stain-Test-Response Characteristics: Where sealants are specified to be nonstaining to porous substrates, provide products that have undergone testing according to ASTM C 1248 and have not stained porous joint substrates indicated for Project.
- E. Suitability for Contact with Food: Where sealants are indicated for joints that will come in repeated contact with food, provide products that comply with 21 CFR 177.2600.
- F. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.

2.2 SILICONE JOINT SEALANTS

- A. Single-Component, Nonsag, Neutral-Curing Silicone Joint Sealant: ASTM C 920, Type S, Grade NS, Class 100/50, for Use NT.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Dow Corning Corporation; 790.
 - b. GE Advanced Materials - Silicones; SilPruf LM SCS2700.
 - c. Sika Corporation, Construction Products Division; SikaSil-C990.
 - d. Tremco Incorporated; Spectrem 1.
- B. Single-Component, Nonsag, Traffic-Grade, Neutral-Curing Silicone Joint Sealant: ASTM C 920, Type S, Grade NS, Class 100/50, for Use T.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Dow Corning Corporation; 790.
 - b. May National Associates, Inc.; Bondaflex Sil 728 NS.
 - c. Tremco Incorporated; Spectrem 800.
- C. Mildew-Resistant, Single-Component, Acid-Curing Silicone Joint Sealant: ASTM C 920, Type S, Grade NS, Class 25, for Use NT.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. BASF Building Systems; Omniplus.
 - b. Dow Corning Corporation; 786 Mildew Resistant.
 - c. GE Advanced Materials - Silicones; Sanitary SCS1700.
 - d. Tremco Incorporated; Tremsil 200 Sanitary.

2.3 LATEX JOINT SEALANTS

- A. Latex Joint Sealant: Acrylic latex or siliconized acrylic latex, ASTM C 834, Type OP, Grade NF.
 - 1. Products: Subject to compliance with requirements, provide one of the following:

- a. BASF Building Systems; Sonolac.
- b. Bostik, Inc.; Chem-Calk 600.
- c. Tremco Incorporated; Tremflex 834.

2.4 ACOUSTICAL JOINT SEALANTS

- A. Acoustical Joint Sealant: Manufacturer's standard nonsag, paintable, nonstaining latex sealant complying with ASTM C 834. Product effectively reduces airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Pecora Corporation; AC-20 FTR or AIS-919.
 - b. USG Corporation; SHEETROCK Acoustical Sealant.

2.5 JOINT SEALANT BACKING

- A. General: Provide sealant backings of material that are nonstaining; are compatible with joint substrates, sealants, primers, and other joint fillers; and are approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
- B. Cylindrical Sealant Backings: ASTM C 1330, Type O (open-cell material), and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

2.6 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting joint-sealant performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:

1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
 - a. Concrete.
 - b. Masonry.
 - c. Unglazed surfaces of ceramic tile.
 3. Remove laitance and form-release agents from concrete.
 4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
 - a. Metal.
 - b. Glass.
 - c. Glazed surfaces of ceramic tile.
- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

3.3 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
 1. Do not leave gaps between ends of sealant backings.
 2. Do not stretch, twist, puncture, or tear sealant backings.
 3. Remove absorbent sealant backings that have become wet before sealant application and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
 1. Place sealants so they directly contact and fully wet joint substrates.
 2. Completely fill recesses in each joint configuration.
 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.

- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
1. Remove excess sealant from surfaces adjacent to joints.
 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
 3. Provide concave joint profile per Figure 8A in ASTM C 1193, unless otherwise indicated.

3.4 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

3.5 PROTECTION

- A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

3.6 JOINT-SEALANT SCHEDULE

- A. Joint-Sealant Application: Interior joints in horizontal traffic surfaces.
1. Joint Locations:
 - a. Isolation joints in cast-in-place concrete slabs.
 - b. Control and expansion joints in tile flooring.
 - c. Other joints as indicated.
 2. Silicone Joint Sealant: Single component, nonsag, traffic grade, neutral curing.
 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- B. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces.
1. Joint Locations:
 - a. Control and expansion joints on exposed interior surfaces of exterior walls.
 - b. Perimeter joints of exterior openings.
 - c. Tile control and expansion joints.
 - d. Perimeter joints between interior wall surfaces and frames of interior doors.
 - e. Other joints as indicated.
 2. Joint Sealant: Latex.
 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- C. Joint-Sealant Application: Mildew-resistant interior joints in vertical surfaces and horizontal nontraffic surfaces.
1. Joint Sealant Location:
 - a. Joints between plumbing fixtures and adjoining walls, floors, and counters.
 - b. Tile control and expansion joints where indicated.
 - c. Other joints as indicated.
 2. Silicone Joint Sealant: Single component, nonsag, mildew resistant, acid curing.
 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.

END OF SECTION 079200

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SECTION 081113 - HOLLOW METAL DOORS AND FRAMES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes hollow-metal work.
- B. Related Requirements:
 - 1. Section 087100 "Door Hardware" for door hardware for hollow-metal doors.

1.2 DEFINITIONS

- A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or SDI A250.8.

1.3 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, core descriptions, temperature-rise ratings, and finishes.
- B. Shop Drawings: Include the following:
 - 1. Elevations of each door type.
 - 2. Details of doors, including vertical- and horizontal-edge details and metal thicknesses.
 - 3. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
 - 4. Locations of reinforcement and preparations for hardware.
 - 5. Details of each different wall opening condition.
 - 6. Details of anchorages, joints, field splices, and connections.
 - 7. Details of moldings, removable stops, and glazing.
 - 8. Details of conduit and preparations for power, signal, and control systems.
- C. Schedule: Provide a schedule of hollow-metal work prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final Door Hardware Schedule.

1.6 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each type of hollow-metal door and frame assembly, for tests performed by a qualified testing agency.
- B. Oversize Construction Certification: For assemblies required to be fire rated and exceeding limitations of labeled assemblies.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal work palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.
- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal work vertically under cover at Project site with head up. Place on minimum 4-inch-high wood blocking. Provide minimum 1/4-inch space between each stacked door to permit air circulation.

PART 2 - PRODUCTS**2.1 MANUFACTURERS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Ceco Door Products; an Assa Abloy Group company.
 - 2. Curries Company; an Assa Abloy Group company.
 - 3. de La Fontaine Industries.
 - 4. Steelcraft; an Ingersoll-Rand company.
- B. Source Limitations: Obtain hollow-metal work from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Assemblies: Complying with NFPA 80 and listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
 - 1. Smoke- and Draft-Control Assemblies: Provide assemblies with gaskets listed and labeled for smoke and draft control by a qualified testing agency acceptable to authorities having jurisdiction, based on testing according to UL 1784 and installed in compliance with NFPA 105.
 - 2. Oversize Fire-Rated Door Assemblies: For units exceeding sizes of tested assemblies, provide certification by a qualified testing agency that doors comply with standard construction requirements for tested and labeled fire-rated door assemblies except for size.
- B. Fire-Rated, Borrowed-Lite Assemblies: Complying with NFPA 80 and listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction, for fire-protection ratings indicated, based on testing according to NFPA 257 or UL 9.
- C. Thermally Rated Door Assemblies: Provide door assemblies with U-factor of not more than 0.38 deg Btu/F x h x sq. ft. when tested according to ASTM C 518.

2.3 INTERIOR DOORS AND FRAMES

- A. Construct interior doors and frames to comply with the standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Heavy-Duty Doors and Frames: SDI A250.8, Level 2..
 - 1. Physical Performance: Level B according to SDI A250.4.
 - 2. Doors:
 - a. Type: As indicated in the Door and Frame Schedule.

- b. Thickness: 1-3/4 inches.
 - c. Face: Uncoated, cold-rolled steel sheet, minimum thickness of 0.042 inch.
 - d. Edge Construction: Model 2, Seamless.
 - e. Core: Manufacturer's standard kraft-paper honeycomb, polystyrene, polyurethane, polyisocyanurate, mineral-board, or vertical steel-stiffener core at manufacturer's discretion.
 - f. Core: Kraft-paper honeycomb.
- 3. Frames:
 - a. Materials: Uncoated steel sheet, minimum thickness of 0.053 inch.
 - b. Construction: Full profile welded.
- 4. Exposed Finish: Prime.

2.4 EXTERIOR HOLLOW-METAL DOORS AND FRAMES

- A. Construct exterior doors and frames to comply with the standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Heavy-Duty Doors and Frames: SDI A250.8, Level 2..
 - 1. Physical Performance: Level B according to SDI A250.4.
 - 2. Doors:
 - a. Type: As indicated in the Door and Frame Schedule.
 - b. Thickness: 1-3/4 inches
 - c. Face: Metallic-coated steel sheet, minimum thickness of 0.042 inch, with minimum A40 coating.
 - d. Edge Construction: Model 2, Seamless.
 - e. Core: Polystyrene, Polyurethane or Polyisocyanurate.
 - 1) Thermal-Rated Doors: Provide doors fabricated with thermal-resistance value (R-value) of not less than 2.1 deg F x h x sq. ft./Btu when tested according to ASTM C 1363.
 - 3. Frames:
 - a. Materials: Metallic-coated steel sheet, minimum thickness of 0.053 inch, with minimum A40 coating.
 - b. Construction: Full profile welded.
 - 4. Exposed Finish: Prime.

2.5 BORROWED LITES

- A. Fabricate of metallic-coated steel sheet, minimum thickness of 0.042 inch.
- B. Construction: Full profile welded.
- C. Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections due to shipping or handling limitations, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as metal as frames.
- D. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.

2.6 FRAME ANCHORS

- A. Jamb Anchors:

1. Masonry Type: Adjustable strap-and-stirrup or T-shaped anchors to suit frame size, not less than 0.042 inch thick, with corrugated or perforated straps not less than 2 inches wide by 10 inches long; or wire anchors not less than 0.177 inch thick.
 2. Stud-Wall Type: Designed to engage stud, welded to back of frames; not less than 0.042 inch thick.
 3. Postinstalled Expansion Type for In-Place Concrete or Masonry: Minimum 3/8-inch-diameter bolts with expansion shields or inserts. Provide pipe spacer from frame to wall, with throat reinforcement plate, welded to frame at each anchor location.
- B. Floor Anchors: Formed from same material as frames, minimum thickness of 0.042 inch, and as follows:
1. Monolithic Concrete Slabs: Clip-type anchors, with two holes to receive fasteners.

2.7 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A 1008/A 1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A 1011/A 1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Metallic-Coated Steel Sheet: ASTM A 653/A 653M, Commercial Steel (CS), Type B.
- D. Frame Anchors: ASTM A 879/A 879M, Commercial Steel (CS), 04Z coating designation; mill phosphatized.
 1. For anchors built into exterior walls, steel sheet complying with ASTM A 1008/A 1008M or ASTM A 1011/A 1011M, hot-dip galvanized according to ASTM A 153/A 153M, Class B.
- E. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A 153/A 153M.
- F. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hollow-metal frames of type indicated.
- G. Grout: ASTM C 476, except with a maximum slump of 4 inches, as measured according to ASTM C 143/C 143M.
- H. Glazing: Comply with requirements in Section 088000 "Glazing."
- I. Bituminous Coating: Cold-applied asphalt mastic, compounded for 15-mil dry film thickness per coat. Provide inert-type noncorrosive compound free of asbestos fibers, sulfur components, and other deleterious impurities.

2.8 FABRICATION

- A. Fabricate hollow-metal work to be rigid and free of defects, warp, or buckle. Accurately form metal to required sizes and profiles, with minimum radius for metal thickness. Where practical, fit and assemble units in manufacturer's plant. To ensure proper assembly at Project site, clearly identify work that cannot be permanently factory assembled before shipment.
- B. Hollow-Metal Doors:
 1. Steel-Stiffened Door Cores: Provide minimum thickness 0.026 inch, steel vertical stiffeners of same material as face sheets extending full-door height, with vertical webs spaced not more than 6 inches apart. Spot weld to face sheets no more than 5 inches o.c. Fill spaces between stiffeners with glass- or mineral-fiber insulation.
 2. Fire Door Cores: As required to provide fire-protection ratings indicated.

3. Vertical Edges for Single-Acting Doors: Provide beveled or square edges at manufacturer's discretion.
 4. Top Edge Closures: Close top edges of doors with inverted closures, except provide flush closures at exterior doors of same material as face sheets.
 5. Bottom Edge Closures: Close bottom edges of doors with end closures or channels of same material as face sheets.
 6. Exterior Doors: Provide weep-hole openings in bottoms of exterior doors to permit moisture to escape. Seal joints in top edges of doors against water penetration.
 7. Astragals: Provide overlapping astragal on one leaf of pairs of doors where required by NFPA 80 for fire-performance rating or where indicated. Extend minimum 3/4 inch beyond edge of door on which astragal is mounted or as required to comply with published listing of qualified testing agency.
 8. Fire Door Labeling: All fire rated doors shall receive an applied label. Embossing of door edge to indicate fire rating of door is not acceptable.
 - a. At all doors to receive continuous hinges the fire label of the frame shall be affixed to the top of the door as not to be obscured by the continuous hinge.
- C. Hollow-Metal Frames: Where frames are fabricated in sections due to shipping or handling limitations, provide alignment plates or angles at each joint, fabricated of same thickness metal as frames.
1. Sidelight and Transom Bar Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by butt welding.
 2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
 3. Grout Guards: Weld guards to frame at back of hardware mortises in frames to be grouted.
 4. Floor Anchors: Weld anchors to bottoms of jambs with at least four spot welds per anchor; however, for slip-on drywall frames, provide anchor clips or countersunk holes at bottoms of jambs.
 5. Jamb Anchors: Provide number and spacing of anchors as follows:
 - a. Masonry Type: Locate anchors not more than 16 inches from top and bottom of frame. Space anchors not more than 32 inches o.c., to match coursing, and as follows:
 - 1) Two anchors per jamb up to 60 inches high.
 - 2) Three anchors per jamb from 60 to 90 inches high.
 - 3) Four anchors per jamb from 90 to 120 inches high.
 - 4) Four anchors per jamb plus one additional anchor per jamb for each 24 inches or fraction thereof above 120 inches high.
 - b. Stud-Wall Type: Locate anchors not more than 18 inches from top and bottom of frame. Space anchors not more than 32 inches o.c. and as follows:
 - 1) Three anchors per jamb up to 60 inches high.
 - 2) Four anchors per jamb from 60 to 90 inches high.
 - 3) Five anchors per jamb from 90 to 96 inches high.
 - 4) Five anchors per jamb plus one additional anchor per jamb for each 24 inches or fraction thereof above 96 inches high.
 - c. Compression Type: Not less than two anchors in each frame.
 - d. Postinstalled Expansion Type: Locate anchors not more than 6 inches from top and bottom of frame. Space anchors not more than 26 inches o.c.
 6. Head Anchors: Two anchors per head for frames more than 42 inches wide and mounted in metal-stud partitions.

7. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
 - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
 - b. Double-Door Frames: Drill stop in head jamb to receive two door silencers.
8. Fire Door Frame Labeling: All fire rated frames shall receive an applied label. Embossing of frames to indicate fire rating of frames is not acceptable
 - a. At all doors to receive continuous hinges the fire label of the frame shall be affixed to the head of the frame as not to be obscured by the continuous hinge.
- D. Fabricate concealed stiffeners and edge channels from either cold- or hot-rolled steel sheet.
- E. Hardware Preparation: Factory prepare hollow-metal work to receive templated mortised hardware; include cutouts, reinforcement, mortising, drilling, and tapping according to SDI A250.6, the Door Hardware Schedule, and templates.
 1. Reinforce doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.
 2. Comply with applicable requirements in SDI A250.6 and BHMA A156.115 for preparation of hollow-metal work for hardware.
- F. Stops and Moldings: Provide stops and moldings around glazed lites and louvers where indicated. Form corners of stops and moldings with butted or mitered hairline joints.
 1. Single Glazed Lites: Provide fixed stops and moldings welded on secure side of hollow-metal work.
 2. Provide fixed frame moldings on outside of exterior and on secure side of interior doors and frames.
 3. Provide loose stops and moldings on inside of hollow-metal work.
 4. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.

2.9 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

2.10 ACCESSORIES

- A. Mullions and Transom Bars: Join to adjacent members by welding or rigid mechanical anchors.
- B. Grout Guards: Formed from same material as frames, not less than 0.016 inch thick.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for embedded and built-in anchors to verify actual locations before frame installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces.
- B. Drill and tap doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.

3.3 INSTALLATION

- A. General: Install hollow-metal work plumb, rigid, properly aligned, and securely fastened in place. Comply with Drawings and manufacturer's written instructions.
- B. Hollow-Metal Frames: Install hollow-metal frames of size and profile indicated. Comply with SDI A250.11 or NAAMM-HMMA 840 as required by standards specified.
 - 1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces, leaving surfaces smooth and undamaged.
 - a. At fire-rated openings, install frames according to NFPA 80.
 - b. Where frames are fabricated in sections because of shipping or handling limitations, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces.
 - c. Install frames with removable stops located on secure side of opening.
 - d. Install door silencers in frames before grouting.
 - e. Remove temporary braces necessary for installation only after frames have been properly set and secured.
 - f. Check plumb, square, and twist of frames as walls are constructed. Shim as necessary to comply with installation tolerances.
 - g. Field apply bituminous coating to backs of frames that will be filled with grout containing antifreezing agents.
 - 2. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor, and secure with postinstalled expansion anchors.
 - a. Floor anchors may be set with power-actuated fasteners instead of postinstalled expansion anchors if so indicated and approved on Shop Drawings.
 - 3. Metal-Stud Partitions: Solidly pack mineral-fiber insulation inside frames.
 - 4. Masonry Walls: Coordinate installation of frames to allow for solidly filling space between frames and masonry with grout.
 - 5. In-Place Concrete or Masonry Construction: Secure frames in place with post-installed expansion anchors. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
 - 6. Installation Tolerances: Adjust hollow-metal door frames for squareness, alignment, twist, and plumb to the following tolerances:
 - a. Squareness: Plus or minus 1/16 inch, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
 - b. Alignment: Plus or minus 1/16 inch, measured at jambs on a horizontal line parallel to plane of wall.
 - c. Twist: Plus or minus 1/16 inch, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
 - d. Plumbness: Plus or minus 1/16 inch, measured at jambs at floor.
- C. Hollow-Metal Doors: Fit hollow-metal doors accurately in frames, within clearances specified below. Shim as necessary.

1. Non-Fire-Rated Steel Doors:
 - a. Between Door and Frame Jambs and Head: 1/8 inch plus or minus 1/32 inch.
 - b. Between Edges of Pairs of Doors: 1/8 inch to 1/4 inch plus or minus 1/32 inch.
 - c. At Bottom of Door: 5/8 inch plus or minus 1/32 inch.
 - d. Between Door Face and Stop: 1/16 inch to 1/8 inch plus or minus 1/32 inch.
 2. Fire-Rated Doors: Install doors with clearances according to NFPA 80.
 3. Smoke-Control Doors: Install doors and gaskets according to NFPA 105.
- D. Glazing: Comply with installation requirements in Section 088000 "Glazing" and with hollow-metal manufacturer's written instructions.
1. Secure stops with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

3.4 ADJUSTING AND CLEANING

- A. Final Adjustments: Check and readjust operating hardware items immediately before final inspection. Leave work in complete and proper operating condition. Remove and replace defective work, including hollow-metal work that is warped, bowed, or otherwise unacceptable.
- B. Remove grout and other bonding material from hollow-metal work immediately after installation.
- C. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.
- D. Metallic-Coated Surface Touchup: Clean abraded areas and repair with galvanizing repair paint according to manufacturer's written instructions.

END OF SECTION 081113

SECTION 083113 - ACCESS DOORS AND FRAMES

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes the following:
 - 1. Access doors and frames for walls and ceilings.
- B. Related Sections include the following:
 - 1. Division 07 Section "Roof Accessories" for roof hatches.
 - 2. Division 23 Section "Air Duct Accessories" for heating and air-conditioning duct access doors.

1.2 SUBMITTALS

- A. Product Data: For each type of access door and frame indicated. Include construction details, fire ratings, materials, individual components and profiles, and finishes.
- B. Access Door and Frame Schedule: Provide complete access door and frame schedule, including types, locations, sizes, latching or locking provisions, and other data pertinent to installation.

1.3 QUALITY ASSURANCE

- A. Source Limitations: Obtain each type of access door(s) and frame(s) through one source from a single manufacturer.
- B. Fire-Rated Access Doors and Frames: Units complying with NFPA 80 that are identical to access door and frame assemblies tested for fire-test-response characteristics per the following test method and that are listed and labeled by UL or another testing and inspecting agency acceptable to authorities having jurisdiction:
- C. Size Variations: Obtain Architect's acceptance of manufacturer's standard-size units, which may vary slightly from sizes indicated.

1.4 COORDINATION

- A. Verification: Determine specific locations and sizes for access doors needed to gain access to concealed plumbing, mechanical, or other concealed work, and indicate in the schedule specified in "Submittals" Article.

PART 2 - PRODUCTS

2.1 STEEL MATERIALS

- A. Steel Sheet: Uncoated cold-rolled steel sheet substrate complying with ASTM A 1008, Commercial Steel (CS), exposed.
- B. Steel Finishes: Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
 - 1. Surface Preparation for Steel Sheet: Clean surfaces to comply with SSPC-SP 1, "Solvent Cleaning," to remove dirt, oil, grease, or other contaminants that could impair paint bond. Remove mill scale and rust, if present, from uncoated steel, complying with SSPC-SP 5/NACE No. 1, "White Metal Blast Cleaning," or SSPC-SP 8, "Pickling."
 - 2. Factory-Primed Finish: Apply shop primer immediately after cleaning and pretreating.

- C. Drywall Beads: Edge trim formed from 0.0299-inch zinc-coated steel sheet formed to receive joint compound and in size to suit thickness of gypsum board.
- D. Plaster Beads: Casing bead formed from 0.0299-inch zinc-coated steel sheet with flange formed out of expanded metal lath and in size to suit thickness of plaster.

2.2 ACCESS DOORS AND FRAMES FOR WALLS AND CEILINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Acudor Products, Inc.
 - 2. Cendrex Inc.
 - 3. Elmdor/Stoneman; Div. of Acorn Engineering Co.
 - 4. J. L. Industries, Inc.
 - 5. Karp Associates, Inc.
 - 6. Milcor Inc.
 - 7. Nystrom, Inc.
- B. Flush Access Doors and Frames with Exposed Trim: Fabricated from steel sheet.
 - 1. Locations: Masonry wall surfaces.
 - 2. Door: Minimum 0.060-inch- thick sheet metal, set flush with exposed face flange of frame.
 - 3. Frame: Minimum 0.060-inch- thick sheet metal with 1-inch- wide, surface-mounted trim.
 - 4. Hinges: Continuous piano.
 - 5. Latch: Cam latch operated by screwdriver with interior release.
- C. Recessed Access Doors and Trimless Frames: Fabricated from steel sheet.
 - 1. Locations: Plaster and gypsum board wall and ceiling surfaces.
 - 2. Door: Minimum 0.060-inch- thick sheet metal in the form of a pan recessed for gypsum board infill.
 - 3. Frame: Minimum 0.060-inch- thick sheet metal with drywall bead for gypsum board surfaces or with plaster bead for plaster surfaces.
 - 4. Hinges: Concealed pivoting rod hinge.
 - 5. Latch: Cam latch operated by screwdriver with interior release.
- D. Fire Rated, Uninsulated, Flush Access Doors and Frames with Exposed Trim: Fabricated from steel sheet.
 - 1. Locations: Wall surfaces in fire-rated construction.
 - 2. Fire-Resistance Rating: Not less than that of adjacent construction
 - 3. Door: Minimum 0.060-inch- thick sheet metal, flush construction.
 - 4. Frame: Minimum 0.060-inch- thick sheet metal with 1-inch- wide, surface-mounted trim.
 - 5. Hinges: Continuous piano.
 - 6. Automatic Closer: Spring type.
 - 7. Latch: Self-latching device operated by flush key with interior release.

2.3 FABRICATION

- A. General: Provide access door and frame assemblies manufactured as integral units ready for installation.
- B. Metal Surfaces: For metal surfaces exposed to view in the completed Work, provide materials with smooth, flat surfaces without blemishes. Do not use materials with exposed pitting, seam marks, roller marks, rolled trade names, or roughness.

- C. Doors and Frames: Grind exposed welds smooth and flush with adjacent surfaces. Furnish attachment devices and fasteners of type required to secure access panels to types of supports indicated.
- D. Recessed Access Doors: Form face of panel to provide recess for application of applied finish. Reinforce panel as required to prevent buckling.
- E. Latching Mechanisms: Furnish number required to hold doors in flush, smooth plane when closed.
 - 1. For recessed panel doors, provide access sleeves for each locking device. Furnish plastic grommets and install in holes cut through finish.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with manufacturer's written instructions for installing access doors and frames.
- B. Set frames accurately in position and attach securely to supports with plane of face panels aligned with adjacent finish surfaces.
- C. Install doors flush with adjacent finish surfaces or recessed to receive finish material.

3.2 ADJUSTING AND CLEANING

- A. Adjust doors and hardware after installation for proper operation.
- B. Remove and replace doors and frames that are warped, bowed, or otherwise damaged.

END OF SECTION 083113

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SECTION 088000 - GLAZING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes:
 - 1. Safety Glass for interior doors.
 - 2. Glazing sealants and accessories.

1.2 DEFINITIONS

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. Glass Thicknesses: Indicated by thickness designations in millimeters according to ASTM C 1036.
- C. IBC: International Building Code.
- D. Interspace: Space between lites of an insulating-glass unit.

1.3 COORDINATION

- A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances and in accordance with ASTM F 2248.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Glazing Accessory Samples: For sealants, in 12-inch lengths. Install sealant Samples between two strips of material representative in color of the adjoining framing system.
- C. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations indicated on Drawings.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer manufacturers of insulating-glass units with sputter-coated, low-E coatings and glass testing agency.
- B. Product Certificates: For glass.
- C. Product Test Reports: For tinted glass coated glass insulating glass and glazing sealants, for tests performed by a qualified testing agency.
 - 1. For glazing sealants, provide test reports based on testing current sealant formulations within previous 36-month period.
- D. Preconstruction adhesion and compatibility test report.
- E. Sample Warranties: For special warranties.

1.6 QUALITY ASSURANCE

- A. Manufacturer Qualifications for Insulating-Glass Units with Sputter-Coated, Low-E Coatings: A qualified insulating-glass manufacturer who is approved and certified by coated-glass manufacturer.

- B. Installer Qualifications: A qualified installer who employs glass installers for this Project who are certified under the National Glass Association's Certified Glass Installer Program.
- C. Glass Testing Agency Qualifications: A qualified independent testing agency accredited according to the NFRC CAP 1 Certification Agency Program.
- D. Sealant Testing Agency Qualifications: An independent testing agency qualified according to ASTM C 1021 to conduct the testing indicated.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials according to manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.
- B. Comply with insulating-glass manufacturer's written instructions for venting and sealing units to avoid hermetic seal ruptures due to altitude change.

1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not proceed with glazing when ambient and substrate temperature conditions are outside limits permitted by glazing material manufacturers and when glazing channel substrates are wet from rain, frost, condensation, or other causes.
 - 1. Do not install glazing sealants when ambient and substrate temperature conditions are outside limits permitted by sealant manufacturer or are below 40 deg F.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - 1. Vitro or an approved equivalent
- B. Source Limitations for Glass: Obtain from single source from single manufacturer for each glass type.
- C. Source Limitations for Glazing Accessories: Obtain from single source from single manufacturer for each product and installation method.

2.2 PERFORMANCE REQUIREMENTS

- A. General: Installed glazing systems shall withstand normal thermal movement and wind and impact loads (where applicable) without failure, including loss or glass breakage attributable to the following: defective manufacture, fabrication, or installation; failure of sealants or gaskets to remain watertight and airtight; deterioration of glazing materials; or other defects in construction.
- B. Safety Glazing: Where safety glazing is indicated, provide glazing that complies with 16 CFR 1201, Category II.
 - 1.

2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organizations below unless more stringent requirements are indicated. See these publications for glazing terms not otherwise defined in this Section or in referenced standards.
 - 1. GANA Publications: "Laminated Glazing Reference Manual" and "Glazing Manual."

2. AAMA Publications: AAMA GDSG-1, "Glass Design for Sloped Glazing," and AAMA TIR A7, "Sloped Glazing Guidelines."
 3. IGMA Publication for Sloped Glazing: IGMA TB-3001, "Guidelines for Sloped Glazing."
 4. IGMA Publication for Insulating Glass: SIGMA TM-3000, "North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use."
- B. Safety Glazing Labeling: Where safety glazing is indicated, permanently mark glazing with certification label of the SGCC or another certification agency acceptable to authorities having jurisdiction. Label shall indicate manufacturer's name, type of glass, thickness, and safety glazing standard with which glass complies.
- C. Thickness: Where glass thickness is indicated, it is a minimum. Provide glass that complies with performance requirements and is not less than the thickness indicated.
1. Minimum Glass Thickness for Exterior Lites: 6 mm.
- D. Strength: Where annealed float glass is indicated, provide annealed float glass, heat-strengthened float glass, or fully tempered float glass. Where heat-strengthened float glass is indicated, provide heat-strengthened float glass or fully tempered float glass. Where fully tempered float glass is indicated, provide fully tempered float glass.

2.4 GLASS PRODUCTS

- A. Fully Tempered Float Glass: ASTM C 1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.

2.5 GLAZING SEALANTS

- A. General:
1. Compatibility: Compatible with one another and with other materials they contact, including glass products, seals of insulating-glass units, and glazing channel substrates, under conditions of service and application, as demonstrated by sealant manufacturer based on testing and field experience.
 2. Suitability: Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated and for conditions existing at time of installation.
- B. Glazing Sealant: Neutral-curing silicone glazing sealant complying with ASTM C 920, Type S, Grade NS, Class 50, Use NT.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Dow Corning Corporation. Or approved equivalent
 - 1) Product: 995

2.6 GLAZING TAPES

- A. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for application indicated; and complying with ASTM C 1281 and AAMA 800 for products indicated below:
1. AAMA 804.3 tape, where indicated.
 2. AAMA 806.3 tape, for glazing applications in which tape is subject to continuous pressure.

3. AAMA 807.3 tape, for glazing applications in which tape is not subject to continuous pressure.

2.7 MISCELLANEOUS GLAZING MATERIALS

- A. General: Provide products of material, size, and shape complying with referenced glazing standard, with requirements of manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.
- B. Cleaners, Primers, and Sealers: Types recommended by sealant or gasket manufacturer.
- C. Setting Blocks: Elastomeric material with a Shore, Type A durometer hardness of 85, plus or minus 5.
- D. Spacers: Elastomeric blocks or continuous extrusions of hardness required by glass manufacturer to maintain glass lites in place for installation indicated.
- E. Edge Blocks: Elastomeric material of hardness needed to limit glass lateral movement (side walking).

2.8 FABRICATION OF GLAZING UNITS

- A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.
 1. Allow for thermal movements from ambient and surface temperature changes acting on glass framing members and glazing components.
 - a. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
- B. Grind smooth and polish exposed glass edges and corners.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine framing, glazing channels, and stops, with Installer present, for compliance with the following:
 1. Manufacturing and installation tolerances, including those for size, squareness, and offsets at corners.
 2. Presence and functioning of weep systems.
 3. Minimum required face and edge clearances.
 4. Effective sealing between joints of glass-framing members.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate exterior and interior surfaces. Label or mark units as needed so that exterior and interior surfaces are readily identifiable. Do not use materials that leave visible marks in the completed Work.

3.3 GLAZING, GENERAL

- A. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials, unless more stringent requirements are indicated, including those in referenced glazing publications.
- B. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass includes glass with edge damage or other imperfections that, when installed, could weaken glass, impair performance, or impair appearance.
- C. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- D. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications, unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- E. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- F. Provide spacers for glass lites where length plus width is larger than **50 inches**.
 - 1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances, unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
 - 2. Provide **1/8-inch** minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- G. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and according to requirements in referenced glazing publications.
- H. Set glass lites in each series with uniform pattern, draw, bow, and similar characteristics.
- I. Set glass lites with proper orientation so that coatings face exterior or interior as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.
- K. Square cut wedge-shaped gaskets at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended by gasket manufacturer.

3.4 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first, then to jambs. Cover horizontal framing joints by applying tapes to jambs, then to heads and sills.
- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.

- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant.
- G. Center glass lites in openings on setting blocks, and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

3.5 GASKET GLAZING (DRY)

- A. Cut compression gaskets to lengths recommended by gasket manufacturer to fit openings exactly, with allowance for stretch during installation.
- B. Insert soft compression gasket between glass and frame or fixed stop so it is securely in place with joints miter cut and bonded together at corners.
- C. Installation with Drive-in Wedge Gaskets: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- D. Installation with Pressure-Glazing Stops: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket. Install dense compression gaskets and pressure-glazing stops, applying pressure uniformly to compression gaskets. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- E. Install gaskets so they protrude past face of glazing stops.

3.6 SEALANT GLAZING (WET)

- A. Install continuous spacers, or spacers combined with cylindrical sealant backing, between glass lites and glazing stops to maintain glass face clearances and to prevent sealant from extruding into glass channel and blocking weep systems until sealants cure. Secure spacers or spacers and backings in place and in position to control depth of installed sealant relative to edge clearance for optimum sealant performance.
- B. Force sealants into glazing channels to eliminate voids and to ensure complete wetting or bond of sealant to glass and channel surfaces.
- C. Tool exposed surfaces of sealants to provide a substantial wash away from glass.

3.7 CLEANING AND PROTECTION

- A. Immediately after installation remove nonpermanent labels and clean surfaces.
- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.
 - 1. If, despite such protection, contaminating substances do come into contact with glass, remove substances immediately as recommended in writing by glass manufacturer. Remove and replace glass that cannot be cleaned without damage to coatings.

- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces not more than four days before date scheduled for inspections that establish date of Substantial Completion. Wash glass as recommended in writing by glass manufacturer.

3.8 MONOLITHIC-GLASS TYPES

- A. Glass Type G-1: Clear fully tempered float glass.
 - 1. Thickness: 6.0 mm.
 - 2. Provide safety glazing labeling.

END OF SECTION 088000

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SECTION 092216 - NON-STRUCTURAL METAL FRAMING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Non-load-bearing steel framing systems for interior gypsum board assemblies.
 - 2. Suspension systems for interior gypsum ceilings, soffits, and grid systems.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.3 REFERENCES

- A. SSMA: Steel Stud Manufacturers Association

1.4 INFORMATIONAL SUBMITTALS

- A. Evaluation Reports: For steel studs and runners, from ICC-ES.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical to those tested in assembly indicated, according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated, according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.

2.2 FRAMING SYSTEMS

- A. Framing Members, General: Comply with ASTM C 754 for conditions indicated.
 - 1. Steel Sheet Components: Comply with ASTM C 645 requirements for metal unless otherwise indicated.
 - 2. Protective Coating: ASTM A 653, G40, hot-dip galvanized unless otherwise indicated.
- B. Studs and Runners: ASTM C 645. Use steel studs and runners.
 - 1. Steel Studs and Runners:
 - a. Minimum Base-Metal Thickness: 20 gauge or a 20 gauge equivalent high performance stud certified under SSMA code compliance program.
 - b. Depth: As indicated on Drawings.
- C. Slip-Type Head Joints: Where indicated, provide one of the following:
 - 1. Single Long-Leg Runner System: ASTM C 645 top runner with 2-inch- deep flanges in thickness not less than indicated for studs, installed with studs friction fit into top runner and with continuous bridging located within 12 inches of the top of studs to provide lateral bracing.
 - 2. Double-Runner System: ASTM C 645 top runners, inside runner with 2-inch- deep flanges in thickness not less than indicated for studs and fastened to studs, and outer runner sized to friction fit inside runner.

3. Deflection Track: Steel sheet top runner manufactured to prevent cracking of finishes applied to interior partition framing resulting from deflection of structure above; in thickness not less than indicated for studs and in width to accommodate depth of studs.
 - a. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - 1) Dietrich Metal Framing; SLP-TRK Slotted Deflection Track.
 - 2) Marino ware: Deep Leg Deflection Track.
- D. Firestop Tracks: Top runner manufactured to allow partition heads to expand and contract with movement of the structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Fire Trak Corp.; Fire Trak System.
 - b. Grace Construction Products; FlameSafe FlowTrak System.
 - c. Metal-Lite, Inc.; The System.
 - d. Cemco Fire Management Products: Distributed by Marino Ware.
- E. Flat Strap and Backing Plate: Steel sheet for blocking and bracing in length and width indicated.
 1. Minimum Base-Metal Thickness: 20 gauge.
- F. Cold-Rolled Channel Bridging: Steel, 18 gauge minimum base-metal thickness, with minimum 1/2-inch- wide flanges.
 1. Depth: As indicated on Drawings.
 2. Clip Angle: Not less than 1-1/2 by 1-1/2 inches, 0.068-inch- thick, galvanized steel.

2.3 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards.
 1. Fasteners for Metal Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.
- B. Isolation Strip at Exterior Walls: Provide one of the following:
 1. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Coordination with Sprayed Fire-Resistive Materials:
 1. Before sprayed fire-resistive materials are applied, attach offset anchor plates or ceiling runners (tracks) to surfaces indicated to receive sprayed fire-resistive materials. Where offset anchor plates are required, provide continuous plates fastened to building structure not more than 24 inches o.c.
 2. After sprayed fire-resistive materials are applied, remove them only to extent necessary for installation of non-load-bearing steel framing. Do not reduce thickness of fire-resistive

materials below that required for fire-resistance ratings indicated. Protect adjacent fire-resistive materials from damage.

3.3 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C 754.
 - 1. Gypsum Board Assemblies: Also comply with requirements in ASTM C 840 that apply to framing installation.
- B. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- C. Install bracing at terminations in assemblies.
- D. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

3.4 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
 - 1. Single-Layer Application: 16 inches o.c. unless otherwise indicated.
 - 2. Multilayer Application: 16 inches o.c. unless otherwise indicated.
 - 3. Tile Backing Panels: 16 inches o.c. unless otherwise indicated.
- B. Attach steel stud tracks to metal or concrete deck.
- C. Install studs so flanges within framing system point in same direction.
- D. Install tracks (runners) at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts penetrating partitions above ceiling.
 - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
 - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install runner track section (for cripple studs) at head and secure to jamb studs.
 - a. Install two studs at each jamb unless otherwise indicated.
 - b. Install cripple studs at head adjacent to each jamb stud, with a minimum 1/2-inch clearance from jamb stud to allow for installation of control joint in finished assembly.
 - c. Extend jamb studs through suspended ceilings and attach to underside of overhead structure.
 - 3. Other Framed Openings: Frame openings other than door openings the same as required for door openings unless otherwise indicated. Install framing below sills of openings to match framing required above door heads.
 - 4. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.
 - a. Firestop Track: Where indicated, install to maintain continuity of fire-resistance-rated assembly indicated.
 - 5. Sound-Rated Partitions: Install framing to comply with sound-rated assembly indicated.
 - 6. Curved Partitions:
 - a. Bend track to uniform curve and locate straight lengths so they are tangent to arcs.

- b. Begin and end each arc with a stud, and space intermediate studs equally along arcs. On straight lengths of no fewer than two studs at ends of arcs, place studs 6 inches o.c.
- E. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

END OF SECTION 092216

SECTION 092900 - GYPSUM BOARD

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Interior gypsum board.
 - 2. Tile backing panels.
 - 3. Identifying and labeling of partitions.
- B. Related Requirements:
 - 1. Section 092216 "Non-Structural Metal Framing" for non-structural framing and suspension systems that support gypsum board panels.
 - 2. Section 093000 "Tiling" for cementitious backer units installed as substrates for ceramic tile.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For the following products:
 - 1. Trim Accessories: Full-size Sample in 12-inch- long length for each trim accessory indicated.

1.3 DELIVERY, STORAGE AND HANDLING

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

1.4 FIELD CONDITIONS

- A. Environmental Limitations: Comply with ASTM C 840 requirements or gypsum board manufacturer's written recommendations, whichever are more stringent.
- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, those that are moisture damaged, and those that are mold damaged.
 - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.

2.2 GYPSUM BOARD, GENERAL

- A. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

2.3 INTERIOR GYPSUM BOARD

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Georgia-Pacific Gypsum LLC.
 2. National Gypsum Company.
 3. USG Corporation.
- B. Gypsum Board, Type X: ASTM C 1396.
1. Thickness: 5/8 inch.
 2. Long Edges: Tapered.
- C. Flexible Gypsum Board: ASTM C 1396. Manufactured to bend to fit radii and to be more flexible than standard regular-type gypsum board of same thickness.
1. Thickness: 1/4 inch.
 2. Long Edges: Tapered.
- D. Abuse-Resistant Gypsum Board: ASTM C 1629 Level 1.
1. Core: 5/8 inch, Type X.
 2. Long Edges: Tapered.
 3. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274.
- E. Moisture- and Mold-Resistant Gypsum Board: ASTM C 1396/C 1396M. With moisture- and mold-resistant core and paper surfaces.
1. Core: 5/8 inch, Type X.
 2. Long Edges: Tapered.
 3. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274.

2.4 TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 and ASTM C 1288 or 1325, with manufacturer's standard edges.
1. Products: Subject to compliance with requirements, provide one of the following:
 - a. CertainTeed Corp.; FiberCement BackerBoard.
 - b. James Hardie Building Products, Inc.; Hardiebacker.
 - c. National Gypsum Company, Permabase Cement Board.
 - d. USG Corporation; DUROCK Cement Board.
 2. Thickness: 1/2 inch.
 3. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274.

2.5 TRIM ACCESSORIES

- A. Interior Trim: ASTM C 1047.
1. Material: Galvanized or aluminum-coated steel sheet, rolled zinc, plastic, or paper-faced galvanized steel sheet.
 2. Shapes:
 - a. Cornerbead.
 - b. LC-Bead: J-shaped; exposed long flange receives joint compound.
 - c. U-Bead: J-shaped; exposed short flange does not receive joint compound.
 - d. Expansion (control) joint.

2.6 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C 475/C 475M.
- B. Joint Tape:
 - 1. Interior Gypsum Board: Paper.
 - 2. Tile Backing Panels: As recommended by panel manufacturer.
- C. Joint Compound for Interior Gypsum Board: For each coat use formulation that is compatible with other compounds applied on previous or for successive coats.
 - 1. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use setting-type taping compound.
 - a. Use setting-type compound for installing paper-faced metal trim accessories.
 - 2. Fill Coat: For second coat, use setting-type, sandable topping compound.
 - 3. Finish Coat: For third coat, use setting-type, sandable topping compound.
- D. Joint Compound for Tile Backing Panels:
 - 1. Glass-Mat, Water-Resistant Backing Panel: As recommended by backing panel manufacturer.
 - 2. Cementitious Backer Units: As recommended by backer unit manufacturer.
 - 3. Water-Resistant Gypsum Backing Board: Use setting-type taping compound and setting-type, sandable topping compound.

2.7 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards and manufacturer's written recommendations.
- B. Laminating Adhesive: Adhesive or joint compound recommended for directly adhering gypsum panels to continuous substrate.
 - 1. Laminating adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- C. Steel Drill Screws: ASTM C 1002, unless otherwise indicated.
 - 1. Use screws complying with ASTM C 954 for fastening panels to steel members from 0.033 to 0.112 inch thick.
 - 2. For fastening cementitious backer units, use screws of type and size recommended by panel manufacturer.
- D. Sound Attenuation Blankets: ASTM C 665, Type I (blankets without membrane facing) produced by combining thermosetting resins with mineral fibers manufactured from glass, slag wool, or rock wool.
 - 1. Fire-Resistance-Rated Assemblies: Comply with mineral-fiber requirements of assembly.
- E. Acoustical Joint Sealant: Manufacturer's standard nonsag, paintable, nonstaining latex sealant complying with ASTM C 834. Product effectively reduces airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Pecora Corporation; AC-20 FTR.
 - b. USG Corporation; SHEETROCK Acoustical Sealant.
 - 2. Acoustical joint sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- F. Thermal Insulation: As specified in Section 072100 "Thermal Insulation."
- G. Vapor Retarder: As specified in Section 072100 "Thermal Insulation."

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and substrates including welded hollow-metal frames and framing, with Installer present, for compliance with requirements and other conditions affecting performance.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 APPLYING AND FINISHING PANELS, GENERAL

- A. Comply with ASTM C 840.
- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than 1/16 inch of open space between panels. Do not force into place.
- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
 - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than 8 sq. ft. in area.
 - 2. Fit gypsum panels around ducts, pipes, and conduits.
 - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow 1/4- to 3/8-inch- wide joints to install sealant.
- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments, except floors. Provide 1/4- to 1/2-inch- wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C 919 and with manufacturer's written recommendations for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.
- J. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

3.3 APPLYING INTERIOR GYPSUM BOARD

- A. Install interior gypsum board in the following locations:

1. Type X: As indicated on Drawings.
 2. Flexible Type: Apply in double layer at curved assemblies.
 3. Ceiling Type: As indicated on Drawings.
 4. Abuse-Resistant Type: As indicated on Drawings.
 5. Moisture- and Mold-Resistant Type: As indicated on Drawings
- B. Single-Layer Application:
1. On ceilings, apply gypsum panels before wall/partition board application to greatest extent possible and at right angles to framing unless otherwise indicated.
 2. On partitions/walls, apply gypsum panels vertically (parallel to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
 - a. Stagger abutting end joints not less than one framing member in alternate courses of panels.
 - b. At stairwells and other high walls, install panels horizontally unless otherwise indicated or required by fire-resistance-rated assembly.
 3. On Z-furring members, apply gypsum panels vertically (parallel to framing) with no end joints. Locate edge joints over furring members.
 4. Fastening Methods: Apply gypsum panels to supports with steel drill screws.
- C. Multilayer Application:
1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, 16 inches minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
 2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
 3. On Z-furring members, apply base layer vertically (parallel to framing) and face layer either vertically (parallel to framing) or horizontally (perpendicular to framing) with vertical joints offset at least one furring member. Locate edge joints of base layer over furring members.
 4. Fastening Methods: Fasten base layers and face layers separately to supports with screws.
- D. Laminating to Substrate: Where gypsum panels are indicated as directly adhered to a substrate (other than studs, joists, furring members, or base layer of gypsum board), comply with gypsum board manufacturer's written recommendations and temporarily brace or fasten gypsum panels until fastening adhesive has set.
- E. Curved Surfaces:
1. Install panels horizontally (perpendicular to supports) and unbroken, to extent possible, across curved surface plus 12-inch- long straight sections at ends of curves and tangent to them.
 2. For double-layer construction, fasten base layer to studs with screws 16 inches o.c. Center gypsum board face layer over joints in base layer, and fasten to studs with screws spaced 12 inches o.c.

3.4 APPLYING TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A108.11, at locations indicated to receive tile.

- B. Where tile backing panels abut other types of panels in same plane, shim surfaces to produce a uniform plane across panel surfaces.

3.5 INSTALLING TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Control Joints: Install control joints according to ASTM C 840 and in specific locations approved by Architect for visual effect.
- C. Interior Trim: Install in the following locations:
1. Cornerbead: Use at outside corners unless otherwise indicated.
 2. LC-Bead: Use at exposed panel edges.
 3. Curved-Edge Cornerbead: Use at curved openings.

3.6 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- C. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C 840:
1. Level 1: Ceiling plenum areas, concealed areas, and where indicated.
 2. Level 4: At panel surfaces that will be exposed to view unless otherwise indicated.
 - a. Primer and its application to surfaces are specified in Section 099123 "Interior Painting."
- D. Glass-Mat Gypsum Sheathing Board: Finish according to manufacturer's written instructions for use as exposed soffit board.
- E. Glass-Mat Faced Panels: Finish according to manufacturer's written instructions.
- F. Cementitious Backer Units: Finish according to manufacturer's written instructions.

3.7 FIRE AND SMOKE BARRIER IDENTIFICATION

- A. Mark wall above ceiling every 8 feet maximum, once per wall section minimum, with its fire and/or smoke barrier designation.
1. Designations to be marked clearly using die-cut mylar stencils.
 2. Characters to be 4" minimum in height of a legible font type.
 3. Characters to be marked with bright red spray paint
 - a. Character Designations:
 - 1) One hour fire barrier
 - a) 1FB
 - 2) Two hour fire barrier
 - a) 2FB
 - 3) One hour smoke barrier
 - a) 1SB
 - 4) One hour fire/smoke barrier
 - a) 1FSB
 - 5) Two hour fire/smoke barrier
 - a) 2FSB

- 6) Smoke Tight Partitions
 - a) ST

3.8 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
 - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 092900

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SECTION 09 3000 - TILING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Ceramic tile.
 - 2. Marble thresholds.
 - 3. Waterproof membrane.
 - 4. Metal trim accessories.
 - 5. Quarry tile.

1.2 DEFINITIONS

- A. General: Definitions in the ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.
- B. ANSI A108 Series: ANSI A108.01, ANSI A108.02, ANSI A108.1A, ANSI A108.1B, ANSI A108.1C, ANSI A108.4, ANSI A108.5, ANSI A108.6, ANSI A108.8, ANSI A108.9, ANSI A108.10, ANSI A108.11, ANSI A108.12, ANSI A108.13, ANSI A108.14, ANSI A108.15, ANSI A108.16, and ANSI A108.17, which are contained in "American National Standard Specifications for Installation of Ceramic Tile."
- C. Module Size: Actual tile size plus joint width indicated.
- D. Face Size: Actual tile size, excluding spacer lugs.

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show locations of each type of tile and tile pattern. Show widths, details, and locations of expansion, contraction, control, and isolation joints in tile substrates and finished tile surfaces.
- C. Samples for Initial Selection: For each type of tile and grout indicated. Include Samples of accessories involving color selection.
- D. Master Grade Certificates: For each shipment, type, and composition of tile, signed by tile manufacturer and Installer.
- E. Product Certificates: For each type of product, signed by product manufacturer.

1.4 QUALITY ASSURANCE

- A. Source Limitations for Tile: Obtain tile of each type and color or finish from one source or producer.
 - 1. Obtain tile of each type and color or finish from same production run and of consistent quality in appearance and physical properties for each contiguous area.
- B. Source Limitations for Setting and Grouting Materials: Obtain ingredients of a uniform quality for each mortar, adhesive, and grout component from one manufacturer and each aggregate from one source or producer.
- C. Source Limitations for Other Products: Obtain each of the following products specified in this Section from a single manufacturer for each product:

1. Stone thresholds.
2. Waterproof membrane.
3. Joint sealants.
4. Metal trim accessories.
5. Quarry tile.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination can be avoided.
- D. Store liquid materials in unopened containers and protected from freezing.
- E. Handle tile that has temporary protective coating on exposed surfaces to prevent coated surfaces from contacting backs or edges of other units. If coating does contact bonding surfaces of tile, remove coating from bonding surfaces before setting tile.

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and are packaged with protective covering for storage and identified with labels describing contents.
 1. Tile: Provide 5% extra stock, but no less than 1 box of each pattern color, and size of the total installed.

PART 2 - PRODUCTS

2.1 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
 1. Provide tile complying with Standard grade requirements unless otherwise indicated.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCA installation methods specified in tile installation schedules, and other requirements specified.
- C. Factory Blending: For tile exhibiting color variations within ranges, blend tile in factory and package so tile units taken from one package show same range in colors as those taken from other packages and match approved Samples.
- D. Mounting: For factory-mounted tile, provide back- or edge-mounted tile assemblies as standard with manufacturer unless otherwise indicated.
- E. Factory-Applied Temporary Protective Coating: Where indicated under tile type, protect exposed surfaces of tile against adherence of mortar and grout by precoating with continuous film of petroleum paraffin wax, applied hot. Do not coat unexposed tile surfaces.

2.2 TILE PRODUCTS

1. Manufacturers: Basis-of-Design Product: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to the products indicated on Drawings in Finish Schedule”

2.3 STONE THRESHOLDS

- A. General: Provide stone thresholds that are uniform in color and finish, fabricated to sizes and profiles indicated to provide transition between tile surfaces and adjoining finished floor surfaces.
 - 2.4 Fabricate thresholds to heights indicated, but not more than 1/2 inch above adjoining finished floor surfaces, with transition edges beveled on a slope of no greater than 1:2.
- B. Marble Thresholds: Provide marble thresholds complying with ASTM C 503 requirements for exterior use and with a minimum abrasive-hardness value of 10 per ASTM C 241.
 1. Provide white, honed marble complying with the Marble Institute of America's Group A requirements for soundness.

2.5 SETTING MATERIALS

- A. Latex-Portland Cement Mortar (Thin Set): ANSI A118.4.
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Laticrete International, Inc.
 - b. MAPEI Corporation.
 - c. TEC; a subsidiary of H. B. Fuller Company.
 2. For wall applications, provide mortar that complies with requirements for non-sagging mortar in addition to the other requirements in ANSI A118.4.
- B. Portland Cement Mortar (Thickset) Installation Materials: ANSI A108.02.
 1. Cleavage Membrane: Asphalt felt, ASTM D 226, Type I (No. 15); or polyethylene sheeting, ASTM D 4397, 4.0 mils thick.
 2. Reinforcing Wire Fabric: Galvanized, welded wire fabric, 2 by 2 inches by 0.062-inch diameter; comply with ASTM A 185 and ASTM A 82 except for minimum wire size.
 3. Expanded Metal Lath: Diamond-mesh lath complying with ASTM C 847.
 - a. Base Metal and Finish for Interior Applications: Uncoated or zinc-coated (galvanized) steel sheet, with uncoated steel sheet painted after fabrication into lath.
 - b. Base Metal and Finish for Exterior Applications: Zinc-coated (galvanized) steel sheet.
 - c. Configuration over Solid Surfaces: Self furring.
 - d. Weight: 3.4 lb/sq. yd.
 4. Latex Additive: Manufacturer's standard water emulsion, serving as replacement for part or all of gaging water, of type specifically recommended by latex-additive manufacturer for use with field-mixed portland cement and aggregate mortar bed.

2.6 GROUT MATERIALS (GT)

- A. Polymer-Modified Tile Grout: ANSI A118.7.
 1. Manufacturers: Basis-of-Design Product: Subject to compliance with requirements, available products that may be incorporated into the Work include, but not limited to the products indicated Drawing I-250 Finish Schedule”

2.7 ELASTOMERIC SEALANTS

- A. General: Provide sealants, primers, backer rods, and other sealant accessories that comply with the following requirements and with the applicable requirements in Division 07 Section "Joint Sealants."
 - 1. Use sealants that have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
 - 2. Use primers, backer rods, and sealant accessories recommended by sealant manufacturer.
- B. Colors: Provide colors of exposed sealants to match colors of grout in tile adjoining sealed joints unless otherwise indicated.

2.8 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.
- B. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.

2.9 MIXING MORTARS AND GROUT

- A. Mix mortars and grouts to comply with referenced standards and mortar and grout manufacturers' written instructions.
- B. Add materials, water, and additives in accurate proportions.
- C. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

2.10 METAL TRIM AND ACCESSORIES (TR)

- A. Manufacturers: Basis-of-Design Product: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to the products indicated on Drawings "Finish Schedule"

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of installed tile.
 - 1. Verify that substrates for setting tile are firm, dry, clean, free of coatings that are incompatible with tile-setting materials including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.
 - 2. Verify that concrete substrates for tile floors installed with thin-set mortar comply with surface finish requirements in ANSI A108.01 for installations indicated.
 - a. Verify that surfaces that received a steel trowel finish have been mechanically scarified.
 - b. Verify that protrusions, bumps, and ridges have been removed by sanding or grinding.

3. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed.
 4. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Fill cracks, holes, and depressions in concrete substrates for tile floors installed with thin-set mortar with trowelable leveling and patching compound specifically recommended by tile-setting material manufacturer.
- B. Where indicated, prepare substrates to receive waterproofing by applying a reinforced mortar bed that complies with ANSI A108.1A and is sloped 1/4 inch per foot toward drains.
- C. Blending: For tile exhibiting color variations, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.

3.3 TILE INSTALLATION

- A. Comply with TCA's "Handbook for Ceramic Tile Installation" for TCA installation methods specified in tile installation schedules. Comply with parts of the ANSI A108 Series "Specifications for Installation of Ceramic Tile" that are referenced in TCA installation methods, specified in tile installation schedules, and apply to types of setting and grouting materials used.
1. For the following installations, follow procedures in the ANSI A108 Series of tile installation standards for providing 95 percent mortar coverage:
 - a. Tile floors in wet areas.
- B. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.
- C. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
- D. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize the use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
1. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets so joints between sheets are not apparent in finished work.
 2. Where adjoining tiles on floor, base, walls, or trim are specified or indicated to be same size, align joints.
 3. Where tiles are specified or indicated to be whole integer multiples of adjoining tiles on floor, base, walls, or trim, align joints unless otherwise indicated.
- E. Joint Widths: Unless otherwise indicated, install tile with the following joint widths:
1. 3/8" thickness Porcelain Tile: 3/16 inch joint width or as recommended by tile manufacturer.
 2. 1/4" thickness Porcelain Tile: 1/4 inch joint width or as recommended by tile manufacturer.

- F. Lay out tile wainscots to dimensions indicated or to next full tile beyond dimensions indicated.
- G. Expansion Joints: Provide expansion joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated. Form joints during installation of setting materials, mortar beds, and tile. Do not saw-cut joints after installing tiles.
 - 1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them.
 - 2. Prepare joints and apply sealants to comply with requirements in Division 07 Section "Joint Sealants."
- H. Thresholds: Install thresholds in same type of setting bed as adjacent floor unless otherwise indicated.
 - 1. Do not extend waterproofing under thresholds set in latex-portland cement mortar. Fill joints between such thresholds and adjoining tile set on waterproofing with elastomeric sealant.

3.4 TILE BACKING PANEL INSTALLATION

- A. Install cementitious backer units and treat joints according to ANSI A108.11 and manufacturer's written instructions for type of application indicated. Use latex-portland cement mortar for bonding material unless otherwise directed in manufacturer's written instructions.

3.5 CLEANING AND PROTECTING

- A. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.
 - 1. Remove grout residue from tile as soon as possible.
 - 2. Clean grout smears and haze from tile according to tile and grout manufacturer's written instructions but no sooner than 10 days after installation. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.
- B. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear.
- C. Prohibit foot and wheel traffic from tiled floors for at least seven days after grouting is completed.
- D. Before final inspection, remove protective coverings and rinse neutral protective cleaner from tile surfaces.

3.6 INTERIOR TILE INSTALLATION SCHEDULE

- A. Interior Floor Installations, Concrete Subfloor:
 - 1. Tile Installation F113: Thin-set mortar; TCA F113.
 - a. Tile Type: See Finish Schedule.
 - b. Thin-Set Mortar: Latex- portland cement mortar.
 - c. Grout: Polymer-modified sanded grout.
- B. Interior Wall Installations, Metal Studs or Furring:
 - 1. Tile Installation W244: Thin-set mortar on cementitious backer units or fiber cement underlayment; TCA W244.
 - a. Tile Type: See Finish Schedule.
 - b. Thin-Set Mortar: Latex- portland cement mortar.

- c. Grout: Provide Polymer-modified sanded grout for areas with porcelain tile.
Provide polymer modified un-sanded grout for areas with glazed ceramic tile.

END OF SECTION 09 3000

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SECTION 095113 - ACOUSTICAL PANEL CEILINGS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes acoustical panels and exposed suspension systems for ceilings.

1.2 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product, including proposed hanger wire anchors.
- B. Samples: For each exposed product and for each color and texture specified, 6 inches in size.
- C. Samples for Initial Selection: For components with factory-applied color finishes.
- D. Samples for Verification: For each component indicated and for each exposed finish required, prepared on Samples of size indicated below.
1. Acoustical Panel: Set of 6-inch- square Samples of each type, color, pattern, and texture.
 2. Exposed Suspension-System Members, Moldings, and Trim: Set of 6-inch- long Samples of each type, finish, and color.

1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Suspended ceiling components.
 2. Structural members to which suspension systems will be attached.
 3. Size and location of initial access modules for acoustical panels.
 4. Items penetrating finished ceiling including the following:
 - a. Lighting fixtures.
 - b. Air outlets and inlets.
 - c. Speakers.
 - d. Sprinklers.
 - e. Access panels.
 5. Perimeter moldings.
- B. Evaluation Reports: For each acoustical panel ceiling suspension system, from ICC-ES.
- C. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For finishes to include in maintenance manuals.

1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
1. Acoustical Ceiling Panels: Full-size panels equal to 5 percent of quantity installed.
 2. Suspension-System Components: Quantity of each exposed component equal to 5 percent of quantity installed.

3. Hold-Down Clips: Equal to 2 percent of quantity installed.
4. Impact Clips: Equal to 2 percent of quantity installed.

1.7 QUALITY ASSURANCE

- A. Test reports: Manufacturer will provide test certification for minimum requirements as tested in accordance with applicable industry standards and/or to meet performance standards specified by various agencies.
- B. Changes from system: System performance following any substitution of materials or change in assembly design must be certified by the manufacturer.
- C. All ceiling panel cartons must contain UL label for acoustical compliance.
- D. All suspension system cartons must contain UL label for load compliance per ASTM C635.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical panels, suspension-system components, and accessories to Project site in original, unopened packages and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical panels, permit them to reach room temperature and a stabilized moisture content.
- C. Handle acoustical panels carefully to avoid chipping edges or damaging units in any way.

1.9 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical panel ceilings until spaces are enclosed and weatherproof, wet work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
 1. Pressurized Plenums: Operate ventilation system for not less than 48 hours before beginning acoustical panel ceiling installation.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Acoustical ceiling shall withstand the effects of earthquake motions determined according to Seismic Category C.
 1. Provide manufacturer's approved and tested seismic assembly complying with:
 - a. American Society of Civil Engineers 7-05: Minimum Design Loads for Buildings and Other Structures.
 - b. CISCA: Guidelines for Seismic Restraint Direct Hung Suspended Ceiling Assemblies Seismic Zones 3 & 4.
- B. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
 1. Flame-Spread Index: Comply with ASTM E 1264 for Class A materials.
 2. Smoke-Developed Index: 50 or less.
- C. Fire-Resistance Ratings: Comply with ASTM E 119; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.

1. Indicate design designations from UL's "Fire Resistance Directory" or from the listings of another qualified testing agency.

2.2 ACOUSTICAL PANELS, GENERAL

- A. Low-Emitting Materials: Acoustical panel ceilings shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. Source Limitations:
 1. Acoustical Ceiling Panel: Obtain each type from single source from single manufacturer.
 2. Suspension System: Obtain each type from single source from single manufacturer.
- C. Source Limitations: Obtain each type of acoustical ceiling panel and supporting suspension system from single source from single manufacturer.
- D. Glass-Fiber-Based Panels: Made with binder containing no urea formaldehyde.
- E. Acoustical Panel Standard: Provide manufacturer's standard panels of configuration indicated that comply with ASTM E 1264 classifications as designated by types, patterns, acoustical ratings, and light reflectances unless otherwise indicated.
 1. Mounting Method for Measuring NRC: Type E-400; plenum mounting in which face of test specimen is 15-3/4 inches away from test surface according to ASTM E 795.
- F. Acoustical Panel Colors and Patterns: Match appearance characteristics indicated for each product type.
 1. Where appearance characteristics of acoustical panels are indicated by referencing pattern designations in ASTM E 1264 and not manufacturers' proprietary product designations, provide products selected by Architect from each manufacturer's full range that comply with requirements indicated for type, pattern, color, light reflectance, acoustical performance, edge detail, and size.

2.3 ACOUSTICAL PANELS - ACT-1

- A. Basis-of-Design Product: Subject to compliance with requirements, provide the products listed on Finish Schedule.
 1. Armstrong World Industries

2.4 ACOUSTICAL PANELS - ACT-2

- A. Basis-of-Design Product: Subject to compliance with requirements, provide the products listed on Finish Schedule.
 1. Armstrong World Industries

2.5 METAL SUSPENSION SYSTEMS, GENERAL

- A. Metal Suspension-System Standard: Provide manufacturer's standard direct-hung metal suspension systems of types, structural classifications, and finishes indicated that comply with applicable requirements in ASTM C 635/C 635M.
 1. High-Humidity Finish: Comply with ASTM C 635/C 635M requirements for "Coating Classification for Severe Environment Performance" where high-humidity finishes are indicated.
- B. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Direct Hung," unless otherwise indicated. Comply with seismic design requirements.

1. Anchors in Concrete: Anchors of type and material indicated below, with holes or loops for attaching hangers of type indicated and with capability to sustain, without failure, a load equal to five times that imposed by ceiling construction, as determined by testing according to ASTM E 488 or ASTM E 1512 as applicable, conducted by a qualified testing and inspecting agency.
 - a. Comply with seismic design requirements.
 - b. Type: Postinstalled expansion anchors.
 - c. Corrosion Protection: Carbon-steel components zinc plated to comply with ASTM B 633, Class Fe/Zn 5 (0.005 mm) for Class SC 1 service condition.
 - d. Corrosion Protection: Stainless-steel components complying with ASTM F 593 and ASTM F 594, Group 1 Alloy 304 or 316 for bolts; Alloy 304 or 316 for anchor.
 - e. Corrosion Protection: Components fabricated from nickel-copper-alloy rods complying with ASTM B 164 for UNS No. N04400 alloy.
 2. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hangers of type indicated and with capability to sustain, without failure, a load equal to 10 times that imposed by ceiling construction, as determined by testing according to ASTM E 1190, conducted by a qualified testing and inspecting agency.
- C. Wire Hangers, Braces, and Ties: Provide wires complying with the following requirements:
1. Zinc-Coated, Carbon-Steel Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper.
 2. Stainless-Steel Wire: ASTM A 580/A 580M, Type 304, nonmagnetic.
 3. Nickel-Copper-Alloy Wire: ASTM B 164, nickel-copper-alloy UNS No. N04400.
 4. Size: Select wire diameter so its stress at three times hanger design load (ASTM C 635/C 635M, Table 1, "Direct Hung") will be less than yield stress of wire, but provide not less than 0.106-inch- diameter wire.
- D. Hanger Rods: Mild steel, zinc coated or protected with rust-inhibitive paint.
- E. Hold-Down Clips: Manufacturer's standard hold-down.
1. Locations: Vestibule ceilings
- F. Angle Hangers: Angles with legs not less than 7/8 inch wide; formed with 0.04-inch- thick, galvanized-steel sheet complying with ASTM A 653/A 653M, G90 coating designation; with bolted connections and 5/16-inch- diameter bolts.
- G. Seismic Stabilizer Bars: Manufacturer's standard perimeter stabilizers designed to accommodate seismic forces.
- H. Seismic Struts: Manufacturer's standard compression struts designed to accommodate seismic forces.
- I. Seismic Clips: Manufacturer's standard seismic clips designed and spaced to secure acoustical panels in place.

2.6 METAL SUSPENSION SYSTEM

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
1. Armstrong World Industries, Inc.

- B. Components: Main beams and cross tees In accordance with the International Building Code, Section 1621 for Category C as described in ESR-1308.
 - 1. Structural Classification: ASTM C 635, Heavy Duty.
 - 2. Color: White and match the actual color of the selected ceiling tile, unless noted otherwise.
 - 3. Represented Systems: Prelude XL 15/16" or as indicated as manufactured by Armstrong World Industries.
- C. Attachment Devices: In accordance with the International Building Code, Section 1621 for Category C.
- D. Wire for Hangers and Ties: In accordance with the International Building Code, Section 1621.
- E. Wall Moldings: In accordance with the International Building Code, Section 1621 for Category C or method as described in ESR-1308.
 - 1. Nominal 7/8 inch x 7/8 inch hemmed, pre-finished angle molding
- F. Accessories:
 - 1. BERC2 – 2 inch Beam End Retaining Clip, 0.034 inch thick, hot-dipped galvanized cold-rolled steel per ASTM A568 – used to join main beam or cross tee to wall molding.
- G. Wide-Face, Capped, Double-Web, Steel Suspension System: Main and cross runners roll formed from cold-rolled steel sheet; prepainted, electrolytically zinc coated, or hot-dip galvanized according to ASTM A 653/A 653M, not less than G30 coating designation; with prefinished 15/16-inch- wide metal caps on flanges.
 - 1. Structural Classification: Heavy-duty system.
 - 2. End Condition of Cross Runners: Override (stepped) or butt-edge type.
 - 3. Face Design: Flat, flush.
 - 4. Cap Material: Steel or aluminum cold-rolled sheet.
 - 5. Cap Finish: Painted white or flat black to match panel color.
 - 6. Basis of Design: Prelude

2.7 METAL EDGE MOLDINGS AND TRIM

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. Armstrong World Industries, Inc.
- B. Roll-Formed, Sheet-Metal Edge Moldings and Trim: Type and profile indicated or, if not indicated, manufacturer's standard moldings for edges and penetrations that comply with seismic design requirements; formed from sheet metal of same material, finish, and color as that used for exposed flanges of suspension-system runners.
 - 1. Provide manufacturer's standard edge moldings that fit acoustical panel edge details and suspension systems indicated and that match width and configuration of exposed runners unless otherwise indicated.
 - 2. For circular penetrations of ceiling, provide edge moldings fabricated to diameter required to fit penetration exactly.
 - 3. Outside Corner: Prefabricated corner cap; formed to 90° angle; hemmed edge; size and finish to match wall molding.
- C. Extruded-Aluminum Edge Moldings and Trim: Where indicated, provide manufacturer's extruded-aluminum edge moldings and trim of profile indicated or referenced by manufacturer's designations, including splice plates, corner pieces, and attachment and other clips, complying with seismic design requirements and the following:

1. Baked-Enamel or Powder-Coat Finish: Minimum dry film thickness of 1.5 mils. Comply with ASTM C 635/C 635M and coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.
2. Basis of Design -Manufacturer: Armstrong – Axiom trim. See ceiling plan for locations.

2.8 ACOUSTICAL SEALANT

- A. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 1. Acoustical Sealant for Exposed and Concealed Joints:
 - a. Pecora Corporation; AC-20 FTR Acoustical and Insulation Sealant.
 - b. USG Corporation; SHEETROCK Acoustical Sealant.
 2. Acoustical Sealant for Concealed Joints:
 - a. Henkel Corporation; OSI Pro-Series SC-175 Acoustical Sound Sealant.
 - b. Pecora Corporation; AIS-919.
 - c. Tremco, Inc.; Tremco Acoustical Sealant.
- B. Acoustical Sealant: Manufacturer's standard sealant complying with ASTM C 834 and effective in reducing airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
 1. Exposed and Concealed Joints: Nonsag, paintable, nonstaining latex sealant.
 2. Concealed Joints: Nondrying, nonhardening, nonskinning, nonstaining, gunnable, synthetic-rubber sealant.
 3. Acoustical sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing to which acoustical panel ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and with requirements for installation tolerances and other conditions affecting performance of acoustical panel ceilings.
- B. Examine acoustical panels before installation. Reject acoustical panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Measure each ceiling area and establish layout of acoustical panels to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width panels at borders, and comply with layout shown on reflected ceiling plans.

3.3 INSTALLATION

- A. General: Install acoustical panel ceilings to comply with ASTM C 636/C 636M and seismic design requirements indicated, according to manufacturer's written instructions and Cisca's "Ceiling Systems Handbook."
 1. Fire-Rated Assembly: Install fire-rated ceiling systems according to tested fire-rated design.
- B. Suspend ceiling hangers from building's structural members and as follows:

1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
 2. Splay hangers only where required to miss obstructions; offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
 3. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with location of hangers at spacings required to support standard suspension-system members, install supplemental suspension members and hangers in form of trapezes or equivalent devices.
 4. Secure wire hangers to ceiling-suspension members and to supports above with a minimum of three tight turns. Connect hangers directly either to structures or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
 5. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.
 6. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
 7. When steel framing does not permit installation of hanger wires at spacing required, install carrying channels or other supplemental support for attachment of hanger wires.
 8. Do not attach hangers to steel deck tabs.
 9. Do not attach hangers to steel roof deck. Attach hangers to structural members.
 10. Space hangers not more than 48 inches o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than 8 inches from ends of each member.
 11. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards and publications.
- C. Secure bracing wires to ceiling suspension members and to supports with a minimum of four tight turns. Suspend bracing from building's structural members as required for hangers, without attaching to permanent metal forms, steel deck, or steel deck tabs. Fasten bracing wires into concrete with cast-in-place or post installed anchors.
- D. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
1. Apply acoustical sealant in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.
 2. Screw attach moldings to substrate at intervals not more than 16 inches o.c. and not more than 3 inches from ends, leveling with ceiling suspension system to a tolerance of 1/8 inch in 12 feet. Miter corners accurately and connect securely.
 3. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- E. Ceiling Perimeter (Seismic Considerations): Install edge moldings (7/8" minimum) and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
1. Tee ends shall be tied together with manufacturer supplied Stabilizer Bars or other approved means to prevent the tees from spreading apart.
 2. Maintain a 3/8" clearance between the ends of the suspension members and the wall. The unattached ends of the suspension members shall rest upon and be free to slide perpendicularly to the perimeter molding.

3. Alternate Perimeter Attachment: When approved by local code officials install 7/8" edge molding with grid manufacturers Seismic Clip accessory in lieu of stabilizer bars.
- F. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.
- G. Install acoustical panels with undamaged edges and fit accurately into suspension-system runners and edge moldings. Scribe and cut panels at borders and penetrations to provide a neat, precise fit.
 1. For square-edged panels, install panels with edges fully hidden from view by flanges of suspension-system runners and moldings.
 2. For reveal-edged panels on suspension-system runners, install panels with bottom of reveal in firm contact with top surface of runner flanges.
 3. For reveal-edged panels on suspension-system members with box-shaped flanges, install panels with reveal surfaces in firm contact with suspension-system surfaces and panel faces flush with bottom face of runners.
 4. Paint cut edges of panel remaining exposed after installation; match color of exposed panel surfaces using coating recommended in writing for this purpose by acoustical panel manufacturer.
 5. Install hold-down clips in areas indicated, in areas required by authorities having jurisdiction, and for fire-resistance ratings; space as recommended by panel manufacturer's written instructions unless otherwise indicated.
 6. Install clean-room gasket system in areas indicated, sealing each panel and fixture as recommended by panel manufacturer's written instructions.
 7. Protect lighting fixtures and air ducts to comply with requirements indicated for fire-resistance-rated assembly.

3.4 CLEANING

- A. Clean exposed surfaces of acoustical panel ceilings, including trim, edge moldings, and suspension-system members. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage. Remove and replace ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

END OF SECTION 095113

SECTION 096513 - RESILIENT BASE AND ACCESSORIES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Resilient base.
 - 2. Resilient molding accessories.
- B. Related Sections:
 - 1. Division 09 Section "Resilient Flooring" for resilient floor coverings.

1.2 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of product indicated.

1.3 QUALITY ASSURANCE

- A. Fire-Test-Response Characteristics: As determined by testing identical products according to ASTM E 648 or NFPA 253 by a qualified testing agency.
 - 1. Critical Radiant Flux Classification: Class I, not less than 0.45 W/sq. cm.

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F.

1.5 PROJECT CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive resilient products during the following time periods:
 - 1. 48 hours before installation.
 - 2. During installation.
 - 3. 48 hours after installation.
- B. Until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Install resilient products after other finishing operations, including painting, have been completed.

1.6 EXTRA MATERIALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Furnish not less than 10 linear feet for every 500 linear feet or fraction thereof, of each type, color, pattern, and size of resilient product installed.

PART 2 - PRODUCTS

2.1 RESILIENT BASE

- A. Basis-of-Design Product: Subject to compliance with requirements, provide the product indicated on I drawing "Finishes Schedule"

- B. Lengths: Cut lengths 48 inches long or coils in manufacturer's standard length.
- C. Outside Corners: Job formed.
- D. Inside Corners: Job formed, provide 45 degree mitered corner.

2.2 INSTALLATION MATERIALS

- A. Adhesives: Water-resistant type recommended by manufacturer to suit resilient products and substrate conditions indicated.
 - 1. Use adhesives that comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
 - a. Cove Base Adhesives: Not more than 50 g/L.
 - b. Rubber Floor Adhesives: Not more than 60 g/L.

2.3 RESILIENT MOLDING ACCESSORY

- A. Resilient Molding Accessory: Transition Strips
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Johnsonite.
 - b. Approved equal.
- B. Description: Reducer strip for resilient floor covering.
- C. Material: Vinyl.
- D. Profile and Dimensions: As indicated.
- E. Colors and Patterns: As selected by Architect from full range of industry colors.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Prepare substrates according to manufacturer's written instructions to ensure adhesion of resilient products.
 - 1. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
- B. Fill cracks, holes, and depressions in substrates with patching compound and remove bumps and ridges to produce a uniform and smooth substrate.
- C. Do not install resilient products until they are same temperature as the space where they are to be installed.

1. Move resilient products and installation materials into spaces where they will be installed at least 48 hours in advance of installation.
- D. Sweep and vacuum clean substrates to be covered by resilient products immediately before installation.

3.3 RESILIENT BASE INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient base.
- B. Apply resilient base to walls, columns, pilasters, casework and cabinets in toe spaces, and other permanent fixtures in rooms and areas where base is required.
- C. Install resilient base in lengths as long as practicable without gaps at seams and with tops of adjacent pieces aligned.
- D. Tightly adhere resilient base to substrate throughout length of each piece, with base in continuous contact with horizontal and vertical substrates.
- E. Do not stretch resilient base during installation.
- F. On masonry surfaces or other similar irregular substrates, fill voids along top edge of resilient base with manufacturer's recommended adhesive filler material.
- G. Job-Formed Corners:
 1. Outside Corners: Use straight pieces of maximum lengths possible. Form without producing discoloration (whitening) at bends.
 2. Inside Corners: Use straight pieces of maximum lengths possible.

3.4 RESILIENT ACCESSORY INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient accessories.
- B. Resilient Stair Accessories:
 1. Tightly adhere to substrates throughout length of each piece.
 2. For treads installed as separate, equal-length units, install to produce a flush joint between units.
- C. Resilient Molding Accessories: Butt to adjacent materials and tightly adhere to substrates throughout length of each piece. Install reducer strips at edges of resilient floor covering that would otherwise be exposed.

3.5 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protection of resilient products.
- B. Perform the following operations immediately after completing resilient product installation:
 1. Remove adhesive and other blemishes from exposed surfaces.
 2. Damp-mop surfaces to remove marks and soil.
- C. Protect resilient products from marks, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.

END OF SECTION 096513

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SECTION 096519 - RESILIENT FLOOR TILE

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes the following:
 - 1. Vinyl composition tile (VCT).

1.2 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of product indicated.
- C. Samples for Verification: Full-size units of each color and pattern of resilient floor tile required.
 - 1. Resilient: Manufacturer's standard-size Samples, but not less than 12 inches long, of each resilient product color and pattern required.
- D. Maintenance Data: For resilient products to include in maintenance manuals.

1.3 QUALITY ASSURANCE

- A. Fire-Test-Response Characteristics: Provide products identical to those tested for fire-exposure behavior per test method indicated by a testing and inspecting agency acceptable to authorities having jurisdiction.

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F. Store tiles on flat surfaces.

1.5 PROJECT CONDITIONS

- A. Maintain temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive floor tile during the following time periods:
 - 1. 48 hours before installation.
 - 2. During installation.
 - 3. 48 hours after installation.
- B. After postinstallation period, maintain temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Close spaces to traffic during floor covering installation.
- D. Close spaces to traffic for 48 hours after floor covering installation.
- E. Install resilient products after other finishing operations, including painting, have been completed.

1.6 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Floor Tile: Furnish 1 box for every 50 boxes or fraction thereof, of each type, color, and pattern of floor tile installed.

PART 2 - PRODUCTS

2.1 VINYL COMPOSITION TILE (VCT-1)

1. Basis-of-Design Product: Subject to compliance with requirements, provide the products listed on I drawing "Finishes Schedule".
2. Tile Standard: ASTM F1066 - Class 2 Through Pattern ISO 10595 Type II
3. Colors and Patterns: As indicated on Architect's drawing.

2.2 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland cement based or blended hydraulic cement based formulation provided or approved by resilient product manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by manufacturer to suit resilient products and substrate conditions indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for installation tolerances, moisture content, and other conditions affecting performance.
 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.
 2. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Prepare substrates according to manufacturer's written recommendations to ensure adhesion of resilient products.
- B. Concrete Substrates: Prepare according to ASTM F 710.
 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
 2. Alkalinity and Adhesion Testing: Perform tests recommended by manufacturer. Proceed with installation only after substrates pass testing.
 3. Moisture Testing:
 - a. Perform tests recommended by manufacturer. Proceed with installation only after substrates pass testing.
- C. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
- D. Use trowelable leveling and patching compound to fill cracks, holes, and depressions in substrates.
- E. Move resilient products and installation materials into spaces where they will be installed at least 48 hours in advance of installation.
 1. Do not install resilient products until they are same temperature as space where they are to be installed.
- F. Sweep and vacuum clean substrates to be covered by resilient products immediately before installation. After cleaning, examine substrates for moisture, alkaline salts, carbonation, and dust. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 TILE INSTALLATION

- A. Lay out tiles from center marks established with principal walls, discounting minor offsets, so tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half tile at perimeter.
 - 1. Lay tiles in pattern indicated.
- B. Match tiles for color and pattern by selecting tiles from cartons in the same sequence as manufactured and packaged, if so numbered. Discard broken, cracked, chipped, or deformed tiles.
 - 1. Lay tiles in pattern of colors and sizes indicated.
- C. Scribe, cut, and fit tiles to butt neatly and tightly to vertical surfaces and permanent fixtures including built-in furniture, cabinets, pipes, outlets, edgings, door frames, thresholds, and nosings.
- D. Extend tiles into toe spaces, door reveals, closets, and similar openings.
- E. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on floor tiles as marked on substrates. Use chalk or other nonpermanent, nonstaining marking device.
- F. Install tiles on covers for telephone and electrical ducts and similar items in finished floor areas. Maintain overall continuity of color and pattern with pieces of tile installed on covers. Tightly adhere tile edges to substrates that abut covers and to cover perimeters.
- G. Adhere tiles to flooring substrates using a full spread of adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

3.4 CLEANING AND PROTECTION

- A. Perform the following operations immediately after completing resilient product installation:
 - 1. Remove adhesive and other blemishes from exposed surfaces.
 - 2. Sweep and vacuum surfaces thoroughly.
 - 3. Damp-mop surfaces to remove marks and soil.
 - a. Do not wash surfaces until after time period recommended by manufacturer.
- B. Protect resilient products from marks, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period. Use protection methods recommended in writing by manufacturer.
 - 1. Apply protective floor polish to horizontal surfaces that are free from soil, visible adhesive, and surface blemishes if recommended in writing by manufacturer.
 - a. Use commercially available product acceptable to manufacturer.
 - b. Coordinate selection of floor polish with Owner's maintenance service.
 - 2. Cover products installed on horizontal surfaces with undyed, untreated building paper until Substantial Completion.
 - 3. Do not move heavy and sharp objects directly over surfaces. Place hardboard or plywood panels over flooring and under objects while they are being moved. Slide or roll objects over panels without moving panels.

END OF SECTION 096519

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SECTION 099123 - INTERIOR PAINTING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes surface preparation and the application of paint systems on interior substrates.

1.2 DEFINITIONS

- A. Gloss Level 1: Not more than 5 units at 60 degrees and 10 units at 85 degrees, according to ASTM D 523, a matte flat finish.
- B. Gloss Level 2: Not more than 10 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523, a high-side sheen flat, velvet-like finish.
- C. Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523, an eggshell finish.
- D. Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to ASTM D 523, a satin-like finish.
- E. Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523, a semi-gloss finish.
- F. Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523, a gloss finish.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include preparation requirements and application instructions.

1.4 CLOSEOUT SUBMITTALS

- A. Coating Maintenance Manual: Provide coating maintenance manual including area summary with finish schedule, area detail designating location where each product/color/finish was used, product data pages, material safety data sheets, care and cleaning instructions, touch-up procedures, and color samples of each color and finish used.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
1. Paint: 5 percent, but not less than 1 gal. of each material and color applied.

1.6 QUALITY ASSURANCE

- A. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify preliminary selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
1. Architect will select one surface to represent surfaces and conditions for application of each paint system specified in Part 3.
- a. Vertical and Horizontal Surfaces: Provide samples of at least 100 sq. ft.
- b. Other Items: Architect will designate items or areas required.
2. Final approval of color selections will be based on mockups.

- a. If preliminary color selections are not approved, apply additional mockups of additional colors selected by Architect at no added cost to Owner.
3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Delivery and Handling: Deliver products to Project site in an undamaged condition in manufacturer's original sealed containers, complete with labels and instructions for handling, storing, unpacking, protecting, and installing. Packaging shall bear the manufacturer's label with the following information:
 1. Product name and type (description).
 2. Batch date.
 3. Color number.
 4. VOC content.
 5. Environmental handling requirements.
 6. Surface preparation requirements.
 7. Application instructions.
- B. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
 1. Maintain containers in clean condition, free of foreign materials and residue.
 2. Remove rags and waste from storage areas daily.

1.8 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Sherwin-Williams Company products.
- B. Colors: as indicated on the I drawing finish schedule.

2.2 PAINT, GENERAL

- A. MPI Standards: Provide products that comply with MPI standards indicated and that are listed in its "MPI Approved Products List."
- B. Material Compatibility:
 1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
 2. For each coat in a paint system, provide products recommended in writing by manufacturers of topcoat for use in paint system and on substrate indicated.

- C. VOC Content: Products shall comply with VOC limits of authorities having jurisdiction[and, for interior paints and coatings applied at Project site, the following VOC limits, exclusive of colorants added to a tint base, when calculated according to 40 CFR 59, Subpart D (EPA Method 24)].
1. Flat Paints and Coatings: 50 g/L.
 2. Nonflat Paints and Coatings: 150 g/L.
 3. Dry-Fog Coatings: 400 g/L.
 4. Primers, Sealers, and Undercoaters: 200 g/L.
 5. Anticorrosive and Antirust Paints Applied to Ferrous Metals: 250 g/L.
 6. Zinc-Rich Industrial Maintenance Primers: 340 g/L.
 7. Pretreatment Wash Primers: 420 g/L.
 8. Floor Coatings: 100 g/L.
 9. Shellacs, Clear: 730 g/L.
 10. Shellacs, Pigmented: 550 g/L.

2.3 SOURCE QUALITY CONTROL

- A. Testing of Paint Materials: Owner reserves the right to invoke the following procedure:
1. Owner will engage the services of a qualified testing agency to sample paint materials. Contractor will be notified in advance and may be present when samples are taken. If paint materials have already been delivered to Project site, samples may be taken at Project site. Samples will be identified, sealed, and certified by testing agency.
 2. Testing agency will perform tests for compliance with product requirements.
 3. Owner may direct Contractor to stop applying coatings if test results show materials being used do not comply with product requirements. Contractor shall remove noncomplying paint materials from Project site, pay for testing, and repaint surfaces painted with rejected materials. Contractor will be required to remove rejected materials from previously painted surfaces if, on repainting with complying materials, the two paints are incompatible.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers. Where acceptability of substrate conditions is in question, apply samples and perform in-situ testing to verify compatibility, adhesion, and film integrity of new paint application.
1. Report, in writing, conditions that may affect application, appearance, or performance of paint.
- B. Substrate Conditions:
1. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
 - a. Concrete: 12 percent.
 - b. Gypsum Board: 12 percent.
 2. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- C. Proceed with coating application only after unsatisfactory conditions have been corrected; application of coating indicates acceptance of surfaces and conditions.
1. Application of coating indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Manual" applicable to substrates indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
 - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
 - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Concrete Substrates: Remove release agents, curing compounds, efflorescence, and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces to be painted exceeds that permitted in manufacturer's written instructions.
- E. Wood Substrates:
 - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
 - 2. Sand surfaces that will be exposed to view, and dust off.
 - 3. Prime edges, ends, faces, undersides, and backsides of wood.
 - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.

3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and to recommendations in "MPI Manual."
 - 1. Use applicators and techniques suited for paint and substrate indicated.
 - 2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.
 - 3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
 - 4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
 - 5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Tint undercoats to match color of topcoat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- E. Painting Fire Suppression, Plumbing, HVAC, Electrical, Communication, and Electronic Safety and Security Work:
 - 1. Paint the following work where exposed in occupied spaces:

- a. Pipe hangers and supports.
 - b. Metal conduit.
 - c. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
 - d. Other items as directed by Architect.
2. Paint portions of internal surfaces of metal ducts, without liner, behind air inlets and outlets that are visible from occupied spaces.

3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

3.5 PAINTING STEEL LINTEL UNCOVERED DURING THE WORK

- A. Inspect steel exposed during window removal. Where Architect determines that it is sound, prepare and paint it as follows:
 1. Remove paint, rust, and other contaminants according to SSPC-SP 2, "Hand Tool Cleaning", SSPC-SP 3, "Power Tool Cleaning" or SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning", as applicable to meet paint manufacturer's recommended preparation.
 2. Immediately paint exposed steel with two coats of antirust coating, following coating manufacturer's written instructions and without exceeding manufacturer's recommended rate of application (dry film thickness per coat).
- B. If on inspection and rust removal, the cross section of a steel member is found to be reduced from rust by more than 1/16 inch, notify Architect before proceeding.
- C. Paint as specified below with products compatible with anti-rust coating.

3.6 INTERIOR PAINTING SCHEDULE

- A. Metal Substrates (Aluminum, Steel, Galvanized Steel):
 1. Latex System:
 - a. Prime Coat: Primer, rust-inhibitive, water based, MPI #107: S-W Pro Industrial Pro-Cryl Universal Primer, B66-310 Series, at 5.0 to 10 mils wet, 2.0 to 4.0 mils dry.
 - b. Intermediate Coat: Water-based acrylic, interior, matching topcoat.
 - c. Topcoat: Water-based acrylic, semi-gloss, (Gloss Level 5), MPI #147 X-Green: S-W Pro Industrial Acrylic Semi-Gloss Coating, B66-650 Series, at 2.5 to 4.0 mils dry, per coat.
- B. Wood Substrates: Including exposed wood items not indicated to receive shop-applied finish.
 1. Latex System:

- a. Prime Coat: Primer sealer, latex, interior, MPI #39: S-W PrepRite ProBlock Primer Sealer, B51-620 Series, at 4.0 mils wet, 1.4 mils dry.
 - b. Intermediate Coat: Latex, interior, matching topcoat.
 - c. Topcoat: Latex, interior, semi-gloss, (Gloss Level 4), MPI #43 X-Green: S-W ProMar 200 Zero VOC Latex Semi-Gloss, B31-2600 Series, at 4.0 mils wet, 1.6 mils dry, per coat.
- C. Gypsum Board Substrates:
- 1. Latex System:
 - a. Prime Coat: Primer, latex, interior, MPI #149 X-Green: S-W ProMar 200 Zero VOC Latex Primer, B28W2600, at 4.0 mils wet, 1.5 mils dry.
 - b. Intermediate Coat: Latex, interior, matching topcoat.
 - c. Topcoat: Latex, interior, eggshell, (Gloss Level 3), MPI #52 X-Green/#145 X-Green: S-W ProMar 200 Zero VOC Latex Eg-Shel, B20-2600 Series, at 4.0 mils wet, 1.7 mils dry, per coat.

END OF SECTION 099123

SECTION 099600 - HIGH-PERFORMANCE COATINGS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes surface preparation and application of high-performance coating systems
- B. Related Requirements:
 - 1. Section 099123 "Interior Painting" for special-use coatings and general field painting.

1.2 DEFINITIONS

- A. Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523, an eggshell finish.
- B. Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523, a semi-gloss finish.
- C. Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523, a gloss finish.
- D. Gloss Level 7: More than 85 units at 60 degrees, according to ASTM D 523, a high-gloss finish.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include preparation requirements and application instructions.
- B. Samples for Initial Selection: For each type of topcoat product indicated.
- C. Product List: For each product indicated, include the following:
 - 1. Cross-reference to paint system and locations of application areas. Use same designations indicated on Drawings and in schedules.
 - 2. Printout of current "MPI Approved Products List" for each coating system specified in Part 3, with the proposed product highlighted.
 - 3. VOC content.

1.4 CLOSEOUT SUBMITTALS

- A. Coating Maintenance Manual: Provide coating maintenance manual including area summary with finish schedule, area detail designating location where each product/color/finish was used, product data pages, material safety data sheets, care and cleaning instructions, touch-up procedures, and color samples of each color and finish used.

1.5 QUALITY ASSURANCE

- A. Mockups: Apply mockups of each coating system indicated to verify preliminary selections made under sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
 - 1. Architect will select one surface to represent surfaces and conditions for application of each coating system specified in Part 3.
 - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
 - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Delivery and Handling: Deliver products to Project site in an undamaged condition in manufacturer's original sealed containers, complete with labels and instructions for handling, storing, unpacking, protecting, and installing. Packaging shall bear the manufacture's label with the following information:
1. Product name and type (description).
 2. Batch date.
 3. Color number.
 4. VOC content.
 5. Environmental handling requirements.
 6. Surface preparation requirements.
 7. Application instructions.
- B. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
1. Maintain containers in clean condition, free of foreign materials and residue.
 2. Remove rags and waste from storage areas daily.

1.7 FIELD CONDITIONS

- A. Apply coatings only when temperature of surfaces to be coated and surrounding air temperatures are between 50 and 95 deg F.
- B. Do not apply coatings when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.
- C. Do not apply exterior coatings in snow, rain, fog, or mist.
- D. Lead Paint: Lead paint may be present in buildings and structures to be painted. A report on the presence of lead paint is appended to Section 003126 Existing Hazardous Materials. Examine report to become aware of locations where lead paint is present.
1. Use Lead Safe Work Practices in accordance with US Dept. of Housing and Urban Development. All employees working with Lead based paint Materials shall have HUD approved training.
 2. Do not disturb lead paint or items suspected of containing hazardous materials except under procedures specified.
 3. Perform preparation for painting of substrates known to include lead paint in accordance with EPA Renovation, Repair and Painting Rule and additional requirements of authorities having jurisdiction.

PART 2 - PRODUCTS**2.1 MANUFACTURERS**

- A. Basis-of-Design Product: Subject to compliance with requirements, provide The Sherwin-Williams Company; products indicated or comparable product from one of the following:
1. Benjamin Moore & Co.
 2. PPG Architectural Finishes, Inc.
 3. Tnemec Inc.
- B. Source Limitations: Obtain paint materials from single source from single listed manufacturer.
1. Manufacturer's designations listed on a separate color schedule are for color reference only and do not indicate prior approval.

2.2 HIGH-PERFORMANCE COATINGS, GENERAL

- A. MPI Standards: Provide products that comply with MPI standards indicated and are listed in "MPI Approved Products List."
- B. Material Compatibility:
 - 1. Provide materials for use within each coating system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
 - 2. For each coat in a coating system, provide products recommended in writing by manufacturers of topcoat for use in coating system and on substrate indicated.
 - 3. Provide products of same manufacturer for each coat in a coating system.
- C. VOC Content: Products shall comply with VOC limits of authorities having jurisdiction.
 - 1. Flat Paints and Coatings: 50 g/L.
 - 2. Non-flat Paints and Coatings: 150 g/L.
 - 3. Primers, Sealers, and Under-coaters: 200 g/L.
 - 4. Anti-Corrosive and Anti-Rust Paints Applied to Ferrous Metals: 250 g/L.
 - 5. Zinc-Rich Industrial Maintenance Primers: 340 g/L.
 - 6. Pre-Treatment Wash Primers: 420 g/L.
 - 7. Floor Coatings: 100 g/L.
 - 8. Shellacs, Clear: 730 g/L.
 - 9. Shellacs, Pigmented: 550 g/L.
- D. Colors: **(EPT)** As indicated on Finish Schedule in Interiors Drawings.

2.3 SOURCE QUALITY CONTROL

- A. Testing of Coating Materials: Owner reserves the right to invoke the following procedure:
 - 1. Owner may engage the services of a qualified testing agency to sample coating materials. Contractor will be notified in advance and may be present when samples are taken. If coating materials have already been delivered to Project site, samples may be taken at Project site. Samples will be identified, sealed, and certified by testing agency.
 - 2. Testing agency will perform tests for compliance with product requirements.
 - 3. Owner may direct Contractor to stop applying paints if test results show materials being used do not comply with product requirements. Contractor shall remove noncomplying coating materials from Project site, pay for testing, and recoat surfaces coated with rejected materials. Contractor will be required to remove rejected materials from previously coated surfaces if, on recoating with complying materials, the two coatings are incompatible.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers. Where acceptability of substrate conditions is in question, apply samples and perform in-situ testing to verify compatibility, adhesion, and film integrity of new paint application.
 - 1. Report in writing conditions that may affect application, appearance, or performance of paint.
- B. Substrate Conditions:

1. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
 - a. Concrete Masonry: 12 percent.
 - b. Gypsum Board: 12 percent.
 2. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
 3. Plaster Substrates: Verify that plaster is fully cured.
- C. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers.
- D. Proceed with coating application only after unsatisfactory conditions have been corrected; application of coating indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection.
- C. Clean substrates of substances that could impair bond of coatings, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
 1. Remove incompatible primers and re-prime substrate with compatible primers or apply tie coat as required to produce coating systems indicated.
- D. Masonry Substrates: Remove efflorescence and chalk. Do not coat surfaces if moisture content or alkalinity of surfaces or if alkalinity of mortar joints exceed that permitted in manufacturer's written instructions.
- E. Steel Substrates: Remove rust, loose mill scale, and shop primer if any. Clean using methods recommended in writing by paint manufacturer. but not less than the following:
 1. SSPC-SP 7/NACE No. 4, "Brush-Off Blast Cleaning."
 2. SSPC-SP 11, "Power Tool Cleaning to Bare Metal."
 3. SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
 4. SSPC-SP 10/NACE No. 2, "Near-White Blast Cleaning."
 5. SSPC-SP 5/NACE No. 1, "White Metal Blast Cleaning."
- F. Shop-Primed Steel Substrates: Clean field welds, bolted connections, and abraded areas of shop paint, and paint exposed areas with the same material as used for shop priming to comply with SSPC-PA 1 for touching up shop-primed surfaces.

3.3 APPLICATION

- A. Apply high-performance coatings according to manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual."
 1. Use applicators and techniques suited for coating and substrate indicated.
 2. Coat surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, coat surfaces behind permanently fixed equipment or furniture with prime coat only.
 3. Coat back sides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
 4. Do not apply coatings over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.

- B. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of the same material are to be applied. Tint undercoats to match color of finish coat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through final coat, apply additional coats until cured film has a uniform coating finish, color, and appearance.
- D. Apply coatings to produce surface films without cloudiness, spotting, holidays, laps, brush marks, runs, sags, ropiness, or other surface imperfections. Produce sharp glass lines and color breaks.

3.4 FIELD QUALITY CONTROL

- A. Dry Film Thickness Testing: Owner will engage the services of a qualified testing and inspecting agency to inspect and test coatings for dry film thickness.
 - 1. Contractor shall touch up and restore coated surfaces damaged by testing.
 - 2. If test results show that dry film thickness of applied coating does not comply with coating manufacturer's written recommendations, Contractor shall pay for testing and apply additional coats as needed to provide dry film thickness that complies with coating manufacturer's written recommendations.

3.5 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing coating application, clean spattered surfaces. Remove spattered coatings by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from coating operation. Correct damage by cleaning, repairing, replacing, and recoating, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced coated surfaces.

3.6 INTERIOR HIGH-PERFORMANCE COATING SCHEDULE

- A. CMU Substrates:
 - 1. Pre-Catalyzed Water-based Epoxy System:
 - a. Block Filler: Block filler, latex, interior/exterior, MPI #4: S-W PrepRite Interior/Exterior Block Filler, B25 Series, at 8 mils dry, per coat.
 - b. Topcoat: Epoxy-modified latex, interior, eggshell, (Gloss Level 3), MPI #139/MPI #151: S-W Pro Industrial Pre-Catalyzed Water-based Epoxy Eggshell, K45 Series, at 1.5 mils dry, per coat.
- B. Steel Substrates:
 - 1. Pre-Catalyzed Water-based Epoxy System:
 - a. Prime Coat: Primer, rust-inhibitive, water based, MPI #107: S-W Pro-Cryl Universal Primer, B66-310 Series, at 2.0 to 4.0 mils dry, per coat.
 - b. Topcoat: Epoxy-modified latex, interior, semi-gloss, (Gloss Level 5), MPI #141/MPI #153: S-W Pro Industrial Pre-Catalyzed Water-based Epoxy Semi-Gloss, K46 Series, at 1.5 mils dry, per coat.
 - c. Topcoat: Polyurethane, two-component, pigmented, gloss: S-W -
- C. Gypsum Board and Plaster Substrates:
 - 1. Pre-Catalyzed Water-based Epoxy System:

- a. Prime Coat: Primer sealer, latex, interior, MPI #50: S-W ProMar 200 Zero VOC Interior Latex Primer, B28 Series, at 1.0 mils dry, per coat.
- b. Topcoat: Epoxy-modified latex, interior, eggshell, (Gloss Level 3), MPI #139/MPI #151: S-W Pro Industrial Pre-Catalyzed Water-based Epoxy Eggshell, K45 Series, at 1.5 mils dry, per coat.

END OF SECTION 099600

SECTION 230001 - GENERAL PROVISIONS FOR MECHANICAL WORK

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Requirements of this Section apply to work in every Section of Division 23 equally as if incorporated therein.

1.2 WORK INCLUDED

- A. Work included in Division 23 - Mechanical: Materials, equipment, fabrication, installation and tests in conformity with applicable codes and authorities having jurisdiction for Mechanical Work covered by all sections within this Division.
 - 1. A general description of the HVAC Work includes the following, but is not limited to:
 - a. HVAC system modifications and upgrades for the addition and renovated areas of the Arts & Sciences Building.

1.3 SCOPE

- A. Division of the Specification into sections is for the purpose of simplification alone. Responsibility for the work of various trades shall rest with the Contractor. Various sections of this Division are related to each other as well as the mechanical drawings. Examine all drawings and read all applicable parts of the project manual in order to insure complete execution of all work in this Division, coordinating where required with other trades in order to avoid conflicts.
- B. These specifications and accompanying drawings are intended to cover the furnishing of all labor, materials, equipment and services necessary for the complete installation and acceptable performance of the mechanical systems. Small items of material, equipment and appurtenances not mentioned in detail or shown on the drawings, but necessary for complete and operating systems shall be provided by this contractor without additional charge to the Owner and shall be included under this contract.
- C. In general, specifications establish the quality of material, equipment and workmanship. The contract documents are intended to secure for the Owner, a first-class installation in every respect. Labor shall be performed by skilled mechanics, and the entire facility, when delivered to the Owner, shall be ready for satisfactory and efficient operation.
- D. The Contractor shall carefully examine the drawings and specifications before accepting the contract. He shall call attention to any changes or additions which, in his opinion, are necessary to make possible the fulfillment of any guarantee called for by these specifications; failing which, it shall be deemed that he has accepted full responsibility for all such guarantees.
- E. The Contractor shall put his work in place as fast as is reasonably possible. He shall, at all times, keep a competent foreman in charge of the work, to make decisions necessary for the diligent advancement of the work. The Contractor shall facilitate the inspection of the work by the Owner's Representative.
- F. The Contractor shall coordinate all work in the building in order to facilitate intelligent execution of the work. He shall also remove any rubbish as expeditiously as possible.
- G. Materials or products specified herein and/or indicated on the drawings by trade's names, manufacturer's names, model number or catalog numbers establish the quality of materials or

products to be furnished. Model numbers are to be confirmed by the manufacturer to provide required capacities and material to meet the specifications and design intent. In no instance shall an obsolete, incomplete or inaccurate trade name, manufacturer name, model number or catalog number indicated on the drawings, result in additional charges to the owner.

- H. Points of connection or continuation of work under this contract are so marked on drawings or herein specified. In case of any doubt as to the required exact location of such points, the Owner's Representative shall decide and direct.
- I. The plumbing contractor shall provide water services to within two (2) feet of HVAC equipment requiring same and shall terminate service with a shutoff valve. The mechanical contractor shall make the final connection to the equipment.

1.4 REFERENCE STANDARDS, CODES AND REGULATIONS

A. Requirements of Regulatory Agencies:

1. Nothing contained in these specifications or shown on the drawings shall be construed to conflict with any State or local laws, ordinances, rules, guidelines and regulations, the UL and NFPA regulations. The Contractor shall make all changes required by the enforcing authorities. Where alterations to and / or deviations from the Contract Documents are required by the authorities having jurisdiction, report the requirements to the Engineer and secure acceptance before work is started. All such changes shall be made in a manner acceptable to the Engineer and shall be made without cost to the Owner.
2. When drawings or specifications exceed requirements of applicable laws, ordinances, rules, guidelines and regulations, comply with documents establishing the more stringent requirement. All work shall be done in full conformity with the requirements of all authorities having jurisdiction. Installation shall be made in compliance with all applicable regulations, and utility company rules, all of which shall be considered a part of this specification and shall take precedence in the order of listing.
3. It is not the intent of drawings or specifications to repeat requirements of codes except where necessary for completeness in individual sections.

B. Published specifications, standards, tests or recommended method of trade, industry or governmental organizations as listed below apply to all work in this Division, in addition to other standards which may be specified in individual sections:

1. AABC Associated Air Balance Council
2. ADC Air Diffuser Balance Council
3. AMCA Air Moving and Conditioning Association
4. AGA American Gas Association
5. ANSI American National Standards Institute
6. ARI Air Conditioning and Refrigeration Institute
7. ASHRAE American Society of Heating, Refrigeration and Air Conditioning Engineers
8. ASME American Society of Mechanical Engineers
9. ASTM American Society for Testing and Materials
10. CISPI Cast Iron Soil Pipe Institute
11. ETL ETL Testing Laboratories
12. FMS Factory Mutual Engineering and Research Corporation
13. NAPHCC National Standard Plumbing Code
14. NEMA National Electrical Manufacturer's Association
15. NFPA National Fire Protection Association
16. NBFU National Board of Fire Underwriters
17. NEC National Electric Code
18. OSHA Occupational Safety and Health Administration
19. PDI Plumbing Drainage Institute

- 20. SMACNA Sheet Metal & Air Conditioning Contractors National Association
- 21. UL Underwriters Laboratories, Inc.

- C. Furnish and file with the proper authorities, all drawings required by them in connection with the work. Contractor shall secure and obtain all approvals, permits, licenses and inspections and pay all legal and proper fees and charges in this connection, before commencing work in order to avoid delays during construction. He shall deliver the official records of the granting of the permits, etc., to the Owner's Representative.

1.5 QUALITY ASSURANCE

- A. All equipment and accessories to be the product of a manufacturer regularly engaged in its manufacture.
- B. Supply all equipment and accessories new and free from defects.
- C. Supply all equipment and accessories in compliance with the applicable standards listed in Article 1.4 of this section with all applicable national, state and local codes.
- D. All items of a given type shall be the product of same manufacturer.

1.6 DESCRIPTION OF BID DOCUMENTS

- A. Specifications:
 - 1. Specifications, in general, describe quality and character of materials and equipment.
 - 2. Specifications are of simplified form and include incomplete sentences.
 - 3. Words or phrases such as "The Contractor shall", "shall be", "furnish", "provide", "a", "an", "the", and "all" may have been omitted for brevity.
- B. Drawings: Mechanical drawings under this contract are made a part of these specifications. Deviations from these specifications as noted below must have the approval of the Engineer or Construction Manager without an increase in contract price.
 - 1. The drawings shall be considered as being diagrammatic and for bidding purposes only. Intention is to show size, capacity, approximate location, direction and general relationship of one work phase to another, but not exact detail or arrangement. The attention of the contractor is called to the fact that while these drawings are generally to scale and are made as accurately as the scale will permit, all critical dimensions shall be determined in the field. They are not to be considered as erection drawings.
 - 2. The drawings do not indicate every fitting, elbow, offset, valve, etc. which is required to complete the job. Contractor shall prepare field erection drawings as required for the use of his mechanics to insure proper installation.
 - 3. Scaled and figured dimensions are approximate and are for estimating purposes only. Indicated dimensions are limiting dimensions.
 - 4. Before proceeding with work check and verify all dimensions in field.
 - 5. Assume all responsibility for fitting of materials and equipment to other parts of equipment and structure.
 - 6. Make adjustments that may be necessary or requested in order to resolve space problems, preserve headroom, and avoid architectural openings, structural members and work of other trades.
 - 7. For exact locations of building elements, refer to dimensional Architectural/Structural drawings.
- C. Description of systems: Provide all materials to provide functioning systems in compliance with performance requirements specified, and any modifications resulting from reviewed shop drawings and field coordinated drawings.

1. Installation of all systems and equipment is subject to clarification as indicated in reviewed shop drawings and field coordination drawings.
- D. Do not use equipment exceeding dimensions indicated or equipment or arrangements that reduce required clearances or exceed specified maximum dimensions.
- E. If any part of Specifications or Drawings appears unclear or contradictory, apply to Architect for his interpretation and decision as early as possible, including during bidding period.
 1. Do not proceed with work without Engineer's decision.

1.7 EQUIPMENT MANUFACTURERS

- A. The first named manufacturer (or as listed on the equipment schedule) is used as the basis of design. Other named manufacturers are identified as equivalent manufacturers, not equivalent products. Naming other manufacturers does not necessarily imply conformance of any specific product with the written specifications.
- B. The contractor is required to verify that equipment and material to be used on the project meets the requirements of the specifications and will physically fit the available space, clearance and service requirements of the particular piece of equipment and include all pertinent information when he submits material for acceptance. Contractor shall also be responsible for and bear the cost of any modifications to openings available or anticipated as being available for rigging equipment to its final installation place. This shall include openings in exterior envelope, walls and roofs, interior walls, corridors, passage ways or door openings. Any on site dismantling and any reassembly of equipment made necessary by impediment to the rigging of said equipment shall be the sole responsibility of the contractor.
- C. Contract document indicates power and physical requirements based on the equipment manufacturer's data as first named. If equipment requiring more system capacity or a dissimilar power source is furnished, the contractor shall be responsible for the cost associated with modifying the design and installation of associated services, including any redesign costs associated with the engineer's review.
- D. Contractor is responsible to notify the engineer during the submittal process of any deviations in product performance or physical characteristics of furnished equipment that is not basis of design. Failure to do so, and any resulting approval by the engineer does not indemnify the contractor from the terms listed above in paragraphs B and C.

1.8 DEFINITIONS

- A. "Provide": To supply, furnish, install and connect up complete and ready safe and regular operation of particular work referred to unless specifically noted.
- B. "Install": To erect, mount and connect complete with related accessories.
- C. "Supply", "Furnish": To purchase, procure, acquire and deliver complete with related accessories.
- D. "Work": Labor, materials, equipment, apparatus, controls, accessories, and other items required for proper and complete installation.
- E. "Piping": Pipe, tube, fittings, flanges, valves, controls, strainers, hangers, supports, unions, traps, drains, insulation, and related items.
- F. "Wiring": Raceway, fittings, wire, boxes and related items.
- G. "Concealed": Items referred to as hidden from normal sight, embedded in masonry or other construction, installed in furred spaces, within double partitions or hung ceilings, in trenches, in crawl spaces, or in enclosures.

- H. "Exposed": Not installed underground or "concealed" as defined above.
- I. "Indicated", "Shown", or "Noted": As indicated, shown or noted on drawings or specifications.
- J. "Directed": Directed by Engineer.
- K. "Similar" or "Equal": Of base bid manufacture, equal in materials, weight, size, design, and efficiency of specified product.
- L. "Reviewed", "Satisfactory", or "Directed": As reviewed, satisfactory, or directed by or to Engineer.
- M. "Motor Controllers": Manual or magnetic starters (with or without switches), individual pushbuttons or hand-off-automatic (HOA) switches controlling the operation of motors.
- N. "Control or Actuating Devices": Automatic sensing and switching devices such as thermostats, pressure, float, electro-pneumatic switches and electrodes controlling operation of equipment.
- O. "Remove": Dismantle, demolish and take away from the site and dispose of in accordance with all applicable rules and regulations or, should the Owner so require, deliver to a location as designated by the Owner for the use of the Owner, at no additional cost to the Owner.
- P. "Replace": Remove existing and provide an equivalent product or material as specified.
- Q. "Extract (and Reinstall) ": Carefully disassemble, dismantle existing, save or store where directed by the Owner, in such a manner as to preserve the existing condition and reinstall as indicated on the drawings or as described in the specifications.
- R. Where any device or piece of equipment is referred to in the singular number, such reference shall be deemed to apply to as many devices as are required to complete the installation.

1.9 JOB CONDITIONS

- A. This contractor shall investigate all conditions affecting his work and shall provide such offsets, fittings, valves, sheet metal work, etc., as may be required to meet conditions at the building.
- B. The contractor shall verify all measurements at the building site and shall be responsible for the correctness of same before ordering materials or before starting work of any Section.
 - 1. Report to Architect, in writing, conditions which will prevent proper provision of this work.
 - 2. Beginning work of any Section without reporting unsuitable conditions to Architect constitutes acceptance of conditions by Contractor.
 - 3. Perform any required removal, repair or replacement of this work caused by unsuitable conditions at no additional cost to Owner.
- C. Piping and ductwork shall be concealed or run behind furring in finished spaces unless otherwise noted to be run exposed.
- D. Horizontal piping and ductwork not run below slabs on grade shall be run as close as possible to underside of roof or floor slab above and parallel to building lines. Maintain maximum headroom in all areas.
- E. Determine possible interference between trades before the work is fabricated or installed. The contractor must coordinate his work to insure that erection will proceed without such interference. Coordination is of paramount importance and no request for additional payment will be considered where such request is based upon interference between trades.
- F. Connections to Existing Work:
 - 1. Install new work and connect to existing work with minimum of interference to existing facilities.

2. Temporary shutdowns of existing services:
 - a. At no additional charges
 - b. At times not to interfere with normal operation of existing facilities.
 - c. Only with written consent of Owner.
 3. Maintain continuous operation of existing facilities as required with necessary temporary connections between new and existing work.
 4. Restore existing disturbed work to original condition.
- G. Removal, extraction and relocation of existing work.
1. The work includes demolition or removal of all construction indicated or specified. All materials resulting from demolition work, except as indicated or specified otherwise, shall become the property of the Contractor and shall be removed from the site. Rubbish and debris shall be removed from the site daily unless otherwise directed so as to not allow accumulation inside or outside the building. Materials that cannot be removed daily shall be stored in areas specified by the Owner.
 2. Title to all materials and equipment to be demolished, excepting Owner salvage and historical items, is vested in the Contractor upon receipt of notice to proceed. The Owner will not be responsible for the condition, loss or damage to such property after notice to proceed.
 3. The Owner reserves the "Right of First Refusal" on all material for salvage. Material for salvage shall be stored as approved by the Owner. Salvage materials shall be removed from the site before completion of the Contract. Material for salvage shall not be sold on the site.
 4. Property of the Owner: Salvaged items remaining the property of the Owner shall be removed in a manner to prevent damage and packed or crated to protect the items from damage while in storage or during shipment and relocated by the contractor at no cost, to the Owners designated storage facility on the site. Containers shall be properly identified as to contents.
 5. Damaged Items: Items damaged during removal or storage shall be repaired or replaced to match existing.
 6. Disconnect, remove or relocate material, equipment, plumbing fixtures, piping and other work noted and required by removal or changes in existing conditions.
 7. Where existing pipes, conduits and/or ducts which are to remain prevent installation of new work as indicated, relocate, or arrange for relocation, of existing pipes, conduits, and/or ducts.
 8. Provide new material and equipment required for relocated equipment.
 9. Plug or cap active piping or ductwork behind or below finish.
 10. Do not leave long dead-end branches.
 - a. Cap or plug as close as possible to active line.
 11. Remove unused piping, ductwork and equipment.
 12. Dispose of unusable piping, ductwork and material.

1.10 CLEARANCE FROM ELECTRICAL EQUIPMENT

- A. Piping or ductwork:
1. Prohibited, except as noted, in:
 - a. Electric rooms and closets.
 - b. Telephone rooms and closets.
 - c. Elevator machine rooms.
 - d. Electric switchboard room.
 2. Prohibited, except as noted, over or within 5 ft. of:
 - a. Transformers.
 - b. Substations.

- c. Switchboards.
- d. Motor control centers.
- e. Standby power plant.
- f. Bus ducts.
- g. Electrical panels.
- 3. Drip pans under piping:
 - a. Only where unavoidable and approved.
 - b. 18 gauge galvanized steel.
 - 1) With bituminous paint coating.
 - c. Reinforced and supported.
 - d. Watertight.
 - e. With 1-1/4 inch drain outlet piped to floor drain or service sink.

1.11 TEMPORARY FACILITIES

- A. Temporary facilities are not included within this Section. Refer to Section 015000 for requirements related to this contract.

1.12 SPECIAL TOOLS

- A. Furnish to Owner at completion of work:
 - 1. One set of any special tools required to operate, adjust, dismantle or repair equipment furnished under any section of the Division.
 - 2. "Special tools": those not normally found in possession of mechanics or maintenance personnel.
 - 3. One pressure grease gun for each type of grease required.
 - a. With adapters to fit all lubricating fittings on equipment.
 - b. Include lubricant for lubricated plug valves.

1.13 PRODUCT DELIVERY, HANDING AND STORAGE

- A. Provide adequate and secure storage facilities for materials and equipment during the progress of the work.
- B. Contractor shall be responsible for the condition of all materials and equipment employed in the mechanical installation until final acceptance by the Owner. Protect same from any cause whatsoever.
- C. Where necessary, ship in crated sections of size to permit passing through available space.
- D. Ship equipment in original packages, to prevent damaging or entrance of foreign matter.
- E. Handle and ship in accordance with manufacturer's recommendations.
- F. Provide protective coverings during construction.
- G. Replace at no expense to Owner, equipment or material damaged during storage or handling, as directed by Engineer.
- H. Tag all items with weatherproof tag, identifying equipment by name and purchase order number.
- I. Include packing and shipping lists.
- J. Adhere to special requirements as specified in individual sections.

1.14 PROTECTION OF MATERIALS

- A. Protect from damage, water, dust, etc., material, equipment and apparatus provided under this Division, both in storage and installed, until Notice of Completion has been filed.

- B. Provide temporary storage facilities for materials and equipment.
- C. Material, equipment or apparatus damaged because of improper storage or protection will be rejected.
 - 1. Remove from site and provide new, duplicate, material, equipment or apparatus in replacement of that rejected.
- D. Cover motors and other moving machinery to protect from dirt and water during construction. Rotate moving equipment, shafts, bearings, motors etc. to prevent corrosion and to circulate lubricants.
- E. Protect premises and work of other Divisions from damage arising out of installation of work of this Division.
 - 1. Contractor shall be responsible for the replacement of all damaged or defective work, materials or equipment. Do not install sensitive or delicate equipment until major construction work is completed.
 - 2. Remove replaced parts from premises.
- F. Make good any damage to the work caused by floods, storms, accidents, acts of God, acts of negligence, strikes, violence or theft up to time of final acceptance by the Owner.
- G. Do not leave any mechanical work in a hazardous condition, even temporarily.

1.15 REVIEW OF CONSTRUCTION

- A. Work may be reviewed at any time by representative of the Engineer.
- B. Advise Architect and Engineer that work is ready for review at following times:
 - 1. Prior to backfilling buried work.
 - 2. Prior to concealment of work in walls and above ceilings.
 - 3. When all requirements of Contract have been completed.
- C. Neither backfill nor conceal work without Engineer's consent.
- D. Maintain on job a set of Specifications and Drawings for use by Engineer's representatives.

1.16 SCHEDULE OF WORK

- A. Arrange work to conform to schedule of construction established or required to comply with Contract Documents.
- B. In scheduling, anticipate means of installing equipment through available openings in structure.
- C. Confirm in writing to Architect and Engineer, within 30 days of signing of contract, anticipated number of days required to perform test, balance, and acceptance testing of mechanical systems.
 - 1. This phase must occur after completion of mechanical systems, including all control calibration and adjustment, and requires substantial completion of the building, including closure, ceilings, lighting, partitioning, etc.
 - 2. Submit for approval at this time, names and qualifications of test and balancing agencies to be used.
- D. Arrange with Owner schedule for work in each area.
- E. Unless otherwise directed by Owner, perform work during normal working hours.
- F. Work delays:
 - 1. In case noisy work interferes with Owner's operations, Owner may require work to be stopped and performed at some other time, or after normal working hours.

1.17 ACCESS TO MECHANICAL WORK

- A. Access doors in walls and ceilings.
- B. Access Units Fire-Resistance Ratings: Where fire-resistance rating is indicated for construction penetrated by access units, provide UL listed-and-labeled units, except for units which are smaller than minimum size requiring ratings as recognized by governing authority.
- C. Product Data, Access Units: Submit manufacturer's technical data and installation instructions for each type of access door assembly, including setting drawings, templates, instructions and directions for installation of anchorage devices.
- D. Furnish to the general contractor all access doors necessary for access through inaccessible wall or ceiling construction, for installation by the general contractor. Information on the size and location of the subject access doors is to be communicated in writing to the general contractors during the bidding period.

1.18 CONCRETE FOR MECHANICAL WORK

- A. Concrete for Mechanical Work
 - 1. Basins and curbs for mechanical equipment.
 - 2. Mechanical equipment foundations and housekeeping pads.
 - 3. Inertia bases for isolation of mechanical work.
 - 4. Rough grouting in and around mechanical work.
 - 5. Patching concrete cut to accommodate mechanical work.
- B. Quality control testing for concrete is required as work of this section.
- C. Concrete Work Codes and Standards:
 - 1. Comply with governing regulations and, where not otherwise indicated, comply with the following industry standards; whichever is the most stringent in its application to work in each instance.
 - ACI 301 "Specifications for Structural Concrete for Buildings"
 - ACI 311 "Recommended Practice for Concrete Inspection"
 - ACI 318 "Building Code Requirements for Reinforced Concrete"
 - ACI 347 "Recommended Practice for Concrete Form work"
 - ACI 304 "Recommended Practice for Measuring, Mixing, Transporting and Placing Concrete"
- D. Submittals: Shop Drawings, Mechanical Concrete Work: Submit shop drawings for structural type concrete work, showing dimensions of formed shapes of concrete; bending, placement, sizes and spacing of reinforcing steel; location of anchors, isolation units, hangers and similar devices to be integrated with concrete work; and piping penetrations, access openings, inlets and other accessories and work to be accommodated by concrete work.
- E. Laboratory Test Reports, Mechanical Concrete Work: Submit laboratory test reports for concrete work materials, and for tested samples of placed concrete (where required as work of this section).

1.19 NOISE REDUCTION

- A. Cooperate in reducing objectionable noise or vibration caused by mechanical systems.
 - 1. To extent of adjustments to specified and installed equipment and appurtenances.
- B. Correct noise problems caused by failure to install work in accordance with Contract Documents.
 - 1. Include labor and materials required as result of such failure.

1.20 CUTTING AND PATCHING

- A. Provide all carpentry, cutting and patching required for proper installation of material and equipment specified.
- B. Do not cut or drill structural members without consent of Architect.

1.21 COORDINATION DRAWINGS

- A. Layout Shop Drawings Required:
 - 1. Prepare layout shop drawings for all areas; minimum 3/8 inch scale.
 - 2. Individual coordinated trade layout drawings are to be prepared for all areas.
 - 3. General Contractor is to assure that each trade has coordinated work with other trades, prior to submittal where submittal is required.
 - a. Include stamp on each submittal indicating that layout shop drawing has been coordinated.
 - 4. No layout shop drawing will be reviewed without stamped and signed coordinated assurance by General Contractor.
 - 5. All changes shall be clearly marked on each submitted layout drawing.
 - 6. Drawings shall show work of all trades including but not limited to'
 - a. Ductwork.
 - b. Piping: All Trades.
 - c. Mechanical Equipment.
 - d. Electrical Equipment.
 - e. Main Electrical conduits and bus ducts.
 - f. Equipment supports and suspension devices.
 - g. Structural and architectural constraints.
 - h. Show location of:
 - 1) Valves
 - 2) Piping specialties
 - 3) Dampers
 - 4) Access Doors
 - 5) Control and electrical panels
 - 6) Disconnect switches
 - 7. Drawings shall indicate coordination with work in other Divisions that must be incorporated in mechanical spaces, including, but not limited to:
 - a. Elevator equipment.
 - b. Cable trays not furnished under Division 16.
 - c. Computer equipment.
 - 8. Submission of drawings:
 - a. Prepare reproducible drawings.
 - b. Submit to other trades for review of space allocated to all trades.
 - c. Revise drawings to compensate for requirements of existing conditions and conditions created by other trades.
 - d. Review revisions and other trades.
 - e. Submit one reproducible and one blueline print to Engineer for review.
 - 9. Final prepared drawings shall show that other trades affected have made reviews and signed, by each trade, at completions of coordination.
 - a. General Contractor
 - b. Include stamp on each submittal indicating that layout shop drawing has been coordinated.
 - 10. No layout shop drawing will be reviewed without stamped and signed coordination assurance by General Contractor.

- B. Shop Drawings:
1. Layout drawings of mechanical equipment rooms and penthouses showing all related equipment and equipment clearances required by other trades.
 2. Layout drawings of areas in which it may be necessary to deviate substantially from layout shown on the drawings. Minor transitions in ductwork, if required due to job conditions, need not be submitted as long as the duct area is maintained. Show major relocation of ductwork and major changes in size of ducts. Coordinate shop drawings with all trades prior to ductwork fabrication.
 3. Details of intermediate structural steel members required to span main structural steel for the support of ductwork.
 4. Method of attachment of duct hangers to building construction.
 5. Duct material, gage, type of joints and duct reinforcing for each size range, including sketches or SMACNA plate numbers for joints, method of fabrication and reinforcing.

1.22 GUARANTEE

- A. Furnish guarantee covering all work in accordance with general requirements of the contract for minimum period of one year. This personal guarantee shall exist for a period of one (1) year from the date of final acceptance of the work and shall apply to defects in materials and to defective workmanship of any kind.
- B. For factory-assembled equipment and devices on which the manufacturers furnish standard published guarantees as regular trade practice, obtain such guarantees and replace any such equipment that proves defective during the life of these guarantees.
- C. Guarantee all work for which materials are furnished, fabricated or field erected by the contractor, all factory-assembled equipment for which no specific manufacturer's guarantee is furnished, and all work in connection with installing manufacturer's guarantee is furnished, and all work in connection with installing manufacturer's guaranteed equipment.
- D. In the event of failure of any work, equipment or device during the life of the guarantee, repair or replace the equipment or defective work. Remove, replace or restore, at no cost to the Owner, any part of the structure or building which may be damaged either as the direct result of the defective work or in the course of the contractor's making replacement of the defective work or materials. Work shall be done at a time and in a manner as to cause no undue inconvenience to the Owner. Provide new materials, equipment, apparatus and labor to replace that determined by Engineer to be defective or faulty.
- E. This guarantee also applies to services including instructions, Adjusting, Testing, Noise, Balancing, etc.
- F. Additional equipment and material guarantees and warranties may be indicated in other sections. In all cases, the more stringent guarantee or warranty shall be provided.

PART 2 - PRODUCTS

2.1 MATERIALS AND EQUIPMENT QUALITY

- A. Material and equipment furnished under this Division of specification shall be new. Defective or inferior materials must be replaced by contractor at no cost to Owner regardless of the stage of construction. Inferior material shall be defined as material or equipment of a quality or performance less than that specified as determined by the Owner's Representative.
- B. Provide each item of equipment with manufacturer's identification tag which is readily accessible and clearly shows model and size.

2.2 ACCESS TO MECHANICAL WORK

- A. Access Doors:
 - 1. General: Where walls and ceilings must be penetrated for access to mechanical work, access doors shall be provided. Furnish adequate size for intended and necessary access. Furnish doors with UL Fire Rating to match wall or ceiling construction. Furnish manufacturer's complete units, of type recommended for application in indicated substrate construction, in each case, complete with anchorages and hardware.
- B. Access Door Construction: Refer to Section 083113 – ACCESS DOORS AND FRAMES

PART 3 - EXECUTION**3.1 FIELD QUALITY CONTROL**

- A. Tests:
 - 1. Perform as specified in individual sections, and as required by authorities having jurisdiction.
 - 2. Duration as noted.
- B. Provide required labor, material, equipment, and connections.
- C. Furnish written report and certification those tests have been satisfactorily completed.
- D. Repair or replace defective work, as directed.
- E. Pay for restoring or replacing damaged work due to tests as directed.
- F. Pay for restoring or replacing damaged work of others, due to tests, as directed.

3.2 ACCESS TO MECHANICAL WORK

- A. Coordinate installation and placement of access doors and panels with contractor for general construction.
- B. Remove or replace panels or frames that are warped, bowed, or otherwise damaged.

END OF SECTION 230001

SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Sleeves.
 2. Sleeve-seal systems.
 3. Sleeve-seal fittings.
 4. Grout.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

PART 2 - PRODUCTS

2.1 SLEEVES

- A. Cast-Iron Wall Pipes: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Galvanized-Steel Wall Pipes: ASTM A 53/A 53M, Schedule 40, with plain ends and welded steel collar; zinc coated.
- C. Galvanized-Steel-Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, with plain ends.
- D. Galvanized-Steel-Sheet Sleeves: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.
- E. Molded-PE or -PP Sleeves: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.

2.2 SLEEVE-SEAL SYSTEMS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Advance Products & Systems, Inc.
 2. CALPICO, Inc.
 3. Metraflex Company (The).
 4. Pipeline Seal and Insulator, Inc.
 5. Proco Products, Inc.
- B. Description: Modular sealing-element unit, designed for field assembly, for filling annular space between piping and sleeve.
1. Sealing Elements: EPDM-rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
 2. Pressure Plates: Carbon steel.
 3. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating, of length required to secure pressure plates to sealing elements.

2.3 SLEEVE-SEAL FITTINGS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. Presealed Systems.
- B. Description: Manufactured plastic, sleeve-type, waterstop assembly made for imbedding in concrete slab or wall. Unit has plastic or rubber waterstop collar with center opening to match piping OD.

2.4 GROUT

- A. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- B. Characteristics: Nonshrink; recommended for interior and exterior applications.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

PART 3 - EXECUTION**3.1 SLEEVE INSTALLATION**

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
 - 1. Permanent sleeves are not required for holes in slabs formed by molded-PE or -PP sleeves.
 - 2. Cut sleeves to length for mounting flush with both surfaces.
 - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
 - 3. Using grout, seal the space outside of sleeves in slabs and walls without sleeve-seal system.
- D. Install sleeves for pipes passing through interior partitions.
 - 1. Cut sleeves to length for mounting flush with both surfaces.
 - 2. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
 - 3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint. Comply with requirements for sealants specified in Section 079200 "Joint Sealants."
- E. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials.

3.2 STACK-SLEEVE-FITTING INSTALLATION

- A. Install stack-sleeve fittings in new slabs as slabs are constructed.
 - 1. Install fittings that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.

2. Secure flashing between clamping flanges for pipes penetrating floors with membrane waterproofing. Comply with requirements for flashing specified in Section 076200 "Sheet Metal Flashing and Trim."
 3. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level.
 4. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
 5. Using grout, seal the space around outside of stack-sleeve fittings.
- B. Fire-Barrier Penetrations: Maintain indicated fire rating of floors at pipe penetrations. Seal pipe penetrations with firestop materials.

3.3 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at service piping entries into building.
- B. Select type, size, and number of sealing elements required for piping material and size and for sleeve ID or hole size. Position piping in center of sleeve. Center piping in penetration, assemble sleeve-seal system components, and install in annular space between piping and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make a watertight seal.

3.4 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

3.5 SLEEVE AND SLEEVE-SEAL SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
 1. Exterior Concrete Walls above Grade:
 - a. Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves.
 - b. Piping NPS 6 and Larger: Galvanized-steel-pipe sleeves.
 2. Exterior Concrete Walls below Grade:
 - a. Piping Smaller Than NPS 6: Cast-iron wall sleeves with sleeve-seal system.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
 - b. Piping NPS 6 and Larger: Cast-iron wall sleeves with sleeve-seal system.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
 3. Concrete Slabs-on-Grade:
 - a. Piping Smaller Than NPS 6: Cast-iron wall sleeves with sleeve-seal system.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
 - b. Piping NPS 6 and Larger: Cast-iron wall sleeves with sleeve-seal system.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
 4. Concrete Slabs above Grade:
 - a. Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves.
 - b. Piping NPS 6 and Larger: Galvanized-steel-pipe sleeves.

- 5. Interior Partitions:
 - a. Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves.
 - b. Piping NPS 6 and Larger: Galvanized-steel-sheet.

END OF SECTION 230517

SECTION 230518 - ESCUTCHEONS FOR HVAC PIPING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Escutcheons.
 - 2. Floor plates.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

PART 2 - PRODUCTS

2.1 ESCUTCHEONS

- A. One-Piece, Cast-Brass Type: With polished, chrome-plated finish and setscrew fastener.
- B. One-Piece, Stamped-Steel Type: With chrome-plated finish and spring-clip fasteners.

2.2 FLOOR PLATES

- A. One-Piece Floor Plates: Cast-iron flange with holes for fasteners.
- B. Split-Casting Floor Plates: Cast brass with concealed hinge.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install escutcheons for piping penetrations of walls, ceilings, finished floors and millwork.
- B. Install escutcheons with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.
- C. Install floor plates for piping penetrations of equipment-room floors.
- D. Install floor plates with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.

3.2 FIELD QUALITY CONTROL

- A. Replace broken and damaged escutcheons and floor plates using new materials.

END OF SECTION 230518

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SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Metal pipe hangers and supports.
 2. Trapeze pipe hangers.
 3. Fiberglass pipe hangers.
 4. Thermal-hanger shield inserts.
 5. Fastener systems.
 6. Pipe stands.

1.2 DEFINITIONS

- A. MSS: Manufacturers Standardization Society of The Valve and Fittings Industry Inc.

1.3 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Hangers and supports for HVAC piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, system contents, and test water.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details and include calculations for the following; include Product Data for components:
1. Trapeze pipe hangers.
 2. Metal framing systems.
 3. Pipe stands.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Structural Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.

PART 2 - PRODUCTS

2.1 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
 2. Galvanized Metallic Coatings: Pregalvanized or hot dipped.

3. Nonmetallic Coatings: Plastic coating, jacket, or liner.
4. Padded Hangers: Hanger with fiberglass or other pipe insulation pad or cushion to support bearing surface of piping.

B. Copper Pipe Hangers:

1. Description: MSS SP-58, Types 1 through 58, copper-coated-steel, factory-fabricated components.
2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-coated steel.

2.2 TRAPEZE PIPE HANGERS

- A.** Description: MSS SP-69, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

2.3 THERMAL-HANGER SHIELD INSERTS

- A.** Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Carpenter & Paterson, Inc.
2. Clement Support Services.
3. ERICO International Corporation.
4. National Pipe Hanger Corporation.
5. PHS Industries, Inc.
6. Pipe Shields, Inc.; a subsidiary of Piping Technology & Products, Inc.
7. Piping Technology & Products, Inc.
8. Rilco Manufacturing Co., Inc.
9. Value Engineered Products, Inc.

B. Insulation-Insert Material for Cold Piping:

1. ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength and vapor barrier.
2. ASTM C 591, Type VI, Grade 1 polyisocyanurate with 125-psig minimum compressive strength and vapor barrier.

C. Insulation-Insert Material for Hot Piping:

1. Water-repellent treated, ASTM C 533, Type I calcium silicate with 100-psig minimum compressive strength.
2. ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength.
3. ASTM C 591, Type VI, Grade 1 polyisocyanurate with 125-psig minimum compressive strength.

- D.** For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.

- E.** For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.

- F.** Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

2.4 FASTENER SYSTEMS

- A.** Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

- B. Mechanical-Expansion Anchors: Insert-wedge-type, stainless-steel anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

2.5 PIPE STANDS

- A. General Requirements for Pipe Stands: Shop- or field-fabricated assemblies made of manufactured corrosion-resistant components to support roof-mounted piping.
- B. Compact Pipe Stand: One-piece plastic unit with integral-rod roller, pipe clamps, or V-shaped cradle to support pipe, for roof installation without membrane penetration.
- C. Low-Type, Single-Pipe Stand: One-piece plastic base unit with plastic roller, for roof installation without membrane penetration.
- D. High-Type, Single-Pipe Stand:
 - 1. Description: Assembly of base, vertical and horizontal members, and pipe support, for roof installation without membrane penetration.
 - 2. Base: Plastic.
 - 3. Vertical Members: Two or more cadmium-plated-steel or stainless-steel, continuous-thread rods.
 - 4. Horizontal Member: Cadmium-plated-steel or stainless-steel rod with plastic or stainless-steel, roller-type pipe support.
- E. High-Type, Multiple-Pipe Stand:
 - 1. Description: Assembly of bases, vertical and horizontal members, and pipe supports, for roof installation without membrane penetration.
 - 2. Bases: One or more; plastic.
 - 3. Vertical Members: Two or more protective-coated-steel channels.
 - 4. Horizontal Member: Protective-coated-steel channel.
 - 5. Pipe Supports: Galvanized-steel, clevis-type pipe hangers.
- F. Curb-Mounted-Type Pipe Stands: Shop- or field-fabricated pipe supports made from structural-steel shapes, continuous-thread rods, and rollers, for mounting on permanent stationary roof curb.

2.6 MISCELLANEOUS MATERIALS

- A. Structural Steel: ASTM A 36/A 36M, carbon-steel plates, shapes, and bars; black and galvanized.
- B. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
 - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
 - 2. Design Mix: 5000-psi, 28-day compressive strength.

PART 3 - EXECUTION

3.1 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.

- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
 2. Field fabricate from ASTM A 36/A 36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- D. Fastener System Installation:
1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
 2. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- E. Pipe Stand Installation:
1. Pipe Stand Types except Curb-Mounted Type: Assemble components and mount on smooth roof surface. Do not penetrate roof membrane.
 2. Curb-Mounted-Type Pipe Stands: Assemble components or fabricate pipe stand and mount on permanent, stationary roof curb. See Section 077200 "Roof Accessories" for curbs.
- F. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- G. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- H. Install hangers and supports to allow controlled thermal of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- I. Install lateral bracing with pipe hangers and supports to prevent swaying.
- J. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- K. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- L. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- M. Insulated Piping:
1. Attach clamps and spacers to piping.
 - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
 - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
 - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.

2. Install MSS SP-58, Type 39, protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
4. Shield Dimensions for Pipe: Not less than the following:
 - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
 - b. NPS 4: 12 inches long and 0.06 inch thick.
 - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
 - d. NPS 8 to NPS 14: 24 inches long and 0.075 inch thick.
5. Pipes NPS 8 and Larger: Include wood or reinforced calcium-silicate-insulation inserts of length at least as long as protective shield.
6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

3.2 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers.
- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 2. Obtain fusion without undercut or overlap.
 3. Remove welding flux immediately.
 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.3 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

3.4 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

3.5 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.

- B. Comply with MSS SP-69 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finish.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- E. Use carbon-steel pipe hangers and supports, metal trapeze pipe hangers, and metal framing systems and attachments for general service applications.
- F. Use copper-plated pipe hangers and copper attachments for copper piping and tubing.
- G. Use padded hangers for piping that is subject to scratching.
- H. Use thermal-hanger shield inserts for insulated piping and tubing.
- I. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
 - 2. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes NPS 3/4 to NPS 36, requiring clamp flexibility and up to 4 inches of insulation.
 - 3. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes NPS 1/2 to NPS 24 if little or no insulation is required.
 - 4. Pipe Hangers (MSS Type 5): For suspension of pipes NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
 - 5. Adjustable, Swivel Split- or Solid-Ring Hangers (MSS Type 6): For suspension of noninsulated, stationary pipes NPS 3/4 to NPS 8.
 - 6. Adjustable, Steel Band Hangers (MSS Type 7): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 7. Adjustable Band Hangers (MSS Type 9): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 8. Split Pipe Ring with or without Turnbuckle Hangers (MSS Type 11): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 8.
 - 9. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 3.
 - 10. U-Bolts (MSS Type 24): For support of heavy pipes NPS 1/2 to NPS 30.
 - 11. Clips (MSS Type 26): For support of insulated pipes not subject to expansion or contraction.
 - 12. Pipe Saddle Supports (MSS Type 36): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate.
 - 13. Pipe Stanchion Saddles (MSS Type 37): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate, and with U-bolt to retain pipe.
 - 14. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes NPS 2-1/2 to NPS 36 if vertical adjustment is required, with steel-pipe base stanchion support and cast-iron floor flange.
 - 15. Single-Pipe Rolls (MSS Type 41): For suspension of pipes NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction might occur.
 - 16. Adjustable Roller Hangers (MSS Type 43): For suspension of pipes NPS 2-1/2 to NPS 24, from single rod if horizontal movement caused by expansion and contraction might occur.

17. Complete Pipe Rolls (MSS Type 44): For support of pipes NPS 2 to NPS 42 if longitudinal movement caused by expansion and contraction might occur but vertical adjustment is not necessary.
 18. Pipe Roll and Plate Units (MSS Type 45): For support of pipes NPS 2 to NPS 24 if small horizontal movement caused by expansion and contraction might occur and vertical adjustment is not necessary.
 19. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes NPS 2 to NPS 30 if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.
- J. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- K. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel Turnbuckles (MSS Type 13): For adjustment up to 6 inches for heavy loads.
 2. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
 3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
 4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
 5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- L. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joist construction, to attach to top flange of structural shape.
 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
 4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
 5. Welded Beam Attachments (MSS Type 22): For attaching to bottom of beams if loads are considerable and rod sizes are large.
 6. C-Clamps (MSS Type 23): For structural shapes.
 7. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
 8. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
 9. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
 10. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
 11. Malleable-Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
 12. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
 - a. Light (MSS Type 31): 750 lb.
 - b. Medium (MSS Type 32): 1500 lb.
 - c. Heavy (MSS Type 33): 3000 lb.

13. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
 14. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.
 15. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- M. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
 3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- N. Spring Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Restraint-Control Devices (MSS Type 47): Where indicated to control piping movement.
 2. Spring Cushions (MSS Type 48): For light loads if vertical movement does not exceed 1-1/4 inches.
 3. Spring-Cushion Roll Hangers (MSS Type 49): For equipping Type 41, roll hanger with springs.
 4. Spring Sway Braces (MSS Type 50): To retard sway, shock, vibration, or thermal expansion in piping systems.
 5. Variable-Spring Hangers (MSS Type 51): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from hanger.
 6. Variable-Spring Base Supports (MSS Type 52): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from base support.
 7. Variable-Spring Trapeze Hangers (MSS Type 53): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from trapeze support.
 8. Constant Supports: For critical piping stress and if necessary to avoid transfer of stress from one support to another support, critical terminal, or connected equipment. Include auxiliary stops for erection, hydrostatic test, and load-adjustment capability. These supports include the following types:
 - a. Horizontal (MSS Type 54): Mounted horizontally.
 - b. Vertical (MSS Type 55): Mounted vertically.
 - c. Trapeze (MSS Type 56): Two vertical-type supports and one trapeze member.
- O. Comply with MSS SP-69 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- P. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.
- Q. Use powder-actuated fasteners or mechanical-expansion anchors instead of building attachments where required in concrete construction.

END OF SECTION 230529

SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Equipment labels.
 - 2. Pipe labels.
 - 3. Duct labels.
 - 4. Stencils.
 - 5. Valve tags.
 - 6. Warning tags.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- C. Valve numbering scheme.
- D. Valve Schedules: For each piping system to include in maintenance manuals.

1.3 COORDINATION

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

PART 2 - PRODUCTS

2.1 EQUIPMENT LABELS

- A. Metal Labels for Equipment:
 - 1. Material and Thickness: Aluminum, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
 - 2. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
 - 3. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
 - 4. Fasteners: Stainless-steel rivets or self-tapping screws.
 - 5. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Plastic Labels for Equipment:
 - 1. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
 - 2. Letter Color: White.
 - 3. Background Color: Black.
 - 4. Maximum Temperature: Able to withstand temperatures up to 160 deg F.

5. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
 6. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
 7. Fasteners: Stainless-steel rivets or self-tapping screws.
 8. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- C. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), date of Drawing plus the Specification Section number and title where equipment is specified. For variable frequency drives, include tag of equipment served and frequency at which system is balanced.
- D. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11-inch bond paper. Tabulate equipment identification number and identify Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

2.2 PIPE LABELS

- A. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- B. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to cover full circumference of pipe and to attach to pipe without fasteners or adhesive.
- C. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- D. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings, pipe size, and an arrow indicating flow direction.
 1. Flow-Direction Arrows: Integral with piping system service lettering to accommodate both directions, or as separate unit on each pipe label to indicate flow direction.
 2. Lettering Size: At least 1-1/2 inches high.

2.3 DUCT LABELS

- A. General Requirements for Manufactured Duct Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- B. Self-Adhesive Duct Labels: Printed plastic with contact-type, permanent-adhesive backing.
- C. Duct Label Contents: Include identification of duct service using same designations or abbreviations as used on Drawings, duct size, and an arrow indicating flow direction.
 1. Flow-Direction Arrows: Integral with duct system service lettering to accommodate both directions, or as separate unit on each duct label to indicate flow direction.
 2. Lettering Size: At least 1-1/2 inches high.

2.4 STENCILS

- A. Stencils: Prepared with letter sizes according to ASME A13.1 for piping; minimum letter height of 1-1/4 inches for ducts; and minimum letter height of 3/4 inch for access panel and door labels, equipment labels, and similar operational instructions.
 1. Stencil Material: Fiberboard or metal.
 2. Stencil Paint: Exterior, gloss, acrylic enamel black unless otherwise indicated. Paint may be in pressurized spray-can form.

3. Identification Paint: Exterior, acrylic enamel in colors according to ASME A13.1 unless otherwise indicated.

2.5 VALVE TAGS

- A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
 1. Tag Material: Brass, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
 2. Fasteners: Brass wire-link or beaded chain; or S-hook.
- B. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
 1. Valve-tag schedule shall be included in operation and maintenance data.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

3.2 EQUIPMENT LABEL INSTALLATION

- A. Install or permanently fasten labels on each major item of mechanical equipment.
- B. Locate equipment labels where accessible and visible.

3.3 PIPE LABEL INSTALLATION

- A. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels with painted, color-coded bands or rectangles, complying with ASME A13.1, on each piping system.
 1. Identification Paint: Use for contrasting background.
 2. Stencil Paint: Use for pipe marking.
- B. Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
 1. Near each valve and control device.
 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
 3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
 4. At access doors, manholes, and similar access points that permit view of concealed piping.
 5. Near major equipment items and other points of origination and termination.
 6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.
 7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.
- C. Pipe Label Color Schedule:
 1. Chilled-Water Piping:
 - a. Background Color: Blue.

- b. Letter Color: White.
- 2. Heating Water Piping:
 - a. Background Color: Yellow.
 - b. Letter Color: Black.
- 3. Refrigerant Piping:
 - a. Background Color: Black.
 - b. Letter Color: White.
- 4. Low-Pressure Steam Piping:
 - a. Background Color: Yellow.
 - b. Letter Color: Black.
- 5. Steam Condensate Piping:
 - a. Background Color: Yellow.
 - b. Letter Color: Black.

3.4 DUCT LABEL INSTALLATION

- A. Install self-adhesive duct labels with permanent adhesive on air ducts in the following color codes:
 - 1. Yellow: For supply ducts.
 - 2. Green: For exhaust-, outside-, relief-, return-, and mixed-air ducts.
 - 3. ASME A13.1 Colors and Designs: For hazardous material exhaust.
- B. Stenciled Duct Label Option: Stenciled labels, showing service and flow direction, may be provided instead of plastic-laminated duct labels, at Installer's option, if lettering larger than 1 inch high is needed for proper identification because of distance from normal location of required identification.
- C. Locate labels near points where ducts enter into concealed spaces and at maximum intervals of 50 feet in each space where ducts are exposed or concealed by removable ceiling system.

3.5 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in piping systems, except check valves; valves within factory-fabricated equipment units; shutoff valves; faucets; convenience and lawn-watering hose connections; and HVAC terminal devices and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in the following subparagraphs:
 - 1. Valve-Tag Size and Shape:
 - a. All services: 1-1/2 inches round.

END OF SECTION 230553

SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Balancing Air Systems:
 - a. Constant-volume air systems.
 - b. Variable-air-volume systems.
 - 2. Balancing Hydronic Piping Systems:
 - a. Constant-flow hydronic systems.
 - b. Variable-flow hydronic systems.

1.3 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. NEBB: National Environmental Balancing Bureau.
- C. TAB: Testing, adjusting, and balancing.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: Within 45 days of Contractor's Notice to Proceed, submit documentation that the TAB contractor and this Project's TAB team members meet the qualifications specified in "Quality Assurance" Article.
- B. Contract Documents Examination Report: Within 30 days of Contractor's Notice to Proceed, submit the Contract Documents review report as specified in Part 3.
- C. Certified TAB reports.
- D. Sample report forms.
- E. Instrument calibration reports, to include the following:
 - 1. Instrument type and make.
 - 2. Serial number.
 - 3. Application.
 - 4. Dates of use.
 - 5. Dates of calibration.

1.5 QUALITY ASSURANCE

- A. TAB Contractor Qualifications: Engage a TAB entity certified by AABC or NEBB.
 - 1. TAB Field Supervisor: Employee of the TAB contractor and certified by AABC or NEBB.
 - 2. TAB Technician: Employee of the TAB contractor and who is certified by AABC or NEBB as a TAB technician.
- B. Certify TAB field data reports and perform the following:

1. Review field data reports to validate accuracy of data and to prepare certified TAB reports.
 2. Certify that the TAB team complied with the approved TAB plan and the procedures specified and referenced in this Specification.
- C. TAB Report Forms: Use standard TAB contractor's forms approved by Engineer.
- D. Instrumentation Type, Quantity, Accuracy, and Calibration: As described in ASHRAE 111, Section 5, "Instrumentation."
- E. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
- F. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.7.2.3 - "System Balancing."

1.6 PROJECT CONDITIONS

- A. Partial Owner Occupancy: Owner may occupy completed areas of building before Substantial Completion. Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.

1.7 COORDINATION

- A. Perform TAB after leakage and pressure tests on air and water distribution systems have been satisfactorily completed.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems' designs that may preclude proper TAB of systems and equipment.
- B. Examine systems for installed balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems' output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine ceiling plenums and underfloor air plenums used for supply, return, or relief air to verify that they meet the leakage class of connected ducts as specified in Section 233113 "Metal Ducts" and are properly separated from adjacent areas. Verify that penetrations in plenum walls are sealed and fire-stopped if required.
- F. Examine equipment performance data including fan and pump curves.
1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.

2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems - Duct Design." Compare results with the design data and installed conditions.
- G. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- H. Examine test reports specified in individual system and equipment Sections.
- I. Examine HVAC equipment and filters and verify that bearings are greased, belts are aligned and tight, and equipment with functioning controls is ready for operation.
- J. Examine terminal units, such as variable-air-volume boxes, and verify that they are accessible and their controls are connected and functioning.
- K. Examine strainers. Verify that startup screens are replaced by permanent screens with indicated perforations.
- L. Examine three-way valves for proper installation for their intended function of diverting or mixing fluid flows.
- M. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- N. Examine system pumps to ensure absence of entrained air in the suction piping.
- O. Examine operating safety interlocks and controls on HVAC equipment.
- P. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

3.2 PREPARATION

- A. Complete system-readiness checks and prepare reports. Verify the following:
 1. Permanent electrical-power wiring is complete.
 2. Hydronic systems are filled, clean, and free of air.
 3. Automatic temperature-control systems are operational.
 4. Equipment and duct access doors are securely closed.
 5. Balance, smoke, and fire dampers are open.
 6. Isolating and balancing valves are open and control valves are operational.
 7. Ceilings are installed in critical areas where air-pattern adjustments are required and access to balancing devices is provided.
 8. Windows and doors can be closed so indicated conditions for system operations can be met.

3.3 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance", ASHRAE 111, or NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems" and in this Section.
 1. Comply with requirements in ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.

1. After testing and balancing, patch probe holes in ducts with same material and thickness as used to construct ducts.
 2. After testing and balancing, install test ports and duct access doors that comply with requirements in Section 233300 "Air Duct Accessories."
 3. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish according to Section 230713 "Duct Insulation," Section 230716 "HVAC Equipment Insulation," and Section 230719 "HVAC Piping Insulation."
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in inch-pound (IP) units.

3.4 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Crosscheck the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.
- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.
- I. Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.
- K. Check for proper sealing of air-handling-unit components.
- L. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

3.5 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
 1. Measure total airflow.
 - a. Where sufficient space in ducts is unavailable for Pitot-tube traverse measurements, measure airflow at terminal outlets and inlets and calculate the total airflow.
 2. Measure fan static pressures as follows to determine actual static pressure:
 - a. Measure outlet static pressure as far downstream from the fan as practical and upstream from restrictions in ducts such as elbows and transitions.
 - b. Measure static pressure directly at the fan outlet or through the flexible connection.

- c. Measure inlet static pressure of single-inlet fans in the inlet duct as near the fan as possible, upstream from the flexible connection, and downstream from duct restrictions.
 - d. Measure inlet static pressure of double-inlet fans through the wall of the plenum that houses the fan.
 3. Measure static pressure across each component that makes up an air-handling unit, rooftop unit, and other air-handling and -treating equipment.
 - a. Report the cleanliness status of filters and the time static pressures are measured.
 4. Measure static pressures entering and leaving other devices, such as sound traps, heat-recovery equipment, and air washers, under final balanced conditions.
 5. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
 6. Obtain approval from Engineer for adjustment of fan speed higher or lower than indicated speed. Comply with requirements in HVAC Sections for air-handling units for adjustment of fans, belts, and pulley sizes to achieve indicated air-handling-unit performance.
 7. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload will occur. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows within specified tolerances.
 1. Measure airflow of submain and branch ducts.
 - a. Where sufficient space in submain and branch ducts is unavailable for Pitot-tube traverse measurements, measure airflow at terminal outlets and inlets and calculate the total airflow for that zone.
 2. Measure static pressure at a point downstream from the balancing damper, and adjust volume dampers until the proper static pressure is achieved.
 3. Remeasure each submain and branch duct after all have been adjusted. Continue to adjust submain and branch ducts to indicated airflows within specified tolerances.
- C. Measure air outlets and inlets without making adjustments.
 1. Measure terminal outlets using a direct-reading hood or outlet manufacturer's written instructions and calculating factors.
- D. Adjust air outlets and inlets for each space to indicated airflows within specified tolerances of indicated values. Make adjustments using branch volume dampers rather than extractors and the dampers at air terminals.
 1. Adjust each outlet in same room or space to within specified tolerances of indicated quantities without generating noise levels above the limitations prescribed by the Contract Documents.
 2. Adjust patterns of adjustable outlets for proper distribution without drafts.

3.6 PROCEDURES FOR VARIABLE-AIR-VOLUME SYSTEMS

- A. Compensating for Diversity: When the total airflow of all terminal units is more than the indicated airflow of the fan, place a selected number of terminal units at a minimum set-point airflow with the remainder at maximum-airflow condition until the total airflow of the terminal units equals the indicated airflow of the fan. Select the reduced-airflow terminal units so they are distributed evenly among the branch ducts.

- B. Pressure-Independent, Variable-Air-Volume Systems: After the fan systems have been adjusted, adjust the variable-air-volume systems as follows:
 1. Set outdoor-air dampers at minimum, and set return- and exhaust-air dampers at a position that simulates full-cooling load.
 2. Select the terminal unit that is most critical to the supply-fan airflow and static pressure. Measure static pressure. Adjust system static pressure so the entering static pressure for the critical terminal unit is not less than the sum of the terminal-unit manufacturer's recommended minimum inlet static pressure plus the static pressure needed to overcome terminal-unit discharge system losses.
 3. Measure total system airflow. Adjust to within indicated airflow.
 4. Set terminal units at maximum airflow and adjust controller or regulator to deliver the designed maximum airflow. Use terminal-unit manufacturer's written instructions to make this adjustment. When total airflow is correct, balance the air outlets downstream from terminal units the same as described for constant-volume air systems.
 5. Set terminal units at minimum airflow and adjust controller or regulator to deliver the designed minimum airflow. Check air outlets for a proportional reduction in airflow the same as described for constant-volume air systems.
 - a. If air outlets are out of balance at minimum airflow, report the condition but leave outlets balanced for maximum airflow.
 6. Remeasure the return airflow to the fan while operating at maximum return airflow and minimum outdoor airflow.
 - a. Adjust the fan and balance the return-air ducts and inlets the same as described for constant-volume air systems.
 7. Measure static pressure at the most critical terminal unit and adjust the static-pressure controller at the main supply-air sensing station to ensure that adequate static pressure is maintained at the most critical unit.
 8. Record final fan-performance data.
- C. Pressure-Dependent, Variable-Air-Volume Systems without Diversity: After the fan systems have been adjusted, adjust the variable-air-volume systems as follows:
 1. Balance variable-air-volume systems the same as described for constant-volume air systems.
 2. Set terminal units and supply fan at full-airflow condition.
 3. Adjust inlet dampers of each terminal unit to indicated airflow and verify operation of the static-pressure controller. When total airflow is correct, balance the air outlets downstream from terminal units the same as described for constant-volume air systems.
 4. Readjust fan airflow for final maximum readings.
 5. Measure operating static pressure at the sensor that controls the supply fan if one is installed, and verify operation of the static-pressure controller.
 6. Set supply fan at minimum airflow if minimum airflow is indicated. Measure static pressure to verify that it is being maintained by the controller.
 7. Set terminal units at minimum airflow and adjust controller or regulator to deliver the designed minimum airflow. Check air outlets for a proportional reduction in airflow the same as described for constant-volume air systems.
 - a. If air outlets are out of balance at minimum airflow, report the condition but leave the outlets balanced for maximum airflow.
 8. Measure the return airflow to the fan while operating at maximum return airflow and minimum outdoor airflow.
 - a. Adjust the fan and balance the return-air ducts and inlets the same as described for constant-volume air systems.

- D. Pressure-Dependent, Variable-Air-Volume Systems with Diversity: After the fan systems have been adjusted, adjust the variable-air-volume systems as follows:
1. Set system at maximum indicated airflow by setting the required number of terminal units at minimum airflow. Select the reduced-airflow terminal units so they are distributed evenly among the branch ducts.
 2. Adjust supply fan to maximum indicated airflow with the variable-airflow controller set at maximum airflow.
 3. Set terminal units at full-airflow condition.
 4. Adjust terminal units starting at the supply-fan end of the system and continuing progressively to the end of the system. Adjust inlet dampers of each terminal unit to indicated airflow. When total airflow is correct, balance the air outlets downstream from terminal units the same as described for constant-volume air systems.
 5. Adjust terminal units for minimum airflow.
 6. Measure static pressure at the sensor.
 7. Measure the return airflow to the fan while operating at maximum return airflow and minimum outdoor airflow. Adjust the fan and balance the return-air ducts and inlets the same as described for constant-volume air systems.

3.7 GENERAL PROCEDURES FOR HYDRONIC SYSTEMS

- A. Prepare test reports with pertinent design data, and number in sequence starting at pump to end of system. Check the sum of branch-circuit flows against the approved pump flow rate. Correct variations that exceed plus or minus 5 percent.
- B. Prepare schematic diagrams of systems' "as-built" piping layouts.
- C. Prepare hydronic systems for testing and balancing according to the following, in addition to the general preparation procedures specified above:
1. Open all manual valves for maximum flow.
 2. Check liquid level in expansion tank.
 3. Check makeup water-station pressure gage for adequate pressure for highest vent.
 4. Check flow-control valves for specified sequence of operation, and set at indicated flow.
 5. Set differential-pressure control valves at the specified differential pressure. Do not set at fully closed position when pump is positive-displacement type unless several terminal valves are kept open.
 6. Set system controls so automatic valves are wide open to heat exchangers.
 7. Check pump-motor load. If motor is overloaded, throttle main flow-balancing device so motor nameplate rating is not exceeded.
 8. Check air vents for a forceful liquid flow exiting from vents when manually operated.

3.8 PROCEDURES FOR CONSTANT-FLOW HYDRONIC SYSTEMS

- A. Measure water flow at pumps. Use the following procedures except for positive-displacement pumps:
1. Verify impeller size by operating the pump with the discharge valve closed. Read pressure differential across the pump. Convert pressure to head and correct for differences in gage heights. Note the point on manufacturer's pump curve at zero flow and verify that the pump has the intended impeller size.
 - a. If impeller sizes must be adjusted to achieve pump performance, obtain approval from Engineer and comply with requirements in Section 232123 "Hydronic Pumps."

2. Check system resistance. With all valves open, read pressure differential across the pump and mark pump manufacturer's head-capacity curve. Adjust pump discharge valve until indicated water flow is achieved.
 - a. Monitor motor performance during procedures and do not operate motors in overload conditions.
 3. Verify pump-motor brake horsepower. Calculate the intended brake horsepower for the system based on pump manufacturer's performance data. Compare calculated brake horsepower with nameplate data on the pump motor. Report conditions where actual amperage exceeds motor nameplate amperage.
 4. Report flow rates that are not within plus or minus 10 percent of design.
- B. Measure flow at all automatic flow control valves to verify that valves are functioning as designed.
- C. Measure flow at all pressure-independent characterized control valves, with valves in fully open position, to verify that valves are functioning as designed.
- D. Set calibrated balancing valves, if installed, at calculated presettings.
- E. Measure flow at all stations and adjust, where necessary, to obtain first balance.
 1. System components that have Cv rating or an accurately cataloged flow-pressure-drop relationship may be used as a flow-indicating device.
- F. Measure flow at main balancing station and set main balancing device to achieve flow that is 5 percent greater than indicated flow.
- G. Adjust balancing stations to within specified tolerances of indicated flow rate as follows:
 1. Determine the balancing station with the highest percentage over indicated flow.
 2. Adjust each station in turn, beginning with the station with the highest percentage over indicated flow and proceeding to the station with the lowest percentage over indicated flow.
 3. Record settings and mark balancing devices.
- H. Measure pump flow rate and make final measurements of pump amperage, voltage, rpm, pump heads, and systems' pressures and temperatures including outdoor-air temperature.
- I. Measure the differential-pressure-control-valve settings existing at the conclusion of balancing.
- J. Check settings and operation of each safety valve. Record settings.

3.9 PROCEDURES FOR VARIABLE-FLOW HYDRONIC SYSTEMS

- A. Balance systems with automatic two- and three-way control valves by setting systems at maximum flow through heat-exchange terminals and proceed as specified above for hydronic systems.

3.10 PROCEDURES FOR MOTORS

- A. Motors, 1/2 HP and Larger: Test at final balanced conditions and record the following data:
1. Manufacturer's name, model number, and serial number.
 2. Motor horsepower rating.
 3. Motor rpm.
 4. Efficiency rating.
 5. Nameplate and measured voltage, each phase.
 6. Nameplate and measured amperage, each phase.
 7. Starter thermal-protection-element rating.

- B. Motors Driven by Variable-Frequency Controllers: Test for proper operation at speeds varying from minimum to maximum. Test the manual bypass of the controller to prove proper operation. Record observations including name of controller manufacturer, model number, serial number, and nameplate data.

3.11 PROCEDURES FOR HEAT-TRANSFER COILS

- A. Measure, adjust, and record the following data for each water coil:
1. Entering- and leaving-water temperature.
 2. Water flow rate.
 3. Water pressure drop.
 4. Dry-bulb temperature of entering and leaving air.
 5. Wet-bulb temperature of entering and leaving air for cooling coils.
 6. Airflow.
 7. Air pressure drop.
- B. Measure, adjust, and record the following data for each electric heating coil:
1. Nameplate data.
 2. Airflow.
 3. Entering- and leaving-air temperature at full load.
 4. Voltage and amperage input of each phase at full load and at each incremental stage.
 5. Calculated kilowatt at full load.
 6. Fuse or circuit-breaker rating for overload protection.
- C. Measure, adjust, and record the following data for each steam coil:
1. Dry-bulb temperature of entering and leaving air.
 2. Airflow.
 3. Air pressure drop.
 4. Inlet steam pressure.
- D. Measure, adjust, and record the following data for each refrigerant coil:
1. Dry-bulb temperature of entering and leaving air.
 2. Wet-bulb temperature of entering and leaving air.
 3. Airflow.
 4. Air pressure drop.
 5. Refrigerant suction pressure and temperature.

3.12 TOLERANCES

- A. Set HVAC system's air flow rates and water flow rates within the following tolerances:
1. Supply, Return, and Exhaust Fans and Equipment with Fans: Plus or minus 10 percent.
 2. Air Outlets and Inlets: Plus or minus 10 percent.
 3. Heating-Water Flow Rate: Plus or minus 10 percent.
 4. Cooling-Water Flow Rate: Plus or minus 10 percent.

3.13 REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for systems' balancing devices. Recommend changes and additions to systems' balancing devices to facilitate proper performance measuring and balancing. Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.

- B. Status Reports: Prepare biweekly progress reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

3.14 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
 - 1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
 - 2. Include a list of instruments used for procedures, along with proof of calibration.
- B. Final Report Contents: In addition to certified field-report data, include the following:
 - 1. Pump curves.
 - 2. Fan curves.
 - 3. Manufacturers' test data.
 - 4. Field test reports prepared by system and equipment installers.
 - 5. Other information relative to equipment performance; do not include Shop Drawings and product data.
- C. General Report Data: In addition to form titles and entries, include the following data:
 - 1. Title page.
 - 2. Name and address of the TAB contractor.
 - 3. Project name.
 - 4. Project location.
 - 5. Architect's name and address.
 - 6. Engineer's name and address.
 - 7. Contractor's name and address.
 - 8. Report date.
 - 9. Signature of TAB supervisor who certifies the report.
 - 10. Table of Contents with the total number of pages defined for each section of the report. Number each page in the report.
 - 11. Summary of contents including the following:
 - a. Indicated versus final performance.
 - b. Notable characteristics of systems.
 - c. Description of system operation sequence if it varies from the Contract Documents.
 - 12. Nomenclature sheets for each item of equipment.
 - 13. Data for terminal units, including manufacturer's name, type, size, and fittings.
 - 14. Notes to explain why certain final data in the body of reports vary from indicated values.
 - 15. Test conditions for fans and pump performance forms including the following:
 - a. Settings for outdoor-, return-, and exhaust-air dampers.
 - b. Conditions of filters.
 - c. Cooling coil, wet- and dry-bulb conditions.
 - d. Face and bypass damper settings at coils.
 - e. Fan drive settings including settings and percentage of maximum pitch diameter.
 - f. Inlet vane settings for variable-air-volume systems.
 - g. Settings for supply-air, static-pressure controller.
 - h. Other system operating conditions that affect performance.
- D. System Diagrams: Include schematic layouts of air and hydronic distribution systems. Present each system with single-line diagram and include the following:

1. Quantities of outdoor, supply, return, and exhaust airflows.
 2. Water and steam flow rates.
 3. Duct, outlet, and inlet sizes.
 4. Pipe and valve sizes and locations.
 5. Terminal units.
 6. Balancing stations.
 7. Position of balancing devices.
- E. Air-Handling-Unit Test Reports: For air-handling units with coils, include the following:
1. Unit Data:
 - a. Unit identification.
 - b. Location.
 - c. Make and type.
 - d. Model number and unit size.
 - e. Manufacturer's serial number.
 - f. Unit arrangement and class.
 - g. Discharge arrangement.
 - h. Sheave make, size in inches, and bore.
 - i. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 - j. Number, make, and size of belts.
 - k. Number, type, and size of filters.
 2. Motor Data:
 - a. Motor make, and frame type and size.
 - b. Horsepower and rpm.
 - c. Volts, phase, and hertz.
 - d. Full-load amperage and service factor.
 - e. Sheave make, size in inches, and bore.
 - f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 3. Test Data (Indicated and Actual Values):
 - a. Total air flow rate in cfm.
 - b. Total system static pressure in inches wg.
 - c. Fan rpm.
 - d. Discharge static pressure in inches wg.
 - e. Filter static-pressure differential in inches wg.
 - f. Preheat-coil static-pressure differential in inches wg.
 - g. Cooling-coil static-pressure differential in inches wg.
 - h. Heating-coil static-pressure differential in inches wg.
 - i. Outdoor airflow in cfm.
 - j. Return airflow in cfm.
 - k. Outdoor-air damper position.
 - l. Return-air damper position.
 - m. Vortex damper position.
- F. Apparatus-Coil Test Reports:
1. Coil Data:
 - a. System identification.
 - b. Location.
 - c. Coil type.
 - d. Number of rows.
 - e. Fin spacing in fins per inch o.c.
 - f. Make and model number.
 - g. Face area in sq. ft..

- h. Tube size in NPS.
 - i. Tube and fin materials.
 - j. Circuiting arrangement.
 2. Test Data (Indicated and Actual Values):
 - a. Air flow rate in cfm.
 - b. Average face velocity in fpm.
 - c. Air pressure drop in inches wg.
 - d. Outdoor-air, wet- and dry-bulb temperatures in deg F.
 - e. Return-air, wet- and dry-bulb temperatures in deg F.
 - f. Entering-air, wet- and dry-bulb temperatures in deg F.
 - g. Leaving-air, wet- and dry-bulb temperatures in deg F.
 - h. Water flow rate in gpm.
 - i. Water pressure differential in feet of head or psig.
 - j. Entering-water temperature in deg F.
 - k. Leaving-water temperature in deg F.
 - l. Refrigerant expansion valve and refrigerant types.
 - m. Refrigerant suction pressure in psig.
 - n. Refrigerant suction temperature in deg F.
 - o. Inlet steam pressure in psig.
- G. Fan Test Reports: For supply, return, and exhaust fans, include the following:
 1. Fan Data:
 - a. System identification.
 - b. Location.
 - c. Make and type.
 - d. Model number and size.
 - e. Manufacturer's serial number.
 - f. Arrangement and class.
 - g. Sheave make, size in inches, and bore.
 - h. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 2. Motor Data:
 - a. Motor make, and frame type and size.
 - b. Horsepower and rpm.
 - c. Volts, phase, and hertz.
 - d. Full-load amperage and service factor.
 - e. Sheave make, size in inches, and bore.
 - f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 - g. Number, make, and size of belts.
 3. Test Data (Indicated and Actual Values):
 - a. Total airflow rate in cfm.
 - b. Total system static pressure in inches wg.
 - c. Fan rpm.
 - d. Discharge static pressure in inches wg.
 - e. Suction static pressure in inches wg.
- H. Round, Flat-Oval, and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
 1. Report Data:
 - a. System and air-handling-unit number.
 - b. Location and zone.
 - c. Traverse air temperature in deg F.
 - d. Duct static pressure in inches wg.

- e. Duct size in inches.
 - f. Duct area in sq. ft..
 - g. Indicated air flow rate in cfm.
 - h. Indicated velocity in fpm.
 - i. Actual air flow rate in cfm.
 - j. Actual average velocity in fpm.
 - k. Barometric pressure in psig.
- I. Air-Terminal-Device Reports:
 - 1. Unit Data:
 - a. System and air-handling unit identification.
 - b. Location and zone.
 - c. Apparatus used for test.
 - d. Area served.
 - e. Make.
 - f. Number from system diagram.
 - g. Type and model number.
 - h. Size.
 - i. Effective area in sq. ft..
 - 2. Test Data (Indicated and Actual Values):
 - a. Air flow rate in cfm.
 - b. Air velocity in fpm.
 - c. Preliminary air flow rate as needed in cfm.
 - d. Preliminary velocity as needed in fpm.
 - e. Final air flow rate in cfm.
 - f. Final velocity in fpm.
 - g. Space temperature in deg F.
- J. System-Coil Reports: For reheat coils and water coils of terminal units, include the following:
 - 1. Unit Data:
 - a. System and air-handling-unit identification.
 - b. Location and zone.
 - c. Room or riser served.
 - d. Coil make and size.
 - e. Flowmeter type.
 - 2. Test Data (Indicated and Actual Values):
 - a. Air flow rate in cfm.
 - b. Entering-water temperature in deg F.
 - c. Leaving-water temperature in deg F.
 - d. Water pressure drop in feet of head or psig.
 - e. Entering-air temperature in deg F.
 - f. Leaving-air temperature in deg F.
- K. Pump Test Reports: Calculate impeller size by plotting the shutoff head on pump curves and include the following:
 - 1. Unit Data:
 - a. Unit identification.
 - b. Location.
 - c. Service.
 - d. Make and size.
 - e. Model number and serial number.
 - f. Water flow rate in gpm.

- g. Water pressure differential in feet of head or psig.
- h. Required net positive suction head in feet of head or psig.
- i. Pump rpm.
- j. Impeller diameter in inches.
- k. Motor make and frame size.
- l. Motor horsepower and rpm.
- m. Voltage at each connection.
- n. Amperage for each phase.
- o. Full-load amperage and service factor.
- p. Seal type.
- 2. Test Data (Indicated and Actual Values):
 - a. Static head in feet of head or psig.
 - b. Pump shutoff pressure in feet of head or psig.
 - c. Actual impeller size in inches.
 - d. Full-open flow rate in gpm.
 - e. Full-open pressure in feet of head or psig.
 - f. Final discharge pressure in feet of head or psig.
 - g. Final suction pressure in feet of head or psig.
 - h. Final total pressure in feet of head or psig.
 - i. Final water flow rate in gpm.
 - j. Voltage at each connection.
 - k. Amperage for each phase.
- L. Instrument Calibration Reports:
 - 1. Report Data:
 - a. Instrument type and make.
 - b. Serial number.
 - c. Application.
 - d. Dates of use.
 - e. Dates of calibration.
- 3.15 INSPECTIONS**
 - A. Initial Inspection:
 - 1. After testing and balancing are complete, operate each system and randomly check measurements to verify that the system is operating according to the final test and balance readings documented in the final report.
 - 2. Check the following for each system:
 - a. Measure airflow of at least 10 percent of air outlets.
 - b. Measure water flow of at least 5 percent of terminals.
 - c. Measure room temperature at each thermostat/temperature sensor. Compare the reading to the set point.
 - d. Verify that balancing devices are marked with final balance position.
 - e. Note deviations from the Contract Documents in the final report.
 - B. Final Inspection:
 - 1. After initial inspection is complete and documentation by random checks verifies that testing and balancing are complete and accurately documented in the final report, request that a final inspection be made by Engineer.
 - 2. Engineer shall randomly select measurements, documented in the final report, to be rechecked. Rechecking shall be limited to either 10 percent of the total measurements

- recorded or the extent of measurements that can be accomplished in a normal 8-hour business day.
3. If rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
 4. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the testing and balancing shall be considered incomplete and shall be rejected.
- C. TAB Work will be considered defective if it does not pass final inspections. If TAB Work fails, proceed as follows:
1. Recheck all measurements and make adjustments. Revise the final report and balancing device settings to include all changes; resubmit the final report and request a second final inspection.
 2. If the second final inspection also fails, Owner may contract the services of another TAB contractor to complete TAB Work according to the Contract Documents and deduct the cost of the services from the original TAB contractor's final payment.
- D. Prepare test and inspection reports.

3.16 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional TAB during near-peak summer and winter conditions.

END OF SECTION 230593

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SECTION 230713 - DUCT INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes insulating the following duct services:
 - 1. Indoor, concealed supply and outdoor air.
 - 2. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
- B. Related Sections:
 - 1. Section 230719 "HVAC Piping Insulation."
 - 2. Section 233113 "Metal Ducts" for duct liners.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory- and field-applied if any).
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
 - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
 - 2. Detail insulation application at elbows, fittings, dampers, specialties and flanges for each type of insulation.
 - 3. Detail application of field-applied jackets.
 - 4. Detail application at linkages of control devices.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Material Test Reports: From a qualified testing agency acceptable to authorities having jurisdiction indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.
- C. Field quality-control reports.

1.5 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84, by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
 - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
 - 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

1.7 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with duct Installer for duct insulation application. Before preparing ductwork Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

1.8 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.
- B. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

PART 2 - PRODUCTS

2.1 INSULATION MATERIALS

- A. Comply with requirements in "Duct Insulation Schedule, General," "Indoor Duct and Plenum Insulation Schedule," and "Aboveground, Outdoor Duct and Plenum Insulation Schedule" articles for where insulating materials shall be applied.
- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- D. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- E. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- F. Mineral-Fiber Blanket Insulation: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 553, Type II and ASTM C 1290, Type III with factory-applied FSK jacket. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
 - 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. CertainTeed Corp.; SoftTouch Duct Wrap.
 - b. Johns Manville; Microlite.
 - c. Knauf Insulation; Friendly Feel Duct Wrap.
 - d. Manson Insulation Inc.; Alley Wrap.
 - e. Owens Corning; SOFTR All-Service Duct Wrap.
- G. Mineral-Fiber Board Insulation: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 612, Type IA or Type IB. For duct and plenum applications, provide insulation with factory-applied FSK jacket. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

1. Products: Subject to compliance with requirements, provide one of the following:
 - a. CertainTeed Corp.; Commercial Board.
 - b. Fibrex Insulations Inc.; FBX.
 - c. Johns Manville; 800 Series Spin-Glas.
 - d. Knauf Insulation; Insulation Board.
 - e. Manson Insulation Inc.; AK Board.
 - f. Owens Corning; Fiberglas 700 Series.

2.2 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-127.Eagle Bridges - Marathon Industries; 225.
 - b. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-60/85-70.Mon-Eco Industries, Inc.; 22-25.
 2. For indoor applications, adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. ASJ Adhesive, and FSK Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.
 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-82.
 - b. Eagle Bridges - Marathon Industries; 225.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-50.Mon-Eco Industries, Inc.; 22-25.
 2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

2.3 MASTICS

- A. Materials shall be compatible with insulation materials, jackets, and substrates; comply with MIL-PRF-19565C, Type II.
 1. For indoor applications, use mastics that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- B. Vapor-Barrier Mastic: Water based; suitable for indoor use on below ambient services.
 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-80/30-90.
 - b. Vimasco Corporation; 749.
 2. Water-Vapor Permeance: ASTM E 96/E 96M, Procedure B, 0.013 perm at 43-mil dry film thickness.
 3. Service Temperature Range: Minus 20 to plus 180 deg F.

4. Solids Content: ASTM D 1644, 58 percent by volume and 70 percent by weight.
 5. Color: White.
- C. Vapor-Barrier Mastic: Solvent based; suitable for indoor use on below ambient services.
1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-30.
 - b. Eagle Bridges - Marathon Industries; 501.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-35.
 - d. Mon-Eco Industries, Inc.; 55-10.
 2. Water-Vapor Permeance: ASTM F 1249, 0.05 perm at 35-mil dry film thickness.
 3. Service Temperature Range: 0 to 180 deg F.
 4. Solids Content: ASTM D 1644, 44 percent by volume and 62 percent by weight.
 5. Color: White.
- D. Vapor-Barrier Mastic: Solvent based; suitable for outdoor use on below ambient services.
1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; Encacel.
 - b. Eagle Bridges - Marathon Industries; 570.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 60-95/60-96.
 2. Water-Vapor Permeance: ASTM F 1249, 0.05 perm at 30-mil dry film thickness.
 3. Service Temperature Range: Minus 50 to plus 220 deg F.
 4. Solids Content: ASTM D 1644, 33 percent by volume and 46 percent by weight.
 5. Color: White.

2.4 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A and shall be compatible with insulation materials, jackets, and substrates.
1. For indoor applications, use lagging adhesives that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
 2. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-50 AHV2.Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-36.
 - b. Vimasco Corporation; 713 and 714.
 3. Fire-resistant, water-based lagging adhesive and coating for use indoors to adhere fire-resistant lagging cloths over duct insulation.
 4. Service Temperature Range: 0 to plus 180 deg F.
 5. Color: White.

2.5 SEALANTS

- A. FSK and Metal Jacket Flashing Sealants:
1. Products: Subject to compliance with requirements, provide one of the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.Eagle Bridges - Marathon Industries; 405.
 - b. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 95-44.
 - c. Mon-Eco Industries, Inc.; 44-05.
 2. Materials shall be compatible with insulation materials, jackets, and substrates.

3. Fire- and water-resistant, flexible, elastomeric sealant.
4. Service Temperature Range: Minus 40 to plus 250 deg F.
5. Color: Aluminum.
6. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
7. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

2.6 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
 1. FSK Jacket: Aluminum-foil, fiberglass-reinforced scrim with kraft-paper backing; complying with ASTM C 1136, Type II.
 2. FSP Jacket: Aluminum-foil, fiberglass-reinforced scrim with polyethylene backing; complying with ASTM C 1136, Type II.

2.7 TAPES

- A. FSK Tape: Foil-face, vapor-retarder tape matching factory-applied jacket with acrylic adhesive; complying with ASTM C 1136.
 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. ABI, Ideal Tape Division; 491 AWF FSK.
 - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0827.
 - c. Compac Corporation; 110 and 111.
 - d. Venture Tape; 1525 CW NT, 1528 CW, and 1528 CW/SQ.
 2. Width: 3 inches.
 3. Thickness: 6.5 mils.
 4. Adhesion: 90 ounces force/inch in width.
 5. Elongation: 2 percent.
 6. Tensile Strength: 40 lbf/inch in width.
 7. FSK Tape Disks and Squares: Precut disks or squares of FSK tape.
- B. Aluminum-Foil Tape: Vapor-retarder tape with acrylic adhesive.
 1. Products: Subject to compliance with requirements, provide one of the following:
 - a. ABI, Ideal Tape Division; 488 AWF.
 - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0800.
 - c. Compac Corporation; 120.
 - d. Venture Tape; 3520 CW.
 2. Width: 2 inches.
 3. Thickness: 3.7 mils.
 4. Adhesion: 100 ounces force/inch in width.
 5. Elongation: 5 percent.
 6. Tensile Strength: 34 lbf/inch in width.

2.8 SECUREMENTS

- A. Bands:
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. ITW Insulation Systems; Gerrard Strapping and Seals.
 - b. RPR Products, Inc.; Insul-Mate Strapping, Seals, and Springs.

2. Aluminum: ASTM B 209, Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 3/4 inch wide with wing or closed seal.
 3. Springs: Twin spring set constructed of stainless steel with ends flat and slotted to accept metal bands. Spring size determined by manufacturer for application.
- B. Insulation Pins and Hangers:
1. Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated.
 - a. Products: Subject to compliance with requirements, provide one of the following:
 - 1) AGM Industries, Inc.; CWP-1.
 - 2) GEMCO; CD.
 - 3) Midwest Fasteners, Inc.; CD.
 - 4) Nelson Stud Welding; TPA, TPC, and TPS.
 2. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.
 - a. Products: Subject to compliance with requirements, provide one of the following:
 - 1) AGM Industries, Inc.; CHP-1.
 - 2) GEMCO; Cupped Head Weld Pin.
 - 3) Midwest Fasteners, Inc.; Cupped Head.
 - 4) Nelson Stud Welding; CHP.
 3. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch- thick, aluminum sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
 - a. Products: Subject to compliance with requirements, provide one of the following:
 - 1) AGM Industries, Inc.; RC-150.
 - 2) GEMCO; R-150.
 - 3) Midwest Fasteners, Inc.; WA-150.
 - 4) Nelson Stud Welding; Speed Clips.
 - b. Protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap in exposed locations.
 4. Nonmetal Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick nylon sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
 - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1) GEMCO.
 - 2) Midwest Fasteners, Inc.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
 1. Verify that systems to be insulated have been tested and are free of defects.
 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of ducts and fittings.
- B. Install insulation materials, vapor barriers or retarders, jackets, and thicknesses required for each item of duct system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during application and finishing.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
 - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Install insulation with factory-applied jackets as follows:
 - 1. Draw jacket tight and smooth.
 - 2. Cover circumferential joints with 3-inch- wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
 - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 4 inches o.c.
 - a. For below ambient services, apply vapor-barrier mastic over staples.
 - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
 - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to duct flanges and fittings.
- L. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- M. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.

- N. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

3.4 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
1. Seal penetrations with flashing sealant.
 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
 4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.
- C. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Terminate insulation at fire damper sleeves for fire-rated wall and partition penetrations. Externally insulate damper sleeves to match adjacent insulation and overlap duct insulation at least 2 inches.
1. Comply with requirements in Section 078413 "Penetration Firestopping" firestopping and fire-resistive joint sealers.
- D. Insulation Installation at Floor Penetrations:
1. Duct: For penetrations through fire-rated assemblies, terminate insulation at fire damper sleeves and externally insulate damper sleeve beyond floor to match adjacent duct insulation. Overlap damper sleeve and duct insulation at least 2 inches.
 2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.5 INSTALLATION OF INSULATION

- A. Blanket Insulation Installation on Ducts and Plenums: Secure with adhesive and insulation pins.
1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, for 100 percent coverage of duct and plenum surfaces.
 2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
 3. Install either capacitor-discharge-weld pins and speed washers or cupped-head, capacitor-discharge-weld pins on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
 - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
 - b. On duct sides with dimensions larger than 18 inches, place pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
 - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
 - d. Do not overcompress insulation during installation.
 - e. Impale insulation over pins and attach speed washers.

- f. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
 4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
 - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.
 - b. Install vapor stops for ductwork and plenums operating below 50 deg F at 18-foot intervals. Vapor stops shall consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
 5. Overlap unfaced blankets a minimum of 2 inches on longitudinal seams and end joints. At end joints, secure with steel bands spaced a maximum of 18 inches o.c.
 6. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
 7. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6-inch- wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.
- B. Board Insulation Installation on Ducts and Plenums: Secure with adhesive and insulation pins.
 1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, for 100 percent coverage of duct and plenum surfaces.
 2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
 3. Install either capacitor-discharge-weld pins and speed washers or cupped-head, capacitor-discharge-weld pins on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
 - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
 - b. On duct sides with dimensions larger than 18 inches, space pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
 - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
 - d. Do not overcompress insulation during installation.
 - e. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
 4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
 - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.

- b. Install vapor stops for ductwork and plenums operating below 50 deg F at 18-foot intervals. Vapor stops shall consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
5. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Groove and score insulation to fit as closely as possible to outside and inside radius of elbows. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
6. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6-inch- wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.

3.6 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 1. Inspect ductwork, randomly selected by Architect, by removing field-applied jacket and insulation in layers in reverse order of their installation. Extent of inspection shall be limited to one location(s) for each duct system defined in the "Duct Insulation Schedule, General" Article.
- B. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

3.7 DUCT INSULATION SCHEDULE, GENERAL

- A. Plenums and Ducts Requiring Insulation:
 1. Indoor, concealed supply and outdoor air.
 2. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
- B. Items Not Insulated:
 1. Metal ducts with duct liner of sufficient thickness to comply with energy code and ASHRAE/IESNA 90.1.
 2. Factory-insulated flexible ducts.
 3. Factory-insulated plenums and casings.
 4. Flexible connectors.
 5. Vibration-control devices.
 6. Factory-insulated access panels and doors.

3.8 INDOOR DUCT AND PLENUM INSULATION SCHEDULE

- A. Concealed, round and flat-oval, supply-air duct insulation shall be the following:
 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- B. Concealed, round and flat-oval, outdoor-air duct insulation shall be the following:
 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- C. Concealed, rectangular, supply-air duct insulation shall be the following:
 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- D. Concealed, rectangular, outdoor-air duct insulation shall be the following:
 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- E. Concealed, rectangular, exhaust-air duct insulation between isolation damper and penetration of building exterior shall be one of the following:
 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.

- F. Concealed, supply-air plenum insulation shall be the following:
 - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- G. Concealed, outdoor-air plenum insulation shall be the following:
 - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.

END OF SECTION 230713

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SECTION 233113 - METAL DUCTS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Single-wall rectangular ducts and fittings.
 - 2. Single-wall round ducts and fittings.
 - 3. Sheet metal materials.
 - 4. Sealants and gaskets.
 - 5. Hangers and supports.
- B. Related Sections:
 - 1. Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
 - 2. Section 233300 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

1.2 PERFORMANCE REQUIREMENTS

- A. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following products:
 - 1. Sealants and gaskets.
- B. Shop Drawings:
 - 1. Fabrication, assembly, and installation, including plans, elevations, sections, components, and attachments to other work.
 - 2. Factory- and shop-fabricated ducts and fittings.
 - 3. Duct layout indicating sizes, configuration, liner material, and static-pressure classes.
 - 4. Elevation of top of ducts.
 - 5. Fittings.
 - 6. Reinforcement and spacing.
 - 7. Seam and joint construction.
 - 8. Equipment installation based on equipment being used on Project.
 - 9. Locations for duct accessories, including dampers, turning vanes, and access doors and panels.
 - 10. Hangers and supports, including methods for duct and building attachment, seismic restraints, and vibration isolation.

1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
 - 1. Duct installation in congested spaces, indicating coordination with general construction, building components, and other building services. Indicate proposed changes to duct layout.
 - 2. Suspended ceiling components.
 - 3. Structural members to which duct will be attached.
 - 4. Size and location of initial access modules for acoustical tile.

- 5. Penetrations of smoke barriers and fire-rated construction.
- 6. Items penetrating finished ceiling including the following:
 - a. Lighting fixtures.
 - b. Air outlets and inlets.
 - c. Speakers.
 - d. Sprinklers.
 - e. Access panels.
 - f. Perimeter moldings.
- B. Welding certificates.
- C. Field quality-control reports.

1.5 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to the following:
 - 1. AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports.
 - 2. AWS D1.2/D1.2M, "Structural Welding Code - Aluminum," for aluminum supports.
 - 3. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- B. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and System Start-up."
- C. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.4.4 - "HVAC System Construction and Insulation."

PART 2 - PRODUCTS

2.1 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.2 SINGLE-WALL ROUND DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 3, "Round, Oval, and Flexible Duct," based on indicated static-pressure class unless otherwise indicated.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Lindab Inc.
 - b. McGill AirFlow LLC.
 - c. SEMCO Incorporated.
 - d. Sheet Metal Connectors, Inc.
 - e. Spiral Manufacturing Co., Inc.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-1, "Round Duct Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
 1. Transverse Joints in Ducts Larger Than 60 Inches in Diameter: Flanged.
- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-2, "Round Duct Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
 1. Fabricate round ducts larger than 90 inches in diameter with butt-welded longitudinal seams.
- D. Tees and Laterals: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.3 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
 1. Galvanized Coating Designation: G90.
 2. Finishes for Surfaces Exposed to View: Mill phosphatized.
- C. Carbon-Steel Sheets: Comply with ASTM A 1008/A 1008M, with oiled, matte finish for exposed ducts.
- D. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304 or 316, as indicated in the "Duct Schedule" Article; cold rolled, annealed, sheet. Exposed surface finish shall be No. 2B, No. 2D, No. 3, or No. 4 as indicated in the "Duct Schedule" Article.
- E. Aluminum Sheets: Comply with ASTM B 209 Alloy 3003, H14 temper; with mill finish for concealed ducts, and standard, one-side bright finish for duct surfaces exposed to view.
- F. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
 1. Where black- and galvanized-steel shapes and plates are used to reinforce aluminum ducts, isolate the different metals with butyl rubber, neoprene, or EPDM gasket materials.
- G. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.4 SEALANT AND GASKETS

- A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
- B. Water-Based Joint and Seam Sealant:
 - 1. Application Method: Brush on.
 - 2. Solids Content: Minimum 65 percent.
 - 3. Shore A Hardness: Minimum 20.
 - 4. Water resistant.
 - 5. Mold and mildew resistant.
 - 6. VOC: Maximum 75 g/L (less water).
 - 7. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
 - 8. Service: Indoor or outdoor.
 - 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- C. Flanged Joint Sealant: Comply with ASTM C 920.
 - 1. General: Single-component, acid-curing, silicone, elastomeric.
 - 2. Type: S.
 - 3. Grade: NS.
 - 4. Class: 25.
 - 5. Use: O.
 - 6. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
 - 7. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- D. Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.
- E. Round Duct Joint O-Ring Seals:
 - 1. Seal shall provide maximum leakage class of 3 cfm/100 sq. ft. at 1-inch wg and shall be rated for 10-inch wg static-pressure class, positive or negative.
 - 2. EPDM O-ring to seal in concave bead in coupling or fitting spigot.

2.5 HANGERS AND SUPPORTS

- A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.
- B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.
- C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."
- D. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A 603.
- E. Steel Cables for Stainless-Steel Ducts: Stainless steel complying with ASTM A 492.
- F. Steel Cable End Connections: Cadmium-plated steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.
- G. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.
- H. Trapeze and Riser Supports:

1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.

PART 3 - EXECUTION

3.1 DUCT INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.
- C. Install round ducts in maximum practical lengths.
- D. Install ducts with fewest possible joints.
- E. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.
- F. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- G. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- H. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.
- I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.
- J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.
- K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 233300 "Air Duct Accessories" for fire and smoke dampers.
- L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts exposed in finished spaces from being dented, scratched, or damaged.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.

- D. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.

3.3 DUCT SEALING

- A. Seal ducts for duct static-pressure, seal classes, and leakage classes specified in "Duct Schedule" Article according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- B. Seal ducts to the following seal classes according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible":
 - 1. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
 - 2. Outdoor, Supply-Air Ducts: Seal Class A.
 - 3. Outdoor, Exhaust Ducts: Seal Class C.
 - 4. Outdoor, Return-Air Ducts: Seal Class B.
 - 5. Unconditioned Space, Supply-Air Ducts in Pressure Classes 2-Inch wg and Lower: Seal Class B.
 - 6. Unconditioned Space, Supply-Air Ducts in Pressure Classes Higher Than 2-Inch wg: Seal Class A.
 - 7. Unconditioned Space, Exhaust Ducts: Seal Class C.
 - 8. Unconditioned Space, Return-Air Ducts: Seal Class B.
 - 9. Conditioned Space, Supply-Air Ducts in Pressure Classes 2-Inch wg and Lower: Seal Class B.
 - 10. Conditioned Space, Supply-Air Ducts in Pressure Classes Higher Than 2-Inch wg: Seal Class A.
 - 11. Conditioned Space, Exhaust Ducts: Seal Class B.
 - 12. Conditioned Space, Return-Air Ducts: Seal Class B.

3.4 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."
- B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.
 - 1. Where practical, install concrete inserts before placing concrete.
 - 2. Install powder-actuated concrete fasteners after concrete is placed and completely cured.
 - 3. Use powder-actuated concrete fasteners for standard-weight aggregate concretes or for slabs more than 4 inches thick.
 - 4. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes or for slabs less than 4 inches thick.
 - 5. Do not use powder-actuated concrete fasteners for seismic restraints.
- C. Hanger Spacing: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct," for maximum hanger spacing; install hangers and supports within 24 inches of each elbow and within 48 inches of each branch intersection.
- D. Hangers Exposed to View: Threaded rod and angle or channel supports.
- E. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor and at a maximum intervals of 16 feet.

- F. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

3.5 CONNECTIONS

- A. Make connections to equipment with flexible connectors complying with Section 233300 "Air Duct Accessories."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.

3.6 PAINTING

- A. Paint interior of metal ducts that are visible through registers and grilles and that do not have duct liner. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint materials and application requirements are specified in Section 099113 "Exterior Painting" and Section 099123 "Interior Painting."

3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
 2. Test the following systems:
 - a. Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Engineer from sections installed, totaling no less than 50 percent of total installed duct area for each designated pressure class.
 3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
 4. Test for leaks before applying external insulation.
 5. Conduct tests at static pressures equal to maximum design pressure of system or section being tested. If static-pressure classes are not indicated, test system at maximum system design pressure. Do not pressurize systems above maximum design operating pressure.
 6. Give seven days' advance notice for testing.
- C. Duct System Cleanliness Tests:
1. Visually inspect duct system to ensure that no visible contaminants are present.
- D. Duct system will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

3.8 START UP

- A. Air Balance: Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC."

3.9 DUCT SCHEDULE

- A. Fabricate ducts with galvanized sheet steel except as otherwise indicated and as follows:
- B. Supply Ducts:
1. Ducts Connected to Fan Coil Units and Terminal Units:
 - a. Pressure Class: Positive 2-inch wg
 - b. Minimum SMACNA Seal Class: C.
 - c. SMACNA Leakage Class for Rectangular: 12.

- d. SMACNA Leakage Class for Round: 12.
 2. Ducts Connected to Constant-Volume or Variable-Volume Air-Handling Units:
 - a. Pressure Class: Positive 2-inch wg
 - b. Minimum SMACNA Seal Class: B.
 - c. SMACNA Leakage Class for Rectangular: 6.
 - d. SMACNA Leakage Class for Round: 6.
- C. Return Ducts:
 1. Ducts Connected to Fan Coil Units and Terminal Units:
 - a. Pressure Class: Positive or negative 2-inch wg
 - b. Minimum SMACNA Seal Class: C.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
 2. Ducts Connected to Air-Handling Units:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: B.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
- D. Exhaust Ducts:
 1. Ducts Connected to Fans Exhausting (ASHRAE 62.1, Class 1 and 2) Air:
 - a. Pressure Class: Negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: C if negative pressure, and A if positive pressure.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
 2. Ducts Connected to Air-Handling Units:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: B if negative pressure, and A if positive pressure.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
- E. Outdoor-Air (Not Filtered, Heated, or Cooled) Ducts:
 1. Ducts Connected to Fan Coil Units and Terminal Units:
 - a. Pressure Class: Positive or negative 1-inch wg.
 - b. Minimum SMACNA Seal Class: C.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
 2. Ducts Connected to Air-Handling Units:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: B.
 - c. SMACNA Leakage Class for Rectangular: 12.
 - d. SMACNA Leakage Class for Round: 12.
- F. Intermediate Reinforcement:
 1. Galvanized-Steel Ducts: Galvanized steel or carbon steel coated with zinc-chromate primer.
 2. Stainless-Steel Ducts:
 - a. Exposed to Airstream: Match duct material.
 - b. Not Exposed to Airstream: Match duct material.
 3. Aluminum Ducts: Aluminum or galvanized sheet steel coated with zinc chromate.
- G. Elbow Configuration:

1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."
 - a. Velocity 1000 fpm or Lower:
 - 1) Radius Type RE 1 with minimum 0.5 radius-to-diameter ratio.
 - 2) Mitered Type RE 4 without vanes.
 - b. Velocity 1000 to 1500 fpm:
 - 1) Radius Type RE 1 with minimum 1.0 radius-to-diameter ratio.
 - 2) Radius Type RE 3 with minimum 0.5 radius-to-diameter ratio and two vanes.
 - 3) Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
 - c. Velocity 1500 fpm or Higher:
 - 1) Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
 - 2) Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
 - 3) Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
 2. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."
 - a. Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
 - b. Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
 - c. Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
 3. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-4, "Round Duct Elbows."
 - a. Minimum Radius-to-Diameter Ratio and Elbow Segments: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 3-1, "Mitered Elbows." Elbows with less than 90-degree change of direction have proportionately fewer segments.
 - 1) Velocity 1000 fpm or Lower: 0.5 radius-to-diameter ratio and three segments for 90-degree elbow.
 - 2) Velocity 1000 to 1500 fpm: 1.0 radius-to-diameter ratio and four segments for 90-degree elbow.
 - 3) Velocity 1500 fpm or Higher: 1.5 radius-to-diameter ratio and five segments for 90-degree elbow.
 - 4) Radius-to Diameter Ratio: 1.5.
 - b. Round Elbows, 12 Inches and Smaller in Diameter: Stamped or pleated.
 - c. Round Elbows, 14 Inches and Larger in Diameter: Standing seam.
- H. Branch Configuration:
1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-6, "Branch Connection."
 - a. Rectangular Main to Rectangular Branch: 45-degree entry.
 - b. Rectangular Main to Round Branch: Spin in.
 2. Round: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees." Saddle taps are permitted in existing duct.
 - a. All velocities: 45-degree lateral.
 - b. Conical bell mouth taps are not acceptable.

END OF SECTION 233113

SECTION 233300 - AIR DUCT ACCESSORIES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Manual volume dampers.
 2. Control dampers.
 3. Fire dampers.
 4. Turning vanes.
 5. Duct-mounted access doors.
 6. Flexible connectors.
 7. Flexible ducts.
 8. Duct accessory hardware.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
1. For duct silencers, include pressure drop and dynamic insertion loss data. Include breakout noise calculations for high transmission loss casings.

1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from Installers of the items involved.
- B. Source quality-control reports.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 ASSEMBLY DESCRIPTION

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

2.2 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
1. Galvanized Coating Designation: G60.
 2. Exposed-Surface Finish: Mill phosphatized.
- B. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304, and having a No. 2 finish for concealed ducts and for exposed ducts.

- C. Aluminum Sheets: Comply with ASTM B 209, Alloy 3003, Temper H14; with mill finish for concealed ducts and standard, 1-side bright finish for exposed ducts.
- D. Extruded Aluminum: Comply with ASTM B 221, Alloy 6063, Temper T6.
- E. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.
- F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.3 MANUAL VOLUME DAMPERS

- A. Standard, Steel, Manual Volume Dampers:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Air Balance Inc.; a division of Mestek, Inc.
 - b. American Warming and Ventilating; a division of Mestek, Inc.
 - c. Flexmaster U.S.A., Inc.
 - d. McGill AirFlow LLC.
 - e. Nailor Industries Inc.
 - f. Pottorff.
 - g. Ruskin Company.
 - h. Trox USA Inc.
 - i. Vent Products Company, Inc.
 - 2. Standard leakage rating.
 - 3. Suitable for horizontal or vertical applications.
 - 4. Frames:
 - a. Frame: Hat-shaped, 0.094-inch- (2.4-mm-) thick, galvanized sheet steel.
 - b. Mitered and welded corners.
 - c. Flanges for attaching to walls and flangeless frames for installing in ducts.
 - 5. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Galvanized-steel, 0.064 inch (1.62 mm) thick.
 - 6. Blade Axles: Galvanized steel.
 - 7. Bearings:
 - a. Stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg (750 Pa) or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
 - 8. Tie Bars and Brackets: Galvanized steel.
- B. Standard, Aluminum, Manual Volume Dampers:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Air Balance Inc.; a division of Mestek, Inc.
 - b. American Warming and Ventilating; a division of Mestek, Inc.
 - c. McGill AirFlow LLC.
 - d. Nailor Industries Inc.
 - e. Pottorff.
 - f. Ruskin Company.
 - g. Trox USA Inc.
 - h. Vent Products Company, Inc.

2. Standard leakage rating.
 3. Suitable for horizontal or vertical applications.
 4. Frames: Hat-shaped, 0.10-inch- (2.5-mm-) thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
 5. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Roll-Formed Aluminum Blades: 0.10-inch- (2.5-mm-) thick aluminum sheet.
 - e. Extruded-Aluminum Blades: 0.050-inch- (1.2-mm-) thick extruded aluminum.
 6. Blade Axles: Stainless steel.
 7. Bearings:
 - a. Stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg (750 Pa) or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
 8. Tie Bars and Brackets: Aluminum.
- C. Jackshaft:
1. Size: 0.5-inch (13-mm) diameter.
 2. Material: Galvanized-steel pipe rotating within pipe-bearing assembly mounted on supports at each mullion and at each end of multiple-damper assemblies.
 3. Length and Number of Mountings: As required to connect linkage of each damper in multiple-damper assembly.
- D. Damper Hardware:
1. Zinc-plated, die-cast core with dial and handle made of 3/32-inch- (2.4-mm-) thick zinc-plated steel, and a 3/4-inch (19-mm) hexagon locking nut.
 2. Include center hole to suit damper operating-rod size.
 3. Include elevated platform for insulated duct mounting.

2.4 CONTROL DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. American Warming and Ventilating; a division of Mestek, Inc.
 2. Arrow United Industries; a division of Mestek, Inc.
 3. Cesco Products; a division of Mestek, Inc.
 4. Greenheck Fan Corporation.
 5. Lloyd Industries, Inc.
 6. McGill AirFlow LLC.
 7. Metal Form Manufacturing, Inc.
 8. Nailor Industries Inc.
 9. NCA Manufacturing, Inc.
 10. Pottorff.
 11. Ruskin Company.
 12. Vent Products Company, Inc.
 13. Young Regulator Company.
- B. Low-leakage rating and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
- C. Frames:
1. Hat shaped.
 2. 0.094-inch- thick, galvanized sheet steel.

3. Mitered and welded corners.
- D. Blades:
1. Multiple blade with maximum blade width of 8 inches.
 2. Parallel- and opposed-blade design.
 3. Galvanized-steel.
 4. 0.064 inch thick single skin.
 5. Blade Edging: Closed-cell neoprene.
 6. Blade Edging: Inflatable seal blade edging, or replaceable rubber seals.
- E. Blade Axles: 1/2-inch- diameter; galvanized steel; blade-linkage hardware of zinc-plated steel and brass; ends sealed against blade bearings.
1. Operating Temperature Range: From minus 40 to plus 200 deg F.
- F. Bearings:
1. Stainless-steel sleeve.
 2. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
 3. Thrust bearings at each end of every blade.

2.5 FIRE DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Air Balance Inc.; a division of Mestek, Inc.
 2. Arrow United Industries; a division of Mestek, Inc.
 3. Cesco Products; a division of Mestek, Inc.
 4. Greenheck Fan Corporation.
 5. Nailor Industries Inc.
 6. NCA Manufacturing, Inc.
 7. Pottorff.
 8. Prefco; Perfect Air Control, Inc.
 9. Ruskin Company.
 10. Vent Products Company, Inc.
 11. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Type: Static; rated and labeled according to UL 555 by an NRTL.
- C. Fire Rating: 1-1/2 and 3 hours.
- D. Frame: Curtain type with blades outside airstream except when located behind grille where blades may be inside airstream; fabricated with roll-formed, 0.034-inch- thick galvanized steel; with mitered and interlocking corners.
- E. Mounting Sleeve: Factory- or field-installed, galvanized sheet steel.
1. Minimum Thickness: 0.138 inch thick, as indicated, and of length to suit application.
 2. Exception: Omit sleeve where damper-frame width permits direct attachment of perimeter mounting angles on each side of wall or floor; thickness of damper frame must comply with sleeve requirements.
- F. Mounting Orientation: Vertical or horizontal as indicated.
- G. Blades: Roll-formed, interlocking, 0.034-inch- thick, galvanized sheet steel. In place of interlocking blades, use full-length, 0.034-inch- thick, galvanized-steel blade connectors.
- H. Horizontal Dampers: Include blade lock and stainless-steel closure spring.
- I. Heat-Responsive Device: Replaceable, 165 deg F rated, fusible links.

2.6 TURNING VANES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Ductmate Industries, Inc.
 - 2. Duro Dyne Inc.
 - 3. Elgen Manufacturing.
 - 4. METALAIRE, Inc.
 - 5. SEMCO Incorporated.
 - 6. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
 - 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.
- C. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
- D. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 4-3, "Vanes and Vane Runners," and 4-4, "Vane Support in Elbows."
- E. Vane Construction: Double wall.
- F. Vane Construction: Single wall for ducts up to 48 inches (1200 mm) wide and double wall for larger dimensions.

2.7 DUCT-MOUNTED ACCESS DOORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. American Warming and Ventilating; a division of Mestek, Inc.
 - 2. Cesco Products; a division of Mestek, Inc.
 - 3. Ductmate Industries, Inc.
 - 4. Elgen Manufacturing.
 - 5. Flexmaster U.S.A., Inc.
 - 6. Greenheck Fan Corporation.
 - 7. McGill AirFlow LLC.
 - 8. Nailor Industries Inc.
 - 9. Pottorff.
 - 10. Ventfabrics, Inc.
 - 11. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 7-2, "Duct Access Doors and Panels," and 7-3, "Access Doors - Round Duct."
 - 1. Door:
 - a. Double wall, rectangular.
 - b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
 - c. Vision panel.
 - d. Hinges and Latches: 1-by-1-inchbutt or piano hinge and cam latches.
 - e. Fabricate doors airtight and suitable for duct pressure class.
 - 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
 - 3. Number of Hinges and Locks:

- a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
- b. Access Doors up to 18 Inches Square: Continuous and two sash locks.
- c. Access Doors up to 24 by 48 Inches: Three hinges and two compression latches.
- d. Access Doors Larger Than 24 by 48 Inches: Four hinges and two compression latches with outside and inside handles.

2.8 FLEXIBLE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Ductmate Industries, Inc.
 2. Duro Dyne Inc.
 3. Elgen Manufacturing.
 4. Ventfabrics, Inc.
 5. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Materials: Flame-retardant or noncombustible fabrics.
- C. Coatings and Adhesives: Comply with UL 181, Class 1.
- D. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches wide attached to two strips of 2-3/4-inch- wide, 0.028-inch- thick, galvanized sheet steel or 0.032-inch- thick aluminum sheets. Provide metal compatible with connected ducts.
- E. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.
 1. Minimum Weight: 26 oz./sq. yd.
 2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
 3. Service Temperature: Minus 40 to plus 200 deg F.
- F. Thrust Limits: Combination coil spring and elastomeric insert with spring and insert in compression, and with a load stop. Include rod and angle-iron brackets for attaching to fan discharge and duct.
 1. Frame: Steel, fabricated for connection to threaded rods and to allow for a maximum of 30 degrees of angular rod misalignment without binding or reducing isolation efficiency.
 2. Outdoor Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
 3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
 4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
 5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
 6. Elastomeric Element: Molded, oil-resistant rubber or neoprene.
 7. Coil Spring: Factory set and field adjustable for a maximum of 1/4-inch movement at start and stop.

2.9 FLEXIBLE DUCTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Flexmaster U.S.A., Inc.
 2. McGill AirFlow LLC.
 3. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Insulated, Flexible Duct: UL 181, Class 1, black polymer film supported by helically wound, spring-steel wire; fibrous-glass insulation; polyethylene vapor-barrier film.
 1. Pressure Rating: 4-inch wg positive and 0.5-inch wg negative.
 2. Maximum Air Velocity: 4000 fpm.

3. Temperature Range: Minus 20 to plus 175 deg F.
4. Insulation R-Value: Comply with ASHRAE/IESNA 90.1.
- C. Flexible Duct Connectors:
 1. Clamps: Stainless-steel band with cadmium-plated hex screw to tighten band with a worm-gear action or Nylon strap in sizes 3 through 18 inches, to suit duct size.
 2. Non-Clamp Connectors: Adhesive.

2.10 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.
- B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.
- C. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.
 1. Install steel volume dampers in steel ducts.
 2. Install aluminum volume dampers in aluminum ducts.
- D. Set dampers to fully open position before testing, adjusting, and balancing.
- E. Install test holes at fan inlets and outlets and elsewhere as indicated.
- F. Install fire and smoke dampers according to UL listing.
- G. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:
 1. On both sides of duct coils.
 2. Upstream from duct filters.
 3. At outdoor-air intakes and mixed-air plenums.
 4. At drain pans and seals.
 5. Downstream from, control dampers, backdraft dampers, and equipment.
 6. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
 7. At each change in direction and at maximum 50-foot spacing.
 8. Upstream or downstream from duct silencers.
 9. Control devices requiring inspection.
 10. Elsewhere as indicated.

- H. Install access doors with swing against duct static pressure.
- I. Access Door Sizes:
 - 1. One-Hand or Inspection Access: 8 by 5 inches.
 - 2. Two-Hand Access: 12 by 6 inches.
 - 3. Head and Hand Access: 18 by 10 inches.
 - 4. Head and Shoulders Access: 21 by 14 inches.
 - 5. Body Access: 25 by 14 inches.
 - 6. Body plus Ladder Access: 25 by 17 inches.
- J. Label access doors according to Section 230553 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.
- K. Install flexible connectors to connect ducts to equipment.
- L. For fans developing static pressures of 5-inch wg and more, cover flexible connectors with loaded vinyl sheet held in place with metal straps.
- M. Connect terminal units to supply ducts directly or with maximum 12-inch lengths of flexible duct. Do not use flexible ducts to change directions.
- N. Connect diffusers or light troffer boots to ducts directly or with maximum 60-inch lengths of flexible duct clamped or strapped in place.
- O. Connect flexible ducts to metal ducts with adhesive and draw bands.
- P. Install duct test holes where required for testing and balancing purposes.
- Q. Install thrust limits at centerline of thrust, symmetrical on both sides of equipment. Attach thrust limits at centerline of thrust and adjust to a maximum of 1/4-inch movement during start and stop of fans.

3.2 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 - 1. Operate dampers to verify full range of movement.
 - 2. Inspect locations of access doors and verify that purpose of access door can be performed.
 - 3. Inspect turning vanes for proper and secure installation.
 - 4. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION 233300

SECTION 233713 - DIFFUSERS, REGISTERS, AND GRILLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
1. Round ceiling diffusers.
 2. Rectangular and square ceiling diffusers.
 3. Linear bar diffusers.
 4. Linear slot diffusers.
 5. Adjustable bar registers.
 6. Fixed face grilles.
 7. Linear bar grilles.
- B. Related Sections:
1. Section 089116 "Operable Wall Louvers" and Section 089119 "Fixed Louvers" for fixed and adjustable louvers and wall vents, whether or not they are connected to ducts.
 2. Section 233300 "Air Duct Accessories" for fire and smoke dampers and volume-control dampers not integral to diffusers, registers, and grilles.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated, include the following:
1. Data Sheet: Indicate materials of construction, finish, and mounting details; and performance data including throw and drop, static-pressure drop, and noise ratings.
 2. Diffuser, Register, and Grille Schedule: Indicate drawing designation, room location, quantity, model number, size, and accessories furnished.
- B. Samples for Initial Selection: For diffusers, registers, and grilles with factory-applied color finishes.
- C. Samples for Verification: For diffusers, registers, and grilles, in manufacturer's standard sizes to verify color selected.

1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from Installers of the items involved:
1. Ceiling suspension assembly members.
 2. Method of attaching hangers to building structure.
 3. Size and location of initial access modules for acoustical tile.
 4. Ceiling-mounted items including lighting fixtures, diffusers, grilles, speakers, sprinklers, access panels, and special moldings.
 5. Duct access panels.
- B. Source quality-control reports.

PART 2 - PRODUCTS**2.1 CEILING DIFFUSERS**

- A. Rectangular and Square Ceiling Diffusers:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.

2.2 CEILING LINEAR SLOT OUTLETS

- A. Linear Bar Diffuser:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.
- B. Linear Slot Diffuser:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.

2.3 REGISTERS AND GRILLES

- A. Adjustable Bar Register:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.
- B. Fixed Face Grille:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.
- C. Linear Bar Grille:
1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 - a. Nailor Industries Inc.
 - b. Price Industries.
 - c. Titus.

2.4 SOURCE QUALITY CONTROL

- A. Verification of Performance: Rate diffusers, registers, and grilles according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

PART 1 - EXECUTION**1.1 EXAMINATION**

- A. Examine areas where diffusers, registers, and grilles are to be installed for compliance with requirements for installation tolerances and other conditions affecting performance of equipment.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

1.2 INSTALLATION

- A. Install diffusers, registers, and grilles level and plumb.
- B. Ceiling-Mounted Outlets and Inlets: Drawings indicate general arrangement of ducts, fittings, and accessories. Air outlet and inlet locations have been indicated to achieve design requirements for air volume, noise criteria, airflow pattern, throw, and pressure drop. Make final locations where indicated, as much as practical. For units installed in lay-in ceiling panels, locate units in the center of panel. Where architectural features or other items conflict with installation, notify Architect for a determination of final location.
- C. Install diffusers, registers, and grilles with airtight connections to ducts and to allow service and maintenance of dampers, air extractors, and fire dampers.

1.3 ADJUSTING

- A. After installation, adjust diffusers, registers, and grilles to air patterns indicated, or as directed, before starting air balancing.

END OF SECTION 233713

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